

# Chronic hM4Di-DREADD-Mediated Chemogenetic Inhibition of Forebrain Excitatory Neurons in Postnatal or Juvenile Life Does Not Alter Adult Mood-Related Behavior

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1      **Chronic hM4Di-DREADD mediated chemogenetic inhibition of forebrain excitatory**  
2      **neurons in postnatal or juvenile life does not alter adult mood-related behavior**

3  
4      *Abbreviated Title:* Chronic inhibition of CaMKII $\alpha$  neurons does not alter mood

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33 **Conflict of Interest**

34 The authors declare no competing interests.

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42

43 **Abstract**

44 G-protein coupled receptors (GPCRs) coupled to Gi-signaling, in particular downstream of  
45 monoaminergic neurotransmission, are posited to play a key role during developmental  
46 epochs (postnatal and juvenile), in shaping the emergence of adult anxio-depressive  
47 behaviors and sensorimotor gating. To address the role of Gi-signaling in these  
48 developmental windows, we used a CamKII $\alpha$ -tTA::TRE hM4Di bigenic mouse line to  
49 express the hM4Di-DREADD in forebrain excitatory neurons and enhanced Gi-signaling via  
50 chronic administration of the DREADD agonist, CNO in the postnatal (PNCNO: postnatal  
51 day 2-14) or juvenile (JCNO: postnatal day 28-40) window. We confirmed that the  
52 expression of the HA-tagged hM4Di-DREADD was restricted to CamKII-positive neurons in  
53 the forebrain, and administration of CNO in postnatal or juvenile windows evoked inhibition  
54 in forebrain circuits of the hippocampus and cortex, as indicated by a decline in expression of  
55 the neuronal activity marker, c-fos. hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -  
56 positive forebrain excitatory neurons in postnatal or juvenile life did not impact the weight  
57 profile of mouse pups, and also did not influence the normal ontogeny of sensory reflexes.  
58 Further, postnatal or juvenile hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -positive  
59 forebrain excitatory neurons did not alter anxiety or despair-like behaviors in adulthood, and  
60 did not impact sensorimotor gating. Collectively, these results indicate that chemogenetic  
61 induction of Gi-signaling in CamKII $\alpha$ -positive forebrain excitatory neurons in postnatal and  
62 juvenile temporal windows does not appear to impinge on the programming of anxio-  
63 depressive behaviors in adulthood.

64  
65 **Keywords:** Early life, DREADDs, Gi-signaling, Anxiety, Depression, Schizophrenia

66

67 **Significance Statement:**

68       The experience of early adversity can program persistent alterations in mood-states. It  
69 has been suggested that a perturbation of signalling pathways within forebrain neurocircuits,  
70 in particular a disruption of the balance between Gq and Gi-signaling in forebrain excitatory  
71 neurons during critical developmental epochs may program dysregulation of anxio-  
72 depressive behaviors. Prior evidence indicates that increased Gq-signaling mediated  
73 activation of forebrain excitatory neurons in postnatal life can enhance adult anxio-depressive  
74 behaviors. Here, we have addressed whether Gi-signaling mediated inhibition of forebrain  
75 excitatory neurons in the postnatal and juvenile windows of life can influence adult anxio-  
76 depressive behaviors. Our findings indicate that chronic chemogenetic inhibition of forebrain  
77 excitatory neurons via Gi-mediated signalling during critical developmental time windows  
78 does not impact mood-related behavior.

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## 81 **Introduction**

82       Experiences during early developmental windows play a crucial role in the fine-  
 83 tuning and shaping of an individual's behavioral and functional responses in adulthood  
 84 (Ansorge et al., 2007; Bale et al., 2010; Di Segni et al., 2018; Gross and Hen, 2004; Hensch,  
 85 2005). While exposure to early stress and trauma is associated with persistent increases in  
 86 anxiety and despair-like behavior in preclinical studies (Chen and Baram, 2016; De Melo et  
 87 al., 2018; Targum and Nemeroff, 2019; Wang et al., 2020), enriched environment exposure  
 88 (Cymerblit-Sabba et al., 2013; Francis et al., 2002; Kempermann et al., 1997; Ravenelle et  
 89 al., 2014; Sparling et al., 2018) and high maternal care during these early temporal windows  
 90 is associated with enhanced stress-coping and resilient behavioral responses (Bagot et al.,  
 91 2009; Bredy et al., 2003; Champagne et al., 2008). The neurotransmitter, serotonin (5-HT),  
 92 and signaling via the Gi-coupled 5-HT<sub>1A</sub> and Gq-coupled 5-HT<sub>2A</sub> receptors, has been  
 93 implicated in playing an important role in shaping the development of mood-related behavior  
 94 (Altieri et al., 2015; Gordon and Hen, 2004; Tiwari et al., 2021). Elevation of 5-HT levels  
 95 during postnatal life, either via pharmacological blockade or genetic loss of function of the 5-  
 96 HT transporter, is associated with enhanced anxiety and despair-like behavior that persists  
 97 across the life-span (Ansorge et al., 2004, 2008; Sarkar et al., 2014). Loss of function of the  
 98 Gq-coupled 5-HT<sub>2A</sub> receptor, in particular in the forebrain, is associated with reduced  
 99 anxiety-like behavior (Weisstaub, 2006), whereas loss of function of the Gi-coupled 5-HT<sub>1A</sub>  
 100 receptor during postnatal life, in both forebrain and raphe neurocircuits, has been linked to  
 101 increased anxiety-like behavior (Gross et al., 2002; Mineur et al., 2014; Richardson-Jones et  
 102 al., 2011, 2010; Vinkers et al., 2010a). Furthermore, pharmacological blockade of the Gi-  
 103 coupled 5-HT<sub>1A</sub> receptor during postnatal life is associated with the emergence of increased  
 104 anxiety in adulthood (Garcia-Garcia et al., 2014; Sarkar et al., 2014; Vinkers et al., 2010b),  
 105 whereas pharmacological stimulation of the Gq-coupled 5-HT<sub>2A</sub> receptors (Sarkar et al.,

2014) or enhanced Gq-signaling driven via chemogenetic activation of excitatory forebrain neurons during postnatal windows programs increased anxiety and despair-like behavior in adulthood (Pati et al., 2020).

It has been hypothesized that early stress may shift the balance towards enhanced excitatory Gq-coupled signaling accompanied by a decline in inhibitory Gi-coupled signaling in forebrain neurocircuits, which could contribute to the programming of perturbed anxiety and despair-like behaviors (Lambe et al., 2011; Sumner et al., 2008; Tiwari et al., 2021). Chemogenetic studies indicate that enhanced Gq-signaling in forebrain excitatory neurons during postnatal life programs long-lasting increases in anxiety and despair-like behavior along with disrupted sensorimotor gating (Pati et al., 2020). Several preclinical studies suggest that a loss or reduction in signaling via the Gi-coupled 5-HT<sub>1A</sub> receptor during the postnatal temporal window enhances anxio-depressive behaviors in adulthood (Gross et al., 2002, 2000; Ramboz et al., 1998; Richardson-Jones et al., 2011, 2010; Vinkers et al., 2010a). However, a recent study indicates that enhanced Gi-signaling driven chemogenetically in prefrontal cortical neurons during postnatal life results in enhanced adult anxiety and despair-like behavior, phenocopying the effects of early stress (Teissier et al., 2019). Clinical evidence based on studies of 5-HT<sub>1A</sub> receptor binding suggest that Gi-coupled receptors may be associated with resilience to anxiety (Albert et al., 2019; Armbruster et al., 2011; Savitz et al., 2009). Collectively, these reports provide impetus for experiments to test whether perturbation of Gi-signaling in forebrain excitatory neurons during early developmental windows can alter the programming of mood-related behaviors.

Here, we directly addressed the influence of increased Gi-mediated signaling in forebrain excitatory neurons in postnatal and juvenile life in the shaping of anxiety and despair-like behavior, as well as sensorimotor gating responses, in adulthood. We used the Gi-coupled inhibitory (hM4Di) Designer Receptors Exclusively Activated by Designer Drugs

131 (DREADD), which were expressed in CamKII $\alpha$ -positive forebrain excitatory neurons via  
132 a bigenic mouse line (CamKII $\alpha$ -tTA::TRE hM4Di) (Alexander et al., 2009), and used the  
133 DREADD ligand clozapine-N-oxide (CNO; 5 mg/kg) (Roth, 2016) to activate Gi-signaling  
134 during postnatal (postnatal day 2-14) and juvenile (postnatal day 28-40) windows followed  
135 by behavioral analysis in adulthood. We show that hM4Di-DREADD mediated inhibition of  
136 CamKII $\alpha$ -positive forebrain excitatory neurons in either the postnatal or juvenile temporal  
137 windows does not influence anxiety- and despair-like behavior, or sensorimotor gating in  
138 adulthood.

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## 141 **Materials and Methods**

### 142 *Animals*

143       Bigenic CamKII $\alpha$ -tTA::TRE-hM4Di mice were used for all experiments. The  
144 CamKII $\alpha$ -tTA transgenic mouse line (Mayford et al., 1996) was a gift from Dr. Christopher  
145 Pittenger, Department of Psychiatry, Yale School of Medicine. The TRE-hM4Di mouse line  
146 was purchased from Jackson Laboratories, USA (Cat. No. 024114; B6.Cg-Tg(tetO-  
147 CHRM4\*)2Blr/J; Jackson Laboratories, USA). Bigenic animals were generated for the  
148 experiments by mating CamKII $\alpha$ -tTA::TRE-hM4Di males to CamKII $\alpha$ -tTA::TRE-hM4Di  
149 females. The genotypes were confirmed by PCR-based analysis. All dams were individually  
150 housed in separate cages and litter size was restricted to 6-8 pups per litter. All animals were  
151 bred and maintained in the Tata Institute of Fundamental Research (TIFR), Mumbai (India),  
152 animal house facility on a 12 hour light-dark cycle from 7 am to 7 pm, with *ad libitum* access  
153 to food and water. Experimental procedures were carried out as per the guidelines of the  
154 Committee for the Purpose of Control and Supervision of Experiments on Animals  
155 (CPCSEA), Government of India, and were approved by TIFR animal ethics committee. Care  
156 was taken across all experiments to minimize any pain or suffering, and to restrict the number  
157 of animals used.

### 158 *Drug Treatment Paradigms*

159       For postnatal drug treatments, bigenic CamKII $\alpha$ -tTA::TRE-hM4Di mouse pups were  
160 orally administered either Clozapine-N-oxide (CNO) (Cat no 4963, Tocris, UK; 5 mg/kg in  
161 5% sucrose solution containing 1% DMSO) or vehicle (5% sucrose solution containing 1%  
162 DMSO) for thirteen days, commencing from postnatal day 2 (P2) to postnatal day 14 (P14).  
163 Post weaning (P24- P27), animals were group housed for three months prior to assessment on  
164 behavioral assays. For juvenile drug treatments, bigenic CamKII $\alpha$ -tTA::TRE-hM4Di mouse  
165 pups were weaned between P24-P27, group housed and randomly assigned to either the

166 vehicle or CNO treatment groups. Juvenile bigenic CamKII $\alpha$ -tTA::TRE-hM4Di mice  
 167 received either CNO (5 mg/kg in 5% sucrose solution containing 1% DMSO) or vehicle (5%  
 168 sucrose solution containing 1% DMSO) for thirteen days from P28 to P40. All animals were  
 169 left undisturbed from P41 for two months prior to subjecting them to behavioral testing. To  
 170 assess whether postnatal (PNCNO) or juvenile (JCNO) CNO treatment influenced the weight  
 171 of pups, we carried out an extensive weight profiling across the duration of the PNCNO and  
 172 JCNO treatment paradigms. We chose the treatment time-windows to reflect a postnatal  
 173 window in which early stress paradigms are performed, and a juvenile window post-weaning.

#### 174 *Western blotting*

175 To assess HA-tagged hM4Di-DREADD expression in the hippocampus and cortex of  
 176 CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice at P7 and P35, we carried out western blotting  
 177 analysis for the HA antigen. To determine the influence of CNO-mediated activation of the  
 178 hM4Di-DREADD on neuronal activity marker expression (c-fos), we administered a single  
 179 dose of CNO (5 mg/kg) or vehicle to CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mouse pups at P7  
 180 and to the juvenile cohort at P35 and sacrificed them thirty minutes post-administration.  
 181 Hippocampi and cortex tissue were dissected and homogenized in Radioimmunoprecipitation  
 182 assay (RIPA) buffer (50 mM Tris-Cl (pH 8.0), 5 mM EDTA, 1% NP-40, 150 mM NaCl) using  
 183 a Dounce homogenizer. Protein concentration was estimated with the Quantipro BCA assay  
 184 kit (Sigma-Aldrich, United States), and lysates were resolved on a 10% sodium dodecyl sulfate  
 185 polyacrylamide gel prior to transfer onto polyvinylidene fluoride membranes. Blots were  
 186 subjected to blocking in 5% milk in TBST and incubated overnight with respective primary  
 187 antibodies - rabbit anti-HA (1:1500, Cat. No. H6908, Sigma-Aldrich, USA), rabbit anti-c-fos  
 188 (1:1000, Cat. No. 2250, Cell Signalling Technology, USA), rabbit anti- $\beta$ -actin (1:10,000, Cat.  
 189 No. AC026, Abclonal Technology, USA). Blots were exposed to HRP-conjugated goat anti-  
 190 rabbit secondary antibody (1:6000, Cat. No. AS014, Abclonal Technology, USA) for one

191 hour with signal visualized on a GE Amersham Imager 600 (GE Life Sciences, USA) using a  
 192 western blotting detection kit (WesternBright ECL, Advansta, USA). Densitometric  
 193 quantitative analysis was performed using ImageJ software.

#### 194 *Immunofluorescence analysis*

195 Coronal brain sections (40  $\mu$ m) were generated on a vibratome (Leica, Germany)  
 196 from adult CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice sacrificed by transcardial perfusion with  
 197 4% paraformaldehyde. Sections were permeabilized and blocked in phosphate-buffered saline  
 198 with 0.3% Triton X-100 (PBSTx) containing 10% horse serum (Thermo Fisher Scientific,  
 199 Cat. No. 26-050-088) for two hours at room temperature. The sections were then incubated  
 200 with primary antibody for double-label immunofluorescence experiments, to examine the co-  
 201 localization of the HA-tagged hM4Di-DREADD with markers for excitatory and inhibitory  
 202 neurons, and glial cells in the hippocampus and neocortex. The following antibody cocktails  
 203 were used: rat anti-HA (1:200, Cat. No. 10145700, Roche Diagnostics, USA) with rabbit  
 204 anti-CamKII $\alpha$  (1:200, Cat. No. sc-12886-R, Santa Cruz, USA), mouse anti-PV (1:500,  
 205 Sigma-Aldrich, Cat. No. P3088), or rabbit anti-GFAP (1:500, Cat. No. AB5804, Chemicon,  
 206 USA) for four days at 4°C. This was followed by washing of sections with 0.3% PBSTx  
 207 thrice for fifteen minutes each. The sections were then incubated with the following cocktails  
 208 of secondary antibodies, namely, goat anti-rat IgG conjugated to Alexa Fluor 488 (1:500; Cat.  
 209 No. A-21212, Invitrogen, USA) and goat anti-rabbit IgG conjugated to Alexa Fluor 568  
 210 (1:500; Cat. No. A-11011, Invitrogen), or goat anti-rat IgG conjugated to Alexa Fluor 488  
 211 (1:500; Cat. No. A-21212, Invitrogen) and donkey anti-mouse IgG conjugated to Alexa Fluor  
 212 555 (1:500; Cat. No. A-31570, Invitrogen) for two hours at room temperature. After  
 213 sequential washing with 0.3% PBSTx, sections were mounted on slides using Vectashield  
 214 Antifade Mounting Medium with DAPI (H-1200, Vector Laboratories, USA) and images  
 215 were visualized on a FV1200 confocal microscope (Olympus, Japan).

## 216 *Behavioral Assays*

217 Reflex behaviors for neonates were analyzed on CamKII $\alpha$ -tTA::TRE-hM4Di bigenic  
218 mouse pups commencing on P9 till P12, with air righting, surface righting and negative  
219 geotaxis determined.

220 *Air righting*: Animals were allowed to fall 10 times from a height of 25 cm, facing upside  
221 down. Number of correct landings, as observed by falling on all four paws, was determined.

222 *Negative geotaxis*: Animals were placed on an inclined plank (30°), facing downwards. The  
223 amount of time taken by the animal to turn (180°) and face upwards was noted.

224 *Surface righting*: Time for the pup to attain a standing position with all four paws was noted  
225 when placed upside down in the home cage.

226 In adulthood, CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice were subjected to behavioral  
227 assays to assess anxiety-like behavior (open field test - OFT; elevated plus maze test - EPM;  
228 light-dark avoidance test - LD box), despair-like behavior (tail suspension test - TST and  
229 forced swim test - FST) and sensorimotor gating behavior which was assessed via the  
230 prepulse inhibition (PPI) test. All anxiety-like behavioral assays were recorded and tracked  
231 using an overhead camera at 25 frames per second. All despair-like behaviors were recorded  
232 using a side-mounted webcam (Logitech, Switzerland). Behavior tracking was done using the  
233 automated platform Ethovision XT 11.

234 *Open field test*: Mice were released into one corner (chosen at random) of the open field  
235 arena (40 cm x 40 cm x 40 cm), and allowed to explore for ten minutes. The total distance  
236 moved in the arena, the percent time and percent distance in the center, and number of entries  
237 to the center were determined.

238 *Elevated plus maze*: Animals were introduced to the elevated plus maze for ten minutes and  
239 were placed in the center of the plus maze facing the open arms. The elevated plus maze was  
240 built such that the two arms both open and closed (30 cm x 5 cm each) were elevated 50 cm

241 above the ground. The walls of the closed arms were 15 cm high. The total distance moved in  
 242 the maze, the percent time, percent distance and number of entries in the open and closed  
 243 arms were determined.

244 *Light-dark avoidance test:* The light-dark box was made of two joint chambers- the light  
 245 chamber (25 cm x 25 cm) and the dark chamber (15 cm x 25 cm). The two areas were  
 246 connected by an opening (10 cm x 10 cm). Mice were released into the arena facing the light  
 247 chamber at the cusp of the lit and dark arena for a duration of ten minutes. The number of  
 248 entries and the percent time spent in the light arena was assessed.

249 *Tail suspension test:* Animals were suspended by their tail for six minutes at a height of 50  
 250 cm above the ground, and the total immobility time and number of immobility events was  
 251 assessed for a duration of five minutes excluding the first minute of the test.

252 *Forced swim test:* Animals were allowed to swim for six minutes in a transparent cylinder of  
 253 50 cm height and 14 cm inner diameter, filled with water (25°C) up to a height of 30 cm. The  
 254 total immobility time and number of immobility events was determined for a duration of five  
 255 minutes excluding the first minute of the test.

256 *Prepulse inhibition test:* Animals were assayed for perturbation of sensorimotor gating on  
 257 the prepulse inhibition test performed using a startle and fear conditioning apparatus  
 258 (Panlab, Spain). CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice were allowed to habituate to the  
 259 restrainer and testing apparatus for fifteen minutes daily across four days, followed by a  
 260 fifteen minute habituation per day for four days with an exposure to 65 dB background  
 261 white noise. On the test day, following exposure of the animals to 65 dB background white  
 262 noise for five minutes, ten tone pulses (120 dB, 1 s) were presented to the mice to measure  
 263 basal startle response (first block). The mice were then randomly presented with either only  
 264 tone (120 dB, 1 s; x10) or a 100 ms prepulse which was either +4 dB (69dB), +8 dB (73dB) or  
 265 +16 dB (81dB) higher than the background noise, five times each, which co-terminated with

266 a 1 s, 120 dB tone. The percent PPI was calculated using the following formula: Percent PPI =  
 267  $100 \times (\text{average startle response with only tone} - \text{average startle response with the prepulse})$   
 268  $/ \text{average startle response with only tone}.$

# 269 *Statistical analysis*

270 The Kolmogorov-Smirnov test was used to confirm normality of distribution. All  
 271 experiments that had two treatment groups were subjected to a two-tailed, unpaired Student's  
 272 *t*-test using GraphPad Prism (Graphpad Software Inc, USA). Welch correction was applied  
 273 when a significant difference in the variance between groups was observed. Experiments with  
 274 four treatment groups were subjected to a two-way analysis of variance (ANOVA) with  
 275 PNCNO and sex as the two variables. The Tukey *post-hoc* comparison tests were performed  
 276 only when a significant two-way ANOVA interaction of PNCNO x Sex interaction was  
 277 observed. Data are expressed as mean  $\pm$  standard error of the mean (S.E.M) and statistical  
 278 significance was set at  $p < 0.05$ .

279

## 280 **Results**

### 281 *Selective expression of hM4Di-DREADD in CamKII $\alpha$ -positive forebrain excitatory neurons* 282 *in CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice*

283 To address the behavioral consequences of hM4Di-DREADD mediated inhibition of  
 284 forebrain excitatory neurons in postnatal and juvenile windows of life, CamKII $\alpha$ -tTA::TRE-  
 285 hM4Di bigenic mice were generated. The expression of the HA-tagged hM4Di-DREADD  
 286 was characterized in the hippocampus and cortex, wherein the CamKII $\alpha$ -tTA driver would  
 287 result in expression in forebrain excitatory neurons (Mayford et al., 1996; Wang et al., 2013),  
 288 as well as within brain regions such as the periaqueductal gray (PAG) and pallidum, which  
 289 are known to lack an expression of CamKII $\alpha$ -positive neurons. The presence of the HA-  
 290 tagged hM4Di-DREADD was confirmed in CamKII $\alpha$ -positive neurons in both, the

hippocampus (Fig. 1A) and the cortex (Fig. 1D) of adult CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice. The HA-tagged hM4Di-DREADD was not present on the inhibitory parvalbumin (PV)-positive neurons (Fig. 1B), as well as in glial fibrillary acidic protein (GFAP) immunopositive astrocytes (Fig. 1C) in the hippocampus. We also confirmed the absence of the HA-tagged hM4Di-DREADD in select brain regions that lack CamKII $\alpha$ -positive neurons, namely the PAG (Fig. 1E) and pallidum (Fig. 1F).

We next examined the presence of the HA-tagged hM4Di-DREADD in the hippocampus and cortex using western blotting analysis. CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice at postnatal day 7 (P7, Fig. 1G-I) as well as in juveniles at postnatal day 35 (P35, Fig. 1M-O) exhibited robust expression of the HA-tagged hM4Di-DREADD. We then performed western blotting analysis for the neuronal activity marker, c-fos, to examine whether hM4Di-DREADD evoked a reduction in neuronal activity in the hippocampus and cortex, half an hour post CNO or vehicle treatment to postnatal pups at P7 and juvenile animals at P35. Western blotting analysis, followed by quantitative densitometry for c-fos protein levels revealed a significant reduction in the hippocampus (Fig. 1K) and cortex (Fig. 1L) of PNCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mouse pups. For the JCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice at P35, we did not observe a change in the c-fos protein levels in the hippocampus (Fig. 1Q), but did note a significant decline in c-fos protein levels in the cortex (Fig. 1R) of the JCNO-treated cohort. Collectively, these results indicate that the expression of HA-tagged hM4Di-DREADD is restricted to forebrain CamKII $\alpha$ -positive neurons, and that treatment with the DREADD ligand CNO in the early postnatal or juvenile window evokes a decline in activity of within the forebrain regions of the hippocampus and cortex, as indicated by a reduction in protein levels of the neuronal activity marker, c-fos.



316 *Chronic hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -positive forebrain excitatory*  
 317 *neurons during the early postnatal window does not influence anxiety-like behavior in*  
 318 *adulthood in male or female mice*

319 We set out to examine the behavioral influence of chronic CNO-mediated hM4Di-  
 320 DREADD inhibition of CamKII $\alpha$ -positive forebrain excitatory neurons during the early  
 321 postnatal or juvenile window by orally administering the DREADD ligand, CNO (5 mg/kg),  
 322 or vehicle to CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male and female mice once daily from P2  
 323 to P14 (Fig. 2A- PNCNO), or from P28 to P40 (Fig. 2F - JCNO). PNCNO or JCNO  
 324 treatments did not alter the body weight which was measured across the period of treatment  
 325 (Fig. 2B, 2G). PNCNO treatment did not alter the normal ontogeny of reflex behaviors,  
 326 namely air righting (Fig. 2C), negative geotaxis (Fig. 2D) and surface righting (Fig. 2E), in  
 327 PNCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mouse pups as compared to their  
 328 vehicle-treated controls.

329 We next addressed whether a history of hM4Di-DREADD mediated inhibition of  
 330 CamKII $\alpha$ -positive forebrain excitatory neurons during the early postnatal window alters  
 331 anxiety-like behavior in adulthood. We subjected CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult  
 332 male and female mice with a history of PNCNO treatment to a battery of behavioral tests to  
 333 assess anxiety-like behavior, namely the open field test (OFT), elevated plus maze (EPM)  
 334 test, and light-dark avoidance test (LD box). We do not observe any significant alterations in  
 335 multiple behavioral measures in the OFT between the vehicle and PNCNO-treated cohorts in  
 336 both male and female (Fig. 3B-F) CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice. We noted a  
 337 significant PNCNO x Sex interaction ( $F_{(1,40)} = 4.318$ ,  $p = 0.044$ ) in the total distance travelled  
 338 (Fig. 3C) in the OFT arena, with Tukey *post-hoc* comparisons revealing a significant  
 339 difference between vehicle-treated male and female CamKII $\alpha$ -tTA::TRE-hM4Di bigenic  
 340 mice. No significant interactions between PNCNO and Sex were noted for the other measures



341 assessed in the OFT. We did note a significant main effect of Sex for percent time spent in  
 342 the center ( $F_{(1,40)} = 21.77, p < 0.0001$ ) (Fig. 3D), percent distance travelled in the center  
 343 ( $F_{(1,40)} = 8.727, p = 0.0052$ ) (Fig. 3E) and number of entries to the center ( $F_{(1,40)} = 11.07, p =$   
 344  $0.002$ ) (Fig. 3F). We noted no significant effect of PNCNO for any of the behavioral  
 345 measures assessed in the OFT.

346 We did not observe any significant PNCNO x Sex interaction for the multiple behavioral  
 347 measures assessed in the elevated plus maze (EPM) (Fig. 3G-M). We observed a significant  
 348 main effect of Sex for percent spent in closed arms ( $F_{(1,45)} = 76.53, p < 0.0001$ ) (Fig. 3H),  
 349 percent time in open arms ( $F_{(1,45)} = 12.98, p = 0.0008$ ) (Fig. 3I), as well as for number of  
 350 entries to closed arms ( $F_{(1,45)} = 14.38, p = 0.0004$ ) (Fig. 3L) and open arms ( $F_{(1,45)} = 290.7,$   
 351  $p < 0.0001$ ) (Fig. 3M), but not for the measures of percent distance in closed (Fig. 3J) and open  
 352 (Fig. 3K) arms. We noted no significant effect of PNCNO for any of the behavioral measures  
 353 assessed in the EPM. We next assessed the behavior of vehicle and PNCNO-treated  
 354 CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male and female mice on the LD box. We noted no  
 355 significant PNCNO x Sex interactions for either the percent time or number entries in the  
 356 light box. We noted a significant main effect of sex for percent time spent in the light box  
 357 ( $F_{(1,42)} = 40.27, p < 0.0001$ ) (Fig. 3O), and a significant main effect of PNCNO for the  
 358 number of entries to the light box ( $F_{(1,42)} = 5.227, p = 0.027$ ) (Fig. 3P). Taken together, these  
 359 findings indicate that PNCNO-mediated, chronic hM4Di-DREADD inhibition of CamKII $\alpha$ -  
 360 positive forebrain excitatory neurons during the early postnatal window does not appear to  
 361 significantly influence anxiety-like behavior in adulthood on the OFT, EPM and LD tests in  
 362 both male and female CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice. However, we do note robust  
 363 main effects of Sex on multiple measures across distinct anxiety related behavioral tasks.

364

365 *Chronic hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -positive forebrain excitatory*  
 366 *neurons during the early postnatal window does not influence adult despair-like behavior in*  
 367 *male and female mice, or sensorimotor gating responses in male mice*

368 We next addressed whether a history of hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -  
 369 positive forebrain excitatory neurons during the early postnatal window alters despair-like  
 370 behavior in adulthood on the forced swim (FST) (Fig. 4A-D). PNCNO treatment did not alter  
 371 either the percent immobility time or the number of immobility events indicating no change  
 372 in despair-like behavior in CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male and female mice.  
 373 We did not observe any significant PNCNO x Sex interaction for the percent immobility time  
 374 (Fig. 4C) or the number of immobility events (Fig. 4D). We did note a significant effect of  
 375 Sex on number of immobility events ( $F_{(1,42)} = 18.45$ ,  $p = 0.0001$ ). We noted no significant  
 376 main effect of PNCNO on either of the measures assessed in the FST. We also performed  
 377 TST to assess despair like behavior in adult vehicle and PNCNO-treated CamKII $\alpha$ -  
 378 tTA::TRE-hM4Di bigenic male mice and observed no change in despair-like behavior.  
 379 (Percent immobility time: Vehicle-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male  
 380 mice =  $60.54 \pm 4.5$ ,  $n = 8$ ; PNCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male  
 381 mice =  $62.56 \pm 2.63$ ,  $n = 9$ ; results are expressed as mean  $\pm$  S.E.M).

382 Disruption of excitation/inhibition balance in the neocortex has been linked to altered  
 383 schizoaffective behavior in adulthood (Anticevic and Lisman, 2017; Fenton, 2015; Marín,  
 384 2016; Pati et al., 2020; Yizhar et al., 2011). We sought to address whether chronic CNO-  
 385 mediated hM4Di inhibition of CamKII $\alpha$ -positive forebrain excitatory neurons in the early  
 386 postnatal window resulted in any change in sensorimotor gating behavior in adulthood. To  
 387 measure changes in sensorimotor gating we subjected PNCNO-treated CamKII $\alpha$ -tTA::TRE-  
 388 hM4Di bigenic male mice and their respective vehicle-treated control groups to the prepulse  
 389 inhibition (PPI) paradigm (Fig. 4E). We noted no significant difference in percent prepulse

inhibition, at all prepulse tones tested above the background noise, between the PNCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice and their respective vehicle-treated controls (Fig. 4G). However, we did observe a significant increase in basal startle response in the CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice with a history of PNCNO treatment when compared to their vehicle-treated control (Fig. 4F). Collectively, these results indicate that chronic hM4Di-DREADD mediated chemogenetic inhibition of CamKII $\alpha$ -positive forebrain excitatory neurons during the early postnatal does not alter despair-like behavior on the FST or TST in adulthood, and does not result in any significant change in sensorimotor gating, but may evoke perturbed baseline startle responses in the PNCNO-treated group.

*Chronic hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -positive forebrain excitatory neurons during the juvenile window does not influence anxiety, despair or sensorimotor gating behavior in adulthood*

We examined whether chronic CNO-mediated hM4Di-DREADD inhibition of CamKII $\alpha$ -positive forebrain excitatory neurons during the juvenile window (P28-P40) alters anxiety-like behavior in adulthood. We subjected CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male mice with a history of JCNO treatment to a battery of behavioral tests commencing two months after the cessation of CNO treatment. We examined anxiety-like behavior on the OFT, EPM and LD tests. JCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male mice did not exhibit any difference in anxiety-like behavior on these behavioral tasks (Fig. 5A). On the OFT, we noted no change in the total distance travelled in the OFT arena (Fig. 5B, C), as well as in the percent time spent in the center (Fig. 5D), the percent distance travelled in the center (Fig. 5E), or in the total number of entries to the center of the OFT arena (Fig. 5F). We also observed no significant differences in behavior on the EPM (Fig 5G-M), with no change noted for the percent time spent in closed arms (Fig. 5H) or open arms

415 (Fig. 5I), as well as the percent distance travelled in closed arms (Fig 5J) or open arms (Fig  
 416 5K). The total number of entries to both the closed (Fig. 5L) and open arms (Fig. 5M) was  
 417 also unchanged across vehicles and JCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult  
 418 male mice. Behavioral measures assessed on the LD box (Fig. 5N-P) were also not altered  
 419 between vehicle and JCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male cohorts,  
 420 with no difference noted for either the percent time spent in the light box (Fig. 5O), or the  
 421 number of entries to the light box (Fig. 5P). Further, we examined whether CamKII $\alpha$ -  
 422 tTA::TRE-hM4Di bigenic adult male mice with a history of JCNO treatment differed from  
 423 their vehicle-treated controls on the FST (Fig 5Q-S) and TST. JCNO-treated CamKII $\alpha$ -  
 424 tTA::TRE-hM4Di bigenic adult male did not show any significant differences in the percent  
 425 immobility time (Fig. 5R) or the number of immobility events (Fig. 5S) on the FST. We also  
 426 noted no significant differences in the percent immobility time on the TST between JCNO-  
 427 treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male and the vehicle-treated cohort  
 428 (Percent immobility time: Vehicle-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male  
 429 mice =  $64.15 \pm 5.56$ ,  $n = 9$ ; JCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic adult male  
 430 mice =  $60.52 \pm 2.32$ ,  $n = 9$ ; results are expressed as mean  $\pm$  S.E.M). Collectively, these  
 431 results indicate that chronic hM4Di-DREADD mediated chemogenetic inhibition of  
 432 CamKII $\alpha$ -positive forebrain excitatory neurons during either the early postnatal or juvenile  
 433 window does not alter anxiety or despair-like behavior in adulthood.

434 We next sought to address whether chronic CNO-mediated hM4Di inhibition of  
 435 CamKII $\alpha$ -positive forebrain excitatory neurons in the juvenile window resulted in any change  
 436 in sensorimotor gating behavior in adulthood. To measure changes in sensorimotor gating we  
 437 subjected JCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice and their respective  
 438 vehicle-treated control groups to the prepulse inhibition (PPI) paradigm (Fig. 5T). We noted  
 439 no significant difference in basal acoustic startle (Fig. 5U) or percent prepulse inhibition (Fig.

440 5V), at all prepulse tones tested above the background noise in the JCNO-treated CamKII $\alpha$ -  
441 tTA::TRE-hM4Di bigenic male mice and their respective vehicle-treated controls . These  
442 findings indicate that chronic CNO-mediated hM4Di-DREADD inhibition of CamKII $\alpha$ -  
443 positive forebrain excitatory neurons during the juvenile window does not result in any  
444 significant change in sensorimotor gating.

445

**446 Discussion**

447 Our findings indicate that chronic hM4Di-DREADD mediated inhibition of  
448 CamKII $\alpha$ -positive forebrain excitatory neurons during the early postnatal or juvenile  
449 windows of life does not appear to influence the development of anxiety or despair-like  
450 behavior, or alter sensorimotor gating in adulthood. Preclinical studies using rodent models  
451 indicate that the first two weeks of life represent a critical period window (Baram et al.,  
452 1997), wherein the early stress of maternal separation (MS) (Benekareddy et al., 2011; De  
453 Melo et al., 2018; Kalinichev et al., 2002; Teissier et al., 2019) or pharmacological  
454 perturbations that elevate serotonin, such as postnatal selective serotonin reuptake inhibitor  
455 (SSRI) administration (Ansorge et al., 2004; Ko et al., 2014; Rebello et al., 2014; Sarkar et  
456 al., 2014; Soiza-Reilly et al., 2018), can result in the life-long programming of persistent  
457 mood-related behavioral changes. Converging evidence across diverse models of early stress  
458 has implicated perturbations in GPCR signaling during these critical periods in the  
459 establishment and eventual emergence of disrupted anxio-depressive behaviors (Benekareddy  
460 et al., 2011; Pati et al., 2020; Sarkar et al., 2014; Soiza-Reilly et al., 2018; Teissier et al.,  
461 2015; Vinkers et al., 2010a). This has led to a hypothesis that a balance between Gq and Gi-  
462 mediated GPCR signaling within neocortical brain regions during these early developmental  
463 windows may be important to shaping the development of trait anxiety and behavioral  
464 despair (Lambe et al., 2011; Tiwari et al., 2021). A recent study has shown that enhanced Gq-  
465 signaling via chemogenetic hM3Dq-DREADD mediated activation of CamKII $\alpha$ -positive  
466 forebrain excitatory neurons during the postnatal, but not the juvenile or adult, temporal  
467 windows results in long-lasting increases in anxiety and despair-like behavior, accompanied  
468 by perturbed sensorimotor gating and pre-pulse inhibition (PPI) deficits (Pati et al., 2020).  
469 While several studies have used pharmacological or genetic perturbation studies to examine  
470 the contribution of Gi-coupled GPCRs, in particular the 5-HT<sub>1A</sub> receptor (Garcia-Garcia et

al., 2014; Gross et al., 2002; Richardson-Jones et al., 2011, 2010; Sarkar et al., 2014; Vinkers et al., 2010a), during postnatal life in programming mood-related behavior, this has not been directly tested using a chemogenetic based approach to perturb Gi-signaling in CamKII $\alpha$ -positive forebrain excitatory neurons. Based on prior evidence that pharmacological blockade (Sarkar et al., 2014; Vinkers et al., 2010a) or genetic loss of function of the Gi-coupled 5-HT<sub>1A</sub> receptor results in enhanced anxiety and despair-like behavior (Gross et al., 2002; Richardson-Jones et al., 2011, 2010), a working hypothesis would suggest the possibility that enhancing Gi-signaling in CamKII $\alpha$ -positive forebrain excitatory neurons during the postnatal window might evoke a decline in anxiety and despair-like behaviors in adulthood. Prior evidence indicates that transient hM4Di-DREADD inhibition of the amygdala in infant rhesus monkeys has long-lasting effects on emotionality, with a decline noted in fear and anxiety responses (Raper et al., 2019). A study also shows that constitutive overexpression of the Gi-coupled 5-HT<sub>1A</sub> receptors (Kusserow et al., 2004) can program decreased anxiety-like behavior in adulthood. This differs from the pharmacological studies, wherein 5-HT<sub>1A</sub> receptor stimulation in the postnatal window using the agonist 8-OH-DPAT alone does not modulate anxiety-like behavior, but can increase despair-like behavior in adulthood (Ishikawa and Shiga, 2017), whereas blockade of 5-HT<sub>1A</sub> receptors in early postnatal life with the selective antagonist, WAY 100635 evokes increased anxiety-like behavior (Sarkar et al., 2014; Vinkers et al., 2010a). However, there is a paucity of literature that addresses directly whether broad alteration of Gi-signaling within forebrain neurocircuits during the postnatal temporal window contributes to the programming of altered mood-related behaviors. The results of the present study clearly demonstrate that Gi-mediated inhibition of forebrain excitatory neurons using the hM4Di-DREADD during either the postnatal (P2-P14) or juvenile (P28-P40) windows does not evoke any significant behavioral change on conflict-based tasks assessing anxiety-like behavior, namely the OFT, EPM and LD avoidance test in

adulthood. Further, we also noted no change in despair-like behavior on the TST and FST, or in sensorimotor gating behavior on the PPI in adulthood.

The expression of the hM4Di-DREADD was restricted to the neocortex and hippocampus as indicated by both western-blotting and immunofluorescence analysis, and the hM4Di-DREADD expression was restricted to CamKII $\alpha$ -positive forebrain excitatory neurons, and not observed in PV-positive inhibitory interneurons or GFAP-positive astrocytes (Fig. 1). Western blotting analysis for the neuronal activity marker c-fos indicates that as reported previously (Salvi et al., 2019; Vetere et al., 2017), treatment with the DREADD ligand, CNO results in reduced neuronal activity in the forebrain of CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice as indicated by a decline in c-fos protein levels. However, one of the caveats of using a western blotting approach to examine total c-Fos protein levels within the neocortex and hippocampus is that one loses the information of the specific classes of cells exhibiting a c-Fos reduction. While our biochemical studies do indicate a reduction in total c-Fos protein level upon CNO-mediated hM4Di DREADD stimulation, further electrophysiological and immunofluorescence studies would aid in uncovering the precise cell types targeted in the neocortex and hippocampus. We found that administration of the exogenous DREADD ligand, CNO, in the postnatal or juvenile window did not appear to alter the growth and development of animals, based on observations of no weight change in animals, as well as a normal ontogenic development of reflex behaviors in CNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic rat pups. This suggests that enhanced hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -positive forebrain excitatory neurons in postnatal life does not appear to influence the emergence of critical reflexes such as air-righting, negative geotaxis and surface righting. This is in agreement with prior studies that indicate that the DREADD agonist CNO during the postnatal window does not appear to influence the emergence of key developmental milestones (Pati et al., 2020).



521 A change in excitation-inhibition (E/I) balance during critical developmental time  
522 windows, with a shift towards enhanced excitation of forebrain pyramidal neurons and a  
523 commensurate reduction in inhibitory tone, has been posited to play a crucial role in the  
524 programming of life-long perturbations of mood-related behaviors in several  
525 neurodevelopmental disorder models (Fenton, 2015; Nelson and Valakh, 2015; Sohal and  
526 Rubenstein, 2019; Tatti et al., 2017; Yizhar et al., 2011). Indeed, hyperexcitation of Emx1-  
527 positive neurons from P4-14 in the neocortex using either a non-invasive bioluminescent  
528 chemogenetics approach (Medendorp et al., 2021) or hM3Dq-DREADD mediated activation  
529 of CamKII $\alpha$ -positive forebrain excitatory neurons from P2-P14 (Pati et al., 2020) resulted in  
530 enhanced anxiety-like behaviors and perturbed social behavior. An important experimental  
531 counterpart would be to increase inhibition in forebrain pyramidal neurons in these  
532 developmental windows, and address the influence on the emergence of mood-related  
533 behaviors. Here we provide evidence of the consequences of enhanced hM4Di-mediated  
534 DREADD inhibition of forebrain excitatory neurons on the emergence of mood-related  
535 behavior, and indicate that this perturbation does not appear to influence the development of  
536 either anxiety or despair-like behaviors in both male and female mice. However, we report  
537 clear sex differences on specific measures of anxiety and despair-like behavioral tasks in  
538 agreement with prior studies (Scholl et al., 2019), with enhanced locomotion noted in the  
539 OFT in females, accompanied by greater anxiety-like behavior on the OFT, EPM and LD box  
540 test, in the female cohorts. We also find that despite a clear decline in percent time spent in  
541 open arms of the EPM in the vehicle and PNCNO female groups, the number of entries to the  
542 open arms were significantly higher as previously reported (Knight et al., 2021). Our focus  
543 was to address whether chronic hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -positive  
544 forebrain excitatory neurons during the early postnatal window alters anxiety-like behavior  
545 and our studies indicate no significant effects of PNCNO administration despite the baseline

sex differences noted in anxiety and despair-like behavior uncovered in male and female cohorts. A prior study using viral-based targeting strategies to evoke hM4Di-mediated DREADD inhibition of neurons within the medial prefrontal cortex (mPFC) during the postnatal developmental window indicated that this perturbation enhanced anxiety and despair-like behavior in adulthood, whereas hM3Dq-mediated DREADD activation overlapping with the stress of MS, ameliorated the behavioral consequences of early stress in male mice (Teissier et al., 2019). Further, a recent report also examined the influence of chemogenetic inhibition of PFC neurons, that are transiently positive for the serotonin transporter in postnatal life, and showed that the enhanced anxio-depressive behaviors noted in adult animals with a history of postnatal SSRI exposure was exacerbated upon hM4Di-DREADD mediated inhibition of this subclass of PFC neurons in adulthood in both male and female mice. In contrast, hM3Dq-DREADD mediated activation of these PFC neurons in adulthood ameliorated the postnatal SSRI-evoked anxiety and despair-like behaviors (Soiza-Reilly et al., 2018). Studies carried out in mice targeting PFC-glutamate projection neurons using the CamKII $\alpha$  promoter to drive hM4Di-DREADD virally during postnatal life, followed by an acute treatment with CNO in adulthood indicated no behavioral changes in the FST, OFT and novelty suppressed feeding test baseline, but served to exacerbate the anxio-depressive behavioral phenotypes in mice with a history of postnatal fluoxetine treatment (Soiza-Reilly et al., 2018). It is of importance to note that the promoters used in these studies in specific cases target both excitatory and inhibitory neurons of the PFC or a subclass of raphe-projecting PFC neurons, further the use of transgenic mice versus viral-mediated gene delivery, and differences in the developmental epoch targeted and nature of experimental paradigms makes it challenging to directly compare our findings with these studies, in particular given that we targeted hM4Di-mediated DREADD expression to all

570 CamKII $\alpha$ -positive forebrain excitatory neurons and these prior studies assessed effects on a  
571 subset of PFC neurons.

572       While we observed no change in anxiety-like behavior on the OFT, EPM and LD  
573 avoidance test, we did note that PNCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male  
574 mice exhibited a higher basal acoustic startle response, although they showed no change in  
575 PPI behavior. An enhanced baseline acoustic startle response has been suggested to be  
576 reflective of enhanced anxiety-like behavior (Grillon, 2008), however we see no indication of  
577 perturbation in anxiety on any of the conflict-anxiety behavioral tasks. We cannot preclude  
578 the possibility of a developmental perturbation of acoustic sensory circuits, given the driver  
579 we have used is broad-based, and the hM4Di-DREADD would be driven in all forebrain  
580 excitatory neurons. One of the caveats of our sensorimotor gating studies is that we have  
581 restricted our PNCNO experiments to CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice in  
582 adulthood, and so we cannot preclude the possibility that there could be effects on  
583 sensorimotor gating in female mice subjected to PNCNO-mediated hM4Di-DREADD  
584 inhibition of CamKII $\alpha$ -positive forebrain excitatory neurons. Collectively, our results suggest  
585 that enhancing Gi signaling in forebrain excitatory neurons during the postnatal window does  
586 not influence the programming of anxiety and mood-related behaviors in both male and  
587 female mice, however it is vital to keep in mind that this is not the same as driving enhanced  
588 Gi signaling via a specific GPCR, such as the 5-HT<sub>1A</sub> receptor, and indeed it is possible that a  
589 more targeted approach to selectively enhance 5-HT<sub>1A</sub> receptor signaling in forebrain  
590 excitatory neurons in this developmental window could exert a role in programming changes  
591 in emotionality. It would also be of interest to address whether the hM4Di-DREADD  
592 mediated inhibition of forebrain excitatory neurons in this critical temporal window can  
593 influence performance on cognitive tasks, which has not been addressed in the present study.

594 In our study we have also addressed whether hM4Di-DREADD mediated inhibition  
595 of forebrain excitatory neurons in another critical temporal window implicated in shaping  
596 mood-related behavioral traits, namely the juvenile window, could impact anxiety and  
597 despair-like behaviors (Albrecht et al., 2017; Brydges et al., 2012, 2014; Hollis et al., 2013;  
598 Luo et al., 2014; Suri et al., 2014). Animals subjected to stress during the juvenile window  
599 exhibit increased anxiety-like behavior, show enhanced benzodiazepine sensitivity, and can  
600 establish a heightened vulnerability to adult-onset stress (Avital and Richter-Levin, 2004).  
601 Peripubertal stress also causes alterations in GABAergic neurotransmission (Tzanoulinou et  
602 al., 2014), and GAD65 haplodeficiency has been shown to be associated with resilience to  
603 juvenile stress-induced increased anxiety-like behavior, possibly due to delayed maturation  
604 of inhibitory signaling (Müller et al., 2014). Previous studies have shown that enhanced Gq-  
605 mediated signaling via a chemogenetic approach in the juvenile window does not influence  
606 anxiety, despair, or schizophrenia-like behavior (Pati et al., 2020). The present work  
607 indicated that hM4Di-DREADD inhibition of forebrain excitatory neurons during the  
608 juvenile temporal window does not appear to alter anxiety or despair-like behaviors, and does  
609 not influence sensorimotor gating in male mice. One of the lacunae of our study is that we  
610 restricted all juvenile perturbations to male mice and hence cannot comment on whether  
611 hM4Di-DREADD inhibition of forebrain excitatory neurons during the juvenile temporal  
612 window modulates anxio-depressive behaviors and sensorimotor gating in female mice.

613 Profiling of the behavioral consequences of hM4Di-DREADD inhibition of forebrain  
614 excitatory neurons in postnatal or juvenile life suggests that this perturbation does not alter  
615 the emergence of anxiety, despair and sensorimotor gating behavior on a variety of  
616 behavioral tasks in adulthood. This differs quite starkly from our recent study wherein  
617 hM3Dq-DREADD mediated activation of forebrain excitatory neurons in postnatal, but not  
618 juvenile or adult, life resulted in persistent increases in anxiety, despair and schizophrenia-

619 like behavior, accompanied by specific molecular, metabolic and functional changes in the  
620 both the neocortex and the hippocampus (Pati et al., 2020). While we do not observe any  
621 change in mood-related behaviors following hM4Di-DREADD inhibition of forebrain  
622 excitatory neurons, we cannot preclude the possibility that these animals may exhibit  
623 differential responses to a stressor experience in adulthood. Our work motivates future  
624 investigation to address in detail how perturbations in GPCR signaling within forebrain  
625 circuits during critical developmental time-windows may shape vulnerability or resilience to  
626 adult-onset stressors.

627

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837

838 **Figure legends:**

839 *Figure 1: Selective expression of hM4Di-DREADD in CamKII $\alpha$ -positive forebrain excitatory*  
840 *neurons in CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice.*

841 Shown are representative confocal images indicating expression of the HA-tagged hM4Di-  
842 DREADD in the Hippocampus (A) as identified by HA/CamKII $\alpha$  double  
843 immunofluorescence. HA-tagged hM4Di-DREADD expression was not observed in either  
844 Parvalbumin (PV)-positive inhibitory interneurons (B), or GFAP-positive astrocytes (C). HA-  
845 tagged hM4Di-DREADD in the cortex (D) was also observed in the CamKII $\alpha$ -positive  
846 neurons as identified with HA/CamKII $\alpha$  double immunofluorescence. Immunofluorescence  
847 experiments indicate the absence of expression of HA-tagged hM4Di-DREADD in  
848 subcortical brain regions, namely the periaqueductal gray (E) and pallidum (F). Shown is a  
849 schematic of the experimental paradigm for harvesting cortex and hippocampus at P7 for  
850 western blotting analysis (G). HA expression was clearly noted in the cortex (H) as well as  
851 the hippocampus (I) in western blots from CamKII $\alpha$ -tTA::TRE-hM4Di bigenic pups (P7).  
852 Shown are representative western blots for c-fos along with their respective actin loading  
853 controls at P7 half an hour post vehicle (Veh) or CNO treatment for cortex (upper panel) and  
854 hippocampus (lower panel) (J). Quantitative densitometry indicated a significant reduction in  
855 c-fos protein levels in the cortex (K) as well as hippocampus (L) of PNCNO-treated bigenic  
856 pups at P7 as compared to their vehicle-treated controls. Shown is a schematic of the  
857 experimental paradigm for harvesting cortex and hippocampus at P35 in the juvenile window  
858 for western blotting analysis (M). HA expression was noted in the cortex (N) as well as the  
859 hippocampus (O) of CamKII $\alpha$ -tTA::TRE-hM4Di bigenic juvenile mice (P35). Shown are  
860 representative western blots for c-fos along with their respective actin loading controls at P35  
861 half an hour post vehicle (Veh) or CNO treatment for cortex (upper panel) and hippocampus  
862 (lower panel) (P). Quantitative densitometry indicated a significant reduction in c-fos protein  
863 levels in the cortex (Q) but not in the hippocampus (R) of JCNO-treated bigenic mice at P35  
864 as compared with their vehicle-treated controls. All immunofluorescence experiments and  
865 western blotting experiments were performed on n = 3-5 per group. Results are expressed as  
866 the mean  $\pm$  S.E.M. \* $p$ <0.05, as compared to vehicle-treated controls using the two-tailed,  
867 unpaired Student's  $t$ -test.

868

869 *Figure 2: Influence of chronic hM4Di-DREADD-mediated inhibition of CamKII $\alpha$ -positive*  
870 *forebrain neurons in the early postnatal or juvenile windows on weight and reflex*  
871 *development.*

872 Shown is a schematic (A) for the experimental paradigm for vehicle (5% sucrose) or CNO (5  
873 mg/kg) administration in the early postnatal window (P2-P14) in CamKII $\alpha$ -tTA::TRE-hM4Di  
874 bigenic pups. Pups were assessed for weight gain across the postnatal developmental window  
875 and for reflex behaviors on postnatal days 9 and 12. No significant change was observed in  
876 the weight profile of CNO-administered pups as compared to their vehicle-treated age-  
877 matched controls across the duration of CNO treatment from postnatal day 2-14 (n = 6) (B).  
878 Reflex behaviors were not altered in PNCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic  
879 pups as compared to vehicle-treated controls at P9 or P12 as assessed by determining the  
880 number of correct landings for air righting (C), and the time taken for reorientation in both  
881 negative geotaxis (D), and surface righting (E) assays. Shown is a schematic (F) for the

882 experimental paradigm for vehicle (5% sucrose) or CNO (5 mg/kg) administration in the  
883 early juvenile window (P28-P40) to CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice. No  
884 significant change was noted in the weight profile of animals fed with CNO (5 mg/kg) once  
885 daily from P28 to P40 as compared with their vehicle-treated controls across the duration of  
886 drug treatment (n = 5-6 per group) (G). Results are expressed as mean  $\pm$  S.E.M., and groups  
887 are compared using the two-tailed, unpaired Student's *t*-test.

888

889

890 *Figure 3: Chronic hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -positive forebrain*  
891 *excitatory neurons during the early postnatal window does not influence anxiety-like*  
892 *behavior in adulthood in CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice.*

893 Shown is a schematic (A) for the experimental paradigm for vehicle (5% sucrose) or CNO (5  
894 mg/kg) administration in the early postnatal window (P2-P14) to CamKII $\alpha$ -tTA::TRE-hM4Di  
895 bigenic pups which were then assessed for anxiety-like behaviors three months post cessation  
896 of CNO treatment in adulthood (A). Shown are representative tracks for vehicle-treated (top  
897 panels) and PNCNO-treated (bottom panels) CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male and  
898 female mice in the open field arena (B). Two-way ANOVA analysis indicated a PNCNO x  
899 Sex interaction for total distance moved in the OFT arena, with post-hoc Tukey analysis  
900 revealing a significant difference between the vehicle-treated female and male CamKII $\alpha$ -  
901 tTA::TRE-hM4Di bigenic mice (C). We noted significant main effects of Sex for percent  
902 time spent in the center (D), percent distance travelled in the center (E), and total number of  
903 entries to the center of the open field arena (F); n = 10 (males), 10 (females) for vehicle and n  
904 = 12 (males), 12 (females) for PNCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice.  
905 Shown are representative tracks for vehicle-treated (top panels) and PNCNO-treated (bottom  
906 panels) CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male and female mice in the elevated plus maze  
907 (G). We noted a significant main effect of Sex for percent time in the closed (H) and open (I)  
908 arms of the plus maze, and for the number of entries to the closed (L) and open (M) arms, but  
909 not for the percent distance travelled in closed (J) or open (K) arms; n = 14 (males), 10  
910 (females) for vehicle and n = 12 (males), 12 (females) PNCNO-treated CamKII $\alpha$ -tTA::TRE-  
911 hM4Di bigenic mice. Shown are representative tracks for vehicle-treated (top panels) and  
912 PNCNO-treated (bottom panels) CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice in the light-dark  
913 box (N). No significant interaction of PNCNO x Sex was noted in the LD Box. However, we  
914 did observe a significant main effect sex in total time spent in the light box (O), and a  
915 significant main effect of PNCNO treatment on total number of entries (P) into the light  
916 chamber of the LD box; n = 14 (males), 10 (females) for vehicle and n = 12 (males), 12  
917 (females) PNCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic mice. Results are expressed  
918 as mean  $\pm$  S.E.M., and groups are compared using two-way analysis of variance (ANOVA),  
919 followed by the Tukey *post-hoc* comparison test when a significant interaction was noted  
920 between PNCNO x Sex ( $*p < 0.05$ ). Main effects of sex are indicated as  $^{\$}p < 0.05$ , and of CNO  
921 treatment are indicated as  $^{\textcircled{a}}p < 0.05$ .

922



923 *Figure 4: Chronic chemogenetic inhibition of CamKII $\alpha$ -positive forebrain excitatory neurons*  
924 *during the early postnatal window does not influence despair-like behaviour or sensorimotor*  
925 *gating responses in adult mice.*

926 Shown is a schematic for the experimental paradigm for vehicle (5% sucrose) or CNO (5  
927 mg/kg) administration in the early postnatal window (P2-P14) to CamKII $\alpha$ -tTA::TRE-hM4Di  
928 bigenic pups (A) which were then assessed for despair-like behavior in adulthood using the  
929 forced swim test (FST), and sensorimotor gating responses (Prepulse inhibition (PPI)).  
930 Shown is a schematic for the FST tank (B). We observed no significant PNCNO x Sex  
931 interactions for either the percent immobility time (C), or the total number of immobility  
932 events (D) (n = 14 (males), 10 (females) for vehicle and n = 12 (males), 10 (females). We did  
933 not note a significant main effect of Sex for the number of immobility events (D). Shown is a  
934 schematic for the protocol used for prepulse Inhibition (PPI) to assess sensorimotor gating  
935 responses in adult male mice (E). PPI testing was carried out as described in Materials and  
936 Methods with basal startle determined following habituation, and PPI determined for + 4 dB  
937 (69 dB), + 8 dB (73 dB), and + 16 dB (81 dB) above background noise (65 dB), followed by  
938 exposure to 120 dB for final startle. PNCNO-treated adult CamKII $\alpha$ -tTA::TRE-hM4Di  
939 bigenic male mice show a significant increase in basal startle response (F) as compared to  
940 vehicle-treated controls. No significant differences were noted in sensorimotor gating  
941 between vehicle and PNCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice (G) (n  
942 = 10 per group). Results were subjected to two-way analysis of variance (ANOVA), followed  
943 by the Tukey *post-hoc* comparisons test for experiments with four treatment groups (main  
944 effects of sex are indicated as  $^{\$}p < 0.05$ ), and by the two-tailed, unpaired Student's *t*-test for  
945 experiments with two treatment groups. Results are expressed as mean  $\pm$  S.E.M.;  $*p < 0.05$  as  
946 compared to the vehicle-treated controls.

947

948 *Figure 5: Chronic hM4Di-DREADD mediated inhibition of CamKII $\alpha$ -positive forebrain*  
949 *excitatory neurons during the juvenile window does not influence anxiety, despair or*  
950 *sensorimotor gating behavior in adulthood in CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice.*

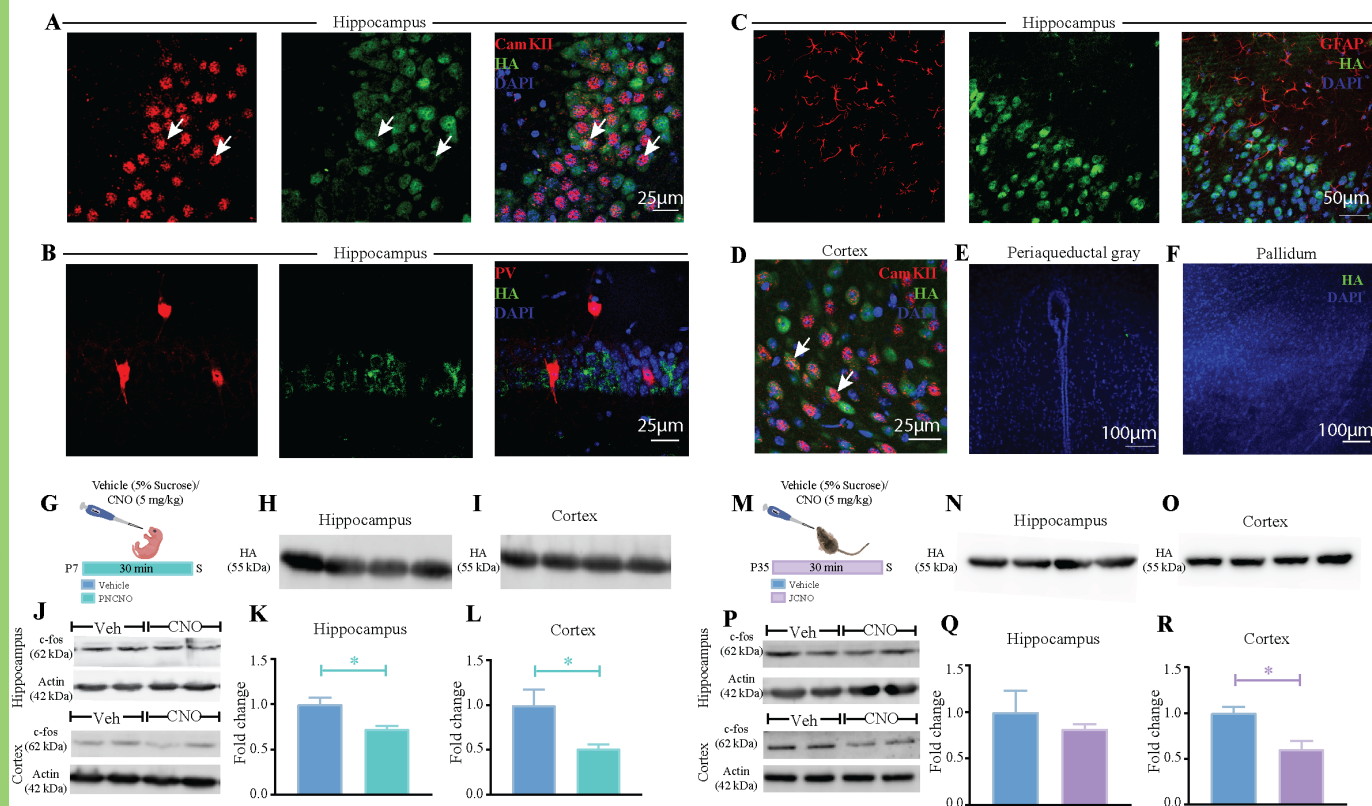
951 Shown is a schematic for the experimental paradigm for vehicle (5% sucrose) or CNO (5  
952 mg/kg) administration in the juvenile window (P28-P40) to CamKII $\alpha$ -tTA::TRE-hM4Di  
953 bigenic mice, which were then assessed for anxiety-like behaviors two months post cessation  
954 of CNO treatment in adulthood in the male cohort (A). Shown are representative tracks for  
955 vehicle-treated (top panel) and JCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice  
956 (bottom panel) in the open field arena (B). No significant difference was noted between  
957 vehicle and JCNO-treated male mice in the total distance travelled in the arena (C), percent  
958 time spent in the center (D), percent distance travelled in the center (E) or total number of  
959 entries to the center of the open field arena (F) (n = 18 for vehicle and n = 16 for JCNO-  
960 treated male mice). Shown are representative tracks for vehicle-treated (top panel) and  
961 JCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice (bottom panel) in the elevated  
962 plus maze (G). No significant difference was observed between vehicle and JCNO-treated  
963 male mice in percent time spent in the closed (H) or open (I) arms of the EPM, as well as  
964 percent distance travelled in closed (J) or open (K) arms, and for the number of entries to the  
965 closed (L) or open (M) arms (n = 18 for vehicle and n = 16 for JCNO male mice). Shown are  
966 representative tracks for vehicle-treated (top panel) and JCNO-treated CamKII $\alpha$ -tTA::TRE-

967 hM4Di bigenic male mice (bottom panel) in the light-dark box (N). No significant difference  
968 was noted between vehicle and JCNO-treated male mice in either total time spent (O), or total  
969 number of entries (P) into the light chamber of the LD box ( $n = 18$  for vehicle and  $n = 16$  for  
970 JCNO-treated male mice). Shown is a schematic for the FST tank (Q). No significant  
971 difference was noted between vehicle and PNCNO-treated male mice for the percent  
972 immobility time (R), or the total number of immobility events (S) ( $n = 11$  for vehicle and  $n =$   
973  $11$  for JCNO-treated male mice). Shown is a schematic for the protocol used for prepulse  
974 Inhibition (PPI) to assess sensorimotor gating responses in adult male mice (T). PPI testing  
975 was carried out as described in Materials and Methods with basal startle determined  
976 following habituation, and PPI determined for + 4 dB (69 dB), + 8 dB (73 dB), and + 16 dB  
977 (81 dB) above background noise (65 dB), followed by exposure to 120 dB for final startle.  
978 Basal startle response in JCNO-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice was  
979 unaltered as compared to vehicle-treated CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male controls  
980 (U). CamKII $\alpha$ -tTA::TRE-hM4Di bigenic male mice with a history of JCNO treatment did not  
981 show any change in PPI as compared to the vehicle-treated controls (V) ( $n = 10$  for vehicle  
982 and  $n = 9$  for JCNO male mice). Results are expressed as mean  $\pm$  S.E.M., and groups are  
983 compared using the two-tailed, unpaired Student's *t*-test.

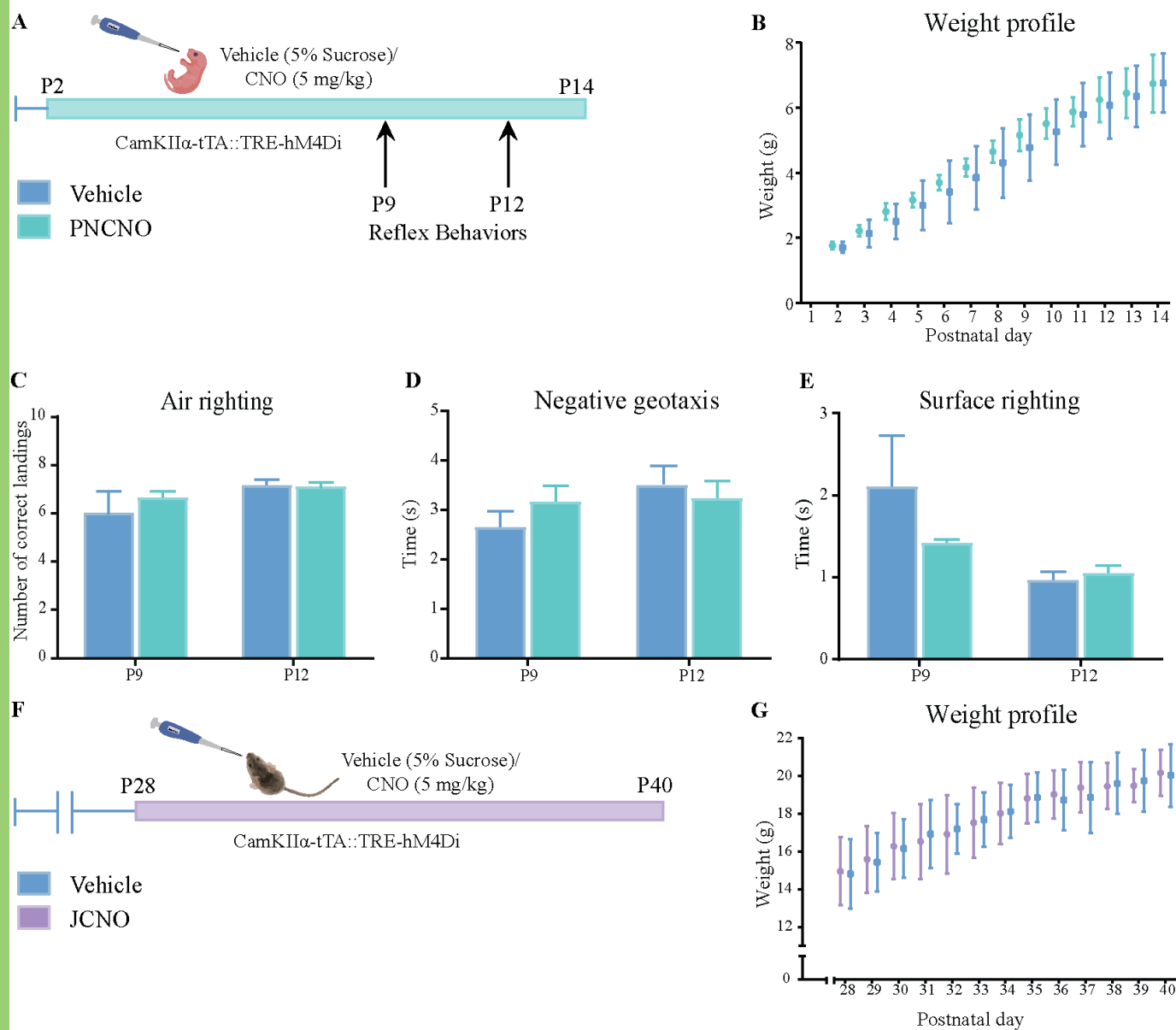
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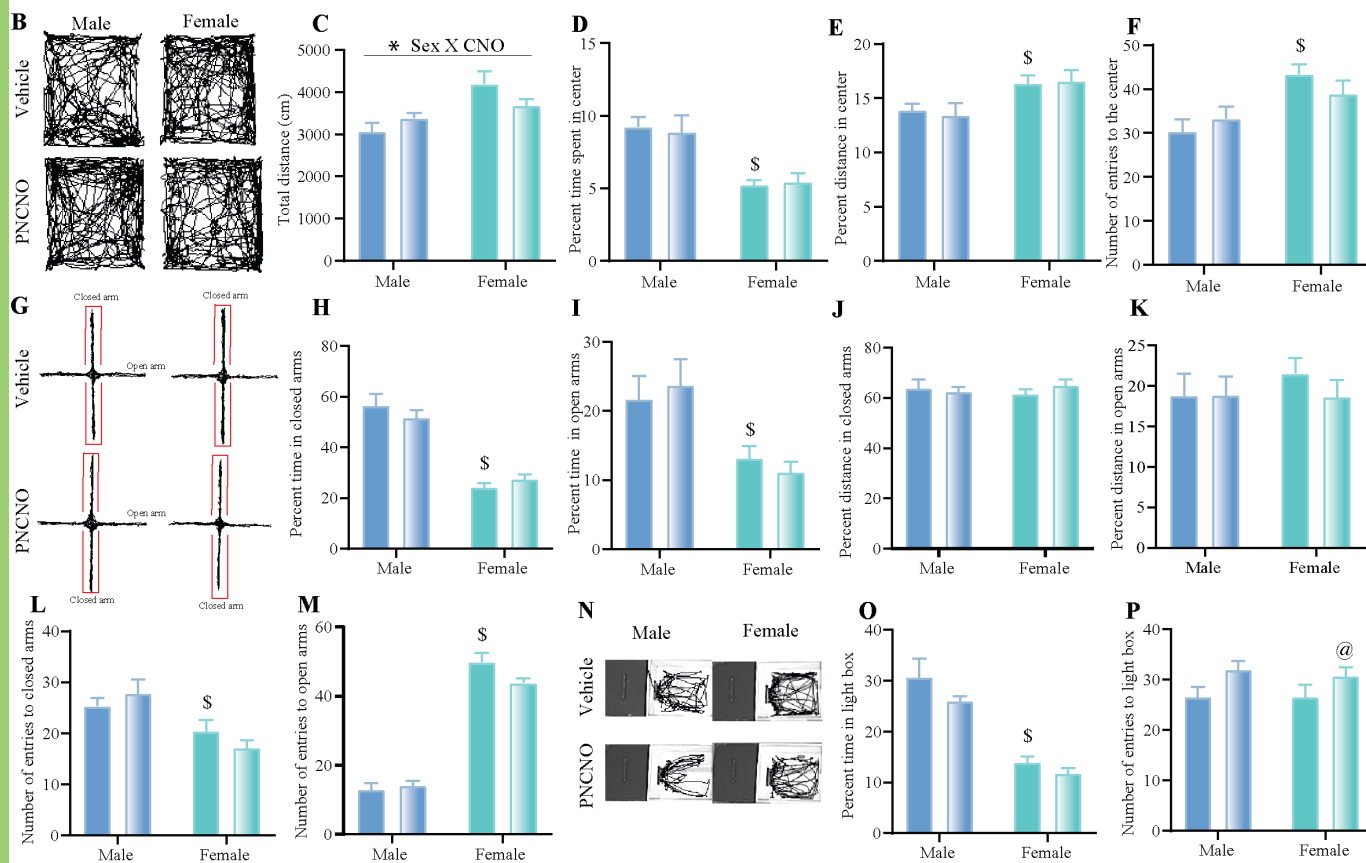
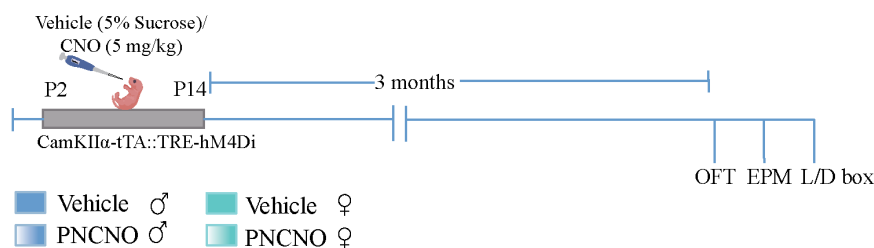
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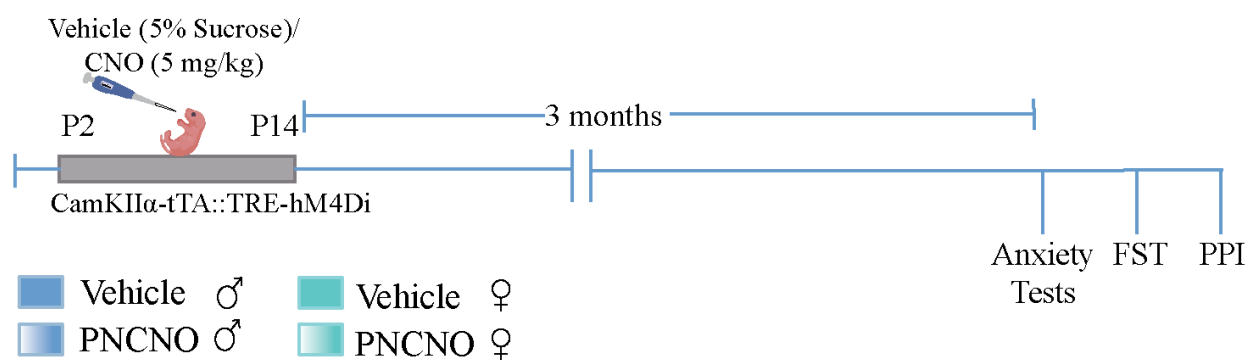




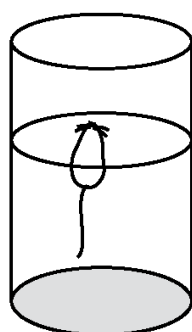
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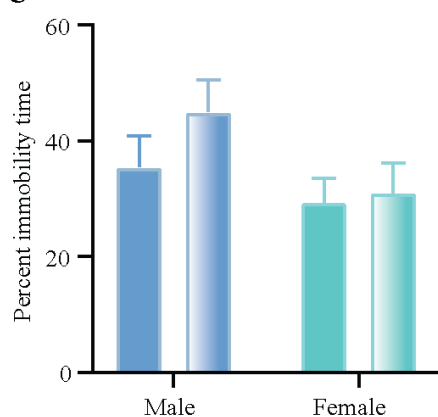
**A**



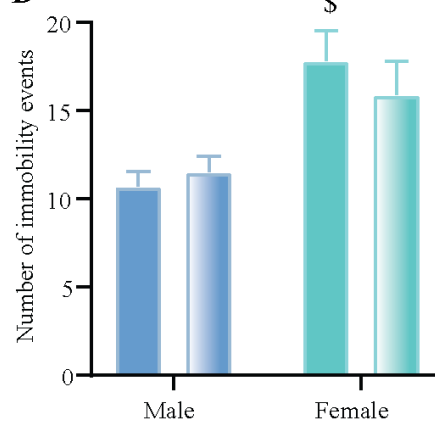
**B**



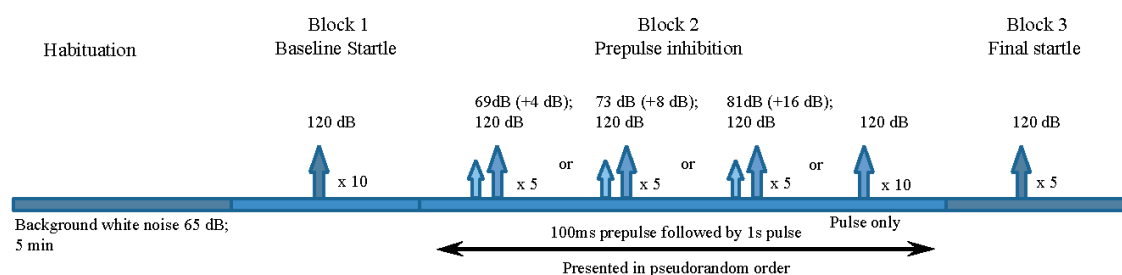
**C**



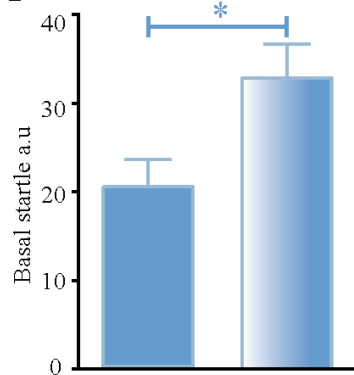
**D**



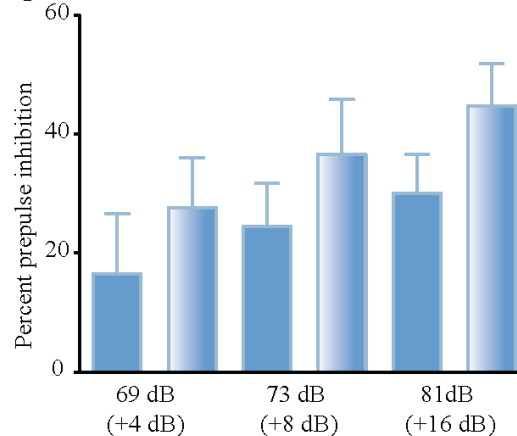
**E**



**F**



**G**



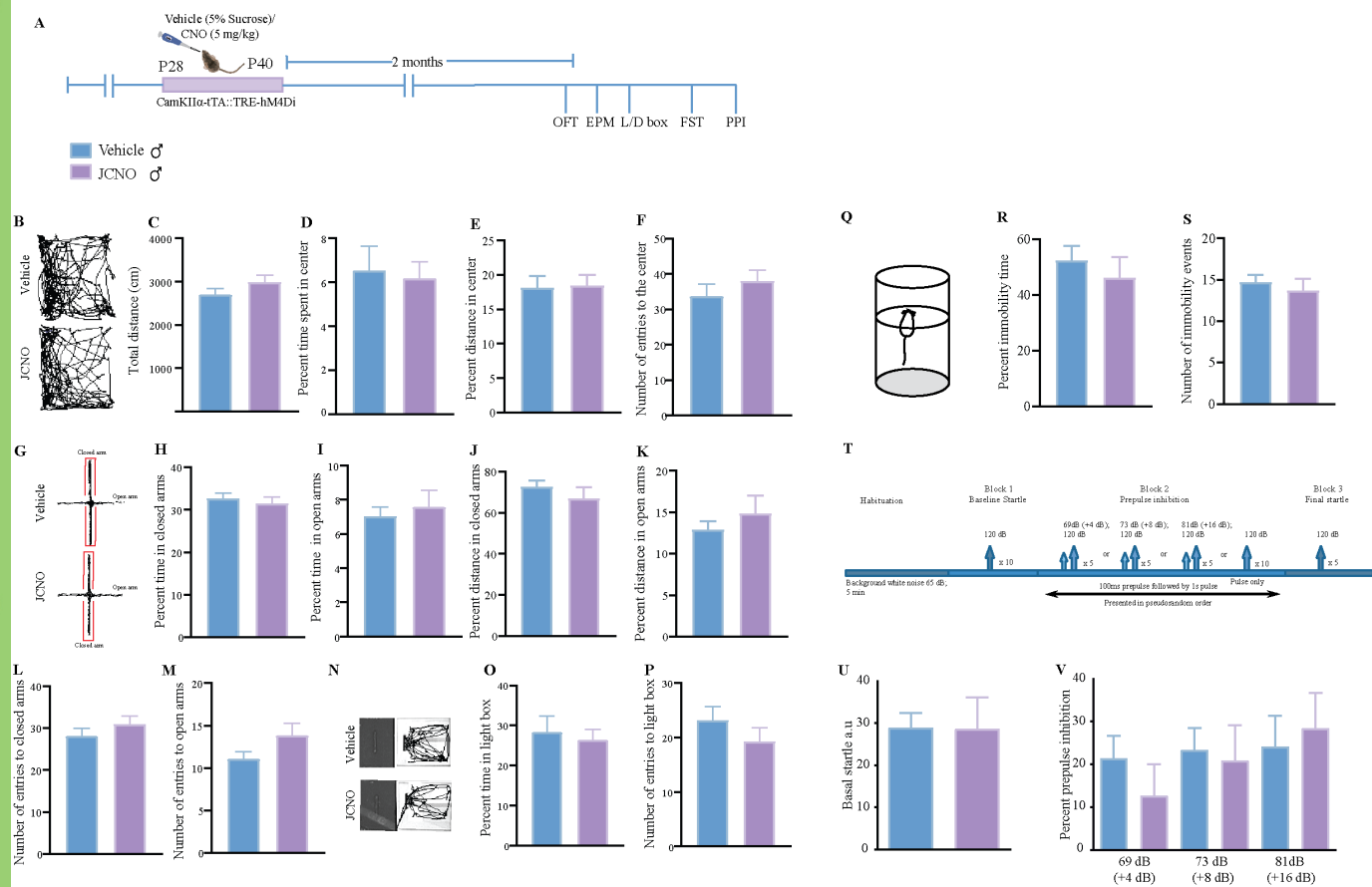


Figure number	Type of test	<i>p</i> -value	t statistics	F value
1 K	Unpaired t-test	<b>0.034</b>	2.905	
1 L	Unpaired t-test	<b>0.027</b>	3.084	
1 Q	Unpaired t-test with Welch correction	0.513	0.775	
1 R	Unpaired t-test	<b>0.018</b>	3.458	
2 B	Unpaired t-test/ Unpaired t-test with Welch correction	P2=0.502 , P3=0.663, P4=0.242, P5=0.635, P6=0.5449, P7=0.472.	P2=0.699 , P3=0.449, P4=1.244, P5=0.501, P6=0.655, P7=0.770.	
2 C	Unpaired t-test/ Unpaired t-test with Welch correction	P9=0.539 , P12= 0.877	P9=0.655 , P12=0.160	
2 D	Unpaired t-test/ Unpaired t-test with Welch correction	P9=0.276 , P12= 0.615	P9=1.159, P12=0.521	

<b>2 E</b>	Unpaired t-test/ Unpaired t-test with Welch correction	P9=0.315 , P12= 0.528	P9=1.115 , P12=0.657	
<b>2 G</b>	Unpaired t-test	P28=0.909 , P29=0.884, P30=0.907, P31=0.744, P32=0.823, P33=0.873.	P28=0.118, P29=0.15, P30=0.12, P31=0.337, P32=0.232, P33=0.164.	
<b>3 C</b>	Two-way ANOVA	0.044		4.318
<b>3 D</b>	Two-way ANOVA	0.714		0.136
<b>3 E</b>	Two-way ANOVA	0.735		0.116
<b>3 F</b>	Two-way ANOVA	0.1883		1.792
<b>3 H</b>	Two-way ANOVA	0.212		1.599
<b>3 I</b>	Two-way ANOVA	0.494		0.475

<b>3 J</b>	Two-way ANOVA	0.393		0.742
<b>3 K</b>	Two-way ANOVA	0.537		0.386
<b>3 L</b>	Two-way ANOVA	0.171		1.932
<b>3 M</b>	Two-way ANOVA	0.074		3.339
<b>3 O</b>	Two-way ANOVA	0.609		0.2652
<b>3 P</b>	Two-way ANOVA	0.753		0.1001
<b>4 C</b>	Two-way ANOVA	0.457		0.563
<b>4 D</b>	Two-way ANOVA	0.321		1.009

<b>4 F</b>	Unpaired t-test	<b>0.018</b>	2.596	
<b>4 G</b>	Unpaired t-test	69dB (+4)=0.401, 73 dB (+8)=0.310, 81 dB (+16)=0.138	69dB (+4)=0.86, 73 dB (+8)=1.044, 81 dB (+16)=1.554	
<b>5 C</b>	Unpaired t-test	0.176	1.385	
<b>5 D</b>	Unpaired t-test	0.7861	0.274	
<b>5 E</b>	Unpaired t-test			
<b>5 F</b>	Unpaired t-test	0.355	0.939	
<b>5 H</b>	Unpaired t-test	0.518	0.653	
<b>5 I</b>	Unpaired t-test with Welch correction	0.624	0.497	



<b>5 J</b>	Unpaired t-test with Welch correction	0.354	0.947	
<b>5 K</b>	Unpaired t-test with Welch correction	0.425	0.814	
<b>5 L</b>	Unpaired t-test	0.282	1.094	
<b>5 M</b>	Unpaired t-test with Welch correction	0.102	1.701	
<b>5 O</b>	Unpaired t-test with Welch correction	0.691	0.401	
<b>5 P</b>	Unpaired t-test	0.26	1.147	
<b>5 R</b>	Unpaired t-test	0.495	0.695	
<b>5 S</b>	Unpaired t-test	0.553	0.603	

<b>5 U</b>	Unpaired t-test	0.968	0.04	
<b>5 V</b>	Unpaired t-test	69dB (+4)=0.333, 73 dB (+8)=0.798, 81 dB (+16)=0.698	69dB (+4)=0.994, 73 dB (+8)=0.26, 81 dB (+16)=0.394	

DFd	n (Vehicle)	n (CNO)
	4	3
	3	4
	3	5
	4	3
	P2=5, P3=6, P4=6, P5=6, P6=5, P7=6, P8=6, P9=6, P10=6, P11=6, P12=6, P13=6.	P2=6, P3=6, P4=6, P5=6, P6=6, P7=6, P8=6, P9=6, P10=6, P11=6, P12=6, P13=6.
	P9=6, P12=5	P9=6, P12=5
	P9=6, P12=5	P9=6, P12=5

	P9=6, P12=5	P9=6, P12=5
	P28=5, P29=6, P30=6, P31=5, P32=4, P33=5, P34=5, P35=5, P36=5, P37=4, P38=5, P39=5.	P28=5, P29=5, P30=5, P31=6, P32=5, P33=6, P34=6, P35=5, P36=6, P37=6, P38=6, P39=6.
40	10,10	12,12
40	10,10	12,12
40	10,10	12,12
40	10,10	12,12
45	14,10	12,12
45	14,10	12,12

45	14,10	12,12
45	14,10	12,12
45	14,10	12,12
45	14,10	12,12
42	14,10	12,12
42	14,10	12,12
42	14,10	12,10
42	14,10	12,10

	10	10
	10, 10, 10	10, 10, 10
	18	16
	18	16
	18	16
	18	16
	18	16

	18	16
	18	16
	18	16
	18	16
	18	16
	18	16
	11	11
	11	11

	11	9
	11, 11, 11	9, 9, 9