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Retrograde labeling illuminates distinct topographical organization of D1 and D2 receptor-positive neurons in the prefrontal cortex of mice

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1 **Retrograde labeling illuminates distinct topographical organization of D1 and**
 2 **D2 receptor-positive neurons in the prefrontal cortex of mice.**

3

4 **1. Manuscript Title (50 word maximum)**

5 Retrograde labeling illuminates distinct topographical organization of D1 and D2 receptor-positive neurons
 6 in the prefrontal cortex of mice.

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8 Topographical pattern of D1R+ and D2R+ PFC neurons

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15 Performed research, Contributed unpublished reagents/ analytic tools, Analyzed data, Wrote the paper.

16 Example: CS and JS Designed Research; MG and GT Performed Research; JS Wrote the paper

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62 **ABSTRACT**

63 The cortex plays an important role in regulating motivation and cognition, and does so by
64 regulating multiple subcortical brain circuits. Glutamatergic pyramidal neurons in the prefrontal
65 cortex (PFC) are topographically organized in different subregions such as the prelimbic,
66 infralimbic and orbitofrontal, and project to topographically-organized subcortical target regions.
67 Dopamine D1 and D2 receptors are expressed on glutamatergic pyramidal neurons in the PFC.
68 However, it is unclear whether D1 and D2 receptor-expressing pyramidal neurons in the PFC
69 are also topographically organized. We used a retrograde adeno-associated virus (AAVRG)-
70 based approach to illuminate the topographical organization of D1 and D2 receptor-expressing
71 neurons, projecting to distinct striatal and midbrain subregions. Our experiments reveal that
72 AAVRG injection in the nucleus accumbens (NAcc) or dorsal striatum (dSTR) of D1Cre mice
73 labeled distinct neuronal subpopulations in medial orbitofrontal or prelimbic PFC, respectively.
74 However, AAVRG injection in NAcc or dSTR of D2Cre mice labeled medial orbitofrontal, but not
75 medial prelimbic PFC, respectively. Additionally, D2R+ but not D1R+ PFC neurons were labeled
76 upon injection of AAVRG in substantia nigra pars compacta (SNpc). Thus, our data are the first
77 to highlight a unique dopamine receptor-specific topographical pattern in the PFC, which could
78 have profound implications for corticostriatal signaling in the basal ganglia.

79

80 **SIGNIFICANCE STATEMENT**

81 Cortical dopamine is critical for motivation and cognition, and its dysfunction is implicated in
82 multiple psychiatric disorders. Cortical dopamine mediates its effects through dopamine
83 receptors expressed on neurons that connect to multiple brain regions. Our data highlight that
84 dopamine receptor-expressing neurons in the cortex are more organized than previously
85 thought. Dopamine receptor expressing cortical neurons are organized in distinct subcircuits
86 that project to different target brain regions. Our findings will help us better understand the
87 regional and global effects of cortical dopamine and its receptors, and how these discrete
88 pathways regulate distinct dopamine-dependent functions such as reward, movement and
89 cognition.

90

91 **INTRODUCTION**

92 Dopamine regulates normal processes such as motivation, reinforcement-based
93 learning, reward and movement (Palmiter, 2008; Schultz, 2002), and its dysfunction is
94 implicated in many psychiatric and neurological disorders such as schizophrenia, Parkinson's
95 disease, obsessive compulsive disorder, attention deficit hyperactivity disorder (ADHD), and
96 addiction (Abi-Dargham, 2018; Robinson et al., 2006; Sulzer, 2011; Yager et al., 2015; Zhai et
97 al., 2018).

98 The striatum is the main input center of the basal ganglia, receiving input from cortical,
99 thalamic, limbic and dopaminergic nuclei (Ikemoto and Bonci, 2014). Glutamatergic signals from
100 cortical areas, and dopamine signals from midbrain dopaminergic nuclei act upon spiny
101 projection neurons (SPNs) in the striatum. The SPNs integrate both glutamate and dopamine
102 signals and coordinate various aspects of learning and behavior (Bamford et al., 2018; Horvitz,
103 2009; Shiflett and Balleine, 2011).

104 Afferent cortical glutamatergic inputs into the striatum originate within various medial or
105 lateral subregions of the PFC such as the prelimbic, infralimbic, orbitofrontal, and motor cortex.
106 The PFC plays a critical role in motivation and cognition (Balleine and O'Doherty, 2010; Smith
107 and Graybiel, 2014). Within the medial and lateral PFC, topographically-organized regions such
108 as the dorsally located prelimbic corticostriatal neurons, and the ventral infralimbic or
109 orbitofrontal PFC (OFC) neurons have dissociable effects on motivated behavior and cognitive
110 flexibility (Ahmari et al., 2013; Barker et al., 2017; Hart et al., 2018; Killcross and Coutureau,
111 2003). (Burguiere et al., 2013; Gremel and Costa, 2013; Rudebeck and Murray, 2011).
112 Although, these studies elegantly outline the role of sub-regions in the PFC or striatum, few
113 studies have explored the neuronal and molecular diversity of PFC pyramidal neurons involved
114 in regulating motivation and cognition.

115 Dopamine and its receptors in the PFC also regulate motivated behavior and cognitive
116 flexibility (Barker et al., 2013; Goldman-Rakic, 1998; Hitchcott et al., 2007; Natsheh and Shiflett,
117 2018; Ott and Nieder, 2019). Dopamine activates D1 and D2 class of receptors in the PFC that
118 signal through stimulatory Gas or inhibitory Gai proteins, respectively, and through β -arrestins
119 as well, which modulate the activity of both pyramidal neurons and interneurons (Beaulieu et al.,
120 2007; Cousineau et al., 2020; Ferguson and Gao, 2018; Santana et al., 2009; Tomasella et al.,
121 2018; Tseng and O'Donnell, 2004, 2007; Urs et al., 2016). Moreover, pharmacological targeting
122 of D1Rs or D2Rs, or genetic deletion of D2Rs in the PFC can regulate dopamine-dependent
123 behaviors such as locomotion, cognition, and goal-directed behavior (Barker et al., 2013; Del
124 Arco et al., 2007; Hitchcott et al., 2007; Khlgatyan and Beaulieu, 2020; Natsheh and Shiflett,
125 2018; Tomasella et al., 2018; Urs et al., 2016). Although PFC dopamine receptors play an
126 important role in motivation and cognition, the topographical distribution of D1R+ or D2R+
127 neurons in specific subregions of the PFC is not known. Here, we use a retrograde AAV
128 (AAVRG)-based approach to identify distinct topographically-organized subpopulations of D1R+
129 and D2R+ neurons in the PFC based on their target projection areas. Given the role of various
130 subregions of the PFC in motivated behavior and cognition, and the heterogeneity of these
131 regions, the effects of dopamine and its receptors within these subregions will expand our
132 understanding of the molecular and neuronal mechanisms regulating motivated behavior and
133 cognition.

134 **MATERIALS AND METHODS**

135 **Animals.** All mouse studies were performed according to NIH guidelines for animal care and
136 use, and were approved through the University Animal Care and Use Committee. All mice were
137 housed in a 12h light-dark cycle at a maximum of five per cage, provided with food and water *ad*
138 *libitum* and tested at 8-12 weeks of age. Mice were age matched and mice of both sexes were
139 used, and all experiments were performed in naïve animals. Dopamine D1 receptor Cre (D1Cre,

140 EY262) and Dopamine D2 receptor Cre (D2Cre, ER44) transgenic mice were obtained from
141 MMRRC. Cre+ hemizygous transgenics were used for all experiments.

142 **Viral Surgeries.** D1Cre or D2Cre mice were stereotaxically injected unilaterally with 40-100nl
143 retrograde adeno-associated virus (AAVRG), AAARG-CAG-Flex-TdTomato (Addgene #238306)
144 and AAARG-hSyn-DIO-GFP (Addgene #50457). Stereotaxic coordinates are as follows: dSTR
145 (AP +1.0, ML +1.8, DV -3.25), NAcc core (AP +1.0, ML +1.0, DV -4.75), DMS (AP +1.0, ML
146 +1.2, DV -2750), DLS (AP +1.0, ML +2.2, DV -3.25) and SNpc (AP +3.5, ML +1.25, DV -4.1).
147 Mice were allowed to recover for 2 weeks to allow for viral expression of GFP or TdT before
148 imaging and counting of cells.

149 **Immunostaining, Imaging and Quantification.** 40 μ m thick vibratome cut sections of
150 formalin-fixed mouse brains were processed for imaging. Sections from rostral, rostrocaudal
151 and caudal PFC, and striatal and midbrain sections were imaged using a Nikon AZ100 Zoom
152 microscope, using the same exposure across genotypes for an injection pair. Captured images
153 were used for quantifying number of fluorescent cells for each channel (GFP and TdT) in
154 different subregions of rostral, rostrocaudal and caudal PFC using ImageJ (NIH), and threshold
155 was kept the same between genotypes and injection pairs. At least three sections from rostral,
156 rostrocaudal and caudal PFC were analyzed for each mouse, with an n=5 mice per injection
157 pair. For glutamatergic or GABAergic marker identification, we performed antigen retrieval in
158 citrate buffer at 80 degrees on virally injected PFC sections, and colabeled with antibodies to
159 GFP (Frontier Institute, Japan, Cat# AB_2571575), RFP (Rockland, Cat# 600-401-379),
160 CamKIIa (Enzo Life Sciences, Cat# ADI-KAM-CA002-D), parvalbumin (PV) (Frontier Institute,
161 Japan, Cat # AB_2571613), and GAD 65/67 (Frontier Institute, Japan, Cat# AB_2571698).
162 Imaging for PV and GAD colabeling were done using the Nikon AZ100 zoom microscope.
163 Imaging for CamKIIa labeling was done using a Nikon spinning disk confocal (CSU-X1,

164 Yokogawa) with either 10x or 60x objective on an inverted microscope (Nikon Ti2-E), with a
165 back-thinned sCMOS camera (Prime 95B, Photometrics).

166 **Statistical analyses.** Data were analyzed by a standard two-way ANOVA test for comparison
167 between genotypes, and injection pairs. Individual genotypes, or injection pairs were compared
168 using a *post hoc* Tukey's test. Data are presented as mean±SEM. $p<0.05$ is considered as
169 significant.

170

171 **RESULTS**

172 For this study we focused primarily on dopamine-related subcortical target regions such as the
173 striatum and midbrain dopamine nuclei i.e. substantia nigra pars compacta (SNpc). The striatum
174 itself can be topographically divided along the dorsoventral or mediolateral axes, into the dorsal
175 and ventral striatum, or dorsomedial (DMS) and dorsolateral (DLS), respectively.

176 **Dorsoventral Topographical distribution of D1R+ and D2R+ neurons in the PFC.**

177 We first injected AAVRGs in topographically distinct target regions along the dorsoventral axis in
178 the dorsal striatum (dSTR) and the nucleus accumbens (NAcc) core within the ventral striatum,
179 respectively, of either D1Cre or D2Cre mice. 100nl of AAVRG-CAG-Flex-TdTomato and
180 AAVRG-hSyn-DIO-GFP were stereotaxically injected in the dSTR or NACC core (see methods
181 for coordinates), respectively. As shown in Figure 1, we observed distinct topographically-
182 organized patterns of GFP (dSTR) and TdT (NAcc core) positive cells in the PFC of D1Cre and
183 D2Cre mice. For D1Cre mice, AAVRG injection in the dSTR (GFP+) and NAcc (TdT+) labeled
184 distinct minimally overlapping subpopulations of dorsally located layer 5, and ventrally located
185 layer 2/3 neuronal cell bodies in the PFC, respectively (Figure 1, ai). The dSTR projecting
186 neurons were primarily localized to dorsally located prelimbic/cingulate (Cg1/PrL) and motor
187 cortex (M1/M2), and mediolaterally located ventral/lateral OFC (VO/LO), whereas the NAcc

188 projecting neurons were primarily localized to the ventrally located medial OFC and infralimbic
189 (MO/IL) regions. Quantifying the number of cell bodies in these regions from rostral,
190 rostrocaudal and caudal PFC sections (see methods) revealed minimal overlap (~5%) between
191 the PrL and MO/IL subpopulations (Figure 1 c, ** $p < 0.01$, Two-way ANOVA). “D1-dSTR” -
192 Cg1/PrL: 145 ± 11.3 ; MO/IL: 22.5 ± 3.9 ; VO/LO: 121.7 ± 16 ; M1/M2: 122 ± 11.2 neurons, and
193 “D1-NAcc” - Cg1/PrL: 20.8 ± 9.1 ; MO/IL: 93.4 ± 10.6 ; VO/LO: 10.1 ± 2.2 ; M1/M2: 6.7 ± 6.6
194 neurons. In contrast, for D2Cre mice, AAVRG injection in the dSTR and NAcc labeled
195 predominantly ventrally located TdT+ layer 5 neuronal cell bodies in MO/IL, but few dorsally
196 located GFP+ neurons in Cg1/PrL (Figure 1, bi). Quantifying the number of cell bodies in these
197 regions revealed minimal overlap of TdT+ neurons (~15%) between the PrL and MO/IL
198 subpopulations (Figure 1 d, ** $p < 0.01$, Two-way ANOVA). The NAcc projecting neurons were
199 primarily localized to the ventrally located medial OFC and infralimbic (MO/IL) regions, whereas
200 the few dSTR projecting neurons were primarily localized to M1/M2 and VO/LO regions. “D2-
201 dSTR” - Cg1/PrL: 7 ± 1.8 ; MO/IL: 3.7 ± 1 ; VO/LO: 24.4 ± 5.9 ; M1/M2: 45.7 ± 11.8 neurons, and
202 “D2-NAcc” - Cg1/PrL: 55.7 ± 9.7 ; MO/IL: 162.9 ± 25.5 ; VO/LO: 32.8 ± 6.1 ; M1/M2: 5.6 ± 1.7
203 neurons. Thus, in the medial PFC of D2Cre mice, MO/IL neurons were predominantly labeled,
204 but not Cg1/PrL neurons.

205 In both D1Cre and D2Cre mice, distinct projection fibers were observed in midbrain regions i.e
206 substantia nigra pars compacta (SNpc) and reticulata (SNpr). In the D1Cre mice, GFP+
207 projection fibers (from dSTR) were observed in the SNpr, whereas, TdT+ projection fibers were
208 observed in the SNpc (Figure 1, aii). In contrast, for the D2Cre mice only TdT+ projection fibers
209 were observed in the SNpc, and no labeling in the SNpr (Figure 1, bii).

210 PFC projection neurons are primarily glutamatergic, but some studies have shown that a small
211 percent of these projection neurons can be GABAergic (Lee et al., 2014; Melzer et al., 2017),
212 and contribute to physiological outcomes. To confirm whether these retrogradely labeled

213 neurons are glutamatergic or GABAergic, we performed colocalization studies with known
214 glutamatergic neuron marker CamKIIa, and known GABAergic neuron markers GAD 65/67 and
215 parvalbumin (PV). As seen in Figure. 1-1, retrogradely labeled neurons in D1 or D2Cre mice
216 predominantly colocalize with CamKIIa but not with GAD or PV, thus confirming a glutamatergic
217 identity of these corticostriatal projection neurons.

218

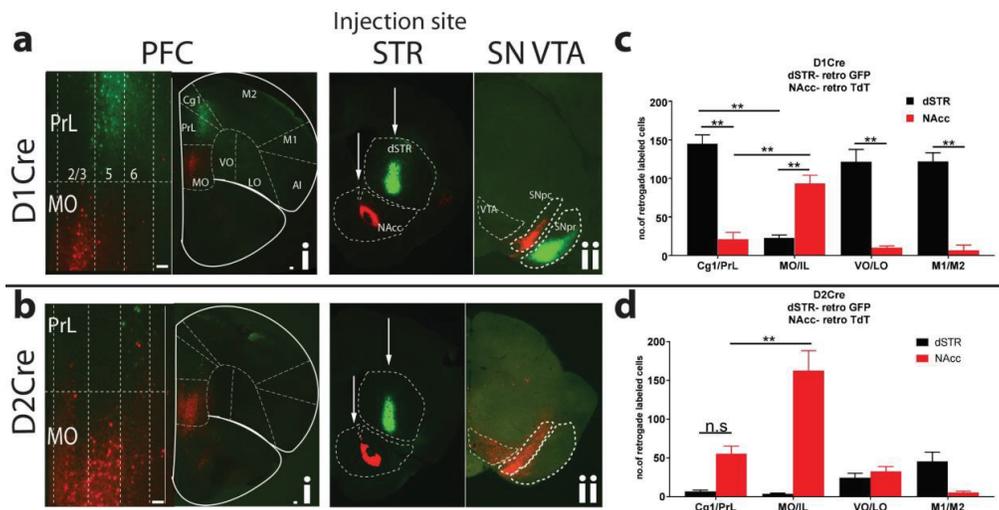


Figure 1. Unique topographically organized subpopulations of D1R+ and D2R+ PFC neurons project to dSTR and NAcc. PFC sections from D1Cre (**ai**) and D2Cre (**bi**) mice showing cell bodies retrograde labeled with both TdTomato and GFP. Left panels are zoomed insets of medial PrL and MO showing layer-specific localization of cell bodies. PrL-prelimbic, Cg1- Cingulate, MO-medial orbitofrontal, VO- ventral orbitofrontal, LO-lateral orbitofrontal, M1-primary motor, M2- secondary motor cortex. **aii, bii**. Injection sites are shown in striatal (STR) sections along with midbrain SNpc/VTA (SN VTA) sections. D1Cre and D2Cre mice were injected with Cre-dependent retrograde AAV, AAVRG-CAG-Flex-TdTomato and AAVRG-hSYN-DIO-GFP in dSTR (100nl) and NAcc (100nl). Representative images (n=5). **c,d** – quantification of # of cells. Data are represented as mean ± SEM. n.s – not significant. ** p<0.01 Two-way ANOVA. Scale bar=100µm.

220 PFC pyramidal neurons also send projections to midbrain regions, specifically to
221 dopamine nuclei (Murugan et al., 2017; Watabe-Uchida et al., 2012). However, whether D1R+
222 and D2R+ PFC neurons send projections to midbrain dopamine neurons is not known. We
223 injected AAVRGs in dSTR (GFP) as reference, and in SNpc (TdT) of D1Cre or D2Cre mice. For
224 D1Cre mice, similar to Figure 1, injection in the dSTR labeled predominantly distinct Cg1/PrL,
225 VO/LO and M1/M2 localized populations of PFC (Figure 2, ai). However, for SNpc injections we
226 saw minimal labeling of PFC neurons (Figure 2, ai). Quantification of neurons from these
227 regions show a similar predominant labeling of GFP+ neurons in Cg1/PrL as in Figure 1 (Figure
228 2 b, ** $p < 0.01$, Two-way ANOVA), but minimal TdT+ (SNpc) labeling. In contrast, for the D2Cre
229 mice we observed minimal GFP+ labeling similar to Figure 1, but we observed robust TdT+
230 (SNpc) labeling of PFC neurons (Figure 2, bi). TdT+ SNpc labeling was presumably from
231 dopamine neurons since dense TdT+ axonal projections were seen in the dSTR (Figure 2, bii).
232 Quantification of labeled neurons from these regions revealed however, that there was no
233 topographical pattern for the midbrain projecting D2R+ neurons (Figure 2 d, ** $p < 0.01$, Two-way
234 ANOVA). “D2-SNpc” - Cg1/PrL: 22.7 ± 4.3 ; MO/IL: 17.0 ± 3.4 VO/LO: 39.6 ± 7.1 ; M1/M2: $29.4 \pm$
235 4.5 neurons. Similar patterns were observed for VTA injections for both D1Cre and D2Cre mice
236 as well (Figure 2-1). Together these data suggest that predominantly D2R+ and not D1R+ PFC
237 neurons project to midbrain dopamine neurons.

238 Figure 3 shows a more detailed comparison of PFC topographical patterns of D1Cre and D2Cre
239 mice for each injection site i.e dSTR, NAcc and SNpc, along the rostro-caudal axis, for the same
240 mice used in Figures 1 and 2. For dSTR (Figure 3a), D1R+ neurons were the predominantly
241 labeled subpopulation across the rostro-caudal axis, in all regions (Cg1/PrL, VO/LO, M1/M2)
242 except in the MO/IL region in rostrocaudal and caudal regions. D2R+ neuron labeling ranged
243 from 1-28% compared to D1R+ neurons for all regions except rostrocaudal and caudal MO/IL.
244 For NAcc (Figure 3b), both D1R+ and D2R+ neurons showed robust labeling across the rostro-

245 caudal axis, with more D2R+ neuron labeling than D1R+ in only the rostral and caudal sections.
246 For SNpc (Figure 3c), D2R+ neurons were predominantly labeled, showing an incremental
247 gradient along the rostro-caudal axis, whereas only 16-20% were D1R+.

248 **Mediolateral Topographical distribution of D1R+ and D2R+ neurons in the PFC.**

249 In the previous experiments the injection sites were along the dorsoventral axis in the
250 dorsocentral striatum and NAcc core. However, within the dorsal striatum, both medial and
251 lateral subregions have specific roles in motivated behaviors. The dorsomedial striatum (DMS)
252 is involved in the acquisition of goal-directed actions, whereas the dorsolateral striatum (DLS)
253 regulates acquisition of habitual behaviors (Yin et al., 2006; Yin et al., 2005). Previous studies
254 however show that mPFC pyramidal neurons primarily project to the DMS whereas more
255 posterior lateral sensorimotor cortex neurons project to the DLS (Kupferschmidt et al., 2017;
256 Shiflett and Balleine, 2011).

257 We next asked the question whether PFC D1R+ and D2R+ neurons have specific projection
258 pattern to the DMS or DLS. Similar to Figures 1 and 2, we injected 50nl of Cre-dependent
259 AAVRG GFP and AAVRG TdT in the DMS and DLS, respectively, of D1Cre and D2Cre mice.
260 As seen in Figure 4, we observe distinct topographical patterns for D1R+ and D2R+ neurons
261 projecting to DMS and DLS. For DMS injection in D1Cre mice, we observed robust GFP+
262 labeling predominantly in the PrL (67 ± 12.5 neurons), whereas for DLS injection we observed
263 robust TdT+ labeling predominantly in M1/M2 (118.3 ± 12 neurons) and AI (146 ± 24.1 neurons)
264 (Figure 4, a and c). Overall, very few D2R+ neurons project to either DMS or DLS (Figure 4, b
265 and d). Comparison of patterns of D1Cre and D2Cre mice for DMS show that only Cg1/PrL has
266 significantly greater labeling of D1R+ neurons (Figure 4e, $**p < 0.01$, Two-way ANOVA). For DLS
267 however, Cg1/PrL, AI and M1/M2 show significantly greater labeling for D1Cre compared to
268 D2Cre mice (Figure 4f, $**p < 0.01$, Two-way ANOVA).

269

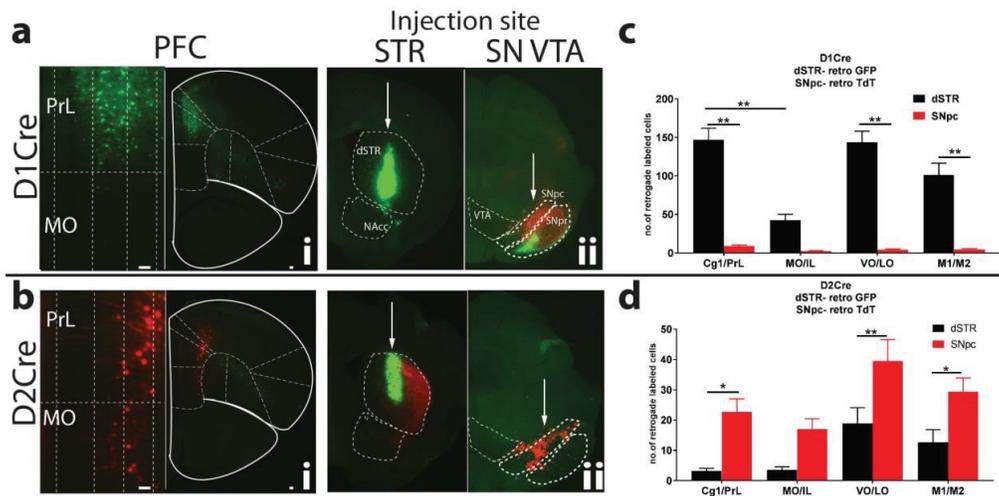


Figure 2. Unique topographically organized subpopulations of D1R+ and D2R+ PFC neurons project to dSTR and SNpc. PFC sections from D1Cre (**ai**) and D2Cre (**bi**) mice showing cell bodies retrograde labeled with either GFP or TdTomato, respectively. Left panels are zoomed insets of medial PrL and MO showing layer-specific localization of cell bodies. PrL- prelimbic, Cg1- Cingulate, MO- medial orbitofrontal, VO- ventral orbitofrontal, LO- lateral orbitofrontal, M1- primary motor, M2- secondary motor cortex. **aii, bii.** Injection sites are shown in striatal (STR) and midbrain SNpc/VTA (SN VTA) sections. D1Cre and D2Cre mice were injected with Cre-dependent retrograde AAV, AAVRG-CAG-Flex-TdTomato and AAVRG-hSYN-DIO-GFP in dSTR (100nl) and SNpc (40nl). Representative images (n=5). **c,d.** – quantification of # of cells. Data are represented as mean \pm SEM. * p<0.05, ** p<0.01, Two-way ANOVA. Scale bar=100 μ m.

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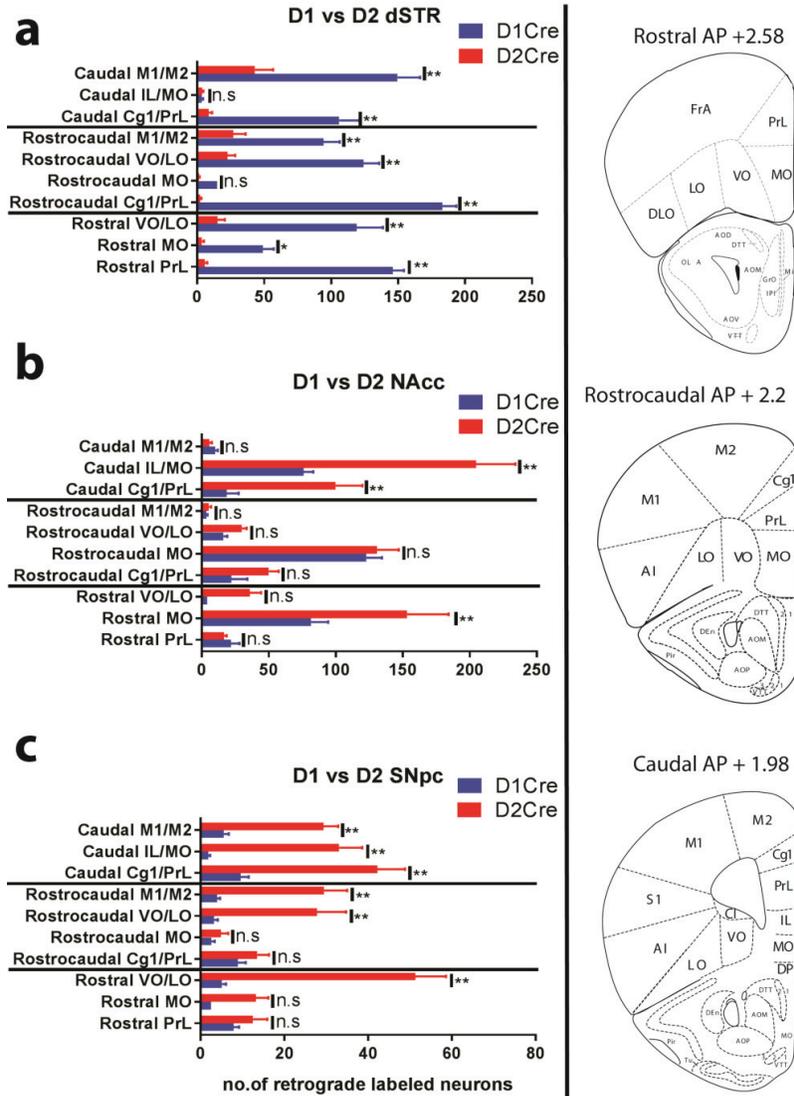


Figure 3. Comparison of topographical distribution of D1R+ and D2R+ PFC neurons along the rostrocaudal axis. **a** – Quantification of GFP+ (dSTR) cells in PFC sections along the rostro-caudal axis of D1Cre and D2Cre mice. Right panel shows representative images and stereotaxic coordinates of rostral, rostrocaudal and caudal PFC sections. **b** - Quantification of TdT+ (NAcc core) cells in PFC sections along the rostro-caudal axis of D1Cre and D2Cre mice. **c** - Quantification of TdT+ (SNpc) cells in PFC sections along the rostro-caudal axis of D1Cre and D2Cre mice. PrL-prelimbic, Cg1- Cingulate, MO-medial orbitofrontal, VO- ventral orbitofrontal, LO-lateral orbitofrontal, AI-agranular insular, M1-primary motor, M2- secondary motor cortex. n=5 for each group. n.s – not significant. Data are represented as mean ± SEM. * p<0.05, ** p<0.01, Two-way ANOVA.

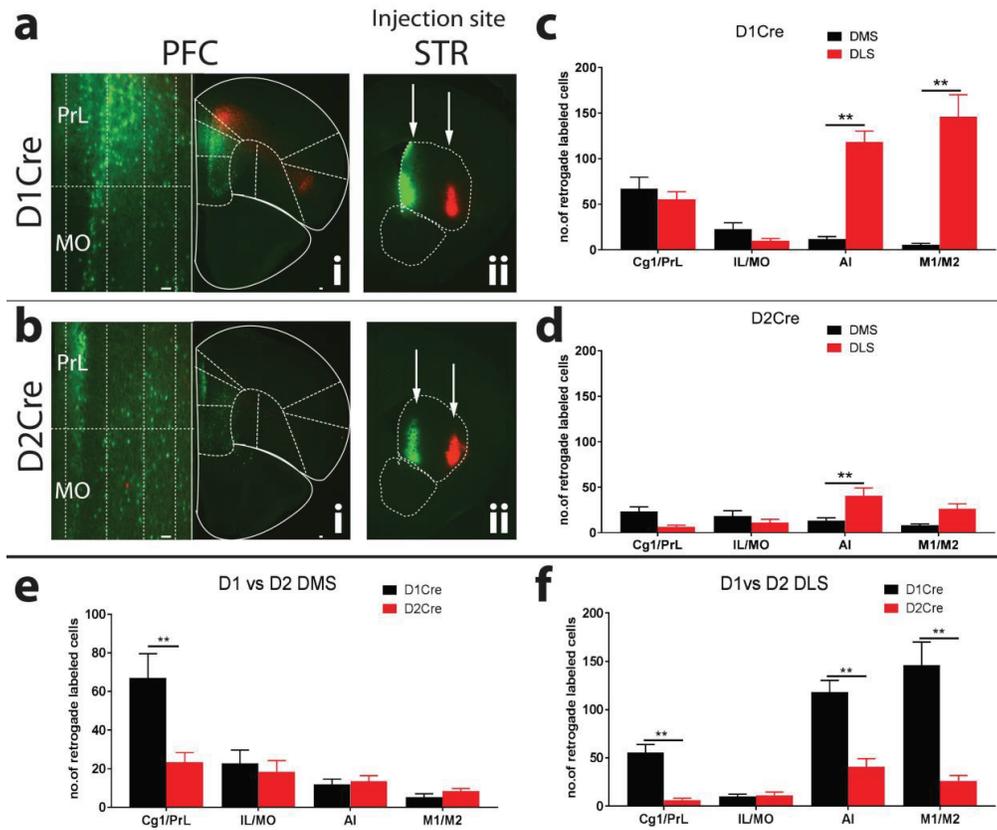


Figure 4. Topographical organization D1R+ and D2R+ neurons projecting to DMS and DLS. PFC sections from D1Cre (ai) and D2Cre (bi) mice showing cell bodies retrograde labeled with either GFP or TdTomato, respectively. Left panels are zoomed insets of medial PrL and MO showing layer-specific localization of cell bodies. PrL-prelimbic, Cg1- Cingulate, MO-medial orbitofrontal, AI – agranular insular, M1-primary motor, M2- secondary motor cortex. **aii, bii.** Injection sites are shown in DMS and DLS subregions in striatal sections. D1Cre and D2Cre mice were injected with Cre-dependent retrograde AAV, AAVRG-CAG-Flex-TdTomato and AAVRG-hSYN-DIO-GFP in DMS (50nl) and DLS (50nl). Representative images (n=5). **c,d.** – quantification of # of cells from D1Cre and D2Cre mice. **e, f** – Comparison of # of labeled cells in PFC of D1 and D2Cre mice projecting to DMS and DLS subregions. Data are represented as mean \pm SEM. * $p < 0.05$, ** $p < 0.01$, Two-way ANOVA. Scale bar=100 μ m.

280 **DISCUSSION**

281 D1R+ and D2R+ neurons are found throughout all PFC subregions (Anastasiades et al., 2019;
282 Khlgatyan and Beaulieu, 2020; Santana and Artigas, 2017; Yu et al., 2019), but this
283 widespread expression pattern does not adequately explain how these neurons mediate distinct
284 physiological and behavioral outcomes. In this study, we show a previously unappreciated
285 distinct topographical organization of D1R+ and D2R+ neurons in the PFC of mice. As
286 summarized in Figure 5, we observe distinct topographical organization patterns of D1R+ and
287 D2R+ neurons along the dorsoventral and mediolateral axes, based on their projection target.
288 Along the dorsoventral axis, PFC D1R+ neurons are topographically organized such that D1R+
289 neurons in prelimbic regions primarily project to the dSTR, whereas D1R+ neurons in medial
290 OFC and infralimbic regions primarily project to the NAcc core. In contrast, PFC D2R+ neurons
291 have a distinct pattern of organization, such that very few prelimbic D2R+ neurons project to
292 dSTR, but medial OFC and infralimbic D2R+ neurons project to NAcc core, similar to D1R+
293 neurons. Along the mediolateral axis, D1R+ neurons in the medial prelimbic region primarily
294 project to dorsomedial striatum, whereas D1R+ neurons in M1/M2 motor and agranular insular
295 cortex primarily project to dorsolateral striatum. In contrast very few D2R+ neurons project to
296 either DMS or DLS. However, medial PFC D2R+ but not D1R+ neurons project to midbrain
297 dopamine nuclei. Thus, our data provide, for the first time a detailed insight into the anatomical
298 organization of D1R+ and D2R+ neurons in the PFC.

299 In this study we use AAVRGs to identify afferent PFC inputs into various striatal and
300 midbrain regions. One potential caveat with using AAVRGs is that we cannot control for
301 variability of infection at the injection site, even if we inject the same volume of AAV. However,
302 one advantage of using AAVRGs is that target neurons can be specifically labeled in a Cre-
303 dependent manner, and therefore label cell bodies of specific populations of neurons using

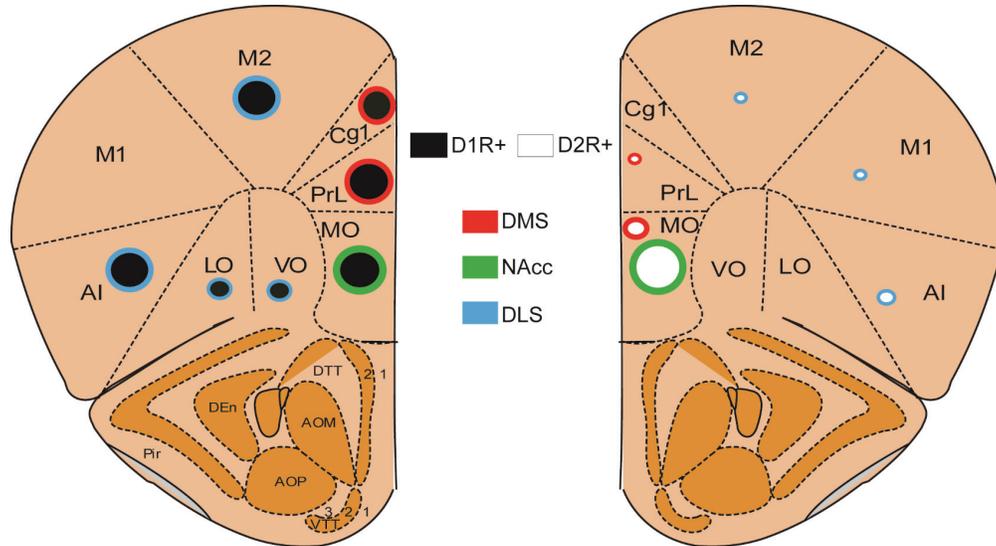


Figure 5. Summary of topographical organization of D1R+ and D2R+ pyramidal neurons in mouse PFC. D1R+ neurons represented by black circles and D2R+ neurons are represented by white circles. Circle borders represent corticostriatal projection targets. Size of the circle represent abundance of projections to target regions.

304 transgenic Cre mice. Our data are consistent with previous findings that D1R+ neurons are
 305 primarily
 306

307 corticostriatal, whereas D2R+ neurons are corticostriatal and also project to more caudal
308 regions such as the thalamus (Gee et al., 2012). Other groups have also shown that PFC D1R+
309 and D2R+ neurons also project to other limbic areas such as the basolateral amygdala (BLA)
310 (Jenni et al., 2017). PFC neurons projecting to BLA, NAcc or VTA are not only distinct
311 subpopulations but also have distinct laminar distribution (Murugan et al., 2017). In this study
312 we see distinct laminar distribution of both D1R+ and D2R+ PFC neurons. “D1-dSTR” prelimbic
313 neurons are predominantly localized to layer 5, whereas “D1-NAcc” MO/IL neurons are
314 predominantly localized to layer 2/3. Interestingly, “D2-NAcc” MO/IL neurons are predominantly
315 localized to layer 2/3 and 5.

316 Our data also suggests that distinct predominantly D1R+ neuron subpopulations along the
317 mediolateral axis (Cg1/PrL vs M1/M2/AI), project to the DMS and DLS, respectively. However,
318 D2R+ PFC neurons do not project to either DMS or DLS. Thus, D1R+ PFC neurons might be
319 directly involved in regulation of switching between goal-directed versus habitual actions (Yin et
320 al., 2006; Yin et al., 2005). Interestingly, a similar topographical pattern is maintained within the
321 SNpc, where medial and lateral dopamine neurons project to DMS and DLS, respectively
322 (Lerner et al., 2015).

323 The dSTR-NAcc injections (Figure 1) reveal distinct projection fiber patterns in the SNpr and
324 SNpc. For injections in dSTR, we observed projection fibers in the SNpr only in D1Cre and not
325 D2Cre mice, consistent with AAVRG-GFP labeling striatal D1R+ direct pathway SPNs.
326 However, for NAcc core injections, we observe projection fibers in the medial SNpc and not the
327 SNpr in both D1Cre and D2Cre mice. Our findings are consistent with previous observations
328 that D1R+ and D2R+ NAcc core SPNs do not follow the traditional direct/indirect dichotomy like
329 dSTR SPNs, and instead send projections to ventral pallidum (VP) or midbrain (Kupchik et al.,
330 2015; Pardo-Garcia et al., 2019; Sesia et al., 2014). Moreover, these studies suggest that both
331 D1R+ and D2R+ NAcc core SPNs project to VP, but only D1R+ NAcc core SPNs project to the

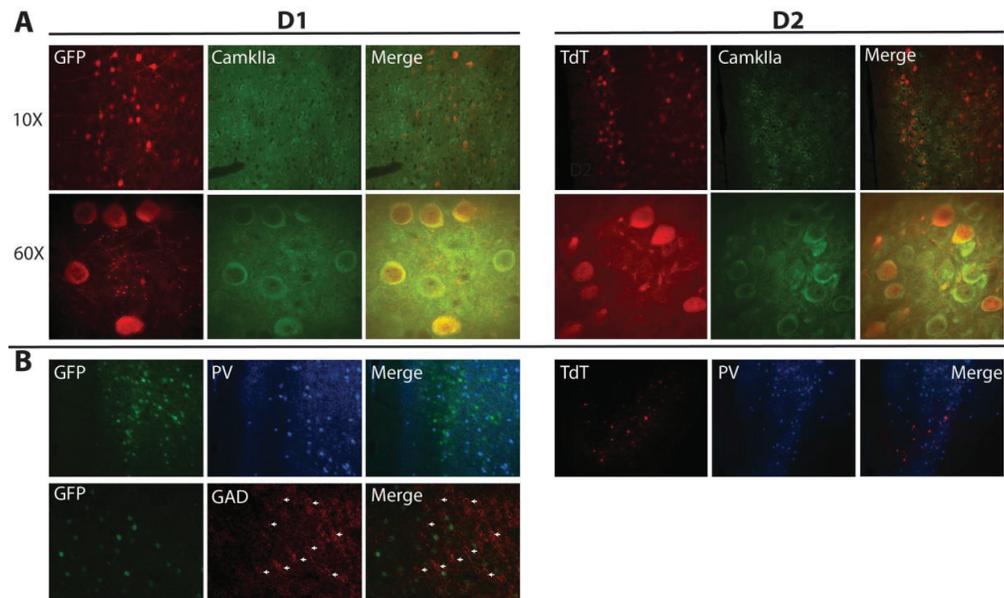
332 midbrain. Although we observe projection fibers in the SNpc of D2R+ mice, these are likely
333 direct projections from the MO/IL PFC (Figure 2b), and not from NAcc core SPNs. Thus, D1R+
334 pyramidal neurons in the MO/IL projecting to NAcc core, can not only release glutamate and
335 regulate excitability of GABAergic SPNs, but also modulate dopamine release in the DMS by
336 indirectly acting on medial SNpc dopamine neurons. In contrast, D2R+ pyramidal neuron in the
337 MO/IL project directly to both NAcc core and SNpc. One possible caveat of this interpretation is
338 that by using AAVRGs we are unable to establish whether these fibers in the SNpc are afferents
339 on GABAergic or dopaminergic neurons. A more sophisticated approach with rabies virus
340 retrograde labeling, with Cre-dependent labeling of target neurons is required to confirm our
341 interpretation.

342 The dorsal striatum is topographically divided into the DMS and DLS, which have been
343 implicated in action-outcome learning and stimulus-response learning, respectively, whereas,
344 the NAcc, has been implicated in reward perception (Shiflett and Balleine, 2011). D1R+ and
345 D2R+ neurons in topographically organized regions in the PFC can thus have various effects on
346 physiology and behavior depending on their striatal or midbrain projection target, and
347 modulation by cortical dopamine.

348 Illuminating this unique pattern of organization of D1R+ and D2R+ neurons in the PFC will
349 help us better understand the regional and global effects of cortical dopamine and dopamine
350 receptors in the regulation of motivation and cognition.

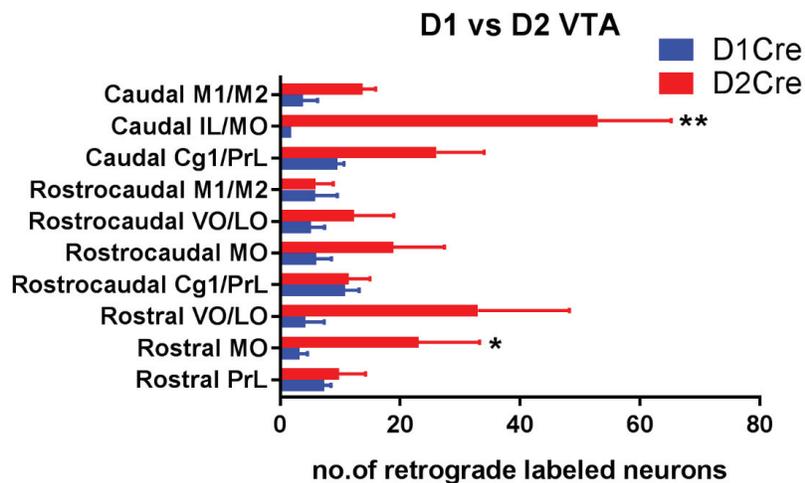
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Extended Figure 1. Colabeling of D1R+ and D2R+ PFC neuron subpopulations with glutamatergic and GABAergic markers. PFC sections from D1 and D2Cre mice (n=2) previously retrogradely labeled with GFP or TdT were colabeled for CamKIIa (**A**) or PV and GAD 65/67 (**B**).

353



Extended Figure 2. Topographical distribution of VTA projecting D1R+ and D2R+ PFC neuron subpopulations. Quantification of GFP+ (VTA projecting) cells in PFC sections along the rostro-caudal axis of D1Cre and D2Cre mice. PrL- prelimbic, Cg1- Cingulate, MO- medial orbitofrontal, VO- ventral orbitofrontal, LO- lateral orbitofrontal, M1- primary motor, M2- secondary motor cortex. n=3 for each group. Data are represented as mean ± SEM. * p<0.05, ** p<0.01, Two-way ANOVA.

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