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## **Altered cerebellar response to somatosensory stimuli in the *Cntnap2* mouse model of autism**

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63

64 **Altered cerebellar response to somatosensory stimuli in the *Cntnap2* mouse**  
65 **model of autism**

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78

79 **Abstract**

80 Atypical sensory processing is currently included within the diagnostic criteria of autism. The  
81 cerebellum is known to integrate sensory inputs of different modalities through its connectivity  
82 to the cerebral cortex. Interestingly, cerebellar malformations are among the most replicated  
83 features found in postmortem brain of individuals with autism. We studied sensory processing in  
84 the cerebellum in a mouse model of autism, knockout for the *Cntnap2* gene. *Cntnap2* is widely  
85 expressed in Purkinje cells and has been recently reported to regulate their morphology. Further,  
86 individuals with *CNTNAP2* mutations display cerebellar malformations and *CNTNAP2*  
87 antibodies are associated with a mild form of cerebellar ataxia. Previous studies in the *Cntnap2*  
88 mouse model show an altered cerebellar sensory learning. However, a physiological analysis of  
89 cerebellar function has not been performed yet. We studied sensory evoked potentials in  
90 cerebellar Crus I/II region upon electrical stimulation of the whisker pad in alert mice and found  
91 striking differences between WT and *Cntnap2* KO mice. In addition, single-cell recordings  
92 identified alterations in both sensory-evoked and spontaneous firing patterns of Purkinje cells.  
93 These changes were accompanied by altered intrinsic properties and morphological features of  
94 these neurons. Together, these results indicate that the *Cntnap2* mouse model could provide  
95 novel insight into the pathophysiological mechanisms of autism core sensory deficits.

96 **Significance Statement**

97 Atypical sensory processing is currently included within the diagnostic criteria of autism. The  
98 cerebellum is known to integrate sensory inputs of different modalities through its connectivity  
99 to the cerebral cortex. In support of this, cerebellar malformations are among the most replicated  
100 features found in postmortem brain of individuals with autism. One autism-linked gene  
101 associated to cerebellar dysfunction both in humans and animal models is CNTNAP2. In this  
102 work, we studied cerebellar integration of sensory information in the Cntnap2 mouse model of  
103 autism. We found striking differences between WT and Cntnap2 KO mice that indicate an  
104 altered cerebro-cerebellar connection. In addition, single-cell recordings identified alterations in  
105 both sensory-evoked and spontaneous firing patterns of Purkinje cells. These alterations were  
106 accompanied by altered intrinsic properties and morphological features of these neurons.  
107 Although the mechanism of such deficits is not revealed, these data indicate that the Cntnap2  
108 mouse model could be very valuable to identify the pathophysiological mechanisms of ASD core  
109 sensory deficits.

110

## 111 Introduction

112 Atypical sensory processing is currently included within the diagnostic criteria of autism  
113 spectrum disorder (ASD) (APA, 2013). The cerebellum is known to play a role in integration of  
114 different sensory modalities (e.g. hearing, sight, touch and smell) through its connectivity to the  
115 cerebral cortex (Proville et al., 2014). Interestingly, cerebellar malformations are among the most  
116 replicated features found in postmortem brain of individuals with autism (Wang et al., 2014) and  
117 alterations in functional connectivity between the cerebellum and cortical sensory areas have  
118 been found through fMRI (Lidstone et al., 2021).

119 Loss of function mutations in the *CNTNAP2* gene are associated with a syndromic form of  
120 autism that presents with cerebellar abnormalities, including hypoplasia of the cerebellar vermis  
121 and hemispheres (Rodenas-Cuadrado et al., 2016). Further, autism-linked common genetic  
122 variation in *CNTNAP2* has been associated with reduction in cerebellar grey matter volume, as  
123 determined by MRI (Tan et al., 2010). Incidentally, *CNTNAP2* antibodies have been identified  
124 in sera from patients with otherwise unexplained progressive cerebellar ataxia with mild to  
125 severe cerebellar atrophy (Becker et al., 2012; Melzer et al., 2012), supporting the role of this  
126 gene in cerebellar development and function. *CNTNAP2* is widely expressed in the cerebellum  
127 (Gordon et al., 2016), and it has been recently reported to regulate Purkinje cell (PC)  
128 morphology (Argent et al., 2020). In mice, loss of *Cntnap2* function causes autism-like behaviors  
129 (Penagarikano et al., 2011), as well as several sensory abnormalities such as hypersensitivity to  
130 painful stimuli (Dawes et al., 2018) and alterations in auditory (Scott et al., 2018) as well as  
131 olfactory behaviors (Gordon et al., 2016). Neuroanatomical analysis of this model shows an  
132 alteration in cerebellar volume, as measured by structural MRI (Ellegood and Crawley, 2015).  
133 Classical tests measuring cerebellar function report improved performance in the accelerating  
134 rotarod (Penagarikano et al., 2011), unstable gait (Argent et al., 2020) and cerebellar sensory  
135 learning defects (Kloth et al., 2015). However, a physiological analysis of cerebellar function has  
136 not been performed yet. In this work, we studied sensory processing in the cerebellum in alert  
137 *Cntnap2* mice. We discovered alterations in the firing patterns of PC both spontaneous as well as  
138 in the evoked response to sensory stimuli. This alteration was accompanied by an increased  
139 excitability of PC and reduced dendritic complexity in these neurons. Together, these results

140 provide novel insight into the pathophysiological mechanisms by which CNTNAP2 mutations  
141 cause impairments in cerebellar function that may contribute to ASD core deficits.

142

## 143 **Materials and methods**

### 144 **Animals**

145 Adult (8-10 weeks) male mutant mice lacking the *Cntnap2* gene (Cntnap2-KO) and age-matched  
146 wild-type controls (C57BL/6 background) were purchased from The Jackson Laboratory (Bar  
147 Harbor, ME, USA). Animals were housed 4-5 per cage on a 12-12 h light/dark cycle, at 21-23°C  
148 and 65-70% humidity. Food and water were provided *ad libitum*. Animal maintenance and  
149 experimental procedures were executed following the guidelines of animal care established by  
150 the European Communities Council Directive 2010/63/EU, as well as in agreement with the  
151 Spanish Legislation (Royal Decree 53/2013). Procedures were also approved by the Ethics  
152 Committee for Animal Welfare (CEBA) of the University of the Basque Country (UPV/EHU)  
153 and the Pablo de Olavide University (UPO).

154

### 155 ***In vivo* electrophysiology**

156 We followed the procedures described in Sánchez-León et al. (Sanchez-Leon et al., 2021).  
157 Briefly, stereotaxic surgery was performed to open a craniotomy (2mm  $\phi$ ) following the Allen  
158 Brain Atlas coordinates for the right Crus I/II area (AP: -6,6 mm; and L: -2,6 mm, relative to  
159 Bregma). During surgery, two small bolts were cemented in the skull to immobilize the head  
160 during the recording sessions, and a silver reference electrode was placed on the surface of the  
161 parietal cortex. The surface of the craniotomy was protected with bone wax (Ethicon, Johnson &  
162 Johnson) until recording sessions. After the surgery, mice were allowed to recover for at least  
163 two days. For *in vivo* recordings, the animal's head was fixed to the recording setup, consisting  
164 of a treadmill with an infrared sensor for monitoring locomotor activity. All experiments were  
165 carried out with an amplifier (BVC-700A, Dagan corporation, MN, USA) connected to a dual  
166 extracellular-intracellular headstage (8024 Dual Intracellular & Extracellular Headstage, MN,  
167 USA). For SEP recordings we used a micropipette with a tip diameter between 8-10  $\mu$ m,  
168 subsequently the pipette was filled with 3 M NaCl and placed on a micromanipulator (Narishige  
169 MO-10, Japan). Whisker stimulation was performed with a pair of flexible steel electrodes  
170 (Strand  $\phi$ : 50.8  $\mu$ m; Coated  $\phi$ : 228.6  $\mu$ m; Multi-Stranded PFA-Coated Stainless Steel Wire, A-M

171 Systems, WA, USA) inserter under the skin of the right whisker pad. The electrical stimulus  
172 consisted of a single square pulse (0.2 ms; 0.5-1 mA) delivered by an isolation unit (Cibertec  
173 ISU 210 BIP) connected to a stimulator device (CS420, Cibertec), applied every  $10 \pm 2$  s. For  
174 single-Purkinje cell activity, a micropipette with a tip diameter around 1-2  $\mu\text{m}$  was filled with 3  
175 M NaCl and placed on a micromanipulator (Narishige MO-10, Japan). The pipette was inserted  
176 in the area of interest at  $\sim 2$   $\mu\text{m/s}$  and spikes were detected based on visual (2002C and 2004C,  
177 Tektronix, OR., USA) and auditory cues (Audio monitor 3300, A-M Systems, WA., USA).

178 Data were collected with a CED micro1401-3 data acquisition unit and sampled at 25 kHz. SEP  
179 analysis was performed with EEGLAB rev.14.1.2 toolbox using the Matlab 2015a software  
180 package. Recorded data were segmented into 70 ms windows using the electrical stimulation as  
181 trigger and baseline was corrected by subtracting the mean voltage level in the first 20 ms  
182 interval of the window (before whisker stimulus). Data were averaged for each genotype to  
183 obtain the average SEP and temporal periods were statistically compared. For single-cell  
184 recording analysis, only well isolated neurons recorded during at least 100s were considered. A  
185 DC remove process (time constant (s): 0,001-0,0004) was applied to reduce DC level drifts, and  
186 spikes were detected based on threshold-crossing algorithm of Spike2 software. All spikes were  
187 visually confirmed and Purkinje cells were identified by the presence of CS. Subsequently, SS  
188 and CS of each neuron were analyzed using a Matlab custom-made script. The Predominant  
189 Firing Rate represent the mode of the firing rate, the Frequency of the firing rate that appears  
190 most often. The Coefficient of variation CV and CV2, as a measure of firing regularity, were  
191 calculated following the formulas described in Holt et al. (Holt et al., 1996)  $CV = \frac{\sigma ISI}{\mu ISI}$ , where ISI  
192 represents the inter-spike interval.  $CV2 = \frac{2|ISI_{n+1} - ISI_n|}{(ISI_{n+1} + ISI_n)}$ . The Complex Spikes were sorted  
193 manually offline for the duration of the recording.

194

### 195 ***Ex vivo* electrophysiology**

196 Mice were anesthetized with isoflurane and decapitated. The brain was rapidly submerged in ice-  
197 cold cutting solution containing (in mM): 20 NaCl, 2.5 KCl, 0.5  $\text{CaCl}_2$ , 7  $\text{MgCl}_2$ , 1.25  $\text{NaH}_2\text{PO}_4$ ,  
198 85 sucrose, 25 D-glucose and 60  $\text{NaHCO}_3$ , saturated with 95%  $\text{O}_2$ /5%  $\text{CO}_2$  (carbogen). The  
199 cerebellum was separated from the rest of the brain and the right part cut and glued to the



200 cutting-dish. Parasagittal slices (250  $\mu\text{m}$  thick) containing the Crus I area were prepared using a  
201 Campden Ci 7000smz-2 vibroslicer. Immediately upon cutting, slices were submerged in an  
202 artificial cerebrospinal fluid (aCSF) containing (in mM): 126 NaCl, 2.5 KCl, 1.2  $\text{MgCl}_2$ , 2.4  
203  $\text{CaCl}_2$ , 1.2  $\text{NaH}_2\text{PO}_4$ , 11.1 D-glucose, 21.4  $\text{NaHCO}_3$ , 0.1 ascorbic acid and 0.4 kynurenic acid,  
204 bubbled with carbogen at room temperature (22-24  $^\circ\text{C}$ ), and left to recover for at least 1 h. Slices  
205 were constantly perfused with aCSF at 33 $^\circ\text{C}$ . PCs were visualized using infrared differential  
206 interference contrast light (Olympus BX52WI). Patch pipettes pulled from thin borosilicate  
207 capillary glass (World Precision Instruments) with a Sutter P-97 horizontal puller had a  
208 resistance of 3–5  $\text{M}\Omega$ . Internal solution contained (in mM): 115 K-gluconate, 10 HEPES, 11  
209 EGTA, 2  $\text{MgCl}_2$ , 10 NaCl, 2 MgATP, 0.25  $\text{Na}_2\text{GTP}$  and biocytin (5 mg/ml, B4261 Sigma-  
210 Aldrich), pH 7.3, adjusted with NaOH; osmolality  $\pm$  275 mOsm.

211

212 Intrinsic properties were studied in whole-cell voltage-clamp and in current-clamp mode by  
213 injecting a hyperpolarizing bias current (less than -500 pA) to hold membrane potential between  
214 -60 and -65 mV and keep the neuron silenced during the rheobase study. Membrane potentials  
215 were not corrected for the liquid junction potential between intra and external solution (-12.7  
216 mV). Neurons in which holding current was greater than -500 pA and experiments in which  
217 access resistance was higher than 16  $\text{M}\Omega$  were discarded and not included for analysis. Signals  
218 from the patch pipette were recorded with a MultiClamp 700B amplifier, digitized at 10–20 kHz  
219 and low-pass filtered at 2–5 kHz with a Digidata 1440A analog-to-digital converter and analyzed  
220 off-line using Clampfit 10.7 software (Molecular Devices). Intrinsic excitability was determined  
221 in response to increasing depolarizing current pulses (+75 pA) of 750 ms duration injected from  
222 hyperpolarized holding currents. Rheobase was registered for each neuron as the net depolarizing  
223 current capable of inducing the first action potential (AP). PCs have been described to have  
224 different integrative properties making that neurons with similar resistances tend to respond with  
225 different firing frequencies to current pulses of similar amplitude and duration (Llinas and  
226 Sugimori, 1980). In order to facilitate the comparison between firing frequency curves, rheobase  
227 was normalized to zero and only the instantaneous frequencies between the first six APs were  
228 analyzed. AP threshold for each neuron was calculated for the first spike as the voltage where  
229  $dV/dt$  reaches 5% of the AP maximal rise slope.

230

231 **Neuroanatomy**

232 PCs were filled during patch-clamp recordings with biocytin (Sigma) via passive diffusion.  
233 Filled slices were fixed in 4% paraformaldehyde in PBS for 24h at 4°C and incubated with Alexa  
234 555/488-Streptavidin (1/500) for 48h at 4°C. Subsequently the slices were washed with PBS and  
235 mounted using Prolong Antifade Gold mounting medium (Invitrogen).

236

237 Super-resolution images were acquired using a confocal LSM880 Fast Airyscan microscope  
238 using a 25x/0.8 water (for dendrite analysis) and a 63x/0.4 oil (voxel size 49 x 49 x 211 nm, for  
239 spine analysis) Plan-Apochromat objectives. Sholl analysis and spine quantification were  
240 performed using Fiji imageJ software. For Sholl, the number of intersections of the dendritic  
241 arbour with concentric circles drawn at 5  $\mu$ m intervals from the soma was counted. Spines were  
242 manually counted along a 10  $\mu$ m distal dendrite.

243

244 **Statistical analysis**

245 Data are displayed through the graphs as mean  $\pm$  S.E.M. Statistical analyses were performed  
246 using Matlab (Math Works Inc.) and Prism (GraphPad). For comparisons between groups a  
247 Student's *t* test or two-way ANOVA with repeated measures, when appropriate, were used, as  
248 indicated in each figure legend. The significance threshold was set at  $P=0.05$  (ns=not significant,  
249 \* $P<0.05$ , \*\* $P<0.01$ , \*\*\* $P<0.001$ ). Effect sizes as denoted by mean differences (MD) are  
250 displayed on the right of the graphs, when appropriate. Estimation statistics based on 95%  
251 confidence interval (CI) of the mean difference is shown. Plots were performed with  
252 <https://www.estimationstats.com>. Artistic figures were created with Biorender.

253

254 **Results**

255

256 **Spontaneous *in vivo* Purkinje cell activity is altered in *Cntnap2*<sup>-/-</sup> mice**

257

258 We first performed extracellular recordings of spontaneous PC activity in the Crus I/II area of  
259 awake animals (**Figure 1A**). Crus I/II was selected because of its functional relationship with  
260 sensory whisker inputs (Gao et al., 1996) and its association with autism (Skefos et al., 2014;  
261 D'Mello et al., 2016; Wang et al., 2020). PC present two types of firing patterns: simple spikes

262 (SS) and complex spikes (CS), generated by distinct inputs (parallel fibers and climbing fibers,  
263 respectively) and distinguished by their particular waveforms. Despite their different origin  
264 interactions between the two types of spikes have been described, such that CS firing is thought  
265 to modulate SS activity (Tang et al., 2017). We found a lower CS firing frequency in *Cntnap2*  
266 KO mice compared with WT controls (**Figure 1B**). The firing frequency of SS (**Figure 1C**), as  
267 well as the predominant, or preferred, SS firing frequency (**Figure 1D**) were not different  
268 between genotypes. However, *Cntnap2* KO mice show a higher irregularity in the temporal  
269 firing pattern of SS (**Figure 1E**), as measured by the coefficient of variation (CV) of the inter-  
270 spike intervals. Such difference is not observed when adjacent inter-spike intervals of  
271 presumably burst firing are considered, denoted by  $CV_2$  (**Figure 1F**).

272

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275

**Figure 1. *Cntnap2* mice show altered *in vivo* spontaneous activity of Purkinje cells.** (A) Schematic  
276 illustration of an extracellular PC recording and a representative trace displaying simple spikes (SS) and complex  
277 spikes (CS). (B) CS firing rate, MD -0.259 [95.0% CI -0.426, -0.0951], Student's *t* test  $P=0.0054$ . (C) SS firing  
278 rate, MD 3.68 [95% CI -8.55, 19.0], Student's *t* test  $P=0.656$ . (D) SS predominant firing rate, MD 14.7 [95.0%  
279 CI -15.4, 37.9], Student's *t* test  $P=0.31$ . (E) Coefficient of variation (CV) of the inter-spike intervals for SS, MD  
280 0.138 [95.0% CI 0.0422, 0.266], Student's *t* test  $P=0.0242$ . (F) Coefficient of variation for adjacent inter-spike  
281 intervals ( $CV_2$ ), MD 0.0439 [95.0% CI -0.0117, 0.103], Student's *t* test  $P=0.153$ . Data are presented as mean  $\pm$   
282 S.E.M. N=14 WT, 15 KO neurons. MD= mean difference (KO-WT); CI, confidence interval. \* $P<0.05$ ,  
283 \*\* $P<0.01$ .

284 **Purkinje cell responses to sensory-evoked stimuli are altered in *Cntnap2*<sup>-/-</sup> mice**

285

286 To characterize PC activity in response to somatosensory stimuli, we recorded the Local Field  
287 Potential (LFP) near the PC layer from the cerebellar Crus I/II area after subcutaneous electrical  
288 stimulation of the ipsilateral whisker pad in alert mice (**Figure 2A**). This protocol induces a  
289 similar but more reproducible response than tactile whisker stimulation (Marquez-Ruiz and  
290 Cheron, 2012).

291 Concordant with what was previously described (Marquez-Ruiz and Cheron, 2012), in wild-type  
292 (WT) mice this electrical stimulation evoked a highly reproducible sensory evoked potential  
293 (SEP) with two main negative components appearing at around 4 and 12 ms ( $3.94 \pm 0.13$  ms and  
294  $12.87 \pm 0.40$  ms), corresponding to trigeminal (T) and cortical (C) pathways, respectively.  
295 Strikingly, the waveform of SEP in *Cntnap2* knockout (KO) mice was notably different, showing  
296 the expected T wave ( $4.10 \pm 0.15$  ms) and a novel negative peak at around 6ms ( $6.46 \pm 0.14$  ms) in  
297 the absence of the expected C wave at 12 ms (**Figure 2B**). To determine the basis for the altered  
298 SEP response observed in *Cntnap2*<sup>-/-</sup> mice, we then recorded unitary PC upon whisker  
299 stimulation. Previous studies have correlated the appearance of a SS burst with the above-  
300 mentioned T component, whereas CS occurred at post-stimulation latencies concordant with the  
301 C component (Mostofi et al., 2010; Marquez-Ruiz and Cheron, 2012). In agreement with this, we  
302 found that the probability for a CS to fire around 13 ms (12.87ms) after the stimulus onset in WT  
303 mice is coincident with the C wave observed in the SEP. In *Cntnap2*<sup>-/-</sup> mice, however, the bigger  
304 probability for a CS to appear takes place at 6.46 ms, matching the latency of the novel peak  
305 observed in the corresponding SEP (**Figure 2C**). As for SS firing, in both genotypes we  
306 observed a slight decrease in SS firing probability just after the CS occurrence, between 15-18  
307 ms for WT and 6-10 ms for KO mice, but these differences were not significant. Although the  
308 precise origin of this novel peak needs to be elucidated, the data suggest that the cerebellar  
309 representation of cortical input is anticipated in *Cntnap2*<sup>-/-</sup> mice.

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315 **Figure 2. Altered cerebellar LFP after electrical stimulation of the whisker pad in *Cntnap2* KOs.** (A)  
316 Schematic illustration representing the LFP recording. (B) Event-related potential (ERP) analysis comparing the

317 average SEP traces for 9 KO (red trace) and 6 WT mice (black trace). Vertical pink bars indicate statistically  
318 different latencies, corresponding to the cortical peak (C) in WT at  $12.87 \pm 0.40$  ms, which is absent in KOs, who  
319 show a statistically significant novel negative peak, presumably an anticipated cortical response, at  $6.46 \pm 0.14$  ms.  
320 Student's t-test ( $P < 0.05$ ). (C) Temporal firing pattern for SS (left) and CS (right) in WT (N= 6 neurons, black) and  
321 KO (N= 10 neurons, red) mice after electrical stimulation. Note that CS appear at post-stimulation latencies  
322 concordant with the C component in WT, while they appear earlier in KO mice, likely indicating an anticipated C  
323 response at 6 ms. Two-way ANOVA, Holm-Sidak test for multiple comparisons ( $P < 0.0001$ ). The graphs represent  
324 the mean  $\pm$  S.E.M (discontinuous or shaded lines).

325

326

327

328

### 329 **Increased Purkinje cell intrinsic excitability in *Cntnap2* KO mice**

330 To assess if alterations in the intrinsic properties of PC could be responsible for the aberrant  
331 firing found during spontaneous or evoked activity, we performed whole cell patch-clamp  
332 recordings of PC from the Crus I/II area in sagittal cerebellar slices. No differences were found  
333 in passive membrane properties (resting potential, input resistance, membrane capacitance)  
334 between WT and *Cntnap2* KO mice (**Figure 3A**). Similarly, no differences in action potential  
335 (AP) threshold were found between genotypes (**Figure 3B**). The injected current needed to  
336 evoke the first action potential (rheobase) was smaller in *Cntnap2* KOs (**Figure 3C**), suggesting  
337 increased excitability. To specifically study PC intrinsic excitability, we measured the neuronal  
338 firing frequency elicited by depolarizing current steps of increasing amplitude. Both genotypes  
339 showed a linear current/frequency increase, as described for PC (Llinas and Sugimori, 1980;  
340 Fernandez et al., 2007) but, as expected based on their smaller rheobase, PC in *Cntnap2* KO  
341 mice fired at a higher frequency for the same injected current, indicating higher excitability  
342 (**Figure 3D**). The slopes of the individual current/frequency lines of the neurons for each  
343 genotype were also analyzed showing that specifically a subgroup of neurons seem to be driving  
344 the observed excitability effects.

345

### 346 **Reduced dendritic complexity of Purkinje cells in *Cntnap2* mice**

347

348 Recently, *Cntnap2* has been reported to modulate the development of PC (Argent et al., 2020).

349 Further, we previously demonstrated that *Cntnap2* KO mice show defects in spine stabilization

350 in the cerebral cortex (Gdalyahu et al., 2015). To determine whether the electrophysiological  
351 alterations observed in PC in *Cntnap2* KOs are associated with morphological changes, we  
352 characterized PC morphology in the patched neurons by biocytin staining (**Figure 4A**). We  
353 found that the overall length of the cells is significantly smaller in *Cntnap2* mice (**Figure 4B**).  
354 Sholl analysis of dendritic complexity demonstrated that PC of KO mice not only are smaller but  
355 also have a less complex dendritic arbor (**Figure 4C**). Last, no statistically significant differences  
356 in spine density were found between genotypes (**Figure 4D**).

357

358

359

360 **Figure 3. Increased intrinsic excitability of Purkinje cells in *Cntnap2* KOs.** (A) Representative action  
361 potential from WT and KO mice. No significant differences were found in passive membrane properties  
362 between WT and KO PC. Resting potential MD 0.6720 [95.0% CI -3.7, 5.15], Student *t* test  $P=0.778$ . Input  
363 resistance MD 0.263 [95.0% CI -25.5, 20.1], Student *t* test  $P=0.986$ . Capacitance MD -13.22 [95.0% CI -76.5,  
364 46.7], Student *t* test  $P=0.691$  (B) Action potential (AP) threshold MD -2.180 [95.0% CI -8.12, 3.88], Student *t*  
365 test  $P=0.499$ . (C) Rheobase MD -205 [95.0% CI -382, -36.4], Student *t* test  $P=0.034$ . (D) Intrinsic excitability  
366 as a measurement of firing frequency upon current step increases (left) and individual slopes of the  
367 frequency/intensity lines (right). Two-way ANOVA mixed effect (interaction genotype x current  $P=0.03$ ),  
368 slopes MD 0.0679 [95.0% CI 0.00631, 0.163], Student *t* test  $P=0.12$ . All data are presented as mean  $\pm$  S.E.M.  
369 N= 11WT, 13 KO neurons. MD= mean difference (KO-WT); CI, confidence interval. \* $P<0.05$ . Membrane  
370 potentials are not corrected for the liquid junction potential between intra and external solution (-12.7 mV).

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378 **Figure 4. Reduced arborization of Purkinje cells in *Cntnap2* KOs.** (A) Representation of Sholl analysis  
379 applied to a PC. (B) PC length from soma to the most apical point, MD -61.89 [95.0% CI -89.6, -35.7],  
380 Student's *t* test  $P=0.0002$ . N=14 WT, 15KO (C) Number of intersections as a function of their distance  
381 from the soma. Two-Way ANOVA with repeated measures. N=11 WT, 16 KO. (D) Spine density MD  
382 0.246 [95.0% CI -0.0533, 0.532], Student's *t* test  $P=0.117$ . N= 20 WT, 18 KO. Data are presented as mean  
383  $\pm$  S.E.M. MD= mean difference (KO-WT); CI, confidence interval. \*\* $P<0.01$ , \*\*\* $P<0.001$ .

384

386 **Discussion**

387 There is growing evidence for the involvement of the cerebellum in ASD through its role in  
388 integrating sensory neural signals. In this work, we investigated sensory processing in the  
389 cerebellum *in vivo* in the *Cntnap2* mouse model of autism, an autism-linked gene involved in  
390 cerebellar development and function. We used a well-characterized paradigm to study cerebellar  
391 processing of sensory function, where the evoked activity of PC, the sole output of the  
392 cerebellum, is analyzed upon whisker stimulation (Cheron et al., 2013). Whisker-related sensory  
393 information is conveyed to the cerebellar area Crus I/II, which has been widely associated with  
394 ASD (Fernandez et al., 2019), making it an ideal area of study. We found that the evoked  
395 response of PC to sensory stimuli (electrical stimulation of the whisker pad) was strikingly  
396 different between WT and *Cntnap2* KO mice, as denoted by the generated SEP. Whisker  
397 information reaches the cerebellum via two routes, the pontine nuclei-parallel fiber pathway and  
398 the inferior olive-climbing fiber pathway (Kleinfeld et al., 1999), generating the two distinct  
399 types of firing that characterize PC: SS and CS, respectively. Individual analysis of each type of  
400 spike upon stimulation revealed that the timing of appearance of the stimulus-evoked CS,  
401 anticipated in *Cntnap2* KOs, was driving the observed differences in SEP, indicating an altered  
402 processing of climbing fiber inputs. In agreement with this, dysfunction of the olivocerebellar  
403 circuit in *Cntnap2* mice was proposed as responsible for the decreased response probability  
404 shown by this model in the eye-blink conditioning test, another paradigm widely used to study  
405 cerebellar function (Kloth et al., 2015). The appearance of the stimulus-evoked CS has been  
406 shown to be modulated by activity from the somatosensory cortex, since the suppression of S1  
407 activity abolishes CS appearance upon tactile stimulation (Shimuta et al., 2020). Further,  
408 diminishing S1 activity lengthens and enhancing it shortens CS appearance latency (Brown and  
409 Bower, 2002). These data would suggest that increased activity of S1 could be responsible for  
410 the observed CS anticipation in the *Cntnap2* model. In fact, decreased inhibitory markers and  
411 increased excitation/inhibition ratio leading to higher cortical sensory gain have been described  
412 in *Cntnap2* mice (Penagarikano et al., 2011; Antoine et al., 2019), which could account for the  
413 observed deficits.

414

415 CS have been recently shown to control the information encoded by SS activity (Streng et al.,  
416 2017) in a way that a dynamic relationship between CS and SS is necessary for the initiation of

417 sensory-driven behavior (Tsutsumi et al., 2020). We observed reduced spontaneous CS firing  
418 frequency as well as increased irregularity in the SS timing, despite normal SS firing frequency.  
419 The rhythmicity of PC inter-spike intervals is thought to affect the transmission of information to  
420 downstream neurons. It is worth noting that increased coefficient of variation has been reported  
421 in other animal models of autism with cerebellar dysfunction including *CACNA1A* (Damaj et al.,  
422 2015; Jayabal et al., 2016), *CAMK2B* (van Woerden et al., 2009; Kury et al., 2017) and  
423 *KCNMA1* (Laumonnier et al., 2006; Chen et al., 2010), being the last two also associated with a  
424 reduced CS firing frequency. In the adult stage, each PC receives strong excitatory inputs  
425 through a single climbing fiber from the inferior olive, which innervates several PCs, generating  
426 CS activity. As *CNTNAP2* has been shown to be expressed not only in PCs, but also in inferior  
427 olivary neurons (Kloth et al., 2015), alterations in these neurons could likely change the baseline  
428 firing rate of CS and could in turn affect the regularity of SS.

429

430 Given the observed spontaneous PC firing in vivo, the observation of increased PC excitability  
431 and reduced arborization in *Cntnap2* KO mice was unexpected. It must be noted that normal PC  
432 arborization was described for this same model (Kloth et al., 2015). One possible explanation for  
433 this discrepancy is methodological differences (Golgi stain vs. neuronal filling) or more likely a  
434 different cerebellar area was analyzed. In fact, it has been recently shown that the cerebellar  
435 cortex is non-uniform and that several features of PCs, including activity levels and dendritic  
436 arborization develop differentially based on the specific cerebellar area where they are located  
437 (Beekhof et al., 2021). On the other hand, voltage-gated potassium (Kv) channels, such as Kv3.3,  
438 has been involved in regulating CS. *CNTNAP2* is known to cluster Kv channels, including  
439 *KCNA1* (Kv1.1), *KCNA2* (Kv1.2) (Poliak et al., 1999). Reduced expression of such channels  
440 was observed in hippocampal tissue resected from epileptic patients harboring *CNTNAP2*  
441 mutations (Strauss et al., 2006). Whether the observed alterations in PC excitability are due to  
442 reduced expression or mislocalization of Kv channels and its contribution to circuit dysfunction  
443 remains to be investigated.

444 In summary, we report an altered cerebellar response to evoked sensory stimuli in alert *Cntnap2*  
445 mice. This alteration is found in conjunction with neuroanatomical and electrophysiological  
446 dysfunction of PC. This mouse model, therefore, provides a valuable tool to study the basis for  
447 the altered processing of sensory information observed in ASD.



448

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458

459 **Competing interests**

460 The authors declare no competing interests.

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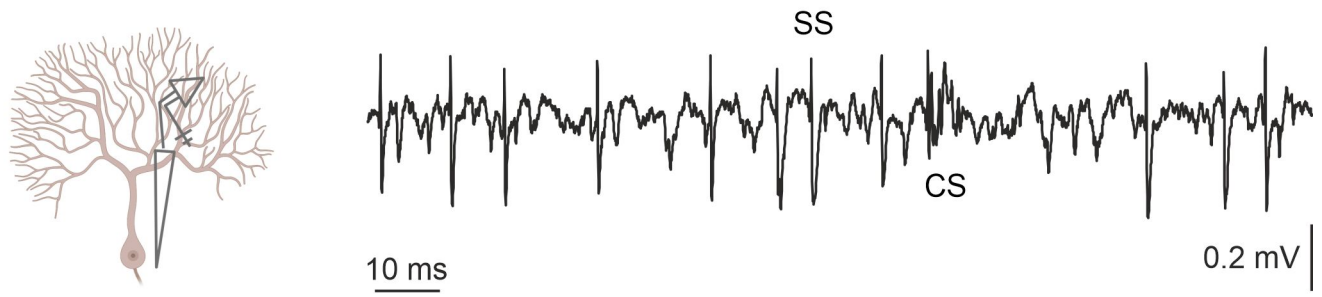
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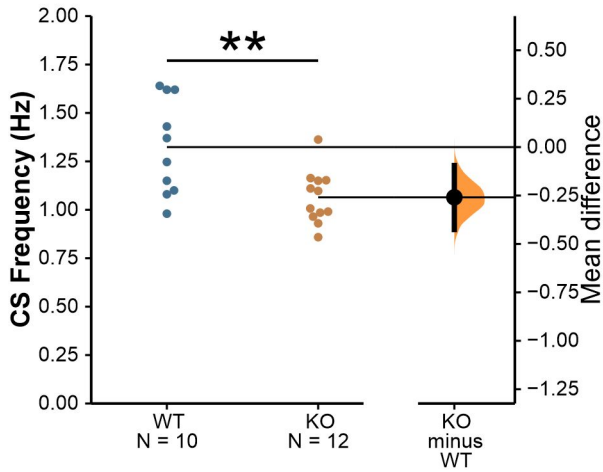
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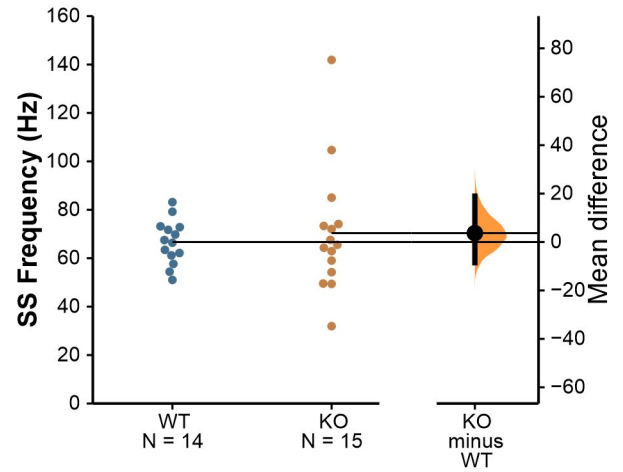
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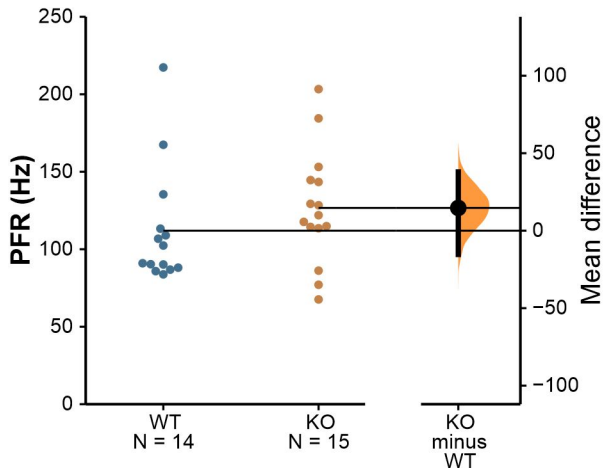
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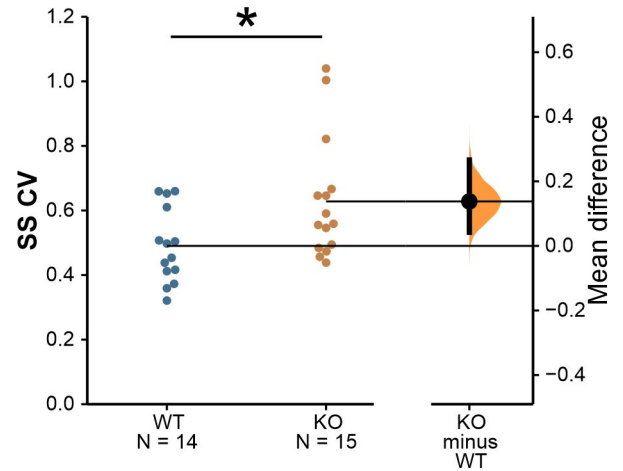
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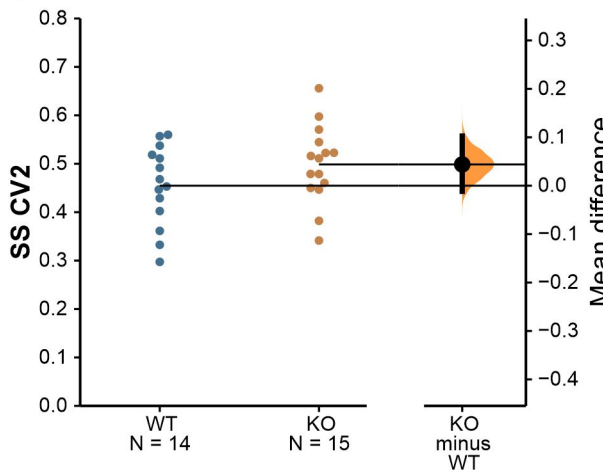
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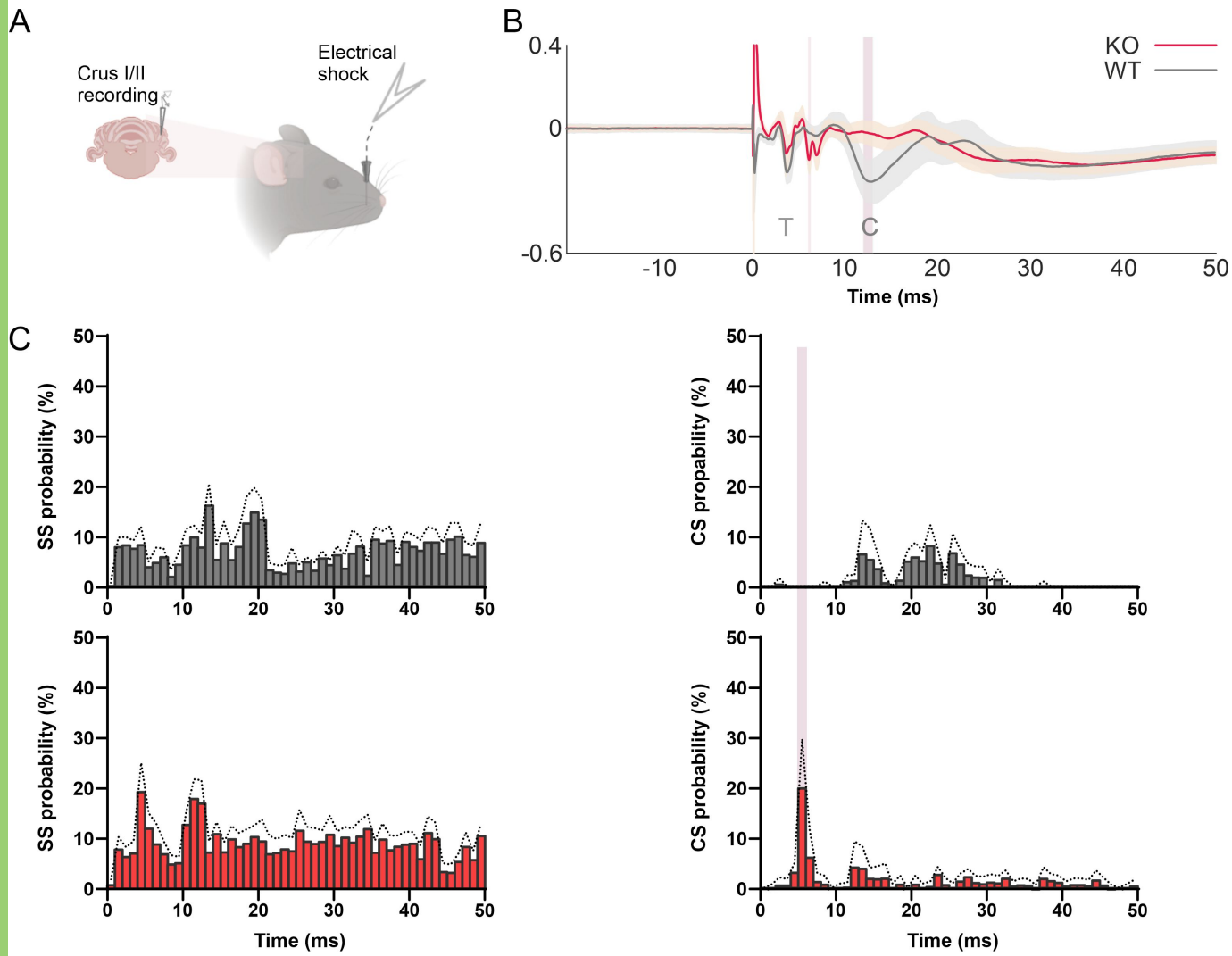


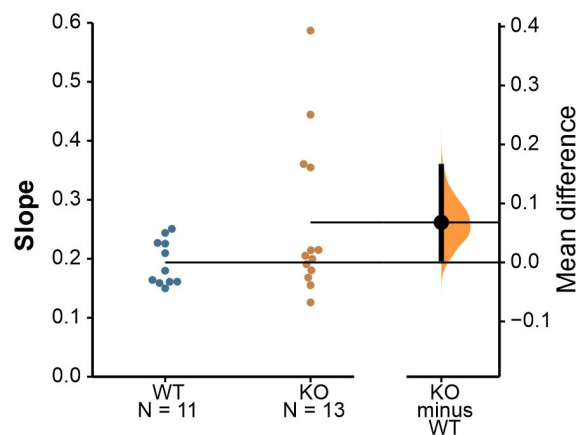
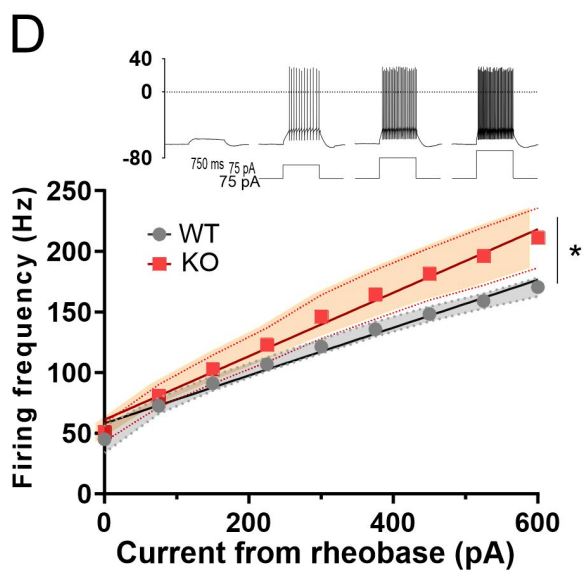
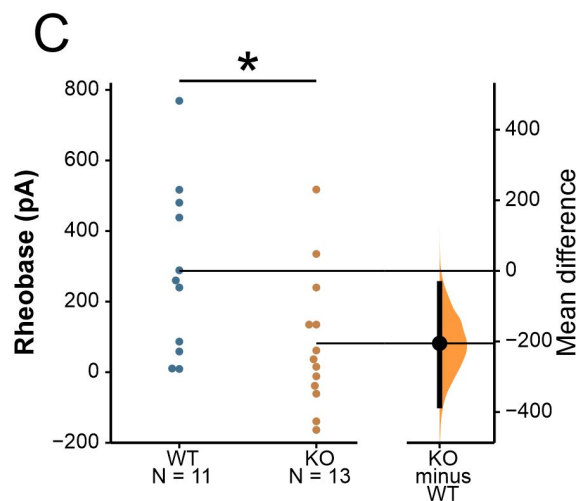
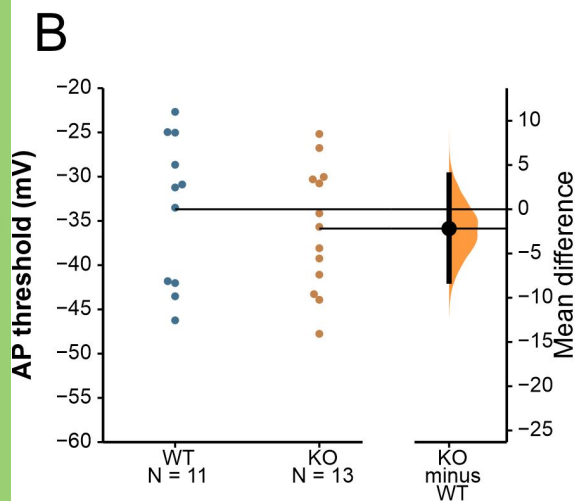
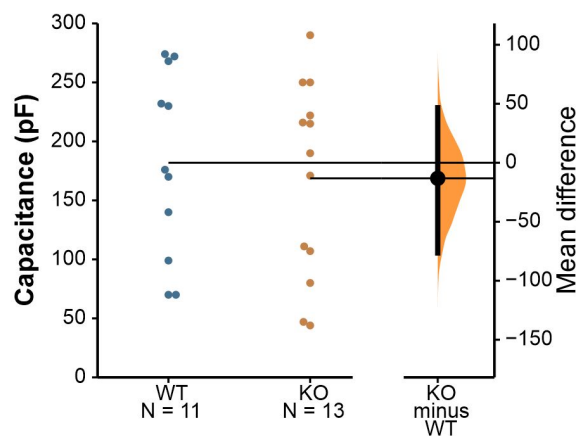
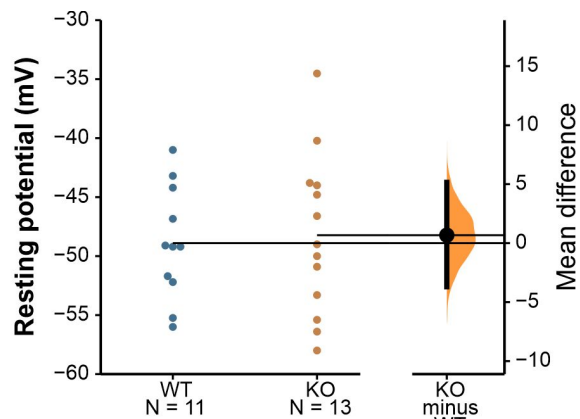
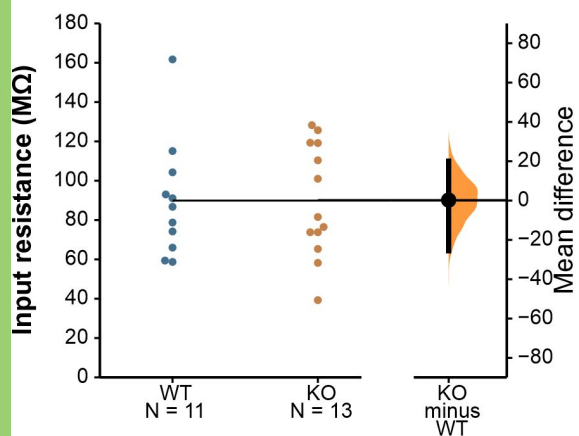
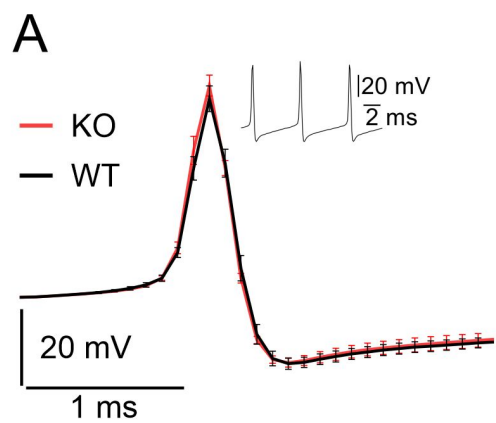
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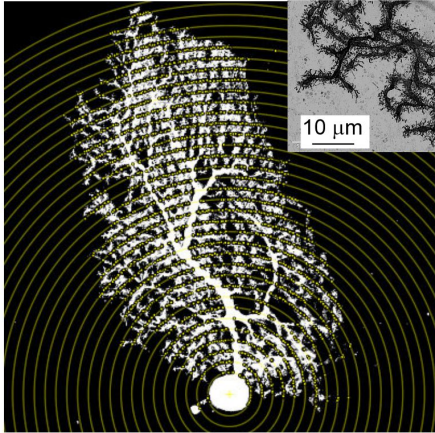
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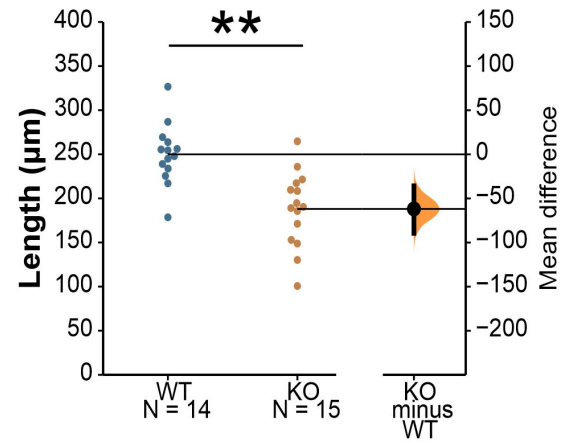




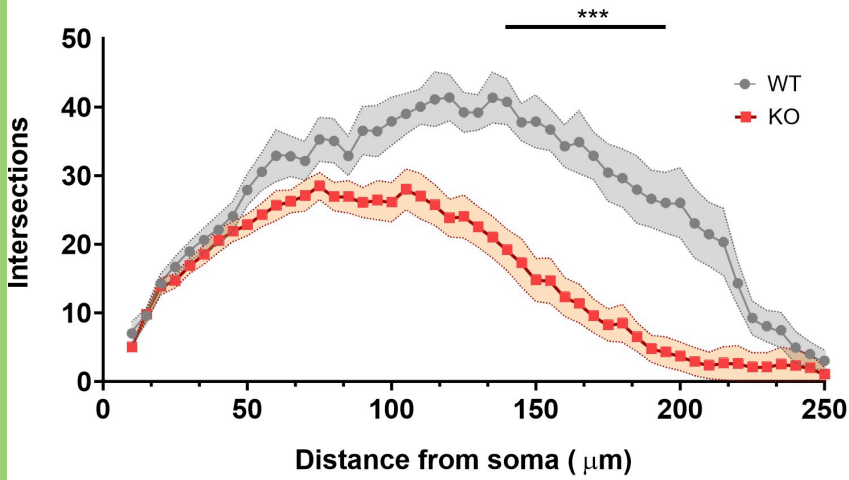
A



B



C



D

