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Distinct region- and time-dependent functional cortical adaptations in C57BL/6J mice after short and prolonged alcohol drinking

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1 **Distinct region- and time-dependent functional cortical adaptations in**
2 **C57BL/6J mice after short and prolonged alcohol drinking**

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6 **Abbreviated Title:** Cortical adaptations and ethanol drinking
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49

50 **Abstract**51
52

53 Alcohol (ethanol) use disorder is associated with changes in frontal cortical areas including the anterior
54 cingulate (ACC) and orbitofrontal cortex that contribute to cognitive deficits, uncontrolled drinking, and
55 relapse. Acute ethanol exposure reduces intrinsic excitability of lateral orbitofrontal cortex (IOFC)
56 neurons, while chronic exposure and long-term drinking influence plasticity of intrinsic excitability and
57 function of glutamatergic synapses. However, the time course that these adaptations occur across a
58 history of ethanol drinking is unknown. The current study examined whether short- and long-term
59 voluntary ethanol consumption using an intermittent access paradigm would alter the biophysical
60 properties of deep-layer pyramidal neurons in ACC and IOFC neurons. Neuronal spiking varied in the
61 ACC with an initial increase in evoked firing after one day of drinking followed by a decrease in firing in
62 mice that consumed ethanol for 3 days. No difference in IOFC spike number was observed between
63 water controls and 1-day ethanol drinking mice, but mice that consumed ethanol for 1-week or more
64 showed a significant increase in evoked firing. Voluntary ethanol drinking also produced a total loss of
65 ethanol inhibition of IOFC neurons. There was no effect of drinking on excitatory or inhibitory synaptic
66 events in ACC or IOFC neurons across all time points in this model. Overall, these results demonstrate
67 that voluntary drinking alters neuronal excitability in the ACC and IOFC in distinct ways and on a different
68 time scale that may contribute to the impairment of prefrontal cortex-dependent behaviors observed in
69 individuals with alcohol use disorder.

70 **Significance Statement**

71

72 Adaptations in function of prefrontal cortex neurons caused by chronic ethanol exposure have been
73 described previously, but the time course and region specificity that these changes occur is unknown.

74 We find that voluntary ethanol drinking in mice produces distinct time-dependent changes in cellular
75 excitability across two cortical subregions that varied by direction and duration. These drinking-induced
76 changes in cellular excitability were specific to action potential firing, but not to function of excitatory or
77 inhibitory synapses. Our findings highlight the importance and sensitivity of alterations in cellular firing of
78 cortical neurons that occur early in a drinking history and persist during long-term ethanol consumption.

79

80 **Introduction**

81

82 The prefrontal cortex (PFC) is amongst several brain regions that exhibit vulnerability to alcohol (ethanol)
83 and is of significance due to its role in cognition (Fuster, 2001). Prolonged drinking consumption
84 produces cognitive deficits that impede recovery efforts due to behavioral inflexibility and impulsivity in
85 individuals with alcohol use disorder (AUD) (Pitel et al., 2009; Stavro et al., 2013). Interestingly, PFC-
86 associated cognitive dysfunction is most prominent following early abstinence from chronic ethanol
87 (Loeber et al., 2009; Stavro et al., 2013), suggesting that a focus on PFC plasticity during early
88 withdrawal is clinically relevant. As consumption and ethanol-related deaths increase (White et al., 2020),
89 it is imperative to elucidate the mechanisms underlying uncontrolled drinking.

90 In preclinical mouse models, chronic ethanol exposure induces deficits in PFC-dependent
91 cognitive tasks (Badanich et al., 2011; Kroener et al., 2012; Salling et al., 2018), alters plasticity of
92 intrinsic excitability and function of excitatory and inhibitory synapses in PFC neurons (Cannady et al.,
93 2018; Renteria et al., 2018; Salling et al., 2018), and produces morphological adaptations in dendrites
94 and dendritic spines (Kroener et al., 2012; McGuier et al., 2015; McGuier et al., 2018). The orbitofrontal
95 cortex (OFC) and anterior cingulate cortex (ACC) are two cortical regions involved in cognition that have
96 been implicated in ethanol addiction. Studies show that lateral OFC (lOFC) lesions impair reversal
97 learning (Dias et al., 1996; Rogers et al., 1999; O'Doherty et al., 2001), and optimal OFC firing rates are
98 important for proper reversal learning to occur (Bissonette et al., 2015). Chronic ethanol exposure
99 impairs OFC-dependent reversal learning across mice (Badanich et al., 2011; Coleman et al., 2014), rats
100 (Brown et al., 2007; Badanich et al., 2016), primates (Jedema et al., 2011), and humans (Verdejo-Garcia
101 et al., 2006; Fortier et al., 2008). Previous studies in rodents and non-human primates have
102 demonstrated that acute ethanol exposure reduces intrinsic excitability of lOFC neurons (Badanich et al.,
103 2013; Nimitvilai et al., 2017), while ethanol dependence alters synaptic transmission and the plasticity of
104 intrinsic excitability and blunts acute ethanol inhibition of cell firing (Nimitvilai et al., 2016; Nimitvilai et al.,
105 2017; Renteria et al., 2018). In addition, lesions or chemogenetic inhibition of the lOFC increased ethanol

106 consumption following induction of ethanol dependence (den Hartog et al., 2016), suggesting that
107 changes in OFC cell firing may drive ethanol intake.

108 Like the OFC, the cingulate cortex is a key cortical region that is involved in executive control,
109 decision-making, and reward anticipation (Chudasama et al., 2003; Stevens et al., 2011). The cingulate
110 cortex integrates input from several limbic brain regions (Stevens et al., 2011) and functional deficits in
111 this region are associated with impulsive drug consumption (Jentsch et al., 2014; Starski et al., 2019). A
112 combined clinical and preclinical study showed increased glutamate levels within the cingulate cortex of
113 patients and rats during acute withdrawal from ethanol (Hermann et al., 2012). Other studies have shown
114 that ethanol or withdrawal can influence molecular processes and synaptic plasticity in this brain region
115 (Li et al., 2002; Smith et al., 2017). Moreover, activation of the early immediate gene c-Fos occurs within
116 the cingulate cortex during acute withdrawal from voluntary intermittent access to ethanol (George et al.,
117 2012; Smith et al., 2019). Despite evidence of ethanol-induced neuroadaptations within the cingulate
118 cortex, there is limited understanding of physiological mechanisms that drive cingulate cortex sensitivity
119 to ethanol. Given that the IOFC and ACC play critical, yet dissociable, roles in executive function and
120 goal-directed behavior (Sul et al., 2010; Kennerley et al., 2011), it is important to determine if a history of
121 voluntary consumption modifies physiological function within these regions.

122 Despite evidence for ethanol-induced plasticity of intrinsic excitability in multiple brain structures
123 (Cannady et al., 2018), changes in cortical intrinsic excitability are understudied in voluntary drinking
124 models. It is unclear how IOFC neuroadaptations develop over time and if similar adaptations in intrinsic
125 excitability generalize across cortical structures. Addressing these questions is important as adaptations
126 in intrinsic excitability can facilitate synaptic integration and learning processes (Sehgal et al., 2013) and
127 may precede drug-induced synaptic adaptations (Kourrich et al., 2015). Accordingly, an intermittent
128 alcohol access (IAA) procedure (Rinker et al., 2017) was used to determine the time course of intrinsic
129 excitability changes in ACC and IOFC cortical neurons from water- and ethanol-drinking C57BL/6J mice.
130 Parallel studies measured the effects of short- and long-term ethanol consumption on adaptations in
131 excitatory and inhibitory synaptic transmission.

132

133 **Materials and methods**

134

135 *Animals*

136

137 Male C57BL/6J mice were obtained from Jackson Laboratories (Bar Harbor, ME;
138 <https://www.jax.org/strain/00064>) at 7 weeks of age. They were group-housed (4/cage) and allowed to
139 acclimatize to the colony room for at least one week in a temperature and humidity-controlled AAALAC-
140 approved facility. Animals were maintained on a reverse 12-h light/dark cycle with lights off at 09:00 am
141 and had ad libitum access to food and water. All animals were treated in strict accordance with the NIH
142 Guide for the Care and Use of Laboratory Animals and all experimental methods were approved by the
143 Medical University of South Carolina's Institutional Animal Care and Use Committee.

144

145 *Two-bottle choice intermittent ethanol access*

146

147 After acclimatization, mice were housed individually and were given 24 h intermittent access to alcohol
148 (IAA; 20% v/v) and water from 9 am to 9 am with 24 or 48 h between drinking sessions (Mondays,
149 Wednesdays, and Fridays) (Rinker et al., 2017; Zamudio et al., 2020). Mice were subjected to the IAA
150 model for 1 day, 1 week, 4 weeks, or 7 weeks with 3 drinking sessions/week, and mice in the 1-, 4-, and
151 7-week groups began drinking on Wednesdays or Fridays. The location of ethanol and water bottles was
152 alternated on each drinking session. All groups received two water bottles on intervening days. Drinking
153 sessions were staggered so that electrophysiological recordings were performed from one mouse per
154 recording day. Procedures were identical in age-matched control mice except mice were given access to
155 two water bottles during drinking sessions. Mice were sacrificed 24 h following the final drinking session
156 and brains were extracted and prepared for whole-cell patch-clamp electrophysiology recordings.
157 Ethanol preference was calculated from the amount of ethanol consumed as a percentage of the total
158 amount of fluid (ethanol + water) consumed during each drinking session.

159

160 *Brain slice preparation*

161

162 Brain slices containing the IOFC and ACC were prepared for whole-cell patch-clamp electrophysiology
163 experiments. Following brief anesthesia with isoflurane, the brain was removed rapidly and tissue was
164 blocked coronally for the frontal cortex. The tissue block was mounted in a Leica VT1000S vibratome
165 (Buffalo Grove, IL) containing ice-cold oxygenated (95%O₂, 5%CO₂) sucrose cutting solution and coronal
166 sections (300 μm) were cut. Slices containing the ACC or IOFC were immediately placed in a holding
167 chamber containing oxygenated artificial cerebral spinal fluid (aCSF) at 34°C for 30 min, and kept at
168 room temperature for at least 30 min before recordings. The composition of the cutting solution used was
169 (in mM): 200 sucrose, 1.9 KCl, 1.2 NaH₂PO₄, 6 MgCl₂, 0.5 CaCl₂, 0.4 ascorbate, 10 glucose, 25
170 NaHCO₃, adjusted to 305–315 mOsm. The composition of the aCSF was (in mM): 125 NaCl, 2.5 KCl,
171 1.25 NaH₂PO₄, 1.3 MgCl₂, 2.0 CaCl₂, 0.4 ascorbate, 10 glucose, 25 NaHCO₃, adjusted to 290-310
172 mOsm. Both solutions were saturated with 95% O₂/ 5% CO₂ (pH = 7.4). All reagents used to prepare
173 aCSF, sucrose-containing and internal pipette solutions were purchased from Sigma (St. Louis, MO).

174

175 *Whole-cell patch-clamp electrophysiology*

176

177 An individual slice was placed in the recording chamber and perfused with 34°C aCSF maintained at a
178 flow rate of 2 ml/min. Recordings were localized to deep layers of the ACC and IOFC using Zeiss Axio
179 Examiner D1(Pleasanton, CA) or Olympus BX51W1 (Center Valley, PA) microscopes equipped with
180 infrared Dodt gradient contrast imaging (Luigs and Neumann, Ratingen, Germany). Thin-wall borosilicate
181 glass electrodes (OD = 1.5 mm, ID = 1.17 mm) were pulled on a Sutter Instrument P97 Micropipette
182 Puller (Novato, CA) and had tip resistances ranging from 1.9-5.5 MΩ. Patch pipettes filled with an
183 internal solution were slowly lowered onto the layer V pyramidal neurons to obtain a seal (> 1 GΩ)
184 followed by breakthrough to gain whole-cell access. All whole-cell recordings were carried out in large,
185 regular spiking pyramidal neurons located in deep layers of the ACC or IOFC using Axon MultiClamp
186 700B amplifiers (Molecular Devices, Union City, CA) and Instrutech ITC-18 analog-digital converters

187 (HEKA Instruments, Bellmore, NY) controlled by AxographX software (Axograph, Sydney, Australia).
188 Events were filtered at 4 kHz and digitized at a sampling rate of 10 kHz.

189

190 *Intrinsic excitability experiments*

191

192 To determine the effects of IAA on the intrinsic excitability of ACC and IOFC neurons, current-clamp
193 recordings were performed in deep-layer pyramidal neurons. Spike firing was induced by direct current
194 injection (IOFC, 750 msec; ACC, 1000 msec) through patch pipettes filled with a potassium gluconate
195 internal solution (in mM; 120 KGluconate, 10 KCl, 10 HEPES, 2 MgCl₂, 1 EGTA, 2 NaATP, 0.3 NaGTP,
196 adjusted to 294 mOsm, pH=7.4). All recordings were analyzed for the number of spikes in response to
197 each current step, resting membrane potential (RMP, mV), action potential (AP) height (mV), half-width
198 (ms), rise time (ms) and after-hyperpolarization (AHP, mV). RMP was obtained from the membrane
199 potential just prior to initiating current steps. AHP magnitude was calculated by subtracting the lowest
200 potential during hyperpolarization from action potential threshold and reported values are the mean of
201 the first three AHP magnitudes recorded. Additionally, ACC pyramidal cells were injected with
202 hyperpolarizing current to examine potential contributions of the hyperpolarization-activated cation
203 current (I_h) by measuring the difference between the sag and steady-state phases of current injection
204 (Routh et al., 2009; Salling et al., 2018). To test the effect of acute ethanol on spike firing in IOFC
205 neurons, concentrations of ethanol (11, 33, and 66 mM) were bath applied for 8 min in a stepwise
206 manner, followed by final washout with aCSF for at least 10 min. Cells that did not return to pre-ethanol
207 baseline were not included in data analysis.

208

209 *Spontaneous synaptic currents*

210

211 A cesium methanesulfonate internal pipette solution (in mM; 125 CsMeSO₃, 10 CsCl, 5 NaCl, 10
212 HEPES, 1 EGTA, 2 MgCl₂, 5 MgATP, 0.3 NaGTP) was used to measure spontaneous excitatory
213 postsynaptic currents (sEPSCs) and spontaneous inhibitory postsynaptic currents (sIPSCs) in the same

214 neuron by recording events at a membrane potential of -70 or 10 mV, respectively (Ma et al., 2013).
215 Each event was recorded for 5 min. Spontaneous events were detected offline using a template-
216 matching algorithm and a threshold amplitude of 6 pA for sEPSCs and 10 pA for sIPSCs. Synaptic drive
217 was calculated according to the following formula: sEPSC amplitude x frequency / sIPSC amplitude x
218 frequency (Pleil et al., 2015).

219

220 *Statistical analysis*

221

222 Ethanol intake and preference values are shown as box plots. Experimental data are expressed as the
223 mean \pm SEM and were analyzed with Prism software (version 8.1.0, GraphPad Software Inc., San Diego,
224 CA) using mixed-effects models or t-tests, and comparisons were considered significantly different when
225 $p < 0.05$. All N values for each treatment group are shown in the figure legends, and individual values for
226 each mouse are shown in the figures, when appropriate.

227

228 **Results**

229

230 *Ethanol intake and preference*

231

232 The amount of daily ethanol (in g/kg) that mice consumed and their ethanol preference in the IAA model
233 is shown in **Figure 1**. Because functional measures were obtained at four different time points
234 throughout the model, drinking and preference data are shown for mice that were allowed to drink for 1 d,
235 1 week, 4 weeks, and 7 weeks. There was a range of drinking and preference across individual
236 C57BL/6J mice with daily ethanol intake averaging between 10 and 16 g/kg (**Figure 1A**). Preference for
237 ethanol in these mice was between 40 and 60% (**Figure 1B**). Mice in this study did not increase their
238 drinking across time (1 week: $F_{(1.983, 25.78)} = 0.9017$, $p = 0.417$; 4 weeks: $F_{(5.512, 76.67)} = 1.714$, $p = 0.1349$; 7
239 weeks: $F_{(6.111, 79.44)} = 1.143$, $p = 0.3453$), similar to that in some studies reporting no escalation of drinking

240 when mice are given intermittent access to 20% ethanol starting on the first drinking session (Crabbe et
241 al., 2012; Warnault et al., 2016; Rinker et al., 2017; Zamudio et al., 2020).

242

243 *Transient changes in the intrinsic excitability of ACC pyramidal neurons*

244

245 Previous studies in adult mice have demonstrated that passive ethanol vapor exposure altered intrinsic
246 firing properties of pyramidal cells in subdivisions of the PFC (Pleil et al., 2015; Nimitvilai et al., 2016;
247 Cannady et al., 2018). However, it is not clear if a history of short or long-term voluntary ethanol
248 consumption affects intrinsic excitability of ACC and IOFC neurons. Therefore, a series of current steps
249 were applied to deep layer ACC pyramidal neurons of mice following IAA access to determine if there are
250 changes in intrinsic firing properties over the time course of ethanol consumption. Spike firing was
251 significantly increased in ACC pyramidal cells following a single day of 24 h ethanol access (**Figure 2A**).
252 Statistical analysis by two-way RM ANOVA with current steps as a repeating factor showed a significant
253 increase in the number of action potentials in the ethanol group relative to age-matched water control
254 mice ($F_{(30, 990)} = 1.869$; $p = 0.0033$). Interestingly, mice that consumed ethanol for 1 week showed
255 reduced neuronal spiking ($F_{(30, 930)} = 2.275$; $p < 0.001$) compared to age-matched control mice (**Figure**
256 **2B**). These bidirectional effects on ACC pyramidal cell firing appear to be transient since there were no
257 significant differences in spike firing in mice consuming ethanol for 4 or 7 weeks (**Figure 2C** and **2D**).
258 Importantly, there were no significant differences in neuronal spiking between aged-matched water only
259 mice across all time points ($F_{(60, 1040)} = 1.149$; $p = 0.2094$; **Figure 2**). Other biophysical properties of ACC
260 neurons, such as resting membrane potential, or action potential threshold, height, width, or rise time,
261 measured during current-clamp recordings were not significantly different across treatment groups
262 (**Table 1**). In addition to neuronal spiking, we examined sag ratio percentage during hyperpolarizing
263 current steps as a preliminary indicator for neuroadaptations in HCN channel function. Hyperpolarization-
264 activated cation currents have been implicated in cellular excitability (Shah, 2014) and altered intrinsic
265 firing properties of PFC neurons in adolescent mice following ethanol drinking (Salling et al., 2018).
266 There were no significant differences in sag ratio percentage between ethanol- and water-drinking

267 groups across all time points (all two-tailed unpaired t -test: $p > 0.05$; data not shown). Taken together,
268 these data suggest that voluntary ethanol consumption produces transient adaptations in ACC intrinsic
269 excitability.

270

271 *IAA does not alter spontaneous synaptic transmission of ACC pyramidal neurons*

272

273 Synaptic events in the cingulate cortex are reduced following acute bath application of ethanol (Li et al.,
274 2002). It is not clear, however, if a history of consumed ethanol alters synaptic activity of ACC pyramidal
275 cells. EPSCs and IPSCs recorded at -70 and +10 mV, respectively, were largely unaltered by ethanol
276 consumption in all tested drinking groups relative to water control mice (**Figures 3 and 4**). There were no
277 significant effects on the amplitude or frequency of EPSCs and IPSCs in pyramidal cells of the ACC
278 across treatment groups. In addition, integrating sEPSC and sIPSC amplitudes with frequencies to
279 calculate an overall synaptic drive did not reveal significant differences between ethanol and water
280 drinkers (all two-tailed unpaired t -test: $p > 0.45$; data not shown). These data suggest that observed
281 changes in ACC intrinsic excitability in the drinking mice occurred independently of changes in synaptic
282 function.

283

284 *IAA increases the intrinsic excitability of IOFC neurons*

285

286 In contrast to the ACC, no significant differences in current-evoked spiking of IOFC neurons were
287 observed between 1-day IAA mice and age-match water control mice (two-way ANOVA, $F_{(8,528)} = 1.446$,
288 $p = 0.1746$; **Figure 5A**). However, IOFC AP spiking was significantly increased in 1-week drinking (two-
289 way ANOVA, $F_{(8,592)} = 31.01$, $***p < 0.01$; **Figure 5B**), 4-week drinking (two-way ANOVA, $F_{(8,504)} = 9.755$,
290 $***p < 0.0001$; **Figure 5C**), and 7-week drinking (two-way ANOVA, $F_{(8,600)} = 2.764$, $***p < 0.01$; **Figure 5D**)
291 mice as compared to age-matched water-drinking controls. Similar to the ACC, there was no age-
292 dependent difference in AP firing across the water drinking controls (two-way ANOVA, $F_{(3,134)} = 0.2178$, p
293 $= 0.889$).

294 Similar to a previous report in ethanol dependent mice (Nimitvilai et al., 2016), the increase in
295 spike firing in the 4-week ethanol drinking group was associated with a significant reduction in the
296 amplitude of the AHP as compared to the 4-week water drinkers (two-tailed unpaired t -test, $t_{(62)} = 2.254$,
297 $*p = 0.0277$) (**Table 1**). The AHP amplitudes in the 1-day, 1-week, and 7-week IAA were not different
298 from their water-drinking counterparts. Other electrophysiological characteristics of IOFC neurons
299 obtained from water control and IAA mice are summarized in **Table 1**. Except for the 4-week groups that
300 also showed significant differences in the resting membrane potential (two-tailed unpaired t -test, $t_{(63)} =$
301 2.652 , $*p = 0.0101$) and the action potential threshold (two-tailed unpaired t -test, $t_{(63)} = 2.981$, $**p =$
302 0.0041), there were no differences in the resting membrane potential or action potential threshold, height,
303 width, or rise time between ethanol- and water-drinking mice (all two-tailed unpaired t -test: $p > 0.05$).
304 These results suggest that voluntary ethanol consumption increases the intrinsic excitability of IOFC
305 neurons, similar to that observed in ethanol dependent mice.

306

307 *Acute ethanol exposure decreases the intrinsic excitability of IOFC*

308

309 Previous studies have demonstrated that acute exposure to ethanol suppresses the intrinsic excitability
310 of IOFC neurons in both male and female mice (Badanich et al., 2013; Nimitvilai et al., 2020), and that
311 this inhibitory effect is lost in ethanol-dependent mice (Nimitvilai et al., 2016) and heavy drinking non-
312 human primates (Nimitvilai et al., 2017). Here, we examined the effects of acute ethanol exposure on
313 IOFC neurons obtained from water and ethanol drinking mice. Evoked AP spiking of IOFC neurons in all
314 water drinking groups was reduced by bath application of ethanol in a concentration-dependent manner
315 (**Figure 6A**; two-way RM ANOVA: main effect of ethanol; $F_{(27,370)} = 13.63$, $*p < 0.0001$ for 1-day water
316 drinkers; $F_{(27,513)} = 16.76$, $***p < 0.0001$ for 1-week water drinkers; $F_{(27,459)} = 15.29$, $***p < 0.0001$ for 4-
317 week water drinkers; $F_{(27,405)} = 19.89$, $***p < 0.0001$ for 7-week water drinkers).

318 Similarly, acute ethanol (11 – 66 mM) significantly decreased spike firing of IOFC neurons in 1-
319 day, 1-week, and 7-week ethanol drinking mice (**Figure 6B**; two-way RM ANOVA: main effect of ethanol;
320 $F_{(27,486)} = 11.82$, $***p < 0.0001$ for 1-day IAA; $F_{(27,513)} = 8.52$, $***p < 0.0001$ for 1-week IAA; $F_{(27,353)} = 5.82$,

321 ****** $p < 0.001$ for 7-week IAA). In the mice that consumed ethanol for 4 weeks, however, there was a total
322 loss of inhibition of IOFC neuron firing by bath application of 11 – 66 mM ethanol (**Figure 6B**; $F_{(27,330)} =$
323 0.051 , $p = 0.9806$). As reported for ethanol-dependent mice and heavy drinking monkeys (Nimitvilai et
324 al., 2016; Nimitvilai et al., 2017), these data demonstrate that intermittent ethanol drinking also
325 suppresses the inhibitory effects of acute ethanol in the IOFC, but only after 4 weeks of consumption.

326

327 *IAA does not alter spontaneous synaptic transmission of IOFC neurons*

328

329 We then examined whether sEPSCs and sIPSCs in IOFC neurons were altered across the 7 weeks of
330 ethanol drinking. There were no differences in the amplitude or the frequency of sIPSCs (**Figure 7**) or
331 sEPSCs (**Figure 8**) between ethanol and water drinking mice (all two-tailed unpaired t -test: $p > 0.05$).
332 Moreover, there were no shifts in synaptic drive across treatment groups (all two-tailed unpaired t -test: p
333 > 0.05 , data not shown). Consistent with the findings from the ACC, the increased intrinsic excitability of
334 IOFC neurons following voluntary drinking can occur without functional changes in inhibitory or excitatory
335 synaptic transmission.

336

337 **Discussion**

338

339 The orbitofrontal and anterior cingulate cortices play critical, yet dissociable, roles in executive function
340 and goal-directed behavior (Sul et al., 2010; Kennerley et al., 2011) and work together to facilitate
341 reinforcement-guided decision-making (Fatahi et al., 2018). The present study investigated the effects of
342 voluntary drinking on intrinsic excitability and synaptic events in pyramidal neurons within the ACC and
343 IOFC of mice. Furthermore, we sought to determine how drinking history affected functional plasticity
344 within these cortical subregions. Ethanol consumption produced transient bidirectional changes in ACC
345 intrinsic excitability that normalized after one month while changes within the IOFC were unidirectional,
346 slower to develop, and persistent for up to 7 weeks. The adaptations in the intrinsic excitability of ACC
347 and IOFC neurons were not accompanied by significant changes in synaptic events. Thus, intrinsic

348 mechanisms that control cell firing in these regions appear to be more sensitive to drinking-induced
349 functional adaptations than those that regulate synaptic activity at least for the intermittent access model
350 of voluntary ethanol intake.

351 Few studies have examined the contribution of the ACC to ethanol consumption, which is
352 surprising given its important role in general fluid consumption (Gizowski and Bourque, 2018) and reward
353 processing (Walton et al., 2006; Holec et al., 2014). To our knowledge, this study is the first to investigate
354 how drinking history correlates to changes in ACC intrinsic firing properties. The observed transient
355 changes in ACC excitability were interesting particularly since intrinsic excitability increased after 1 day of
356 drinking followed by a decrease in firing after one week of drinking. The mechanisms underlying these
357 transient changes are unclear but could reflect the encoding of reward value by the ACC during initial
358 ethanol intake. It has been suggested that the ACC encodes the amount of effort associated with
359 achieving a goal (Walton et al., 2006). Thus, initial responses to approach and consume the ethanol
360 solution may have driven enhanced excitability of ACC neurons that reversed after 1 week of drinking
361 when encoded memories have been consolidated. Others have reported changes in intrinsic excitability
362 in response to other learning-mediated behaviors. For example, in rodents, mPFC intrinsic excitability
363 was decreased after training in a response inhibition task (Hayton et al., 2011), or after a history of fear
364 conditioning (Santini et al., 2008). Accordingly, decreases in ACC intrinsic excitability could reflect a
365 consolidation of an ethanol-associated memory. It is also plausible that the transient change in ACC
366 pyramidal cell spiking observed following early drinking reflect a response to novelty followed by
367 habituation. Indeed, exposure to novelty induces activation of ACC neurons that habituates with
368 repeated exposure to the same stimuli (Struthers et al., 2005). It is important to note that these
369 aforementioned hypotheses are speculative due to the correlative nature of the current study and more
370 in-depth investigation is required to elucidate specific mechanisms that underlie changes in ACC cell
371 firing following voluntary ethanol drinking.

372 With regard to the IOFC, results from the present study demonstrate that voluntary ethanol
373 consumption enhanced the excitability of IOFC neurons, transiently reduced AHP amplitude, and
374 suppressed the inhibition of firing by acute ethanol after 4 weeks of drinking. These findings are similar to

375 previous reports that used a vapor model to generate ethanol dependent mice (Nimitvilai et al., 2016;
376 Nimitvilai et al., 2020). These data are intriguing since enhancements in IOFC intrinsic excitability
377 emerged after one week of drinking and persisted for the duration of the study. The onset of enhanced
378 excitability in the OFC may reflect ethanol-mediated alterations in ion channel function, such as K_{Ca2}
379 channels that have been implicated in modulating plasticity of intrinsic excitability and reduced AHP
380 amplitude following chronic ethanol exposure (Hopf et al., 2010; Padula et al., 2015; Nimitvilai et al.,
381 2016). Indeed, an increase in intrinsic excitability of IOFC neurons in mice withdrawn from repeated
382 cycles of ethanol vapor exposure was accompanied by a reduction in the AHP amplitude and a functional
383 downregulation of apamin-sensitive K_{Ca2} channels (Nimitvilai et al., 2016). Although not tested in the
384 present study, a decrease in the AHP amplitude of IOFC neurons in 4-week IAA group could reflect a
385 similar loss of functional K_{Ca2} channels. However, we note that the enhanced excitability of IOFC
386 neurons observed after long-term ethanol consumption in the present study is opposite to that observed
387 in OFC neurons from macaques with a long (>6 months) history of drinking, although those neurons also
388 showed reduced sensitivity to acute ethanol (Nimitvilai et al., 2017), and results from a previous study in
389 ethanol-vapor treated mice (Renteria et al., 2018). This may reflect differences in species, methodology,
390 length of drinking history, or time point of measurement after ethanol availability. Regardless of the
391 direction of change, these findings demonstrate that both passive and voluntary exposure to ethanol
392 significantly alters the excitability of OFC neurons. The effects in the OFC were in contrast to the ACC
393 where changes in intrinsic excitability emerged after a single day of drinking, demonstrating that a history
394 of consumed ethanol differentially affects intrinsic firing of pyramidal neurons in a region- and time-
395 dependent manner. These findings add to a growing literature indicating that region-specific changes in
396 neuronal spiking are likely the result of varying ethanol sensitivity of proteins or signaling systems that
397 regulate cell firing.

398 Despite the early and robust adaptations in the plasticity of intrinsic excitability, intermittent
399 ethanol drinking did not alter synaptic glutamatergic or GABAergic function or produce an overall change
400 in the excitatory/inhibitory balance in the ACC or IOFC. These findings are in contrast to the enhanced
401 synaptic plasticity reported in dopamine D1 receptor-containing medium spiny neurons in the NAc shell

402 of mice that had access to 20% ethanol for 1 day (Beckley et al., 2016). Other models of chronic ethanol
403 exposure, such as the ethanol vapor model, produce significant changes in synaptic transmission of
404 IOFC neurons (Nimitvilai et al., 2016; Renteria et al., 2018) and mPFC neurons (Kroener et al., 2012;
405 Pleil et al., 2015). In macaques, a history of chronic ethanol consumption increased the amplitude and
406 frequency of synaptic currents and altered expression of synaptic proteins in the IOFC (Nimitvilai et al.,
407 2017). In the present study, however, intermittent ethanol drinking did not affect the amplitude or the
408 frequency of spontaneous EPSCs or IPSCs in ACC and IOFC pyramidal neurons. Again, this could
409 reflect differences in species, experimental methods, sampling times, or drinking amounts. For example,
410 in the ethanol dependence mouse study, inhibitory and excitatory transmission was measured at 3-10
411 days into withdrawal (Nimitvilai et al., 2016), while in monkeys with a long history of drinking,
412 spontaneous EPSCs were measured <12 h after the last drinking session (Nimitvilai et al., 2017). Here,
413 we measured synaptic activity at 24 h after ethanol availability suggesting that chronic ethanol-induced
414 changes in synaptic transmission may require longer abstinence periods or a more extensive drinking
415 history. While evidence suggests that changes in intrinsic excitability can serve as a metaplastic
416 mechanism to allow synaptic adaptations to occur (Sehgal et al., 2013), the results of the present study
417 indicate that plasticity of intrinsic excitability can occur without parallel or subsequent changes in
418 synaptic transmission following ethanol intake.

419 Overall, the results of the present study suggest that chronic voluntary ethanol drinking in the
420 home cage induces transient and persistent changes in intrinsic excitability of ACC and IOFC neurons,
421 respectively. Lack of changes in spontaneous synaptic events after IAA also suggests that alteration in
422 synaptic transmission of ACC and IOFC neurons may vary based on the route of administration and
423 length of abstinence. While other studies have suggested that the ACC and OFC have roles in encoding
424 rewards, inherent limitations of the procedures used in the current study do not allow for direct
425 measurement or comparisons of the contributions of excitability-related mechanisms to ethanol
426 consumption. Future work using instrumental procedures combined with opto- or chemogenetic
427 approaches that allow for more control over behavior will further elucidate the specific contributions of
428 these brain regions in modulating the rewarding aspects of ethanol consumption. Notwithstanding the

429 limitations of home cage drinking studies, these data provide important new insights into how voluntary
430 ethanol drinking alters the plasticity of cortical brain regions involved in higher-order processing. These
431 findings suggest that dynamic changes in intrinsic excitability of cortical neurons could contribute to
432 cognitive dysfunction and excessive drinking observed in individuals with alcohol use disorder.

433

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587

588 **Table 1:** Electrophysiological properties of ACC and IOFC deep-layer pyramidal neurons from water- and
 589 ethanol-drinking mice.

Drinking Length	Condition	RMP (mV)	AP Threshold (mV)	AP Amplitude (mV)	AP Width (ms)	AP Rise (ms)	AHP Amplitude (mV)
Anterior Cingulate Cortex							
1 day	Water	-69.73 ± 2.18	-42.19 ± 0.90	62.25 ± 3.1	2.38 ± 0.14	0.40 ± 0.01	13.15 ± 0.77
	EtOH	-66.39 ± 1.82	-42.06 ± 0.94	70.41 ± 4.5	2.23 ± 0.10	0.43 ± 0.02	14.25 ± 0.81
1 week	Water	-69.99 ± 2.03	-41.15 ± 1.16	63.02 ± 1.8	2.20 ± 0.10	0.39 ± 0.02	15.14 ± 0.77
	EtOH	-66.46 ± 1.96	-39.50 ± 1.39	63.29 ± 2.1	1.97 ± 0.06	0.38 ± 0.01	13.79 ± 1.08
4 weeks	Water	-70.89 ± 1.81	-44.74 ± 1.43	60.80 ± 2.4	1.71 ± 0.16	0.35 ± 0.01	13.62 ± 1.03
	EtOH	-69.04 ± 2.36	-44.19 ± 1.15	57.80 ± 2.7	1.94 ± 0.13	0.38 ± 0.02	13.07 ± 0.87
7 weeks	Water	-68.70 ± 1.31	-45.68 ± 1.86	60.12 ± 2.9	2.07 ± 0.09	0.39 ± 0.02	12.29 ± 1.18
	EtOH	-70.88 ± 2.10	-44.74 ± 1.14	59.01 ± 1.9	2.33 ± 0.17	0.42 ± 0.03	13.12 ± 1.04
Lateral Orbitofrontal Cortex							
1 day	Water	-68.98 ± 0.8	-38.32 ± 1.41	63.48 ± 2.07	1.82 ± 0.07	0.37 ± 0.01	16.14 ± 0.63
	EtOH	-69.47 ± 0.57	-41.89 ± 1.19	63.89 ± 1.94	1.81 ± 0.08	0.38 ± 0.01	15.19 ± 0.42
1 week	Water	-69.71 ± 0.67	-37.76 ± 1.32	64.40 ± 2.11	1.76 ± 0.04	0.36 ± 0.01	15.92 ± 0.56
	EtOH	-68.44 ± 0.64	-37.99 ± 1.49	61.49 ± 1.96	1.92 ± 0.07	0.38 ± 0.01	15.73 ± 0.67
4 weeks	Water	-69.97 ± 0.68	-37.50 ± 1.61	63.25 ± 2.15	1.98 ± 0.10	0.39 ± 0.01	16.04 ± 0.59
	EtOH	-67.87 ± 0.41*	-43.80 ± 1.38*	65.25 ± 2.13	1.73 ± 0.08	0.37 ± 0.01	13.99 ± 0.69*
7 weeks	Water	-70.07 ± 0.54	-40.29 ± 1.20	60.43 ± 2.07	1.71 ± 0.06	0.37 ± 0.01	15.17 ± 0.43
	EtOH	-69.10 ± 0.47	-41.18 ± 1.47	65.16 ± 2.29	1.81 ± 0.05	0.37 ± 0.01	15.01 ± 0.67

590 *Abbreviations:* RMP, resting membrane potential; AP, action potential; AHP, after-hyperpolarization.
 591 Values are mean ± SEM. Two-tailed unpaired *t*-test was used to compare differences in
 592 electrophysiological properties between water control and ethanol drinking mice. “*” in bold font = *p* < .05
 593 vs water drinking controls.

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607 **Figure legends**

608

609 **Figure 1.** Two-bottle choice intermittent ethanol consumption and preference. **(A)** Box plots of ethanol
610 drinking (in g/kg/24 h) from 1-day (N = 14 mice), 1-week (N = 14 mice), 4-week (N = 15 mice), and 7-
611 week (N = 14 mice) groups. **(B)** Box plots of ethanol preference from 1-day, 1-week, 4-week, and 7-week
612 drinking groups calculated from the amount of ethanol consumed as a percentage of the total amount of
613 fluid (ethanol + water) consumed each drinking day.

614

615 **Figure 2.** Intermittent ethanol access transiently and bidirectionally alters evoked current-induced spiking
616 in deep-layer ACC neurons. Representative traces and number (mean \pm SEM) of spikes from ACC
617 neurons plotted against a series of 10 pA step current injections following **(A)** 1 day (N = 5-7 mice/group
618 and 16-19 cells/group), **(B)** 1 week (N = 5 mice/group and 16-17 cells/group), **(C)** 4 weeks (N = 5-6
619 mice/group and 11-17 cells/group), and **(D)** 7 weeks (N = 5-6 mice/group and 12-16 cells/group) of
620 ethanol drinking. Data are expressed as the mean \pm SEM plotted against a series of current injections. **p*
621 < 0.05.

622

623 **Figure 3.** Ethanol drinking does not affect spontaneous IPSC properties in ACC pyramidal neurons. **(A)**
624 Representative traces of spontaneous IPSCs recorded from deep-layer pyramidal neurons in the ACC
625 from water and ethanol drinking mice across time. The **(B)** amplitude, **(C)** frequency, and **(D)** interevent
626 interval following 1 day, 1 week, 4 weeks, and 7 weeks were largely unaffected by ethanol drinking.
627 White circles or diamonds represent individual values for water and ethanol drinking mice, respectively.
628 Data are expressed as mean \pm SEM. N = 4-5 mice/group and 6-12 cells/group.

629

630 **Figure 4.** Intermittent access drinking does not affect spontaneous EPSC properties in deep-layer ACC
631 pyramidal neurons. **(A)** Representative traces of spontaneous EPSCs recorded in ACC neurons from
632 water and ethanol drinking mice across 7 weeks of intermittent access to ethanol. The **(B)** amplitude, **(C)**
633 frequency, and **(D)** interevent interval following a history of drinking for 1 day, 1 week, 4 weeks, and 7

634 weeks. White circles or diamonds represent individual values for water or ethanol drinking mice,
635 respectively. Data are expressed as mean \pm SEM. N = 4-6 mice/group and 8-12 cells/group; ** $p < 0.01$
636 vs water drinking controls.

637

638 **Figure 5.** Intermittent alcohol access enhances current-induced spiking in IOFC neurons. **(A)**
639 Representative traces and averaged number of evoked action potentials showing no difference in spiking
640 between 1-day IAA and 1-day water drinkers (N = 8 mice/group and 31-37 cells/group). **(B-D)** Increased
641 evoked spiking in 1-week (N = 8 mice/group and 36-40 cells/group), 4-week (N = 7 mice/group and 32-33
642 cells/group), and 7-week (N = 8 mice/group and 38-39 cells/group) ethanol drinking groups as compared
643 to their water drinking counterparts. Number (mean \pm SEM) of spikes from IOFC neurons plotted against
644 a series of current injections. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$ vs water drinking controls.

645

646 **Figure 6.** Bath application of ethanol decreases current-induced spiking of IOFC neurons in all water and
647 ethanol drinking groups, except in mice drinking ethanol for 4 weeks. Representative traces showing the
648 effects of acute ethanol (66 mM) as compared to control baseline under each drinking condition. **(A)**
649 Ethanol significantly reduced AP spiking of IOFC neurons in all water drinking groups in a concentration-
650 dependent manner (N = 5 mice/group and 16-20 cells/group). **(B)** Likewise, significant decreases in
651 spike firing by increasing concentrations of ethanol were observed in 1-day, 1-week, and 7-week drinking
652 mice (N = 5 mice/group and 16-20 cells/group). In 4-week ethanol drinking mice (N = 5 mice/group and
653 16 cells/group), however, acute ethanol did not affect IOFC neuron firing. Asterisks shown for 66 mM
654 ethanol vs. baseline only: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

655

656 **Figure 7.** Intermittent access drinking does not affect spontaneous IPSC properties in deep-layer IOFC
657 pyramidal neurons. **(A)** Representative traces of spontaneous IPSCs recorded in IOFC neurons from
658 water and ethanol drinking mice across 7 weeks of intermittent access to ethanol. The **(B)** amplitude, **(C)**
659 frequency, and **(D)** interevent interval following a history of 1 day (N = 4 mice/group and 8-9 cells/group),
660 1 week (N = 4 mice/group and 10-12 cells/group), 4 weeks (N = 4 mice/group and 11 cells/group), or 7

661 weeks (N = 4 mice/group and 10-11 cells/group) of ethanol drinking. White circles or diamonds represent
662 individual values for water or ethanol drinking mice, respectively. Data are expressed as mean \pm SEM.

663

664 **Figure 8.** Excessive ethanol drinking does not affect spontaneous EPSC properties in IOFC pyramidal
665 neurons. **(A)** Representative traces of spontaneous EPSCs recorded from deep-layer pyramidal neurons
666 in the IOFC from water and ethanol drinking mice across time. The **(B)** amplitude, **(C)** frequency, and **(D)**
667 interevent interval following a history of ethanol intake for 1 day (N = 4 mice/group and 8-9 cells/group), 1
668 week (N = 4 mice/group and 11-12 cells/group), 4 weeks (N = 4 mice/group and 11 cells/group), or 7
669 weeks (N = 4 mice/group and 11 cells/group) were unaffected. White circles or diamonds represent
670 individual values for water and ethanol drinking mice, respectively. Data are expressed as mean \pm SEM.

FIGURE 1

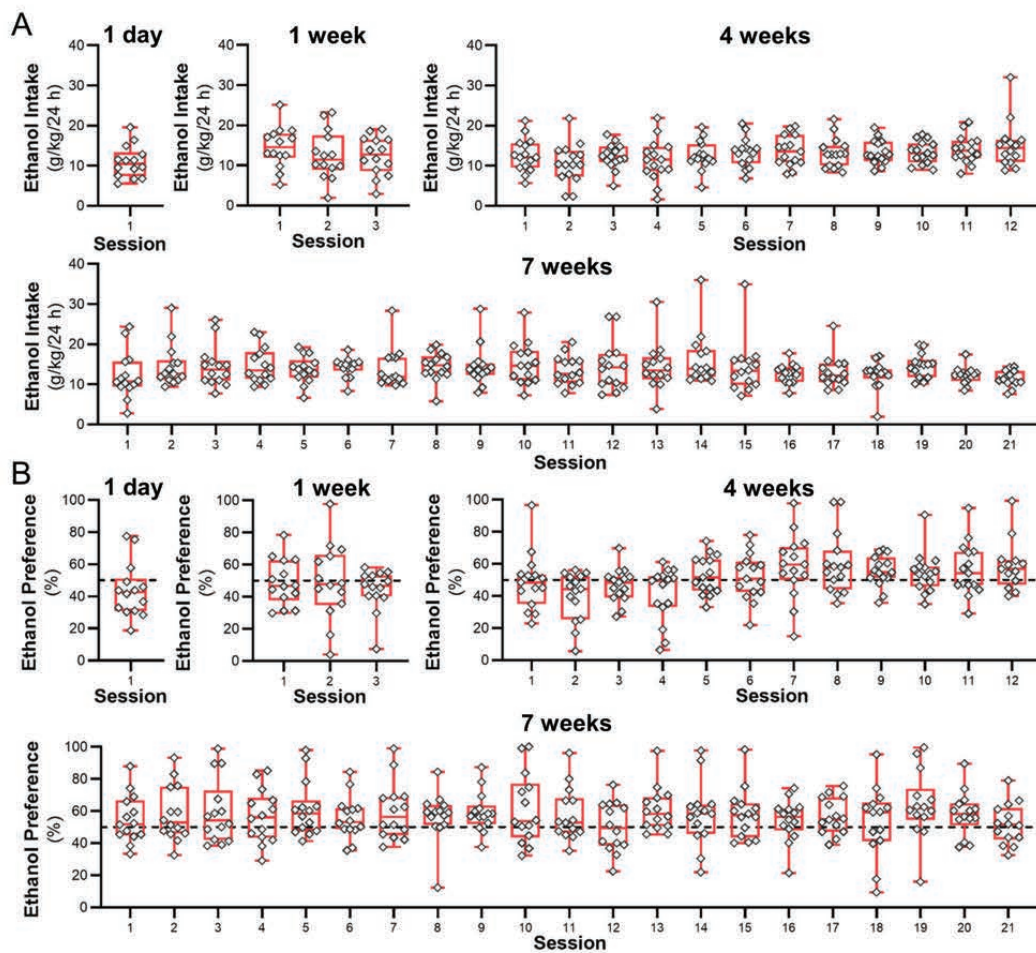


FIGURE 2

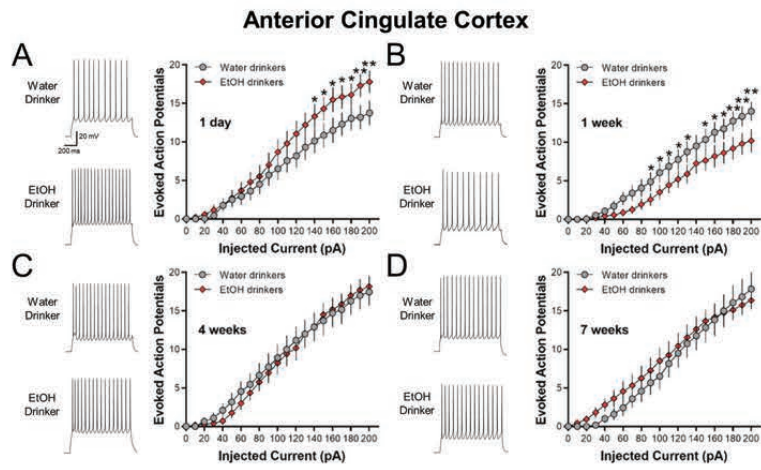


FIGURE 3

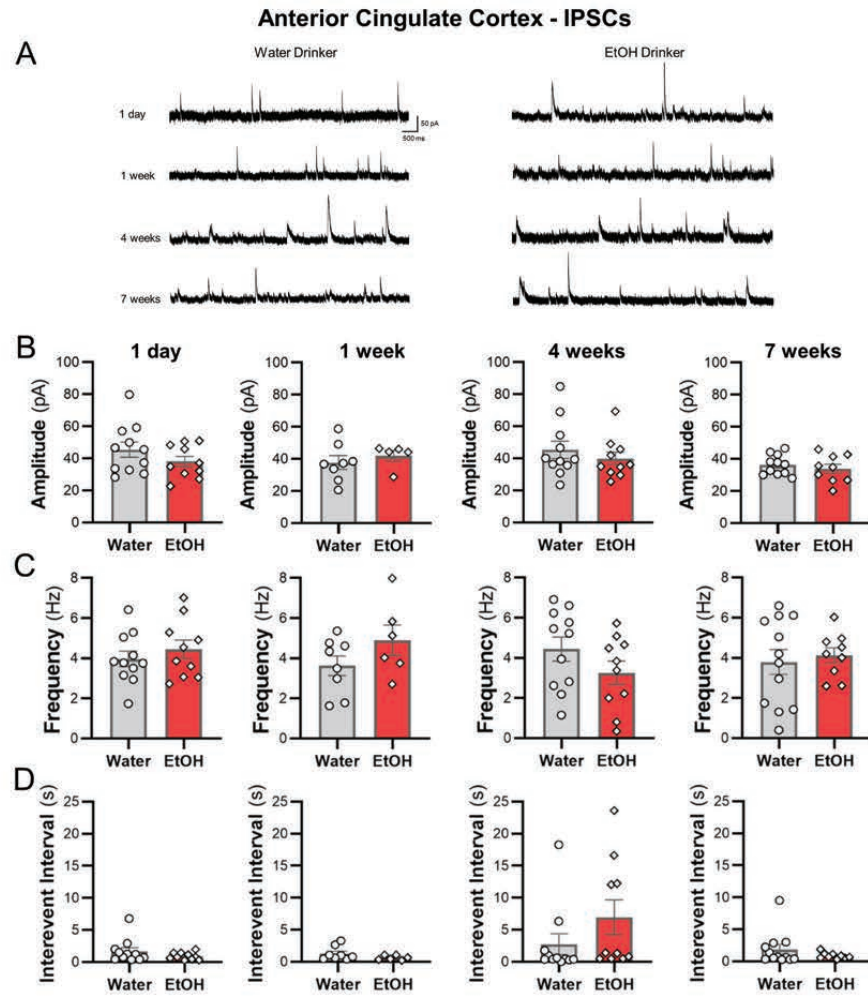


FIGURE 4

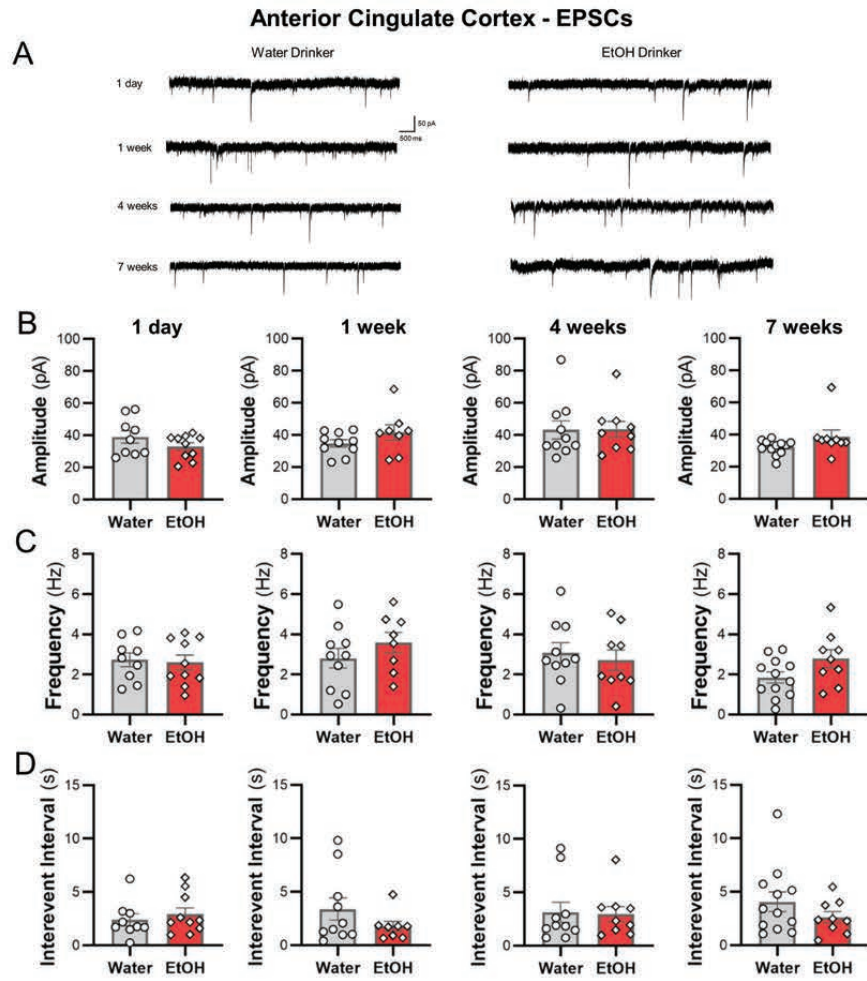


FIGURE 5

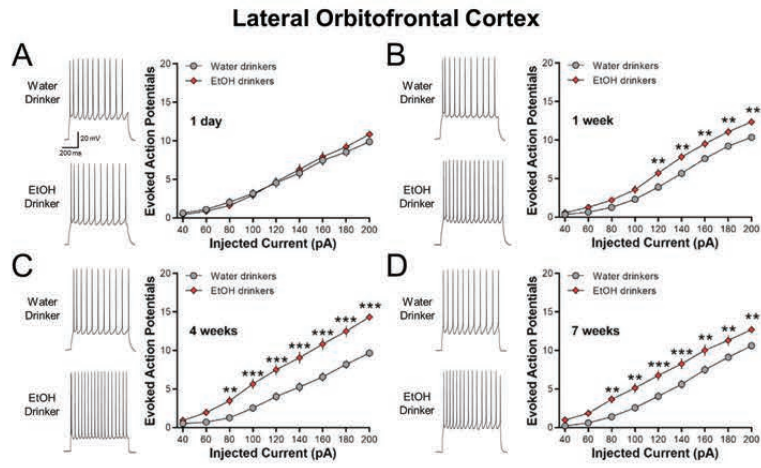


FIGURE 6

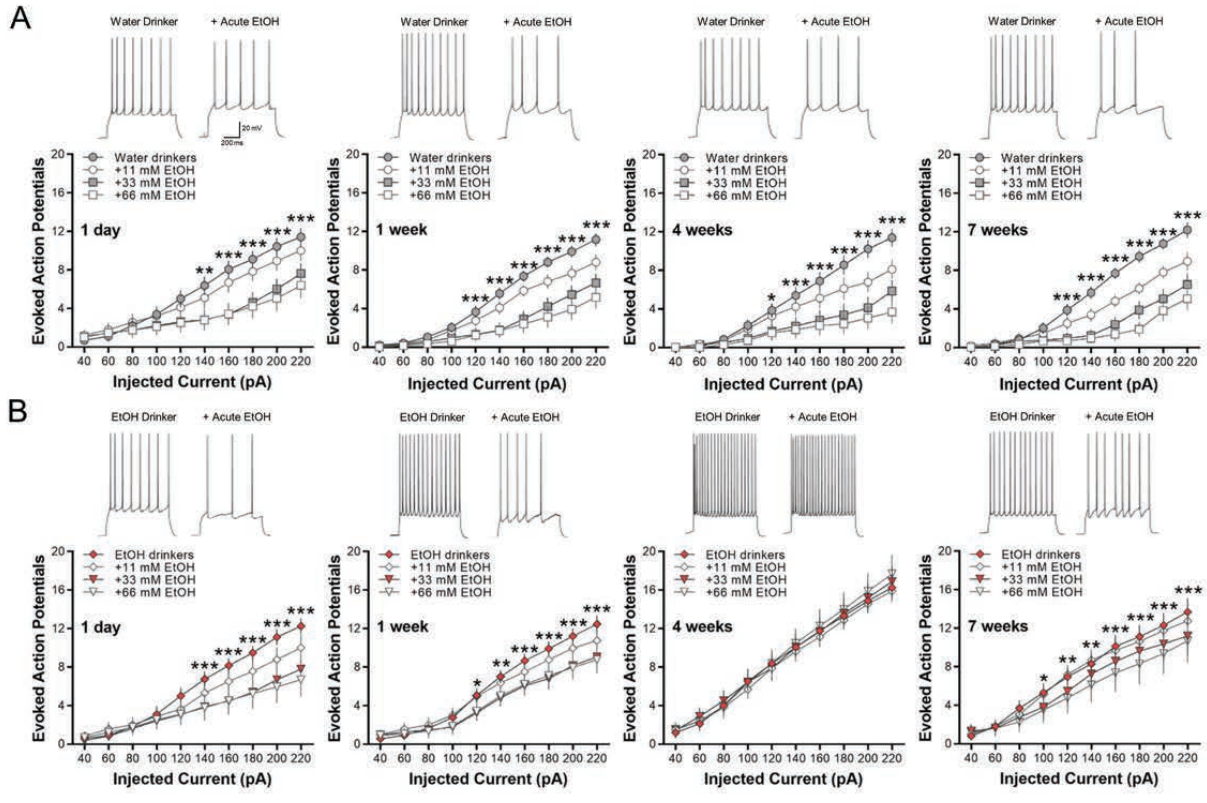


FIGURE 7

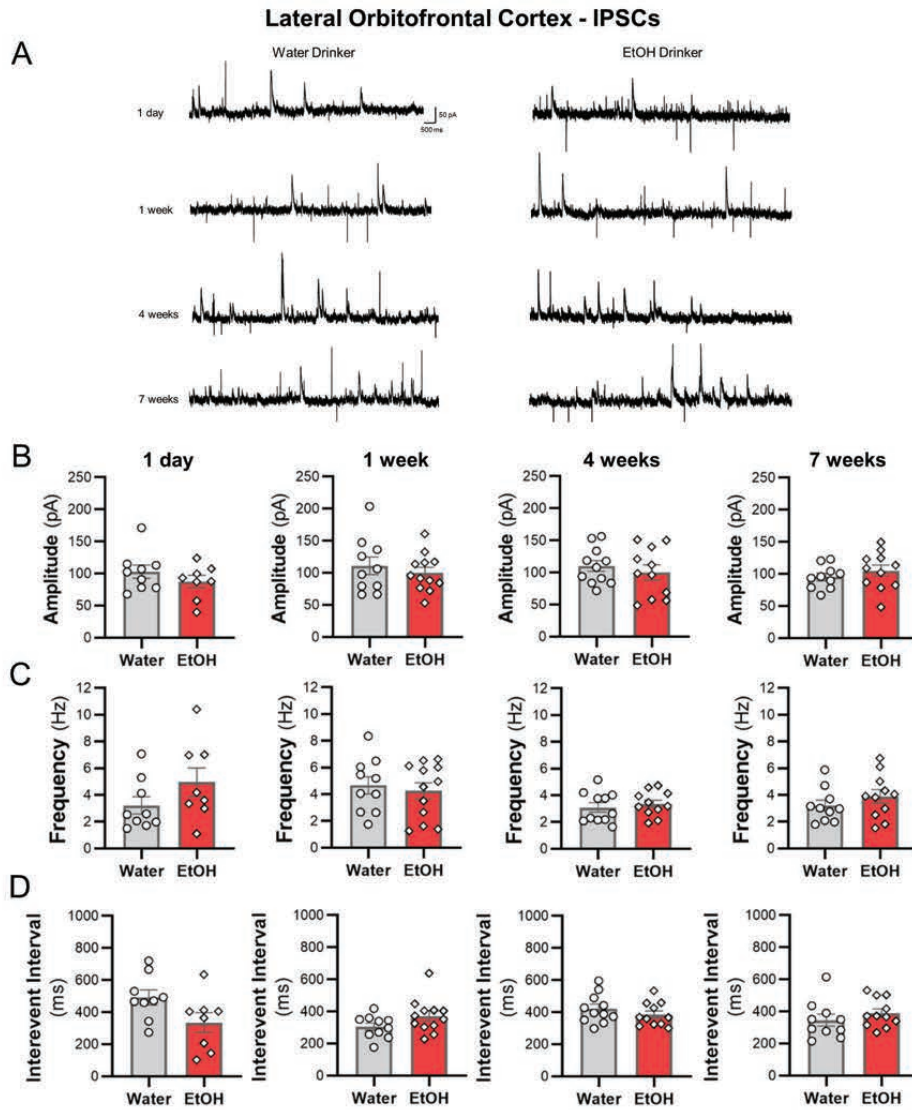


FIGURE 8

