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The effects of a ketogenic diet on sensorimotor function in a thoracolumbar mouse spinal cord injury model

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1 **Title:** The effects of a ketogenic diet on sensorimotor function in a thoracolumbar mouse spinal
2 cord injury model.

3

4 **Abbreviated title:** Ketogenic diet and recovery of function following spinal cord injury

5

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17 performed the experiments and analyzed the data. GBB supervised HPLC experiments. KAM,
18 CHTK, and PJW wrote the paper. KAM, CHK, SEAE, GBB, and PJW edited the final paper.

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1

2 Abstract

3 Spinal cord injury (SCI) and peripheral nerve injuries are traumatic events that greatly impact
4 quality of life. One factor that is being explored throughout patient care is the idea of diet and
5 the role it has on patient outcomes. But the effects of diet following neurotrauma need to be
6 carefully explored in animal models to ensure that they have beneficial effects. The ketogenic
7 diet provides sufficient daily caloric requirements while being potentially neuroprotective and
8 analgesic. In this study, animals were fed a high fat, low carbohydrate diet that led to a high
9 concentration of blood ketone levels that was sustained for as long as the animals were on the
10 diet. Mice fed a ketogenic diet had significantly lower levels of tyrosine and tryptophan but the
11 levels of other monoamines within the spinal cord remained similar to control mice. Mice were
12 fed a standard or ketogenic diet for 7 days before, and 28 days following the injury. Our results
13 show that mice hemisectioned over the T10-11 vertebrae showed no beneficial effects of being on
14 a ketogenic diet over a 28 day recovery period. Similarly, ligation of the common peroneal and
15 tibial nerve showed no differences between mice fed normal or ketogenic diets. Tests included
16 von Frey, open field, and ladder-rung crossing. We add to existing literature showing protective
17 effects of the ketogenic diet in forelimb injuries by focusing on neurotrauma in the hindlimbs.
18 The results suggest that ketogenic diets need to be assessed based on the type and location of
19 neurotrauma.

20

21

22 Significance Statement

23 There is an urgent need for therapeutics to improve outcomes for patients with neurotrauma.
24 Here we test the effects of a non-invasive diet-based therapy. Ketogenic diets, which are high
25 fat and low carbohydrate-based, have been shown to be effective in treating epilepsy,
26 Parkinson's Disease, and show promise for treating other neurotrauma and neurodegenerative
27 conditions. Here we show that while we were successful in producing high ketone
28 concentrations in mice, the effects on recovery of function and pain following a thoracic spinal
29 cord hemisection or spared nerve injury were minimal. Therefore, ketogenic diets, while
30 effective in certain cases, should be evaluated depending on the injury type.

31

32 Introduction

33 Nerve injuries to the central and peripheral nervous systems are a heterogeneous group of
34 conditions that cause significant disability, which also share common characteristics. There are
35 multiple underlying causes contributing to peripheral nerve injury and spinal cord injury (SCI)
36 such as neurotrauma, demyelination, and autoimmune disorders (Ahuja and Fehlings, 2016). In
37 addition to movement disorders, patients with neural injuries have high incidences of persistent
38 pain. Epidemiological data suggests 53% of SCI patients experience pain (Burke et al., 2017),
39 with the global prevalence of neuropathic pain (pain as a result of nerve injuries) estimated at
40 7% (van Hecke et al., 2014). More importantly, persistent pain inhibits patients from adhering to
41 rehabilitative therapies (Turk and Rudy, 1991), thus pain and loss in motor functions form a
42 negative feedback loop that further perpetuates disabilities (Thompson et al., 2016).

43 For patients with nerve injuries, improvement in motor functions and reduction in chronic pain
44 are important for the quality of life (Adams and Hicks, 2005; Jensen et al., 2007). However, few
45 therapies exist to target both pain and motor functions simultaneously, in part due to the
46 diversity in etiologies and disease progress. Most therapies targeting neurodegeneration and
47 pain are symptomatic and do little to halt further loss in function (Scholz et al., 2009). Existing
48 pain therapies including gabapentin and opioids all have side effects (Teasell et al., 2010), with
49 the development of tolerance and dependence hindering treatment success. Overall, patients
50 rely on combinatorial therapies, requiring multiple types of medications and management, thus
51 resulting in suboptimal rates of adherence to their prescriptions. The identification of a more
52 comprehensive therapy that targets pain and movement disorders is urgently needed for
53 improving care in nerve injuries (Simpson et al., 2012; Teasell et al., 2010).

54 A key feature of nerve injury is neuroplasticity, which broadly manifests as changes in neuronal
55 excitability and may aid spontaneous recovery by allowing sprouting of neural fibres reinforcing
56 reflex and propriospinal pathways (Bareyre et al., 2004; Finnerup and Baastrup, 2012).
57 However, mechanisms underlying neuroplasticity may also negatively impact upon pain and
58 spasticity (Brown and Weaver, 2012) when maladaptive synaptogenesis occurs. Changes in
59 levels of neuromodulators including serotonin, dopamine and noradrenaline are crucial
60 facilitators of neuroplasticity (Azmitia, 1999; Marzo et al., 2009; Nitsche et al., 2006).
61 Importantly, each of these neuromodulators engages in diverse physiological functions including
62 cognition, mood regulation, movement and somatosensation; the therapeutic potential in
63 targeting these neuromodulatory systems is hampered by their diverse physiological roles.

64 Different diet regimes hold promise as a non-pharmacological alternative to treat neural injury.
65 Metabolism influences brain activity (Ruskin and Masino, 2012) and metabolic functions, in turn,
66 depend on the diet (Flint et al., 2015). Studies investigating the clinical utility of metabolic
67 therapies, such as the ketogenic diet (KD), indicate effectiveness in epilepsy, brain cancer, type
68 II diabetes and neurodegeneration (Barañano and Hartman, 2008). KD promotes the use of
69 ketone bodies as energy sources by minimizing glucose metabolism and increasing ketolysis.
70 By replacing glucose with ketone bodies, a direct consequence is the enhanced cellular
71 capacity in energy generation, thus increasing the availability of high energy molecules including
72 adenosine triphosphate (ATP) and phosphocreatine (Ruskin and Masino, 2012). Other studies

73 have also shown an indirect link between KD and the increase in inhibitory neuromodulators
74 such as adenosine and gamma-butyric acid (GABA) (Yudkoff et al., 2007). Hence, KD may be
75 associated with a role in balancing neuronal excitability. In SCI, KD increased mobility, range of
76 motion, and dexterity, in the forepaws of rats following a high cervical SCI (Streijger et al.,
77 2013). Interestingly, a combinatorial therapy that included KD, ibuprofen, ghrelin, and a peptide
78 (C16), did not improve motor function (Streijger et al., 2014). However, there has been little
79 research on the effects of KD on lumbar SCI or peripheral nerve injury. This is important since
80 cervical segments control precision movements of the digits, during grasp for example,
81 compared to more rhythmic movements of the hindlimbs that can be generated by spinal
82 circuits.

83 The current study explores the therapeutic potential of KD in regulating motor and pain
84 dysfunctions in nerve injuries. In C57/BL6 mice, two of the most common nerve injury models
85 including a thoracic hemisection (SCI) and the spared nerve injury (SNI) were performed to
86 mimic damage to the central and peripheral nervous systems, respectively. A battery of sensory
87 and motor behavioural tests were performed before and after the injuries to test baseline and
88 post-injury effects of KD. The levels of neuromodulators were compared between mice fed
89 standard chow and KD.

90 Methods

91 All animals used were C57/BL6 male mice between 8-16 weeks of age during testing. All
92 animal experiments were approved by the University of Calgary animal care committee and are
93 catalogued under the protocol AC15-0026.

94 Ketogenic Diet administration

95 Two standard diets were used with the animals in this study and were given *ad libitum*: Pellet
96 Diet (PD) and 6:1 Ketogenic Diet (KD). All animals were initially fed a standard pellet diet *ad*
97 *libitum* (Pico-Vac Mouse Diet 20 (Lot: 5062), LabDiet, St. Louis, MO, USA) given in the hanging
98 feeding rack of the cages. KD animals were fasted for one evening (12 hours), a week before
99 testing and given approximately 15 g of frozen KD chow daily (Bio-serv S3666, Bio-Serv,
100 Flemington, NJ, USA) to ensure ketone blood levels were above the threshold for ketosis (Smith
101 et al., 2016). Nutritional composition and macronutrient levels are listed in Table 1.

102 Ketone blood sampling

103 Blood ketone concentrations were sampled using blood draws from the tail. Approximately 1.5-2
104 μL was extracted by making a superficial cut approximately 1 mm from the end of the tail using
105 a scalpel blade (# 10, cat no:10010-00, Fine Science Tools, North Vancouver, BC, Canada).
106 The blood was massaged out of the tail and this was tested using a ketone blood monitor
107 (Freestyle Precision Neo, Abbott Laboratories Inc., Mississauga, ON, Canada) and keto testing
108 strips (Abbott blood beta-ketone test strip, Abbott Laboratories Inc., Mississauga, ON, Canada).
109 All values were recorded in the software for offline analysis.

110 HPLC tissue collection

111 In a cohort of 26 Animals, mice were randomized and coded prior to sacrificing after 28 days of
112 being in ketosis. KD animals underwent the standard KD diet paradigm as outlined above. On
113 the day of tissue collection, animals were sacrificed using high doses of isoflurane (5%) in an
114 induction chamber. The spinal column was extracted by cutting the sacrum and cervical
115 vertebral levels using large scissors. The spinal cord was extracted using a 10 ml syringe
116 loaded with aCSF with fluid pressure initiated in the lumbar region. The spinal cord was trimmed
117 to contain only the lumbar enlargement (L1-L6) and the spinal cords were flash-frozen in liquid
118 nitrogen. The spinal cord was analyzed for biogenic amines by modifications of a previously
119 reported method (Parent et al., 2001). Tissue was homogenized in ice-cold 0.1 N perchloric
120 acid containing EDTA (10 mg%) and ascorbic acid (50 μ M). The homogenate was centrifuged
121 and 10 μ L of supernatant was used in the high performance liquid chromatography (HPLC)
122 assay employing an Atlantis dC18 column (Waters) and an electrochemical detector.

123 Surgical intervention

124 All surgical procedures were performed using aseptic techniques under isoflurane anesthesia
125 between 1-2 % delivered by 0.4 L/min of medical grade oxygen (Vitalair 1072, 100 % oxygen).
126 The area of surgical intervention was shaved using animal shears and cleaned with betadine
127 5% solution and sterilized with 95 % ethanol.

128 Spared nerve injury

129 Once anesthetized and prepared, a superficial cut of 1 cm was made in the left hind leg in the
130 skin above the midline of the femur; above the knee joint to below the hip joint. The skin was
131 resected from the fascia by blunt dissection to properly view the musculature of the triceps
132 surae and the biceps femoris. The muscles were separated along where both intersect. The
133 sciatic nerve was isolated between the trifurcation of the common peroneal (CP)/Tibial
134 nerve(Tib) and the sural nerve with surrounding fascia removed. Both the CP and Tib were
135 ligated using one 6-0 suture (Cat No. 18020-60, Fine Science Tools, North Vancouver, BC,
136 Canada). The nerves were then cut on the distal end of the suture to create a full transection of
137 both the Tib and CP while leaving the sural nerve intact. For sham procedures, the nerves were
138 exposed and identified but were left intact. Open wounds and musculature were sutured using
139 4-0 dissolvable suture in an interrupted fashion with tissue adhesive (Vetbond, 3M, St. Paul,
140 MN, USA) on the skin and sutures to secure the knots. SNI procedures were verified during
141 surgeries and post-hoc.

142 Spinal cord injury

143 A superficial incision was made 2 cm in length along the midline of the thoracolumbar vertebral
144 column (T10-T13) where the curvature of the back is most pronounced. The skin and fascia
145 were dissected away from the underlying musculature to expose the underlying vertebrae and
146 surrounding muscles. An incision along both sides of the midline of the T10-11 dorsal spinous
147 processes was performed and a muscular resection exposed the underlying vertebrae. The
148 underlying vertebrae were blunt dissected using curved forceps (Cat No. 11154-10, Fine

149 Science Tools, North Vancouver, BC, Canada) to expose the dorsal processes and the
150 intervertebral disc. The intervertebral disc was removed and the underlying spinal cord was
151 exposed. Partial laminectomies (half of the vertebrae) were performed between the T10-11
152 vertebrae to expose sufficient spinal cord for a hemisection while maintaining structural integrity
153 and preserving the dorsal roots (Rongeurs: Fine Science Tools, Cat no: 16221-14). The spinal
154 cord hemisection was performed using a 30 gauge 1/2" needle (BD, Cat No. 305106, Franklin
155 Lakes, NJ, USA,) inserted into the midline of the spinal cord until the ventral vertebrae were
156 reached. The cord was then cut in a perpendicular motion toward the lateral edge of the
157 vertebrae, hemisecting the spinal cord on the left side of the animal. For sham procedures, the
158 spinal cord was exposed with a laminectomy but no hemisection was performed. Animals were
159 given buprenorphine at a dose of 0.1 mg/kg delivered intraperitoneally (IP) before removing
160 from isoflurane anesthetic. Animals were assessed daily after injuries to verify proper pain
161 management and if any postoperative complications had occurred. No extra analgesic was
162 required following the day of surgery. SCIs were verified visually at the time of surgery and *post*
163 *hoc*.

164 Behavioural and pain testing

165 Randomization

166 All experiments were randomized and blinded. Randomization was done using a random
167 number generator (random.org) each day of experimentation to make sure animals were not
168 habituated to specific boxes or positions in the tests. Animals were tested in cohorts of 12,
169 divided into three groups: SHAM, Injury+PD, Injury+KD. All animals in each cohort were
170 assigned a reference number between 1-12. On testing days, the random number generator
171 provided a 2x6 matrix of randomly assigned numbers between 1-12 indicative of either the
172 position of placement in the testing apparatus or the order in which an animal was tested. This
173 method ensured that animals were not habituated to either the specific location in the testing
174 apparatuses or the time of day that the testing was performed.

175 Von Frey

176 Mechanical allodynia was assessed by measuring the hind paw withdrawal threshold to von
177 Frey filaments (vFh). Animals were placed individually into plexiglass testing chambers on a
178 raised mesh surface to allow access to the hindpaws, and habituated for 30 minutes before
179 testing. Baseline mechanical sensitivity was determined for each animal prior to the injuries. A
180 range of vFh were used (0.2 to 2 g), the presence or absence of a withdrawal response was
181 recorded, and the withdrawal threshold was calculated using the up-down method of Dixon
182 (Chaplan et al., 1994).

183 Open Field Test

184 For open field tests (OFT), animals were habituated to the room for 1 hour before tests were
185 administered. Animals were placed into the open field boxes (Cleversys Systems Inc., Reston,
186 VA, USA) 4 boxes at a time. The open field protocol was set for 30 minutes from the time of
187 insertion into the arena. The center of the box was defined by 25% of the size of the total arena

188 in the center of the box. The periphery was defined as the total area of the box minus the center
189 area and covers the periphery around the center to the walls of the open field.

190 Ladder Rung

191 For ladder rung testing and scoring we adapted previously reported methods (Metz and
192 Whishaw, 2009). The ladder rung system was constructed of plexiglass sides and used a 2 mm
193 diameter round, 100 mm long, steel bar for the rungs. The rungs were spaced randomly and the
194 spacing was not changed throughout the experiments. Animals were habituated and exposed to
195 the test on 3 separate testing days before injuries occurred. During habituation and data
196 acquisition, animals were passed over the ladder rung a total of 3 times and the average step
197 scores and faults were determined daily. Animals were introduced into the right side of the
198 apparatus to allow full visualization of the injured left hindlimb. The order of the animals placed
199 on the ladder rung was randomized on each testing day. Each session was recorded using a
200 high-speed camera (Canon VIXIA HF-R52 HD) recorded at 60 frames per second with a 1080p
201 resolution. Scoring was done post hoc by separate personnel that were blinded to the condition
202 of the mice. Scoring was based on the 6 point scale outlined in (Metz and Whishaw, 2009)
203 (Table 2) with a score of 0 denoting when a hindlimb completely missed the rung leading to a
204 break in the weight-bearing gait. By comparison, a score of 5 would indicate a slight malposition
205 of the hindlimb on a rung of the test with a score of 6 being perfect placement on the ladder
206 rung. Decoding and interpretation of the data were performed by other personnel of the lab to
207 minimize bias.

208 Statistics

209 All data were tested for normality using the D'Agostino and Pearson tests. Data that were
210 normally distributed were subsequently analyzed using parametric tests; non-parametric tests
211 were used to analyze data that were not normally distributed. Analysis of behavioural data was
212 performed using repeated measure two-way ANOVAs with Bonferroni multiple comparisons, or
213 a mixed-effects analysis. The factors were treatment (KD vs. PD) and time (before and after
214 injuries). Analysis of baseline behavioural changes, neuromodulator levels (HPLC) and ketone
215 blood concentrations between KD and PD animals were performed with unpaired t-tests or
216 Mann Whitney tests. For all statistical analyses, Prism 8 software (GraphPad, San Diego, CA,
217 USA) was used. A significance level of $p < 0.05$ was used throughout represented by an
218 asterisk in figures.

219 Results

220 Mice fed ketogenic diet had increased ketone levels and no changes in
221 body weight.

222 We first examined the efficacy of the KD in producing a steady ketosis state in uninjured mice.
223 All animals were tested for blood ketone levels before and following diet administration (Figure
224 1A). Animals fed a ketogenic diet (KD) showed the concentration of blood ketones (unpaired t-
225 test, $t=6.624$, $df=18$, $p<0.0001$), reaching an average of 1.8 mmol/L of ketones indicative of

226 ketosis (Ruskin et al., 2009). Animals fed a pellet diet (PD) had a blood ketone level at an
227 average of 0.7 mmol/L. When animals in ketosis were fed PD, they showed a decrease in blood
228 ketone levels 60 minutes following ingestion (two-way repeated-measures ANOVA, time x diet:
229 $F(4, 12) = 28.51, p < 0.0001$, time: $F(1.327, 3.980) = 22.78, p = 0.0079$, diet: $F(1, 3) = 51.35$,
230 $p = 0.0056$, Figure 1B), suggesting that maintenance of high blood ketone levels reliably indicate
231 the state of ketosis. No significant difference in weight between animals fed either KD or PD
232 was detected during the course of the study (mixed-effect analysis, time x diet $p = 0.0164$, time:
233 $p = 0.005$, diet: $p = 0.131$, Figure 1C).

234 Levels of monoamines in the mouse spinal cord were unaffected by the 235 ketogenic diet

236 To investigate whether changes in diet influence expression of monoamines, crucial
237 neuromodulators of locomotion and nociception, we next measured levels of monoamines within
238 the lumbar spinal cord of mice fed with KD or PD using HPLC.

239
240 Mice fed KD had significantly lower levels of the essential amino acids tyrosine (unpaired t-tests,
241 $t = 3.221, df = 21, p = 0.0041$, Figure 2A) and tryptophan (unpaired t-tests, $t = 2.489, df = 22$,
242 $p = 0.0209$, Figure 2B). This suggests a lower availability of substrates for the production of
243 monoamines. However, no changes were detected between the diet groups in levels of
244 noradrenaline (unpaired t-test, $t = 1.022, df = 22, p = 0.3177$, Figure 2C), dopamine (unpaired t-test,
245 $t = 0.4043, df = 22, p = 0.6899$, Figure 2D) or serotonin (unpaired t-test, $t = 0.1737, df = 22, p = 0.8637$,
246 Figure 2E). Furthermore, levels of the main metabolite of serotonin, 5-hydroxyindoleacetic acid
247 remained unaltered (unpaired t-test, $t = 0.6498, df = 21, p = 0.5228$, Figure 2F).

248 The ketogenic diet had no effect on sensorimotor recovery in the context of 249 a peripheral nerve injury

250 We sought to understand the effects of KD in sensorimotor recovery following peripheral nerve
251 injury. Spared nerve injury (SNI) was performed as animals exhibit motor deficits and pro-
252 nociceptive phenotypes.

253
254 We first examined general locomotion before and after SNI surgeries using open field tests.
255 Mice were allowed to move freely in the open field boxes and activity recorded for 30 minutes.
256 Before SNI surgeries (baseline), mice showed no difference in total distance travelled (Figure
257 3A), crossing from the periphery of the box to the centre (Figure 3C) and duration of in place
258 activity in the OFT (Figure 3E). Interestingly, the duration of in place activity in the centre, when
259 the animal is active, but not moving outside of a prescribed area, was increased in KD mice
260 compared to PD (unpaired t-test, $t = 2.676, df = 22, p = 0.0138$, Figure 3G). No significant time in
261 centre locomoting was observed (Mann-Whitney test, $p = 0.054$, Figure 3I). These results
262 indicate that at baseline, mice fed KD may be less opposed to spending time in the centre of the
263 box, suggestive of an anxiolytic effect.

264

265 General locomotive behaviours were tracked for 28 days after SNI surgeries. No differences
266 were detected between the diets in total distance travelled (Figure 3B), duration of in place
267 activity (Figure 3D) and crossing over from peripheral to the centre (Figure 3F). No changes in
268 the duration of in place activity (Figure 3H) or locomotion (Figure 3J) in the centre were
269 observed.

270

271 To investigate the effect of diet on nociception, von Frey hair tests were performed to measure
272 mechanical withdrawal thresholds at baseline and after SNI surgeries. There were no
273 differences at baseline (Figure 4A), but mechanical withdrawal threshold was significantly lower
274 after SNI (two-way repeated-measures ANOVA, time x treatment: $F(8, 80) = 1.343$, $p=0.2347$,
275 time: $F(2.98, 59.6) = 2.29$, $p=0.0879$, treatment: $D(2, 20) = 7.185$, $p=0.0045$, Figure 4B). Post-
276 hoc analysis revealed that mice fed KD had significantly lower mechanical withdrawal
277 thresholds 3 days after SNI compared to PD (KD vs. PD, 0.9 g vs. 0.5575 g, $p=0.0231$), but not
278 in the latter timepoints. This indicates an initial worsening of allodynia (pain evoked by normally
279 non-noxious stimulations) after SNI in KD animals.

280

281 To quantify the effects of diet on the recovery of fine motor skills, the ladder rung test was used
282 to qualitatively score the animals' motor ability. Animals showed no statistical difference before
283 SNI between groups fed either a PD or KD at baseline (unpaired t-test, $t=0.7329$, $df=21$,
284 $p=0.4717$; Figure 4C). Following injury, animals initially had a reduced ability to cross the ladder
285 rung platform, which recovered over time. However, no difference in recovery was observed
286 between animals fed KD or PD (Figure 4D) (2-way ANOVA, time x treatment: $F(6, 60) = 1.796$,
287 $p=0.1152$, treatment: $F(2, 20) = 1.882$, $p=0.1783$).

288

289 Before the commencement of sensorimotor behaviour tests, animals were in ketosis with ketone
290 levels of 2.0 ± 0.580 compared to the PD fed animals with ketone levels of 0.653 ± 0.195 SD
291 (unpaired t-test, $t=9.228$, $df=22$, $p<0.0001$). Before euthanasia of the animals, we took a blood
292 sample and determined the ketone concentrations. The PD average was 0.725 ± 0.084 SD
293 while the KD concentration was 2.238 ± 1.509 (unpaired t-test, $t=3.954$, $df=21$, $p<0.0007$).

294

295

296 The ketogenic diet had no effect on sensorimotor recovery in the context of
297 a spinal cord injury

298 We next sought to examine the effects of a KD diet on neurotrauma to the CNS, in particular the
299 thoracolumbar spinal cord. We used the spinal cord hemisection model to produce a repeatable
300 injury. We first examined the motor behaviour of the mice in open field boxes. Before SCI
301 (baseline), mice showed no significant differences in motor behaviours when fed KD or PD.
302 Specifically, we found no difference in the distance in the open field (Figure 5A), time spent in
303 place in the open field (Figure 5C), total crosses of the outside periphery to the inside area
304 (Figure 5E), the duration of in place activity in the center of the open field (Figure 5G), and time
305 spent locomoting in the center of the box (Figure 5I).

306

307 General locomotor behaviors were tracked for 28 days following SCI. No differences were
308 detected between the diets in total distance travelled in the open field (2-way ANOVA, time x
309 treatment: $F(8,84)=2.617$, $p=0.0131$, treatment: $F(2,21)=0.5886$, $p=0.5640$, Figure 5B), duration
310 of in-place activity (Figure 5D), crossing from the periphery to the centre of the box (2-way
311 ANOVA, time x treatment: $F(8,84)=2.907$, $p=0.0065$, treatment: $F(2,21)=0.007431$, $p=0.9926$,
312 Figure 5F). No differences were present between treatment groups in the duration of in-place
313 activity in the centre of the box (Figure 5H), along with no difference in the time spent
314 locomoting in the center of the open field (Figure 5J).

315

316 vFh tests were performed to investigate nociception following SCI. At baseline, animals fed PD
317 chow did not show any marked differences from animals fed KD diet (unpaired t-test, $t=0.385$,
318 $df=22$, $p=0.7038$). Following SCI, no significant changes to the withdrawal threshold over the
319 course of recovery were found (2-way ANOVA, time x treatment: $F(6,84) = 0.9613$, $p=0.457$,
320 treatment: $F(1,14) = 2.02$, $p=0.178$).

321

322 To quantify the effects of diet on the recovery of fine motor skills, the ladder rung test was used
323 to qualitatively score the animals' motor ability. Animals showed no statistical difference
324 between groups fed either PD or KD diets at baseline (unpaired t-test, $t=0.1162$, $df=22$,
325 $p=0.9086$). Following injury, both injury groups had a reduced ladder rung score, compared to
326 the sham mice (2-way ANOVA, time x treatment, $F(8,84) = 5.024$, $p<0.0001$, treatment; $F(2, 21)$
327 $= 23.87$, $p<0.0001$). However, no difference in recovery was observed between animals fed PD
328 or KD in SCI animals.

329

330 Preinjury, animals were in ketosis with ketone levels of 1.97 ± 1.25 SD compared to the PD
331 fed animals with ketone levels of 0.75 ± 0.241 SD (unpaired t-test, $t=3.651$, $df=21$, $p<0.0015$).
332 Before euthanasia of the animals, we obtained a blood sample and determined the ketone
333 concentrations. The PD average was 0.750 ± 0.259 SD while the KD concentration was 2.063
334 ± 0.830 SD (unpaired t-test, $t=4.752$, $df=16$, $p<0.0002$).

335 Discussion

336 There is an urgent need to assess the potential of new therapeutic solutions, including diet-
337 based interventions, to improve recovery following neurotrauma. Our study concluded minimal
338 effects of the ketogenic diet (KD), a common form of metabolic therapy on recovery from neural
339 injuries (Li et al., 2020; Streijger et al., 2013). At baseline, before the injuries, we observed no
340 significant differences in locomotor behaviours using the open field and ladder rung paradigms,
341 or mechanosensory behaviours tested with von Frey hairs. Animals who were on the KD diet
342 also had no difference in levels of neuromodulators (dopamine, serotonin and noradrenaline) in
343 the spinal cord, although we did observe a decrease in levels of tyrosine and tryptophan. Similar
344 reductions in tryptophan have been found in rats fed a KD (Heischmann et al., 2018). Following
345 spared nerve injury (SNI) mimicking injury to the peripheral nervous system, an increase in
346 mechanical allodynia (pain evoked by normally non-noxious stimulus) was observed in KD
347 animals compared to those fed normal pellet diet (PD) during the early phase of pain
348 development. No other changes were detected in locomotor behaviours. In our SCI model, KD

349 did not mitigate nociception or locomotor disability following thoracic spinal cord hemisection.
350 Altogether our data suggest that KD is not a suitable therapy for improving disability after
351 peripheral or spinal cord injuries affecting the hindlimb.

352

353 This study was conceived on the premise that KD contributes to the reprogramming of cellular
354 metabolism, thus modulating processes related to disorders of the central nervous system
355 including aberrant neuronal activity, neuroinflammation and neurodegeneration (Cullingford,
356 2004). The KD replaces glucose with ketone bodies as the source for generating high-energy
357 molecules, including adenosine triphosphate (ATP). It has been reported that by switching to
358 ketone bodies, cellular energy production becomes more efficient (Ruskin and Masino, 2012).
359 We hypothesized this change in cellular metabolism would regulate cellular processes
360 consequential to nerve injuries. Importantly, pain and motor deficits resulting from nerve injuries
361 share similar cellular mechanisms. Immediately after a nerve is injured, neurodegeneration
362 occurs followed by robust inflammatory processes, which aid removal of cellular debris and
363 subsequent sprouting of neural fibres (Benowitz and Popovich, 2011). These processes require
364 precise temporal and spatial signalling of a plethora of trophic factors, relying on receptor
365 binding on efferent neuronal targets for successful circuit remodelling (Hermann and Chopp,
366 2012). Importantly, errors in these intricate processes would result in aberrant neuronal function,
367 thereby perpetuating motor deficits and pain following nerve injuries (Hains et al., 2005;
368 Kohama et al., 2000). Often these changes can occur quickly, for example leading to
369 hyperexcitability of neurons within 24 hours of peripheral nerve injury (Iwata et al., 1999).

370

371 There are other reports which elucidated the molecular underpinnings in the benefits of KD. In a
372 murine model of kainic-acid induced seizures, KD activates peroxisome proliferator-activated
373 receptor-gamma (PPAR γ), which suppressed the expression of pro-inflammatory tumour-
374 necrosis factor-alpha (TNF α) and cyclooxygenase-2 (Cox-2) (Jeong et al., 2011). Using the
375 progressive genetic model of Alzheimer's disease (APP/V7171 mutation), mice on the KD had
376 overall lower levels of amyloid- β (A β) (Auwera et al., 2005), a neurotoxin which upon
377 accumulation forms plaques and leads to cellular death (Mark et al., 1995). However, the same
378 study also reported that KD did not alter behavioural outcomes, object recognition, as a
379 measure of memory function was not improved by KD in the APP/V7171 mutation model
380 (Auwera et al., 2005). An older study, using a different epileptic model (bicuculline-induced
381 seizures) also found the effect of KD to be age-dependent: KD initiated at postnatal day 16
382 protected animals against the tonic-extensor phase of bicuculline-induced seizures, whereas
383 these effects were diminished if KD was initiated at postnatal day 26 (Uhlemann and Neims,
384 1972).

385

386 Our current study showed no overall improvements in nerve injury-induced sensorimotor deficits
387 after KD, which is in contrast to other published observations. Rats fed KD for 3 to 4 weeks
388 showed reduced thermal hyperalgesia in a model of complete Freund's adjuvant-induced
389 chronic inflammation (Ruskin et al., 2009). In a murine model of obesity and prediabetes, the
390 development of mechanical allodynia and loss of peripheral axonal termination was not
391 observed in KD animals, suggesting a neuroprotective mechanism (Cooper et al., 2018).
392 Despite differences in an animal model of choice and testing modality, one experimental

393 concern could be the duration of testing, as pathogenesis of pain and motor deficits is dynamic
394 and could differ between early and late phases of the injury (Kawasaki et al., 2008).

395

396 While no studies that we are aware of have addressed the effects of KD on thoracic SCI or
397 peripheral nerve injury, there have been reports of KD beneficial effects on recovery of reaching
398 behaviour in high cervical injuries in both mice (Streijger et al., 2013) and in human studies
399 (Yarar-Fisher et al., 2018). These effects on reaching behaviour in mice were confined to
400 supination and grasping, suggesting regional effects on the cervical motor circuit. Other metrics
401 showed a rapid increase in use behaviour of the affected limb in KD cohorts. Importantly, our
402 study concentrated on hindlimb, and connectivity onto the CPG is different with large projections
403 from the reticulospinal tract contributing to locomotor activity along with inherent plasticity of the
404 CPG itself (Girgis et al., 2007). Also, we used mice, whereas rats were used in the forelimb
405 study, which score lower on the BBB scale for motor recovery following SCI (Byrnes et al.,
406 2010). To our knowledge, the time course of testing employed in this study was comprehensive.
407 The testing evaluated the most significant time points for motor recovery following injury,
408 characterizing changes both before the onset of injury, and up to 4 weeks after. Most of the
409 behavioural observations steadily plateaued before the end of the study. However, we do note
410 some of the metrics observed following SCI forelimb recovery occurred following six weeks of
411 KD treatment. Surprisingly, none of these beneficial effects were observed if KD was combined
412 with other treatments (ibuprofen, ghrelin, and C16) (Streijger et al., 2014). Future studies could
413 extend the testing period beyond four weeks, and include measures of thermal sensitivity and
414 neurite outgrowth to fully elucidate the effects of the KD. Our study used a hemisection model
415 for SCI, producing a relatively mild injury, and hindlimb specific effects on ascending afferent
416 projections. It is, therefore, possible that KD could be effective in a severe contusion model.

417

418 Another experimental consideration relates to the time required for animals to calibrate to the
419 state of ketosis. Varying paradigms have been reported, ranging from introducing KD for ten
420 days to four weeks before the commencement of experiments (Jeong et al., 2011; Uhlemann
421 and Neims, 1972). In our study, animals fasted for 12 hours and behavioural testing
422 commenced after three weeks of KD. We also reported that animals were no longer in a state of
423 established ketosis 1 hour after the introduction of the normal pellet diet, indicating the
424 sustainability of ketosis is dependent on dedicated, timely, feed of KD. In this work, we ensured
425 that all animals were in states of ketosis before neural injury. However, when animals first enter
426 ketosis, there are spikes in adenosine levels, which could have beneficial short-term effects
427 (Yang et al., 2017). Future studies, with careful monitoring of the state of ketosis, could
428 introduce the diet after nerve injuries, to test the therapeutic potential of KD in alleviating the
429 maintenance of neuropathic pain and motor disability.

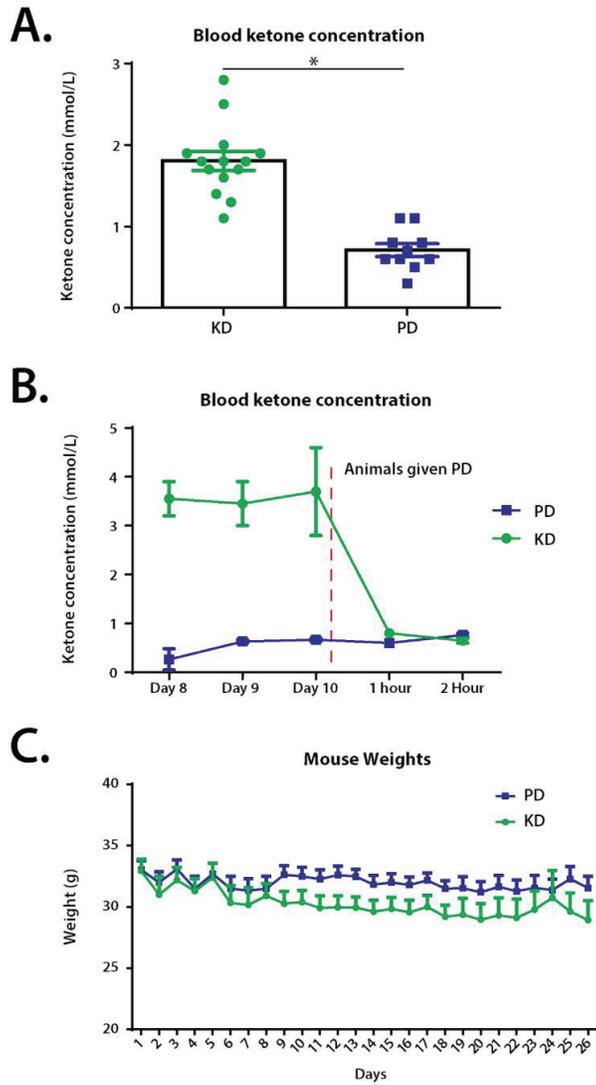
430

431 Mirroring this behavioural observation are our molecular findings showing no changes in levels
432 of neuromodulators after the commencement of KD. We chose to study the levels of dopamine,
433 serotonin and noradrenaline as they share common mechanisms underlying pain and motor
434 functions (Hains et al., 2001; Rommelfanger et al., 2007; Scott et al., 2006). Their levels were
435 determined in the spinal cord, thereby a proxy measure of monoamine release at the terminals
436 rather than cell bodies originating from the brain. Nonetheless, the pathophysiology of pain and

437 motor disabilities is complex, and systemic administration of KD is likely to affect multiple
438 downstream signalling pathways despite the monoamine systems. Of particular interest is the
439 expression of brain-derived neurotrophic factors (BDNF) (Keefe et al., 2017), and other
440 inflammatory mediators which may be modulated by KD (Vizuete et al., 2013) and has an
441 established role in nerve repair (Zhang et al., 2000), plasticity (Seebach et al., 1999), and pain
442 (Coull et al., 2005). Moreover, KD can decrease oxidative stress following traumatic brain injury
443 (Greco et al., 2016). It was found by Tetzlaff and colleagues KD upregulated glucose transporter
444 1 and monocarboxylate transporter-1 (Streijger et al., 2013), and it is possible that this also
445 occurred in our work. In addition, our study detected a decrease in the levels of tyrosine and
446 tryptophan from KD mice, substrates important for the production of monoamines (Wurtman and
447 Fernstrom, 1975). A plausible explanation for the mismatch in levels of precursors and
448 monoamines is the bioavailability of converting enzymes, including tyrosine hydroxylase
449 controlled by changes in diets (DeCastro et al., 2005). Alternatively, the diets used have
450 different concentrations of the amino acids tryptophan and tyrosine with the KD diet (Bio-Serv
451 3666) having lower amounts of both (Table 1). To complete the findings, further investigation of
452 the expression of other amino acid precursors and converting enzymes would be beneficial.

453
454 In summary, our study represents the initial exploration in the therapeutic potential of KD in
455 nerve-injury related disease and showed that KD might not be suitable as therapies for pain and
456 motor recovery for the hindlimb. A translational approach was employed to study the effects of
457 pain and motor recovery from sciatic nerve injury and T10-11 SCI. Our outcome measures
458 showed a minimal effect of the diet and provided a mechanistic insight describing no change in
459 levels of monoamine release at spinal terminals. Other studies could consider a different
460 direction to further elucidate the effect of KD, including a later initiation of the diet, or refocus on
461 a different disease phenotype.

462 **Figure 1**

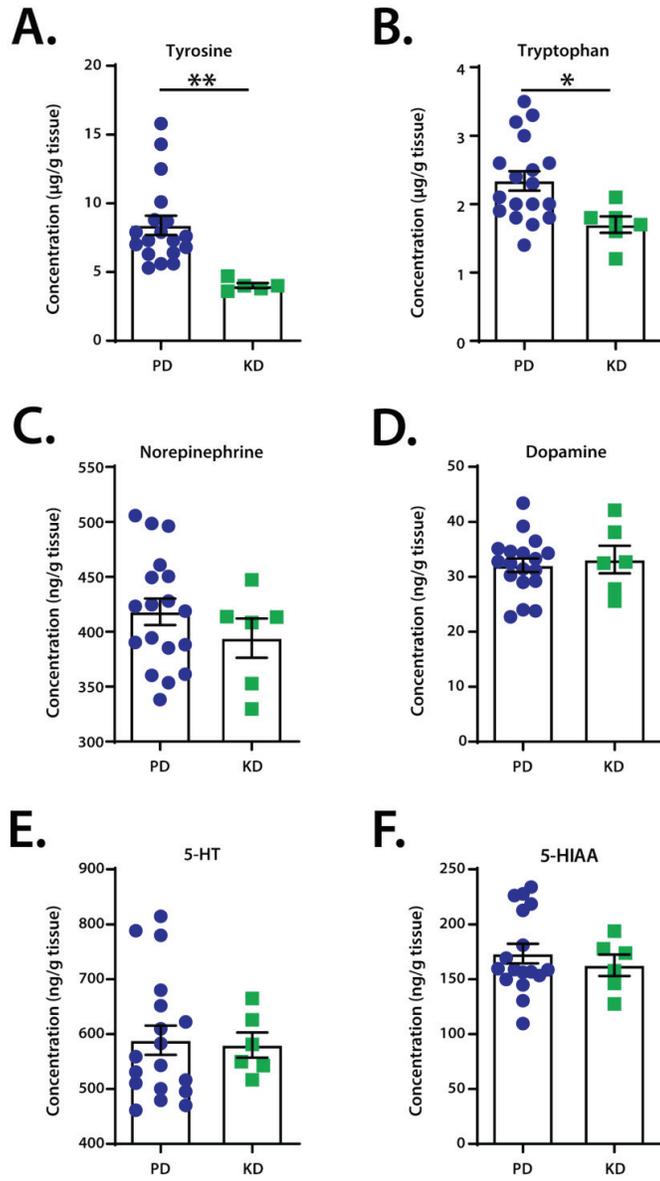


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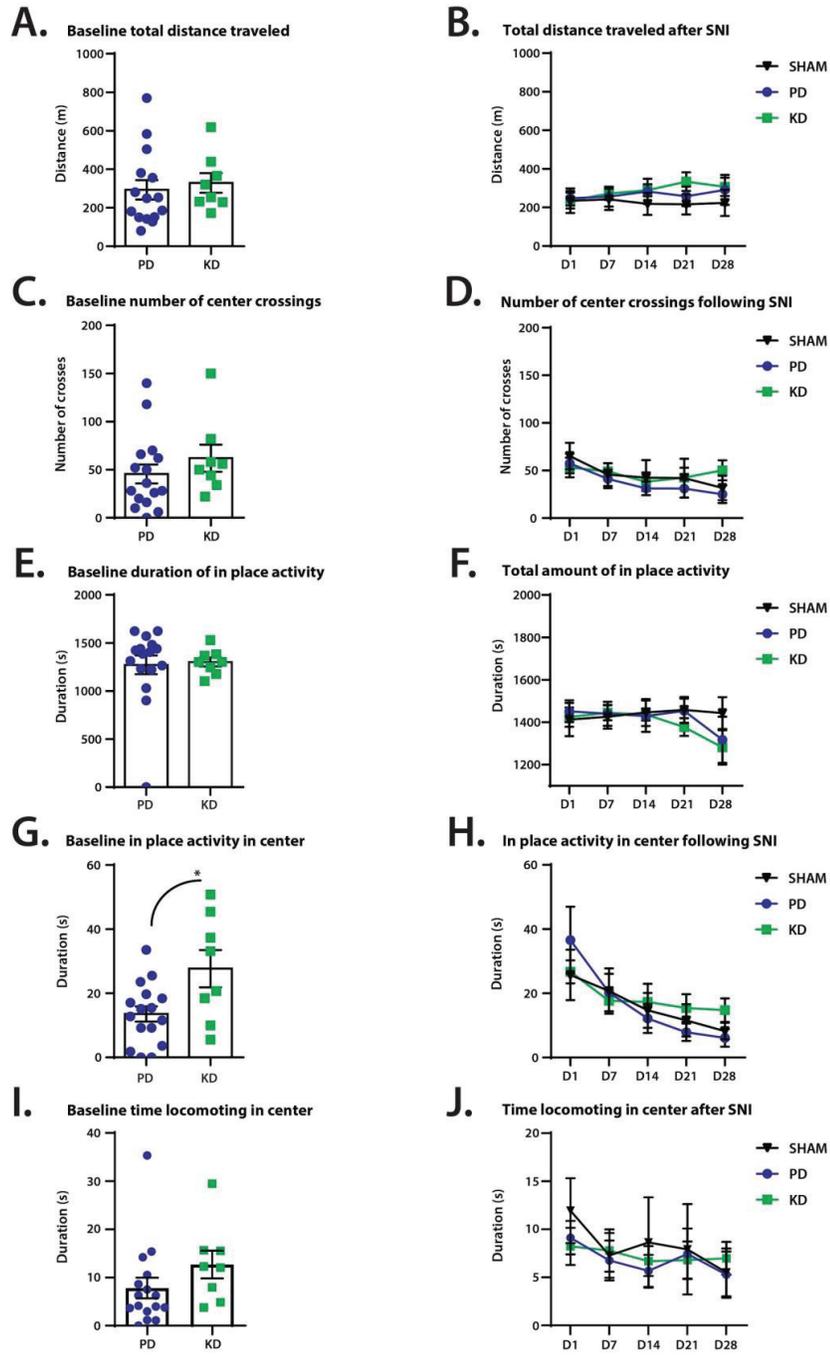
465

466 **Figure 2**



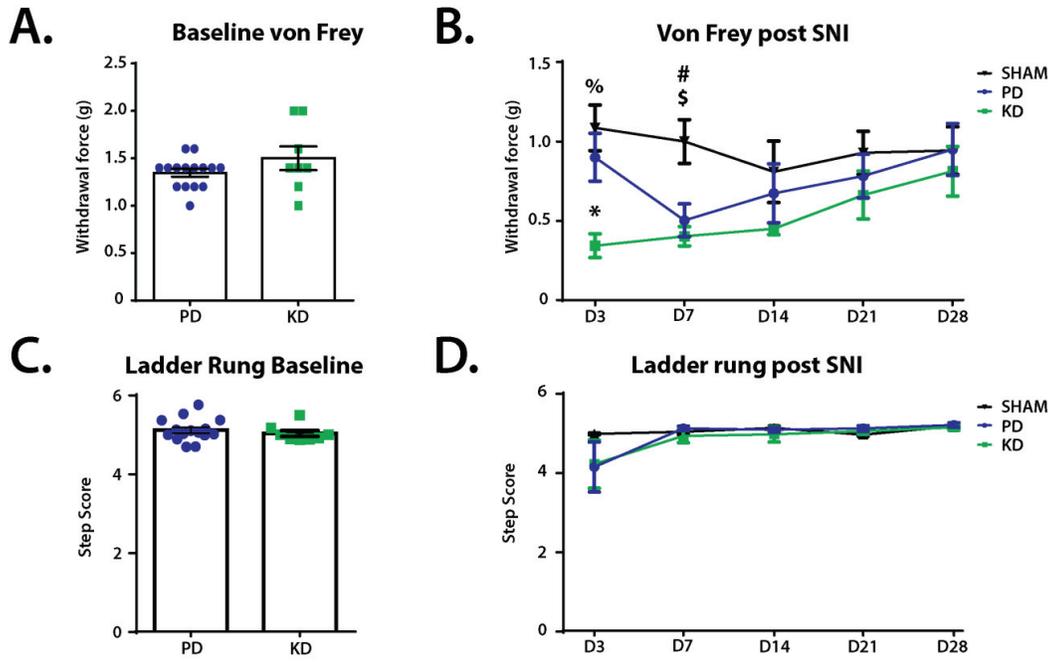
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468 **Figure 3**



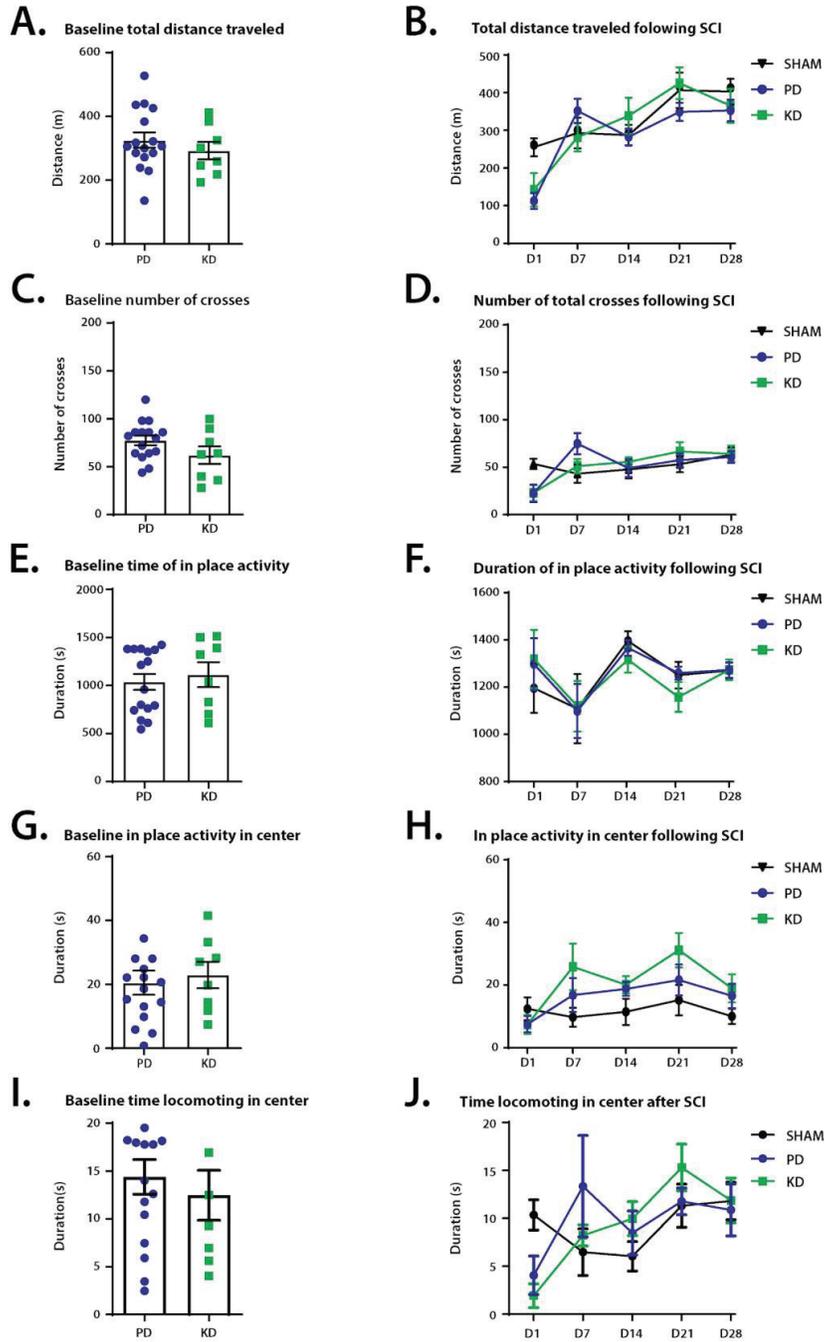
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470 **Figure 4**



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478 **Figure 5**



479
480 **Figure 6**

484

485 Table 1

Macronutrients	Pellet Diet (PD)	Ketogenic Diet (KD)
	Labdiet mouse diet 20	Bioserve F3666
Protein	24.65%	8.60%
Fat	13.21%	75.10%
Carbohydrate	62.14%	3.20%
Other		
Fiber	4.00%	4.80%
Ash	6.10%	3.00%
Moisture	12.00%	<10
Amino Acids		
	%	%
Alanine	1.15	0.23
Arginine	1.22	0.31
aspartic Acid	2.19	0.55
Cystine	0.28	0.03
Glutamic Acid	4.34	1.73
Glycine	0.96	0.21
Histidine	0.5	0.23
Isoleucine	0.97	0.47
Leucine	1.56	0.71
Lysine	1.16	0.63
Methionine	0.7	0.22
Phenylalanine	0.9	0.38
Proline	1.47	0.87
Serine	1.03	0.48
Threonine	0.77	0.37
Tryptophan	0.26	0.1
Tyrosine	0.59	0.48
Valine	1	0.55
Minerals		
Calcium	0.81	0.57
Chloride	0.42	0.17
Copper	13 ppm	6.6 ppm
Chromium	0.81 ppm	2.2 ppm
Flouride/Flourine	10 ppm	0.0 ppm
Iodine	1.5 ppm	0.2 ppm
Iron	220 ppm	38.7 ppm
Magnesium	0.16	0.56
Manganeese	85 ppm	63.6 ppm
Phosphorus	0.6	0.49
Potassium	0.7	0.39
Selenium	0.3 ppm	0.19 ppm
Sodium	3000 ppm	1128 ppm

486 ** All values are % unless otherwise indicated

487 Table 2

Score	Metz Scale 2009	Explanation
0	Total miss	0 points were given when the limb completely missed a rung, i.e. did not touch it, and a fall occurred. A fall was defined as a limb deeply falling in-between rungs and body posture and balance were disturbed.
1	Deep slip	The limb was initially placed on a rung, then slipped off when weight-bearing and caused a fall.
2	Slight slip	The limb was placed on a rung, slipped off when weight bearing, but did not result in a fall nor interrupt the gait cycle. In this case, the animal was able to maintain balance and continue a coordinated gait.
3	Replacement	The limb was placed on a rung, but before it was weight bearing it was quickly lifted and placed on another rung.
4	Correction	The limb aimed for one rung, but was then placed on another rung without touching the first one. Alternatively, a score of 4 was recorded if a limb was placed on a rung and was quickly repositioned while remaining on the same rung.
5	Partial placement	The limb was placed on a rung with either wrist or digits of the forelimb or heel or toes of the hindlimb.
6	Correct placement	The midportion of the palm of a limb was placed on the rung with full weight support.

488

489 Figure Captions

490 **Figure 1. Blood ketone levels and stability one week after administration of ketogenic diet in**
491 **uninjured mice**

492 **A)** A schematic showing a timeline of diet intervention relative to surgery, and behavioural
493 testing. **B)** Blood samples were collected from the tail vein and determined by ketone testing
494 strips and monitoring. Significantly higher ketone blood concentrations were found in mice fed
495 the ketogenic diet compared to a conventional pellet diet. $N=10$ each for *KD* and *PD*,
496 $p<0.0001$ ****. **C)** Mice fed a ketogenic diet reliably remained in ketosis with *KD* being
497 introduced 7 days prior. In addition, within one hour of being given a pellet diet mice were no
498 longer in a state of ketosis. $N=2$ for *KD*, 3 for *PD*. **D)** Comparison of body weights between mice
499 who were fed the ketogenic and pellet diet without surgical intervention, no significant
500 difference was detected at each time point between the diets. $N=6-10$ each for *PD* and *KD*.
501 *PD=*pellet diet, *KD=*ketogenic diet. Error bars indicate mean \pm SEM.

502

503 **Figure 2. The effect of diets on neuromodulator content in the lumbar spinal cord of mice**

504 Mice fed the ketogenic diet had significantly lower content of the monoamine precursors
505 tyrosine **(A)** and tryptophan **(B)**, $p=0.0041$ ** and $p=0.0209$ * respectively. No changes were
506 detected in the levels of monoamines noradrenaline **(C)**, dopamine **(D)**, and serotonin (5-HT, **E**).
507 There were also no changes in the content of the main metabolite of serotonin, 5-
508 hydroxyindoleacetic acid (5-HIAA, **F**). $N=18$ for *PD*, 6 for *KD*. *PD=*pellet diet, *KD=*ketogenic diet.
509 Error bars indicate mean \pm SEM.

510

511 **Figure 3. The effect of diet on open-field locomotor behaviours in a model of peripheral nerve**
512 **injury**

513 Peripheral nerve injury was modelled by spared nerve injury (SNI), comparison of general
514 locomotor behaviours were made at before (baseline) and after SNI. No significant differences
515 were observed between the diets in distance travelled **(A-B)**, duration of in place activity **(C-D)**,
516 and number of crossing from the periphery of the open field box to centre **(E-F)**. At baseline,
517 mice fed the ketogenic diet displayed more in place activity in the centre of the box, **(G)**
518 $p=0.0138$ *. However, no effects of diet were observed after SNI between mice fed pellet or
519 ketogenic diet **(H)**. Duration of locomotion in the center of the open field box is a proxy
520 measure of anxiety-like behaviours. The more time spent in the center of the box suggests less
521 anxiety as mice innately avoid bright open spaces. **I)** At baseline, no significant movement to
522 the centre was observed, $p=0.054$. **J)** No effects of the diet were observed after SNI. $N=7-8$ for
523 *SHAM*, 8 for *SNI+PD*, 8 for *SNI + KD*. *PD=*pellet diet, *KD=*ketogenic diet. Error bars indicate mean
524 \pm SEM.

525

526 **Figure 4. The effect of diet on other sensorimotor behaviours before and after peripheral**
527 **nerve injury**

528 Comparison of mechanical withdrawal threshold (von Frey hair test) and fine motor behaviours
529 (ladder rung) made before (baseline) and after SNI. **A)** No difference in the mechanical
530 withdrawal threshold between the diets at baseline. **B)** Mechanical withdrawal threshold was
531 significantly lower in SNI mice compared to sham, indicating the development of mechanical
532 allodynia (pain evoked by normally innocuous stimulations). *Post-hoc* Bonferroni analysis
533 revealed a significant difference between SHAM+PD and SNI+KD groups on day 3 and 7 after
534 SNI, $p=0.0038$ % and $p=0.0117$ # respectively, SNI+PD also had lower mechanical withdrawal
535 threshold compared to SHAM+PD on day 7 after SNI, $p=0.0428$ \$. 3 days after SNI, SNI+KD also
536 had significantly lower mechanical withdrawal threshold compared to SNI+PD, $p=0.0231$ *. N=7
537 for SHAM, 8 for SNI+KD and SNI+PD. PD=pellet diet, KD=ketogenic diet. *Error bars indicate*
538 *mean +/- SEM.*

539

540 **Figure 5. The effect of diet on open-field locomotor behaviours in a model of spinal cord**
541 **injury**

542 Spinal cord injury (SCI) was modelled by a hemisection T12-13, left side of the spinal cord. A
543 comparison of general locomotor behaviours was made before (baseline) and after SCI.
544 Distance travelled after 30 minutes in the OFT; there was no significant difference at baseline
545 PD vs KD fed animals **(A)**, nor was there difference following injury **(B)**. No difference in the
546 number of crosses from the periphery of the open field box to centre **(C-D)**. At baseline, mice
547 fed the ketogenic diet displayed no differences in activity in place in the entirety of the arena
548 **(E)**, nor in the centre of the box **(G)**. No significant effects of diet were observed for in place
549 activity after SCI between PD or KD fed mice in either the arena **(F)** or the center **(H)**. The
550 duration of locomotion in the center of the open field box is a proxy measure of anxiety-like
551 behaviours. The more time spent in the center of the box indicates less anxiety as mice innately
552 avoid bright open spaces. **I)** non-significant difference at baseline in mice fed KD vs mice fed PD
553 during locomotion in the centre of the open field (*student's t-test*, $p=0.548$.) **J)** No effects of the
554 diet were observed after SCI except for in the time domain, 2-way ANOVA, $p=0.008$. There were
555 no significant differences between animals in the KD group and the PD group following injury
556 (2-way ANOVA, $p=0.8994$). N=8 for SHAM, 8 for SCI+PD, 8 for SCI + KD. PD = pellet diet, KD =
557 *ketogenic diet. Error bars indicate mean +/- SEM.*

558

559 **Figure 6. The effect of diet on other sensorimotor behaviours before and after spinal cord**
560 **injury**

561 Spinal cord injury (SCI) was modelled by a hemisection T12-13, left side of the spinal cord.
562 Comparison of mechanical withdrawal threshold (von Frey hair test) and fine motor behaviours
563 (ladder rung) was made at before (baseline) and after SNI. **(A)** No difference in mechanical
564 withdrawal threshold between the diets at baseline, or after SCI **(B)**. There was no difference in
565 the step score of animals at baseline between animals fed the KD vs PD **(C)**. Diet produced no
566 significant changes over the course of 28 days on the rehabilitation of motor recovery **(D)**. *N=8*
567 *each for SHAM, SNI+KD and SNI+PD*. PD=pellet diet, KD=ketogenic diet. *Error bars indicate*
568 *mean +/- SEM.*

569

570 **Table 1. Nutritional composition of administered diets**

571 Comparison table of the two diets used throughout this study. All values have been converted
572 to percent based on weight from company data sheets of the diets. Pellet diet (PD); Pico-Vac
573 Mouse Diet 20 from LabDiet compared to Ketogenic diet (KD) F3666 6:1 from Bio-Serv. Diets
574 have been sorted into four categories for readability: Macronutrients, Other, Amino Acids,
575 Minerals.

576

577 **Table 2. Ladder rung foot scoring scale**

578 This 6 point scale was used to score the mouse hindlimb positioning when crossing over the
579 ladder rung test. 0 being the complete miss of the hindpaw placement on the ladder rung, up to
580 a maximum score of six with correct paw placement achieved. This scale was used throughout
581 the experiments and was adapted from (Metz and Whishaw, 2009).

582

583

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