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Rodent Arena Tracker (RAT): a machine vision rodent tracking camera and closed loop control system

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- 1 Title: Rodent Arena Tracker (RAT): a machine vision rodent tracking camera and
- 2 closed loop control system
- Abbreviate Title: RAT: a machine vision video tracking device
- 4 5 6

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41 Abstract:

42 Video tracking is an essential tool in rodent research. Here, we demonstrate a machine vision rodent tracking camera based on a low-cost, open-source, machine vision 43 44 camera, the OpenMV Cam M7. We call our device the Rodent Arena Tracker (RAT), 45 and it is a pocket-sized machine vision-based position tracker. The RAT does not require a tethered computer to operate and costs about \$120 per device to build. These 46 features make the RAT scalable to large installations and accessible to research 47 48 institutions and educational settings where budgets may be limited. The RAT processes 49 incoming video in real-time at 15Hz and saves X and Y positional information to an 50 onboard microSD card. The RAT also provides a programmable multi-function 51 input/output pin that can be used for controlling other equipment, transmitting tracking 52 information in real time, or receiving data from other devices. Finally, the RAT includes 53 a real-time clock (RTC) for accurate time stamping of data files. Real-time image 54 processing averts the need to save video, greatly reducing storage, data handling, and 55 communication requirements. To demonstrate the capabilities of the RAT, we 56 performed three validation studies: 1) a 4-day experiment measuring circadian activity 57 patterns; 2) logging of mouse positional information alongside status information from a 58 pellet dispensing device; and 3) control of an optogenetic stimulation system for a real-59 time place preference (RTPP) brain stimulation reinforcement study. Our design files, build instructions, and code for the RAT implementation are open source and freely 60 available online to facilitate dissemination and further development of the RAT. 61

63 Significance statement:

Video tracking is a critical tool in behavioral research. Here, we present an open source machine vision tracking device called the Rodent Arena Tracker (RAT). The main advance of our device over what has been previously done with rodent video tracking is that our solution is small and battery powered, vs. a tethered computer running a software package. This small form factor (about the size of a point-and-shoot camera) can enable new uses for video tracking, including in places where traditional video tracking solutions would be cumbersome or not possible.

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73 Introduction

74 Video analysis has greatly improved animal behavior monitoring methodologies since its 75 first application in research. In early uses of this technology, human observers watched 76 saved videos and manually quantified the frequency or patterns of various behavioral 77 events. Advances in computer vision led to the development of algorithms that automatically segment video frames and track rodent position across time. Multiple 78 open-source and commercial solutions followed this technological progress (Aguiar et 79 80 al., 2007; Ben-Shaul, 2017; Lopes et al., 2015; Noldus et al., 2001; Patel et al., 2014; 81 Pennington et al., 2019; Poffé et al., 2018; Rodriguez et al., 2018; Salem et al., 2015; 82 Samson et al., 2015; Shenk, 2019; Tort et al., 2006). More recent advances in machine 83 vision and imaging sensors have enabled automatic identification of behaviors and 84 tracking of specific body parts such as limb or whisker movements (Hong et al., 2015; 85 Huang et al., 2015; Mathis et al., 2018; Nashaat et al., 2017; Reeves et al., 2016; Salem 86 et al., 2019; Wiltschko et al., 2015). Although many groups have developed methods to 87 track rodents via video, with the exception of (Nashaat et al., 2017), prior approaches all 88 require a tethered computer for computation, and some require post-recording analysis 89 due to high computational load of the processing applications. Such implementations 90 can limit flexibility and scalability for high throughput experimental installations.

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To circumvent these limitations, we developed the Rodent Arena Tracker (RAT), which 92 is capable of automatically tracking mice in high contrast arenas and using position 93 94 information to control other devices in real-time. Herein, we present the design files, 95 software, and validation and testing data to demonstrate the utility of the RAT. While 96 rodent tracking has been accomplished by multiple other systems and corresponding 97 software packages (as referenced above), the RAT device offers several novel and 98 useful features, including: 1) onboard processing with no requirement of a connected computer, simplifying experimental pipelines; 2) battery powered option for wireless 99 use; 3) reduced data storage needs afforded by real-time video processing; 4) low cost 100 101 of approximately \$120 per device; and 5) open-source implementation facilitating 102 experiment reproducibility in other laboratories, as well as future method development. 103

As proof of concept, we implemented a dynamic thresholding algorithm that is effective
at tracking rodents in high contrast arenas. The code is open-source, and the OpenMV
camera provides additional libraries to enable more elaborate vision algorithms.
Therefore, researchers can develop more elaborate processing methods with this same
hardware to address their specific research problems. We also perform three practical
use-case studies to demonstrate the utility and capabilities of the RAT in a research
setting.

Methods

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114 OpenMV Camera Tracking Implementation

115 The RAT acquisition and real time processing software was programmed in the OpenMV integrated development environment (IDE). The image is processed using the 116 following steps: 1) an image is acquired and saved to a frame buffer; 2) the image is 117 118 segmented using a dynamic thresholding procedure; 3) contiguous "blobs" of pixels in 119 the image are filtered based on a minimum and maximum size threshold and the 120 centroid information for the largest valid blob is retained as the mouse centroid data; 4) 121 mouse speed is computed using the inter-frame centroid difference; 5) the centroid of 122 the mouse position and speed and positional data are overlaid on a feedback image on 123 the LCD screen; 6) the RAT obtains the current date and time from its onboard real-124 time-clock (RTC) module; 7) data is locally stored in a text file including a per-frame 125 timestamp, centroid values, and computed speed value. In addition to this processing 126 scheme, the dynamic segmentation threshold is updated every 50 frames (~4 seconds) 127 to automatically adjust for potential changes in lighting. Added device functionalities for validation experiments included logging of TTL pulses from an external device on the 128 129 RAT input/output pin and triggering of an external device from the RAT input/output pin.

131 Design

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132 The most important component of the RAT hardware is the OpenMV Cam M7 (Available 133 at Openmv.io) which acquires and processes images to extract mouse location data 134 (Figure 1). The OpenMV Cam M7 also has built-in near-infrared (NIR) LEDs which are 135 always on to enable illumination and tracking in dark environments. We designed a 136 printed-circuit board (PCB) with a battery connection, BNC output, header for 137 attachment of an Adafruit real-time clock (RTC) module, and push-button for controlling the RAT, as well as a 3D printed housing (Figure 1). The RAT can be powered with an 138 139 external battery, or via its micro USB port. All design files necessary to complete this 140 build (including electronic layout/soldering instructions, Python code, and 3D printing 141 design files) are located at: https://hackaday.io/project/162481-rodent-arena-tracker-rat 142

143 Table 1 - Bill of Materials

Component	Number	Cost per unit	Total cost	Source of materials
OpenMV Cam M7	1	\$65.00	\$65.00	Openmv.io
LCD Shield	1	\$20.00	\$20.00	Openmv.io
Adafruit	1	\$13.95	\$13.95	Adafruit.com

DS3231 RTC breakout				
3D Printed Enclosure	1	~\$5	~\$5	Any printer will work
Breakout PCB	1	\$2.00	\$2.00	Seeed.io
JST Right- Angle Connector	1	\$0.95	\$0.95	Karlsson Robotics P/N PRT- 09749
Tactile Button	1	\$0.49	\$0.49	Karlsson Robotics P/N COM- 10302
Long break away male headers	2	\$0.75	\$0.75	Mouser P/N 474-PRT- 12693
Right-Angle BNC Connector	1	\$2.43	\$2.43	Mouser P/N 523-31- 5431
Undercut Flat Head Screws 4-40 Thread %" Length	7	\$0.06	\$0.42	McMaster-Carr P/N 91099A169
Li-Ion Battery (Optional)	1	\$9.95	\$9.95	Adafruit P/N 1781
MicroUSB Cable (Optional)	1	\$6.97	\$6.97	Cdwg.com

146 Build Instructions

147 RAT device fabrication, assembly, and programming are outlined at

148 https://hackaday.io/project/162481-rodent-arena-tracker-rat, including a step-by-step

149 assembly video. We estimate that assembling the RAT takes about 90 minutes. To

150 assemble the hardware for the device, first populate the breakout PCB by soldering the

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151 tactile button, right-angle BNC connector, JST right-angle connector, and long male headers to the top of the board. Solder the included headers to the OpenMV Cam M7 152 153 with the female pins facing away from the side with the lens. The male pins of the 154 headers should be trimmed using wire cutters so they do not exceed the height of the other components on the OpenMV Cam M7. Finally, solder the RTC module directly 155 onto the PCB using including male headers, with the battery holder facing towards the 156 LCD shield (Figure 1A-C, it is positioned this way for easy removal of battery if 157 158 necessary). Once the breakout PCB and OpenMV Cam M7 are assembled, mount the 159 OpenMV Cam M7 in the bottom of the 3D printed enclosure (Figure 1D, E). The lens will 160 fit through the square opening at the bottom of the enclosure, and the two mounting 161 holes on either side of the OpenMV Cam M7 will align with their counterparts on the 3D 162 printed enclosure. Secure the OpenMV Cam M7 to the 3D printed enclosure using a 4-163 40 screw in each of the two mounting holes. Connect the breakout PCB to the mounted 164 OpenMV Cam M7 by aligning the mating faces of the connectors and pushing them together until they're fully engaged. After the headers are connected, secure the 165 breakout PCB to the enclosure using a 4-40 screw through each of the two mounting 166 holes on the breakout PCB. Plug the LCD shield into the top of the breakout PCB by 167 168 aligning the pins on the shield with the header rows on the breakout PCB. Next, align 169 and mount the top cover of the 3D printed enclosure with the base using 4-40 screws in 170 each mounting hole. Finally, unscrew the supplied camera lens from the OpenMV 171 camera, remove the small IR optical filter from the back of the lens with forceps, and 172 replace the lens on the camera.

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174 Programming the RAT Device

175 To program and configure the RAT, first download and install the OpenMV IDE 176 (https://openmv.io/pages/download) and download the two files, RAT v1.1 setTime.py and RAT v1.1 auto threshold RTC.py from the project's hackaday page 177 178 (https://hackaday.io/project/162481-rodent-arena-tracker-rat). Format a microSD card as FAT32 and plug it into the RAT's microSD card slot on the side of the enclosure. 179 180 Open the OpenMV IDE on a PC, connect the RAT to the PC using the micro USB port 181 on the back of the unit, and pair it with the IDE by clicking the connect button at the 182 bottom of the IDE interface. Load "RAT v1.1 setTime.py" in the OpenMV IDE and edit it to include the current date and time. Click the green arrow and it will program the RTC 183 184 with the correct time. Once this is set it will not need to be reset for \sim 5 years, or until the coin cell in the RTC module dies. Next load "RAT_v1.1_auto_threshold_RTC.py" and 185 186 navigate to Tools>Save open script to OpenMV Cam to upload the code. Unpair the RAT from the IDE using the disconnect button at the bottom left of the IDE and 187 188 disconnect it from the PC. When using the device for the first time, focus the RAT's lens 189 using the live feed as a reference and lock it into place using the screw on the RAT's

- 190 lens holder. Take care not to overtighten this lens screw as it can easily break. The
- 191 OpenMV Python files for controlling the RAT are also provided as Extended data 1.
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193 Operation Instructions

Connect the RAT into a power source using either an external battery or micro USB cable. As soon as the device receives power it will create a new experiment data folder, begin tracking, and start recording data. The mouse centroid and speed will be overlaid on a feedback image on the LCD screen along with the current time and the experiment data file name. Press the button on the device to start a new data file. The new filename will appear on the screen and all rows in the data file will be time-stamped with the current date and time.

202 Subjects for validation experiments

203 A total of ten adult male mice (9 C57BI/6J black mice, one BALB/cJ white mouse) were 204 housed in murine vivarium caging in a 12-hour light/dark circadian cycle at room 205 temperature. Four additional mice expressing D1-cre were obtained from the GENSAT project (EY242) (Gerfen et al., 2013; Gong et al., 2007). Mice were given ad libitum 206 207 access to rodent laboratory chow (5001 Rodents Diet; LabDiet, St Louis MO) and water, 208 and cages were changed every two weeks. Treatment and use of all animals conformed 209 to the welfare protocols approved by the National Institute of Diabetes and Digestive 210 and Kidney Diseases/National Institutes of Health Animal Care and Use Committee.

212 Viral Infusions and Optic Fiber Implantation

213 Viral infections of DMS were conducted on 4 adult male mice (8-12 weeks old). 214 Anesthesia delivered via a mouse mask mounted on a stereotaxic apparatus (Stoelting) 215 was administered with isoflurane at 2-3% and maintained during the entire surgery at 0.5-1.5%. Ear bars secured the mouse head in place while the skin was shaved and 216 217 disinfected with a povidone/iodine solution. The skull was exposed and a hole 218 approximately 0.5-1 mm in diameter was opened with a microdrill. A hydraulic injection 219 system (NanoJect III) was loaded with AAV virus for expressing Channelrhodopsin-2 in 220 a cre-dependent manner (UNC viral core), and lowered into the brain at the following 221 coordinates: AP +0.5 mm, ML +/-1.5 mm, DV -2.8 mm (from bregma). A total volume of 222 500 nl of viral solution was delivered to each side of the brain, and the injector was left 223 in place for 5 minutes after the infusion. In the same surgery, the mouse received two 224 fiber optic cannulae (200 µm, 0.39 NA, 1.25 mm, ceramic ferrule) for optogenetic 225 stimulation, secured to the skull with dental adhesive.

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227 Use Case Validation Experiments

In experiment 1, the circadian study, a single C57NL/6J mouse was placed in a 9"x12" plexiglass box that was enclosed in a light-tight cabinet for 4 days, with ad libitum

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access to food and water. Lights were left off for the duration of the experiment. TheRAT was positioned above the box for continuous tracking.

In experiment 2, four C57NL/6J mice were individually housed in 9" x 12" plexiglass
boxes with a FED feeding device (Nguyen et al., 2016) attached to the side and a RAT
mounted above facing the arena floor. The output of the FED was connected to the
input of the RAT, enabling the RAT to log the time and position of pellet retrieval events.

In experiment 3, four mice expressing Channelrhodopsin-2 in direct pathway neurons
and with unilateral optical fiber implants, were individually placed in a 9" x 12" plexiglass
box. The RAT device was centered over the plexiglass box, and a 15Hz triggering pulse
was generated when the mice were detected in one side of the box. A wireless headmounted LED stimulator (Plexon Helios) was placed on the head of each mouse,
controlled by the pulses from RAT. The mice received unilateral stimulation when they
entered one side of the box. After 15 minutes, the stimulation side was reversed.

246 Software availability

All code and design files are freely available at https://hackaday.io/project/162481 rodent-arena-tracker-rat.

250 Results

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251 We evaluated RAT performance under different lighting conditions using both black and 252 white mice in a high contrast arena with the room lights on and off (Figure 2A, B). The 253 dynamic thresholding procedure was robust against changes in room lighting, 254 automatically "re-thresholding" every ~4 seconds to continue to track the mice. The RAT 255 tracked black mice on a white background in both lighting conditions, although nonreflective flooring was necessary to limit the glare created from NIR LED reflections 256 257 when tracking in the dark. We modified the segmentation code and threshold for 258 tracking white mice on a black background and the device performance was 259 comparable to the black mouse test (Figure 2A, B). To validate the tracking 260 performance, we compared the RAT data output head-to-head with video tracking in 261 Bonsai, an open-source software language that is widely used for video tracking 262 applications (Lopes et al., 2015). We positioned the RAT device and a USB camera 263 connected to Bonsai above an arena containing a single black mouse (Figure 2C). Both 264 systems tracked mice successfully, with no instances of lost tracking. A quantitative 265 analysis revealed 94.9% correlation between the X and Y tracking positions of the RAT 266 and Bonsai (Figure 2D, n=2 mice). We concluded that the RAT device was robust 267 against changes in lighting and is useful for tracking mouse centroid position. 268

269 In addition to validating RAT tracking with two mouse coat colors and two lighting 270 conditions, we performed three experiments to demonstrate device utility and evaluate how the RAT performed in real-world "use-cases." In experiment 1, we assessed how 271 272 the RAT would perform in a multi-day circadian study. We positioned the RAT over a 273 single mouse in a dark chamber for ~3.5 days (90 hours). As the RAT does not save video, this experiment generated a single text file that was ~100MB in size, which we 274 275 estimated to be ~20-100 times smaller than a video stream of the same length. The 276 circadian rhythm of mouse activity was apparent in the RAT data, even in total 277 darkness, demonstrating the utility of RAT for measuring endogenous circadian activity 278 rhythms (Figure 3A).

In experiment 2, we synchronized the RAT input/output connection with an open-source
pellet dispensing device, the Feeding Experimentation Device (FED) (Nguyen et al.,
2016). We programmed the FED device to send a TTL pulse to the RAT each time a
pellet was taken (Figure 3B). We individually tested four mice in this setup, enabling us
to synchronize mouse activity with pellet retrieval. We recorded both the position of the
mouse at the time of pellet retrieval and the speed of the mouse around these events
(Figure 3C,D).

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Finally, in experiment 3, we re-programmed the input on RAT to operate as an output 288 for a real-time-place-preference (RTPP) brain stimulation study. We expressed an 289 290 excitatory opsin, channelrhodopsin-2 in direct pathway neurons in the striatum, a 291 population of neurons that is reinforcing when stimulated (Kravitz et al., 2012). When 292 the mouse crossed onto one half of the box, the RAT sent 15Hz TTL pulses to a 293 wireless transmitter that delivered 4mW pulses of blue light to the mouse. This 294 stimulation was highly reinforcing, resulting in rapid acquisition of preference behavior toward the LED-paired side of the cage within 5 minutes of the first session (n=4 mice, 295 296 Video 1, Figure 3E, F). After 15 minutes, we reversed which side was stimulated by 297 rotating the RAT camera 180 degrees. This reversal caused the mice to rapidly switch 298 their preference to the opposite side (Figure 3F, G). As both the RAT and the 299 optogenetic stimulation device were wireless, this experiment highlighted the simple and 300 flexible nature of embedded electronics for research applications.

302 8. Discussion

303 Review of the Device

The RAT is a low cost, wireless position tracker, optimized for tracking mice in high contrast arenas. The RAT is based on the OpenMV Cam M7 (openmv.io), an open source machine vision camera. We optimized control code for tracking mice and created a hardware board for conveniently connecting a battery, real-time clock, BNC input/output, and push button for starting the recording. We present validation data demonstrating the effectiveness of the device for tracking mice, as well as connectingthe RAT to other devices for flexible experimental arrangements.

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312 Comparison with Current Technologies

313 Many commercial and open-source solutions exist for video tracking of rodents, and

314 they all achieve high accuracy detection. Nearly all also have a richer feature set than

the RAT and can accomplish more complex tracking and behavioral control tasks,

316 including importing diverse data-types and task control. As a pure tracking solution

317 however, we see the value of RAT in its compact form-factor, simplicity, and low cost.

319 Device Limitations

320 There are several limitations to the RAT. The first is it does not save video. Due to the 321 size of the OpenMV Cam M7 frame buffer and the real-time video processing, it was not 322 possible for the hardware to also save video. This limitation means experimental videos 323 cannot be "re-scored" at a later date. The consequence is that more up-front testing is 324 required to ensure the tracking algorithm is working before use in experiments. In our hands, the RAT works consistently and accurately on rodents in high contrast 325 326 environments, and we noted no dropped data-points in validation testing. We 327 recommend that new users test in their own environments as changes in camera 328 position or lighting could require modification to the tracking settings. A second limitation 329 is the RAT does not have any automated calibration procedure for measuring the size of 330 an arena. Currently, tracking data must be calibrated off-line to get real-world position 331 and speed values (i.e., in cm and cm/s). While this process could be implemented 332 onboard on the RAT, it would likely be cumbersome on the small device. Finally, data is 333 saved to an on-board microSD card which must be removed to retrieve the data. In 334 future versions of the RAT, we hope to include wireless communication technology that 335 will stream tracking data in real time. Wireless data transfer will be especially important 336 in large installations where removal of many SD cards would be cumbersome.

337

338 Potential Future Improvements

339 We envision several future improvements that can be made to both the hardware and 340 the software of the RAT. The OpenMV project is actively developing new hardware to 341 increase processing power and memory of the camera, allowing for more advanced 342 algorithms to run in real time. For example, while this paper was in review the OpenMV project released the OpenMV "H7" model, which is faster and more powerful than the 343 344 "M7" model used here. Our code and hardware are forward-compatible with the H7 camera, which should be able to achieve higher frame rates for tracking. In addition, the 345 346 OpenMV project is actively supporting new camera sensors, including an infrared heat 347 sensor for tracking heat signatures, which may be useful for improving tracking and 348 identification of specific behaviors. Additionally, the OpenMV camera uses the common

M12 lens mount, enabling use of many commercially available lenses and optical components. Tracking algorithms may have to take the specific lens being used into account, particularly if it distorts the image geometry, as with a fish-eye or super-wide angle lens. As the OpenMV hardware improves, the camera board in the RAT can be upgraded to enable new functionality.

355 We prioritized low rates of data storage by tracking in real-time and storing only tracking 356 positions and speed. This low data rate should also be compatible with wireless data 357 transfer. The OpenMV project already sells a WIFI-enabled "shield" for OpenMV 358 cameras, and there is discussion online that a Bluetooth shield is being developed. Due 359 to the low data rate, tracking data from multiple RAT devices could be sent 360 automatically to an internet server for remote monitoring of tracking data. Additionally, 361 the existing data storage method could be changed to a more compressed format such 362 as a binary data file to further reduce bandwidth and storage requirements. 363

364 Finally, the hardware presented here is limited to a single input/output pin, which is tied to the single analog output pin of the OpenMV camera. This allows for a user to export a 365 366 derived parameter such as speed in real-time. In future versions of the RAT, we hope to 367 include more digital inputs and outputs to create richer interactions between the user. 368 the RAT, and additional external devices. These examples for improvement are not 369 exhaustive, and we imagine that individual users will have diverse and specific 370 modifications. The open-source nature of the RAT allows researchers to modify 371 functionality to fit their specific needs. We put all the code and design files we produced 372 online, where we will include further modifications as they are developed. 373

374 Conclusion

375 The RAT is a machine vision tracking device based on the OpenMV Cam M7. The RAT

is wireless, inexpensive, and offers real-time processing and low storage requirements,

all of which facilitate large-scale studies of animal behavior. Open-source

378 implementations like this enable experimental reproducibility across research centers

and can lead to innovative new rodent-based experiment methodologies.

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381 Figure captions

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Figure 1. Assembly of the RAT. A. Exploded schematic of the major parts for building RAT. B.
Photograph of the parts for building rat: a. 3D printed housing top. b. OpenMV LCD shield; c.
Adafruit DS3231 real time clock module; d. push-button; e. BNC connector; f. 3D printed
housing bottom. g. custom printed circuit board (PCB); h. JST 2 pin connector; i. OpenMV M7
camera; j. microSD card with RAT code. C. Photograph of assembled RAT circuit board. D.
Photograph of the assembled RAT electronics; E. Photograph of assembled RAT in 3D printed
housing.

Figure 2. Validation of tracking performance. A. Example images of black and white mice
tracked by RAT in light or dark conditions. B. Example XY scatter track plot of data exported
from RAT. C. Photograph of experimental validation setup recording the same mouse with RAT
and a webcam connected to Bonsai. D. X and Y positions from both RAT and Bonsai,
demonstrating strong correlation in mouse position data between the two systems.

397 Figure 3. Experimental use cases for RAT. A. Demonstration of RAT tracking, showing a 398 circadian rhythm in movement levels over 90 hours. Lights were off for duration of experiments, 399 gray bars represent the normal night cycle. B. Schematic of mouse nose-poking to obtain 400 pellets from FED3 device. C. Example trackplot for 30 minutes, showing locations of a mouse 401 when he retrieved pellets from FED3. D. Peri-event histogram and raster showing speed of 402 mouse around pellet retrieval events. E. Example trackplot for optogenetic real-time self-403 stimulation experiment. Blue squares show location when blue LED turned on to stimulate direct 404 pathway medium spiny neurons in the striatum. F, G. Quantification of average time on each 405 side of the chamber (n=4 mice).

406 Legend for extended data

- 407 Extended data 1. RAT_code.zip: Code to set the clock and run the tracking algorithm
- 408 on the RAT.

- 410 Movie 1 Legend RTPP_example.mp4: video demonstrating the real-time-place-
- 411 preference assay.

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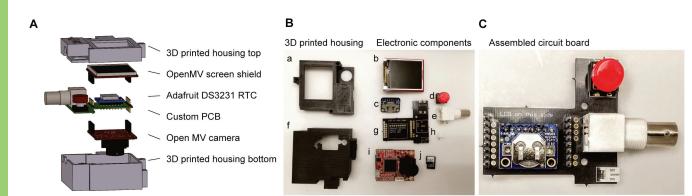
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Assembled electronics

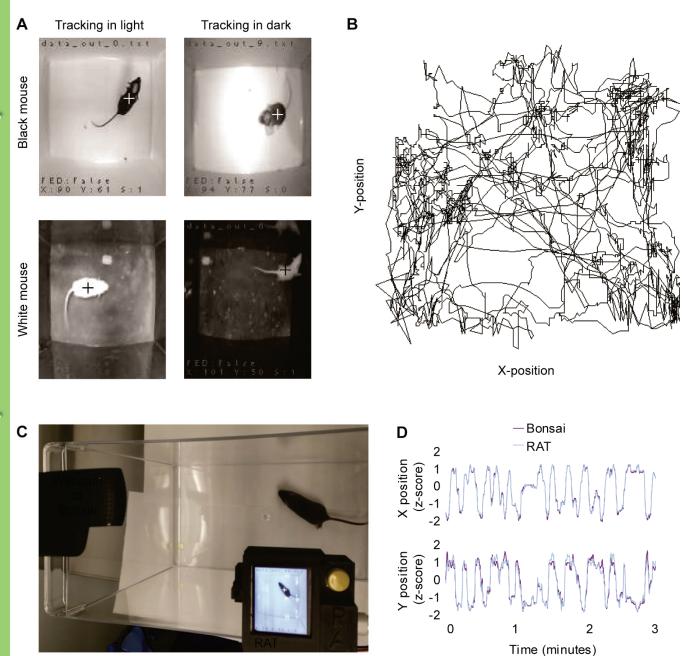


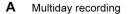


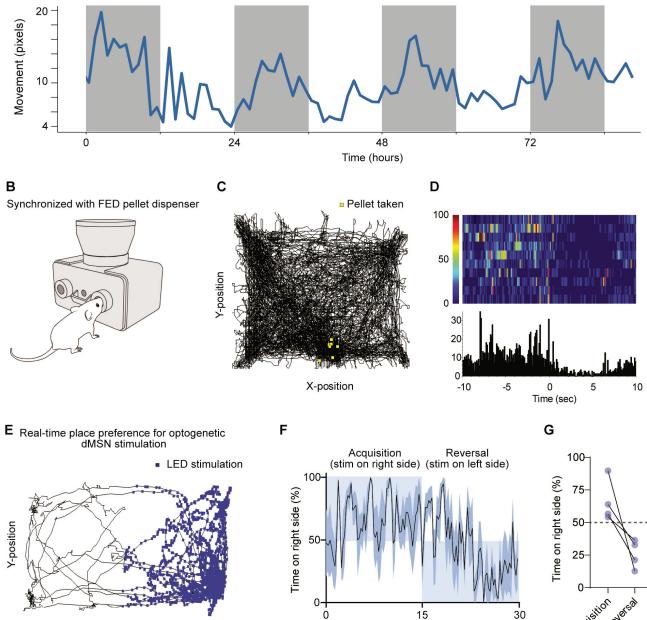


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Time(min)

X-position

