

## The role of BTBD9 in striatum and restless legs syndrome

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1 **Title:** The role of BTBD9 in striatum and restless legs syndrome

2

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4

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23

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33

## 34 **Abstract**

35 Restless legs syndrome (RLS) is a sensory-motor neurological disorder characterized by  
36 uncomfortable sensations in the extremities, generally at night, which is often relieved by  
37 movements. Genome-wide association studies have identified mutations in *BTBD9* conferring a  
38 higher risk of RLS. Knockout of the *BTBD9* homolog in mice (*Btbd9*) and fly results in motor  
39 restlessness and sleep disruption. Clinical studies have found RLS patients have structural and  
40 functional abnormalities in the striatum; however, whether and how striatal pathology  
41 contributes to the pathogenesis of RLS is not known. Here, we used magnetic resonance imaging  
42 to map regions of altered synaptic activity in basal ganglia of *Btbd9* knockout mice. We further  
43 dissected striatal circuits using patch-clamp electrophysiological recordings in brain slices. Two  
44 different mouse models were generated to test the effect of specific knockout of *Btbd9* in either

45 striatal medium spiny neurons (MSNs) or cholinergic interneurons (ChIs) using the  
46 electrophysiological recording, motor and sensory behavioral tests. We found that *Btbd9*  
47 knockout mice showed enhanced neural activity in the striatum, increased postsynaptic currents  
48 in the MSNs, and decreased excitability of the striatal ChIs. Knocking out *Btbd9* specifically in  
49 the striatal MSNs, but not the ChIs, led to rest-phase specific motor restlessness, sleep  
50 disturbance, and increased thermal sensation in mice, which are consistent with results obtained  
51 from the *Btbd9* complete knockout mice. Our data establish the role of *Btbd9* in regulating the  
52 activity of striatal neurons. Increased activity of the striatal MSNs, possibly through modulation  
53 by the striatal ChIs, contributes to the pathogenesis of RLS.

54

### 55 **Significance Statement**

56 Restless Legs Syndrome (RLS) is a common movement disorder affecting up to 10% of the  
57 population and its pathophysiology is largely unknown. Brain imaging studies have shown  
58 striatal involvement. However, whether and how striatal pathology contributes to the  
59 pathogenesis of RLS is not known. Polymorphisms in the *BTBD9* gene are associated with RLS.  
60 *Btbd9* complete knockout mice have RLS-like phenotypes. With a combination of methods  
61 including functional magnetic resonance imaging, brain slice electrophysiology, cell type-  
62 specific knockout, and behavioral tests, we demonstrate the importance of the striatum,  
63 especially the MSNs, in the pathogenesis of RLS. Our results also suggest a novel mechanism  
64 that can explain the effectiveness of dopaminergic drugs for the treatment of RLS patients.

65

### 66 **Introduction**

67

68 Restless leg syndrome (RLS) is a sensorimotor neurological disease affecting up to 10% of the  
69 general population (Garcia Borreguero et al., 2017). Characteristic symptoms of RLS include an  
70 urge for patients to move their legs often accompanied by, or felt to be caused by, uncomfortable  
71 sensations in the legs (Lanza and Ferri, 2019). The symptoms of RLS generally occur or worsen  
72 at rest or inactivity in the evening, which can be at least partially relieved by movements  
73 (Trenkwalder et al., 2018). Previous studies have emphasized the major role of iron in the  
74 disease (Connor et al., 2017). In addition, one of the primary medications for the disease is D<sub>2</sub>/D<sub>3</sub>  
75 dopamine (DA) agonists (Garcia-Borreguero and Cano-Pumarega, 2017), whereas refractory  
76 RLS can be treated with opioids (Silber et al., 2018).

77

78 To date, no neurodegeneration has been found in RLS patients. However, emerging studies  
79 suggest that changes in the striatum may underlie the pathogenesis of RLS (Earley et al., 2017;  
80 Lanza et al., 2017; Rizzo et al., 2017). The striatum, which is comprised of the caudate and  
81 putamen, serves as the first recipient for most of the excitatory input from the cortex and  
82 thalamus to the basal ganglia (Haber, 2016). About 95% of striatal neurons are GABAergic  
83 medium spiny neurons (MSNs), which are traditionally subdivided into two subtypes by  
84 dopamine receptor expression (Purves et al., 2001b). Generally, D<sub>1</sub> dopamine receptor (D<sub>1</sub>R)-  
85 expressing MSNs function in the direct pathway and are thought to facilitate wanted movements  
86 and pronociceptive effects (Soares-Cunha et al., 2016). In contrast, MSNs in the indirect  
87 pathway mainly express D<sub>2</sub> dopamine receptors (D<sub>2</sub>Rs). These MSNs are likely to be involved in  
88 the suppression of unwanted movement and the generation of antinociceptive effects (Soares-  
89 Cunha et al., 2016). Additionally, 1-2% of striatal neurons are cholinergic interneurons (ChIs)  
90 (Zucca et al., 2018). MSNs regulate the activity of ChIs through GABA and endogenous opioids

91 (Lim et al., 2014), while ChIs influence the activity of MSNs through M1, M4 and possibly  
92 nicotinic acetylcholine (ACh) receptors on MSNs (Bordia et al., 2016). Previous clinical studies  
93 have shown decreased D<sub>2</sub>R expression but increased phosphorylated TH in the putamen (Connor  
94 et al., 2009). Brain imaging studies show decreased striatal dopamine transporter (DAT) (Earley  
95 et al., 2011) and D<sub>2</sub>R binding potential (Michaud et al., 2002; Earley et al., 2013). Despite these  
96 observed alterations of the striatal dopaminergic system associated with RLS, the exact functions  
97 of the striatum, especially MSNs, in the disease development are largely unknown.

98

99 Genome-wide association studies (GWAS) have implicated up to 19 risk loci, including *BTBD9*,  
100 as genetic risk factors of RLS (Schormair et al., 2017; Jimenez-Jimenez et al., 2018). *BTBD9*  
101 codes for a protein belonging to the BTB (POZ) protein family, which modulates transcription,  
102 cytoskeletal arrangement, ion conductance and protein ubiquitination (Stogios and Prive, 2004;  
103 Stogios et al., 2005). An alteration in hippocampal synaptic plasticity and neurotransmission has  
104 been found in *Btbd9* knockout (KO) mice (DeAndrade et al., 2012b). Loss of the BTBD9  
105 homolog in *Drosophila melanogaster* results in increased motor activity, decreased DA levels,  
106 and disrupted sleep patterns (Freeman et al., 2012). Similarly, the systematic *Btbd9* KO mice  
107 showed motor restlessness, thermal hypersensitivity, and a disruption in sleep structure  
108 (DeAndrade et al., 2012a). Therefore, the systematic *Btbd9* KO can be considered as a valuable  
109 disease mouse model to study the pathophysiology of RLS (DeAndrade et al., 2012a; Allen et al.,  
110 2017).

111

112 To elucidate the impact of striatal neurons on the generation of RLS-like phenotypes, we  
113 performed *in vivo* manganese-enhanced magnetic resonance imaging with the systematic *Btbd9*

114 KO mice and mapped the functional neural activity in the basal ganglia circuits. We next  
115 conducted electrophysiological recordings to observe both intrinsic and spontaneous firing  
116 activity of MSNs and ChIs in the striatum. Moreover, we selectively deleted *Btbd9* in either  
117 striatal MSNs or ChIs and conducted behavioral studies.

118

## 119 **Material and methods**

120

### 121 **Mice**

#### 122 **The generation of the systematic *Btbd9* KO mice**

123 The homozygous *Btbd9* KO male mice used in MRI imaging were generated as described  
124 previously (DeAndrade et al., 2012a). The systematic *Btbd9* KO mice used in the  
125 electrophysiological recording were generated from a line of *Btbd9 loxP* mice imported from the  
126 European Mouse Mutant Archive (EMMA; ID: 05554). In this line, the fourth exon of the *Btbd9*  
127 gene was flanked by *loxP* sites (floxed). We first removed neomycin selection cassette by  
128 crossing with FLP mice (Jackson Laboratory stock 126 no. 003946) to obtain *Btbd9 loxP* mice,  
129 which was then crossed with a general cre deleter to obtain *Btbd9* KO allele. Heterozygous  
130 *Btbd9* KO mice were interbred to produce experimental homozygous *Btbd9* KO mice and the  
131 wild type (WT) littermate controls.

#### 132 **The generation of specific *Btbd9* knockout mice**

133 The MSN-specific *Btbd9* knockout mice (*Btbd9* sKO) were generated by breeding *Btbd9 loxP*  
134 mice with *Rgs9-cre* mice, in which the *cre* gene was inserted at the 3' end of the *Rgs9* gene  
135 (Dang et al., 2006). Double heterozygous mice (*Rgs9-cre+/-Btbd9 loxP+/-*) were used for  
136 breeding with hetero- (*Btbd9 loxP+/-*) or homozygous *Btbd9 loxP* mice (*Btbd9 loxP-/-*) to

137 generate the conditional KO animals (*Rgs9-cre*<sup>+/-</sup>*Btd9 loxP*<sup>-/-</sup>) and control groups, including  
138 WT littermates, animals only expressing *Rgs9-cre* (*Rgs9-cre*<sup>+/-</sup>) and animals only having loxP  
139 sites in one (*Btd9 loxP*<sup>+/-</sup>) or both of the DNA strands (*Btd9 loxP*<sup>-/-</sup>). PCR was used for  
140 genotyping the *Rgs9-cre* (forward: TGC TCA AAA ATT GTG TAC CTT TAG C; reverse: CAA  
141 CAC CCC ATT CGC TTT TTC CA) and the *loxP* sites (forward: ACA TCA CCC ATT ACT  
142 TAG AAC CTC; reverse: CAC AGC TAT TTC CTG TCA TTC TGG ACA).  
143 The ChI-specific *Btd9* knockout mice (*Btd9* ChKO) were generated by breeding *Btd9 loxP*  
144 mice with *Chat-cre* mice (The Jackson Laboratory; Stock No. 006410), in which the neo cassette  
145 had been removed by crossing with FLP mice. Breeding was conducted as outlined for *Btd9*  
146 sKO above. PCR was used for genotyping the *Chat-cre* (forward: ATC TCC GGT ATT GAA  
147 ACT CCA GCG C; reverse: CAC TCA TGG AAA ATA GCG ATC). To confirm the specific  
148 deletion of *Btd9* in the striatum, we dissected out brain regions following the protocol (Spijker,  
149 2011) and PCR was conducted with primers specific for recombined locus (forward: AAG GCG  
150 CAT AAC GAT ACC ACG AT; reverse: TGG TGA TTC AAA TCT CCT TCC AAC ACA)  
151 (Fig. 4C). Experimental mice were housed in standard mouse cages at 21°C under normal 12-  
152 hour light, 12-hour dark (12 LD) cycle condition. The protocol for the study received prior  
153 approval by the Institutional Animal Care and Use Committee, and all studies were conducted in  
154 accordance with the United States Public Health Service's Policy on Humane Care and Use of  
155 Laboratory Animals.

156

### 157 **Quantitative RT-PCR**

158 Quantitative RT-PCR (qRT-PCR) was performed as described before (Yokoi et al., 2011) to  
159 determine whether exon 4 was deleted in mice after *cre*-mediated recombination. In brief, 3

160 *Btbd9* sKO and 3 control adult male mice were sacrificed, and several brain regions (striatum,  
161 cerebral cortex and cerebellum) were harvested and flash frozen in liquid nitrogen. RNA was  
162 extracted using an RNeasy Mini kit (Qiagen) according to the manufacturer's instructions.  
163 Next, cDNA was made using SuperScript III reverse transcriptase (Invitrogen). PCR primers  
164 specific to *Btbd9* exons 4 and 5 (forward: GAC TCT TGT CTC CGG ATG CT; reverse: TCA  
165 CAA CCT GAG CCC CAT AC);  $\beta$ -actin (forward: CAC CCG CGA GCA CAG CTT CTT TG;  
166 reverse: AAT ACA GCC CGG GGA GCA TCG TC). The expression of *Btbd9* mRNA was  
167 measured and normalized by Bio-Rad CFX manager 3.1.

168

### 169 **Manganese-enhanced magnetic resonance imaging (MEMRI)**

#### 170 **MnCl<sub>2</sub> (manganese chloride) pretreatment**

171 MEMRI was performed as described previously (Perez et al., 2018; Zubcevic et al., 2018).  
172 Before the treatment, the animals used in the experiment were handled every two weeks and  
173 acclimatized to the investigator. Manganese (II) chloride tetrahydrate (Sigma-Aldrich Chemical  
174 Co., St. Louis, MO, USA) was dissolved in distilled deionized water and sterilely filtered before  
175 administered intraperitoneally at a dose of 70 mg/kg/ml. After injections, mice were returned to  
176 their home cage and imaged after 20-24 hrs as previously reported (Perez et al., 2013).

#### 177 **MRI**

178 Images were collected by a 4.7 Tesla Magnex Scientific scanner under the control of Agilent  
179 Technologies VnmrJ 3.1 console software. A 38-mm quadrature transmit/receive radiofrequency  
180 coil tuned to 200MHz was used (Insight NeuroImaging Systems, LLC, Leominster, MA). Mice  
181 were anesthetized with 2.0% (0.1 L/min) delivered in 100% oxygen for 30-60 s. Then the level  
182 of isoflurane was maintained between 1.0-1.25% throughout the entire setup and imaging session,

183 during which the respiratory rates were monitored continuously and sustained between 20-30  
184 beats per minute by adjusting isoflurane levels between the range. Placed prone on custom-size  
185 plastic bed with a respiratory pad placed underneath the abdomen, body temperatures of the mice  
186 were maintained using a warm air recirculation system (SA Instruments, Inc., New York). The  
187 head and incisors of mice were secured on the front end of the plastic bed to minimize motion.  
188 The front half of the bed was aligned and clamped inside the quad RF coil and placed inside the  
189 isocenter of the scanner. Images were acquired at 4.7 Tesla using a T1-weighted spin echo pulse  
190 sequence with the following parameters: repetition time = 300 ms, echo time = 12 ms, the field  
191 of view =  $19.2 \times 19.2$ , slice thickness = 0.8 mm, 12 slices. Total scan time per mouse was 30 min.

192

### 193 **Electrophysiological recording**

#### 194 **Slice preparation**

195 Experiments were conducted as described previously (Pappas et al., 2015; Augustin et al., 2018).  
196 Recordings of MSNs were conducted with 3 *Btbd9* KO and 5 WT male littermates at an average  
197 age of 4 months. Recordings of ChIs were performed with 4 *Btbd9* KO and 5 WT male  
198 littermates with an average age of 4 months, or with 3 *Btbd9* ChKO and 3 control males with an  
199 average age of 5 months. Investigators who conducted the experiments were blind to the  
200 genotypes. Animals were sacrificed, and the brains were rapidly removed. 300  $\mu$ m-thick coronal  
201 brain slices containing the dorsal striatum were cut in ice-cold, oxygenated cutting saline (in  
202 mM): 180 sucrose, 2.5 KCl, 1.25  $\text{NaH}_2\text{PO}_4$ , 25  $\text{NaHCO}_3$ , 10 D-glucose, 1  $\text{CaCl}_2$ , 10  $\text{MgCl}_2$ , and  
203 10 glucose with a Vibratome (Leica VT 1000s). Slices were recovered in a holding chamber for  
204 30 minutes at 35°C with artificial cerebrospinal fluid (ACSF). Final concentrations of ACSF (in  
205 mM): 126 NaCl, 2.5 KCl, 1.25  $\text{NaH}_2\text{PO}_4$ , 25  $\text{NaHCO}_3$ , 2  $\text{MgCl}_2$ , 2  $\text{CaCl}_2$ , and 10 glucose, pH =

206 7.3 with KOH, osmolality = 290–300 mOsm. The slices were then incubated at room  
207 temperature.

#### 208 **Cell identification**

209 The slices were placed in a recording chamber and continuously perfused with ACSF that was  
210 bubbled via 5% CO<sub>2</sub> and 95% O<sub>2</sub> at a rate of 1.5 ml/min while being visualized with an upright  
211 microscope (Zeiss, Germany) using a 40× water-immersion objective with infrared optics. MSNs  
212 were identified by the somatic size and basic membrane properties including input resistance,  
213 membrane capacitance, and time constant. ChIs were recognized based on morphology and size,  
214 as they are irregularly polygonal with large cell soma (>20 μm).

#### 215 **Cell-attached and whole-cell recordings**

216 For MSN, all experiments were recorded at 32°C by a dual automatic temperature controller  
217 (TC-344B). Cell-attached recording patch pipette (6–10 MΩ) contained following solutions (in  
218 mM): 125 K-gluconate, 8 NaCl, 10 HEPES, 2 MgATP, 0.3 NaGTP, 0.2 EGTA (pH 7.25–7.3,  
219 osmolality, 290-300 mOsm) and was used for voltage and current clamp recordings. Access  
220 resistances were <30MΩ. Spontaneous postsynaptic currents were recorded in ACSF. To  
221 minimize the contribution of GABA<sub>A</sub> receptors, we held cells at –70 mV with an application of  
222 50 μM picrotoxin solution, which can abolish the activation of GABA<sub>A</sub> receptors. Next, at  
223 holding potential -65 mV, injection of depolarizing 50 pA current pulse of 300 ms duration  
224 evoked spike firing when the membrane potential reaches the firing threshold under current  
225 clamp configuration in a brain slice. This process was repeated at 10 increasingly depolarized  
226 potentials with incremental current steps (50pA).

227 For ChIs, electrodes for cell-attached recordings were filled with a K-gluconate-based solution  
228 containing the following concentrations (in mM): 112.5 K-gluconate, 4 NaCl, 17.5 KCl, 0.5

229 CaCl<sub>2</sub>, 5 MgATP, 1 NaGTP, 5 EGTA, 10 HEPES; with pH of 7.2 (270–280 mOsm) and  
230 resistance of 5–10 MΩ. Positive pressure was applied to the patch electrode as it approached the  
231 ChIs. Suction was applied to the electrode to create a seal (> 5 GΩ) between the recording  
232 pipette and cell membrane. Action potential current was recorded in a voltage-clamp mode that  
233 maintained an average of 0 pA holding current. After breaking through the cell membrane,  
234 cellular properties (capacitance, input resistance, and time constant) were recorded at a  
235 membrane potential of –70 mV. Electrode access resistance was maintained throughout at <30  
236 MΩ. Resting membrane potential was recorded in current clamp mode. Action potential for  
237 current step recording was triggered using depolarizing currents steps of 300 ms.  
238 Data acquisition and detection were the same as previously described (DeAndrade et al.,  
239 2012b). Recordings were made from targeted cells in the striatum using infrared differential  
240 interference contrast microscopy and an Axopatch 1D amplifier (Axon Instruments, Foster City,  
241 CA). Data were acquired using pClamp 10 software. Signals were filtered at 5 kHz, digitized at  
242 10 kHz with a DigiData 1440 (Molecular Devices, Union City, CA). Events were detected using  
243 the Mini Analysis Program (Synaptosoft) with parameters optimized for each cell and then  
244 visually confirmed prior to analysis. The peak amplitude, 10–90% rise time and the decay time  
245 constant were measured based on the average of all events aligned by the rising phase.

246

#### 247 **Immunofluorescence staining**

248 *Rgs9-cre* mice were bred with GFP mice imported from the Jackson Lab (Stock No. 007906) to  
249 obtain *Rgs9-cre* and GFP double heterozygous mice to map *Rgs9-cre* positive neurons. As  
250 described previously (Dang et al., 2006), the mice were anesthetized and perfused with ice-cold  
251 0.1 M phosphate-buffered saline (pH7.4; PBS) followed by 4% paraformaldehyde in 0.1 M

252 phosphate-buffer (pH 7.4; PB). The brains were soaked in 4% paraformaldehyde-PB at 4°C  
253 overnight and then incubated in 30% sucrose in 0.1 M PBS at 4°C until the brains sank to the  
254 bottom. The brains were frozen with dry-ice powder and cut coronally into 40 µm sections with a  
255 Histoslide 2000 sliding microtome (Reichert-Jung). Sections were sequentially rinsed 5 min each  
256 in 0.5% Triton-X-100, 0.02 M PBS; 0.1% Triton-X-100, 0.02 M PBS; 10mM glycine in 0.1 M  
257 PBS for 3 times; 0.5 ml 2% gelatin in 0.1 M PBS; 10mM glycine in 0.1 M PBS; and 0.1% BSA  
258 in 0.1 M PBS. Then tissues were incubated with the primary antibody, 1:50 goat anti-choline  
259 acetyltransferase (AB144P; Millipore, Billerica, MA), dissolved in 100 µl 1% BSA, 0.1 M PBS  
260 at 4°C overnight. The next day, tissues were washed for 6 times with 0.1% BSA, 0.1 M PBS,  
261 followed by incubation with secondary antibody, 1:200 Cy3-conjugated AffiniPure donkey anti-  
262 goat IgG (705-265-003; Jackson Immuno Research Laboratories, West Grove, PA). After  
263 washing, the sections were mounted on glass slides with VECTASHIELD® Antifade Mounting  
264 Medium (H-1400) and cover-slipped.

265

## 266 **Behavioral studies**

### 267 **30 min open field**

268 Eight *Btbd9* sKO male mice and 7 male littermates with an average age of 15 months, or 7 *Btbd9*  
269 ChKO (3 males, 4 female) and 9 controls (5 males, 4 female) with an average age of 7 months,  
270 were used in the 30 min open field analysis as previously described (DeAndrade et al., 2012a).  
271 Briefly, each mouse was placed in the center of a VersaMax Legacy open field apparatus  
272 connected to a computerized Digiscan System (Accuscan Instruments, Inc. OH) and  
273 continuously monitored for 30 min. The apparatus contains infrared sensors along the walls that

274 detect any breaks in the beams. Bright illumination (approximately 1 k lux at the center by a 60  
275 W white bulb) was focused on the center of each field.

276 **Wheel running**

277 Eight *Btbd9* sKO mice (7 males, 1 female) and 13 control mice (11 males, 2 females) with an  
278 average age of 4 months, or 6 *Btbd9* ChKO male mice and 7 male littermates with an average  
279 age of 2 months, were maintained on a 12 LD cycle for 7 days. Wheel-running activity  
280 (DeAndrade et al., 2012a) was recorded as the number of wheel revolutions occurring during 5  
281 min bins and analyzed using Lafayette Instrument Activity Wheel Monitor software. The activity  
282 from the last four days was included in the data analysis, grouped by light phase and dark phase.

283 **Continuous open field**

284 Seven male *Btbd9* sKO mice and 5 male littermates with an average age of 5 months or 3 *Btbd9*  
285 ChKO male mice and 4 male littermates with an average age of 2 months were used in the long-  
286 term open field analysis modified from 30 min open field test (Meneely et al., 2018). Each  
287 mouse was placed in the center of a VersaMax Legacy open field apparatus with enough corncob  
288 bedding, food, and water. Breaks in the beams were decoded by VERSDATA version 2.70-127E  
289 (AccuScan Instruments INC.) into behavioral patterns. Batch 1 data for *Btbd9* ChKO mice were  
290 collected every 1 hour. Other data were recorded every 15 min throughout the experiment. Data  
291 from the last four days were separated into light and dark phases, and the total distances during  
292 each phase were combined and coded as day 4-7, and night 4-7, respectively. The analysis was  
293 conducted based on all four periods in each phase. Separately, the total distances for each 15 min  
294 of the last four days were recorded for sleep analysis. If the total distance traveled in 15 min was  
295 0, the mouse was considered as sleeping, and the data were coded as 0; otherwise, the mouse was  
296 considered as awake, and the data were coded as 1.

297 **Tail flick test**

298 Nine male *Btd9* sKO mice and 9 male littermates with an average age of 8 months were tested  
299 for the perception of warm stimuli. Each mouse was placed in an acrylic restrainer with the distal  
300 end of its tail protruding on a metal surface maintained at 55°C. The timer was turned on once  
301 the tail touched the surface and immediately stopped when the mouse flicked its tail away from  
302 the heat. The latency to respond was limited to 90 s to prevent injury to the mouse.

303

304 **Experimental design and statistical analysis**

305 Images were processed and analyzed as previously reported (Perez et al., 2013).  $Mn^{2+}$   
306 accumulation in active neurons produces signal intensity increases in T1 images. However, as  
307 this is a non-quantitative approach to measure activity and because there is scan-to-scan intensity  
308 variation independent of  $Mn^{2+}$ , we normalized images based on their individual variance. Using  
309 this normalization approach, where surpassing a normalized threshold value of 1 indicates  
310 increased activity associated with  $Mn^{2+}$  administration, we have observed significant differences  
311 between  $Mn^{2+}$  administered and non-treated rodents. Image processing was carried out using  
312 ITK-SNAP (<http://www.itksnap.org>), and image math scripts were available on FSL (fslmaths  
313 <http://www.fmrib.ox.ac.uk/fsl/>). Scans were aligned with a segmented atlas of the adult mouse  
314 brain using an automated affine linear registration tool from FSL (Jenkinson et al., 2012). Each  
315 scan was converted to a z value map through a voxel-wise normalization procedure. The mean  
316 signal intensity across the entire extracted brain volume ( $\bar{x}$ ) was subtracted from each voxel ( $x_i$ )  
317 and then divided by the variance ( $\sigma$ ). A pre-set threshold of  $z \geq 1$  was selected based on prior  
318 observation of individual datasets and a close inspection of their intensity distribution histograms.  
319 All voxels with z score values below this threshold were set to zero. Thus, the voxels exceeding

320 the threshold value of  $z \geq 1$  were considered in our statistical analysis as having higher signal  
321 intensities (quantified as the number of voxels above a  $z$  value of 1). Mean number of voxels for  
322 each region of interest (ROI) was compared using an unpaired two-tailed t-test (homoscedastic  
323 variances,  $\alpha \leq 0.05$ ).

324 Electrophysiological data were analyzed by logistic regression (not normally distributed) or  
325 mixed model ANOVA (SAS statistic package, normally distributed) with cell identification  
326 number nested within animal identification number. Open field data were analyzed by mixed  
327 model ANOVA and adjusted for multiple comparisons using the Benjamini-Hochberg-Yekutieli  
328 false discovery rate [FDR ( $p < 0.05$ )]. Data obtained from wheel running study was analyzed by  
329 logistic regression with a negative binomial distribution. Total distances of continuous open field  
330 were analyzed by logistic regression with a gamma distribution while sleep analysis was  
331 conducted with binomial logistic regression modeling the probability of waking. Tail flick data  
332 were processed by logistic regression with a gamma distribution. GEE model in the logistic  
333 regression normalized WT or control groups in terms of current steps, wheel running, continuous  
334 open field, and tail flick to 0 without the error bar. Age and gender were used as covariates in all  
335 analysis.

336 To generate the hourly wheel-running activity presented in Figure 5B, we summed the interval  
337 counts during each hour for each animal. Wheel-running activity during the last 96 hours was  
338 analyzed. Hence each animal had 4 data points for each hour. The average interval counts within  
339 each hour were calculated for each genotype. The  $p$  values, calculated by the unpaired Student's  
340 test, were marked above hours in the figure. To generate the hourly probability of waking in  
341 Figure 5I, we determined the sleep status by the total distance traveled during 15 min in the long-

342 term open field test as mentioned above. Therefore, there were 4 data points for each animal  
343 during each hour, which were coded from 1 to 4 as “sample”. Last 4 days’ open field activity  
344 was analyzed. Hence each animal had 4 days of data, which were coded from 1 to 4 as “period”.  
345 The probability of waking was calculated from a genotype and hour two-way interaction with  
346 repeated measurement of the period, hour, and sample using SAS logistic regression with a  
347 binomial distribution. For each genotype, SAS normalized probability of waking during each  
348 hour to the probability of the last hour either during the day or night period. The probability of  
349 waking at 6 PM of the control mice was set as 1, and the probabilities of the waking of other  
350 hours of the control mice were calculated relative to that of 6 PM. To calculate the relative  
351 difference between ChKO mice and controls during each hour, we sorted the data by the hour  
352 and analyzed the probability of waking for each genotype with repeated measurement of period  
353 and sample using SAS logistic regression with a binomial distribution. The  $p$  values were  
354 marked above hours in the figure. The probability of waking of the ChKO mice was derived  
355 from the relative difference between the ChKO mice and the control mice.

356

## 357 **Results**

358

### 359 **Increased striatal neural activity in fMRI study with the systematic *Btbd9* KO mice**

360

361 To study the role of the striatum in RLS pathogenesis, we first used MEMRI imaging to  
362 determine the striatal neural activity in the systematic *Btbd9* KO mice. MEMRI has been  
363 extensively used to track  $\text{Ca}^{2+}$ -dependent synaptic activity (Lu et al., 2007; Hsu et al., 2008; Chiu  
364 et al., 2015; Dudek et al., 2015; Perrine et al., 2015). As a calcium analog,  $\text{Mn}^{2+}$  enters active

365 synapses through voltage-gated calcium channels (Fukuda and Kawa, 1977; Narita et al., 1990)  
366 and is sequestered and transynaptically transported antero- and retrogradely across active neural  
367 circuits (Sloot and Gramsbergen, 1994; Pautler et al., 1998; Takeda et al., 1998a; Takeda et al.,  
368 1998b; Saleem et al., 2002; Murayama et al., 2006). The presence of the paramagnetic  $Mn^{2+}$  ion  
369 in the brain increases longitudinal relaxation rates and enhances signal intensity in T1 weighted  
370 scans, and is utilized for functional mapping of synaptic activity (Duong et al., 2000). Here, after  
371  $Mn^{2+}$  was injected into the mice, the images of ROI were acquired (Figure 1A). The result  
372 showed increased neuronal activity in the cerebral cortex of the systematic *Btbd9* KO mice,  
373 indicating an increased cortical input to the striatum. This will be addressed in detail in a  
374 separate manuscript. In the striatum, which is the focus of the current study, there was a  
375 significant increase in the caudate/putamen (Figure 1B,  $p = 0.006$ , unpaired two-tailed t-test),  
376 indicating increased neural activity specifically in the striatum. Increased entry of  $Mn^{2+}$  likely  
377 through voltage-gated  $Ca^{2+}$  channels also suggest an increase in  $Ca^{2+}$ -dependent neural activity.

378

### 379 **More excitable MSNs but decreased ChI activity in the systematic *Btbd9* KO mice**

380

381 As the first recipient for the excitatory input from almost all of the cortex, the striatum is mostly  
382 composed of MSNs (> 95%) (Cox and Witten, 2019). To determine the source of increased  
383 neural activity in striatum revealed by fMRI study, we did whole cell patch-clamp recording in  
384 brain slices. The result indicated that the resting membrane potential of the systematic *Btbd9* KO  
385 cells was higher than the WT's (Figure 2D,  $p = 0.03$ , logistic regression with a gamma  
386 distribution). No change was found in membrane capacitance (Figure 2A,  $p = 0.93$ , logistic  
387 regression with a gamma distribution), input resistance (Figure 2B,  $p = 0.58$ , logistic regression

388 with a gamma distribution) and decay time constant (Figure 2C,  $p = 0.58$ , logistic regression  
389 with a gamma distribution). We then tested whether the intrinsic excitability of striatal MSNs  
390 was affected by the loss of *Btbd9*. Depolarizing current steps were injected to the MSNs of both  
391 *Btbd9* KOs and WT (Figure 2E). There was no significant difference in the frequency-current  
392 relationship (Figure 2F, G,  $p = 0.51$ , logistic regression with a negative binomial distribution),  
393 amplitude, rise, and decay time (data not shown). Our results indicated that there was no change  
394 in the intrinsic excitability of the KO MSNs.

395

396 MSNs are strongly driven by glutamatergic inputs (Purves et al., 2001a). To test whether loss of  
397 BTBD9 affects excitatory synaptic transmission in MSNs, we recorded sEPSCs (Figure 2H).  
398 There were no alterations in the rise time (Figure 2K,  $p = 0.60$ , logistic regression with a gamma  
399 distribution), the decay time (Figure 2L,  $p = 0.33$ , logistic regression with a gamma distribution),  
400 and the frequency (Figure 2I,  $p = 0.11$ , logistic regression with a gamma distribution) of  
401 spontaneous postsynaptic currents. However, the systematic *Btbd9* KO mice showed a  
402 significantly larger amplitude of sEPSC (Figure 2J,  $p = 0.004$ , logistic regression with a gamma  
403 distribution). The increased amplitude may be due to the increased presynaptic quantal size,  
404 increased postsynaptic functional AMPA receptor, or both. The result suggests that there are  
405 significantly enhanced excitatory inputs to the KO MSNs. In combination with the increased  
406 resting membrane potential found in the KO MSNs, the result indicates that BTBD9 deficiency  
407 may cause striatal MSNs to be more excitable.

408

409 Abnormality in ACh neurotransmission plays an important role in movement disorders like  
410 Parkinson's disease and dystonia (Bohnen and Albin, 2011; Dang et al., 2012; Lim et al., 2014;

411 Eskow Jaunarajs et al., 2015). Furthermore, another RLS susceptibility gene, *MEIS1*, has been  
412 linked to the development of striatal ChIs (Spieler et al., 2014). Here, to better understand the  
413 striatal physiology in *Btdb9* KO mice and RLS, we recorded both spontaneous activity (Figure  
414 3C) and intrinsic excitability of ChIs (Figure 3A). We found that ChIs of the systematic *Btdb9*  
415 KO mice had decreased intrinsic excitability (Figure 3B,  $p = 0.049$ , logistic regression with a  
416 negative binomial distribution) and spontaneous firing activity (Figure 3D,  $p = 0.04$ , ANOVA).  
417 It is known that MSNs inhibit the activity of ChIs through GABA and opioid receptors (Lim et  
418 al., 2014). Therefore, the alterations found in ChIs can either be a cell-autonomous effect of  
419 BTBD9 deficiency or the response to increased activity of MSNs. This was further explored in  
420 *Btdb9* ChKO mice.

421

#### 422 **Generation and molecular characterization of *Btdb9* sKO mice**

423

424 To further study the critical role of the striatum in RLS, we generated two conditional knockout  
425 mouse models in which the *Btdb9* gene was selectively knocked out either in the MSNs or ChIs  
426 (Figure 4A). Specifically, we interbred *Rgs9-cre* mice with *Btdb9 loxP* mice to obtain *Btdb9*  
427 sKO mice. The transcription of *Btdb9* gene was quantified by qRT-PCR. As expected, there was  
428 a significant reduction of *Btdb9* mRNA in the striatum compared to control littermates (Figure  
429 4B,  $p = 0.047$ , paired two-tailed t-test), but not in the cerebral cortex or cerebellum (Figure 4B,  
430 cerebral cortex,  $p = 0.51$ ; cerebellum,  $p = 0.28$ ; both paired two-tailed t-test). To future confirm  
431 tissue specificity of the knockout, we dissected out different brain regions from the *Btdb9* sKO  
432 mice and their controls. DNAs were extracted from these different brain regions, and PCR  
433 reactions were conducted. Only the DNA extracted from the striatum of *Btdb9* sKO mice showed

434 the recombined band (Fig. 4C), indicating that the knockout was restricted to the striatum. It is  
435 worth noting that the remaining *Btd9* expression in the striatum can be accounted for by the  
436 small subset of interneurons (< 4%) that do not express *cre*, and non-neuronal cells such as glial  
437 cells. To determine whether ChIs express *Rgs9-cre*, we crossed *Rgs9-cre* mice with GFP  
438 indicator mice and generated *Rgs9-cre*-GFP mice. There were no overlaps between 100  
439 randomly selected, ChAT-positive neurons with any of the GFP-positive neurons (Figure 4D).  
440 The results suggest that *Rgs9-cre* does not induce gene recombination in ChIs and *Btd9* gene is  
441 mostly knocked out in the MSNs of *Btd9* sKO mice.

442

#### 443 **RLS-like phenotypes in *Btd9* sKO but not in *Btd9* ChKO mice**

444

445 A principal feature of RLS is a desire to move (Ferre et al., 2019). Previous mouse or fruit fly  
446 models of RLS have shown increased activity levels (DeAndrade et al., 2012a; Freeman et al.,  
447 2012). Therefore, we utilized an open field activity chamber to assess the total activity levels of  
448 the *Btd9* sKO and *Btd9* ChKO mice. In the short-term 30 min open field test, we observed that  
449 although there was no alteration found with *Btd9* ChKO mice (Figure 5F, total distance,  $p =$   
450 0.69; CW,  $p = 0.29$ ; CCW,  $p = 0.70$ ; All ANOVA), *Btd9* sKO mice exhibited significantly  
451 increased total distance traveled (Figure 5A, total distance, adjusted  $p = 0.01$ , ANOVA) and  
452 vertical activity (Table 1, adjusted  $p = 0.049$ , ANOVA) compared with control mice. Vertical  
453 activity here represents rearing behavior (Tatem et al., 2014). Furthermore, *Btd9* sKO mice had  
454 a significant increase in clockwise (CW) circling, while there was no statistical difference in  
455 counterclockwise (CCW) circling compared with control mice (Figure 5A, CW, adjusted  $p =$   
456 0.02, ANOVA; CCW, adjusted  $p = 0.095$ , ANOVA). Finally, there were no significant

457 differences in stereotypical behavior or anxiety in the mice (Table 1). The increased activity  
458 level suggests that *Btbd9* sKO mice are hyperactive. Furthermore, alterations in circling  
459 behaviors indicate imbalances in the striatal dopaminergic system (Fornaguera and Schwarting,  
460 2002). In the long-term open field test, *Btbd9* sKO mice showed no change (Figure 5C, left  
461 panel,  $p = 0.35$ , logistic regression with a gamma distribution) in the total distance traveled in the  
462 light phase, when mice are usually sleeping or resting. However, the sleep analysis indicates that  
463 the probability of waking of *Btbd9* sKO mice significantly increased in the light phase (Figure  
464 5D, left panel,  $p = 0.03$ , logistic regression with a binomial distribution), but did not change in  
465 the dark phase, when mice are usually active (Figure 5D, right panel,  $p = 0.63$ , logistic regression  
466 with a binomial distribution). The symptoms of RLS patients usually occur or become worse in  
467 the evening or at night (Garcia Borreguero et al., 2017). With opposite day-night rhythms to  
468 human, *Btbd9* sKO mice had the motor restlessness with a similar circadian predominance as  
469 patients. In contrast, although *Btbd9* ChKO mice did not show any difference in total distance  
470 traveled compared with the controls (Figure 5H, left panel,  $p = 0.28$ ; right panel,  $p = 0.16$ ; both  
471 logistic regression with a gamma distribution), they had a decreased probability of waking during  
472 the light phase (Figure 5I, left panel,  $p < 0.0001$ , logistic regression with a binomial distribution),  
473 especially at 1:00PM, 3:00PM and 5:00PM (Figure 5I, right panel), and increased probability of  
474 waking during the dark phase (Figure 5I, middle panel,  $p = 0.0029$ , logistic regression with a  
475 binomial distribution), suggesting that the animals sleep better than their controls. It should also  
476 be noticed that the increased probability of waking mainly appeared during the 2nd half of the  
477 rest phase, which is consistence with clinical observations.

478

479 Next, we conducted a wheel running study to measure the voluntary activity of these mice under  
480 the normal 12 LD condition. *Btbd9* sKO mice showed a significantly elevated level of activity  
481 compared with controls during the light and rest phase (Figure 5B, light phase,  $p = 0.0004$ ,  
482 logistic regression with a negative binomial distribution), but a similar level of activity as control  
483 mice during the dark and active phase (Figure 5B, dark phase,  $p = 0.32$ , logistic regression with a  
484 negative binomial distribution). On the other hand, *Btbd9* ChKO mice showed a significantly  
485 decreased activity level during the light and rest phase (Figure 5G, left panel,  $p < 0.0001$ , logistic  
486 regression with a negative binomial distribution) but increased activity level during the dark and  
487 active phase (Figure 5G, right panel,  $p = 0.0015$ , logistic regression with a negative binomial  
488 distribution). These data are consistent with the long-term open field test and suggest that only  
489 *Btbd9* sKO mice have an increase in voluntary activity during their rest period. The increased  
490 voluntary activity mainly appeared during the 2nd half of the rest phase and the first half of the  
491 active phase. Taken together, both total activity and voluntary activity were increased in the  
492 *Btbd9* sKO mice in the rest phase, which resembles aspects of nocturnal RLS activity found in  
493 patients. The circadian component-involved behavior of *Btbd9* ChKO mice is completely  
494 contradictory to *Btbd9* sKO mice. Loss of BTBD9 protein only in MSNs, but not ChIs, can cause  
495 diurnal motor restlessness in mice.

496

497 Uncomfortable sensations in lower limbs are another common phenotype of RLS (Garcia  
498 Borreguero et al., 2017). Therefore, we tested the *Btbd9* sKO mice for abnormalities in the  
499 sensory system using the tail-flick test. The mutant mice had a higher level of sensitivity to the  
500 heat stimuli (Figure 5E,  $p = 0.005$ , logistic regression with a gamma distribution), indicating that

501 mice lacking BTBD9 specifically in MSNs developed alterations in thermal sensation as the  
502 systematic *Btbd9* KO.

503

#### 504 **Increased ChIs excitability in *Btbd9* ChKO mice**

505

506 The behavioral data showed that BTBD9 deficiency in MSNs, but not in ChIs, can lead to RLS-  
507 like phenotypes. Therefore, it is likely that the KO of *Btbd9* in ChIs alone did not contribute  
508 directly to the behavioral and electrophysiological alterations observed in the systematic *Btbd9*  
509 KO mice. To explore this, we recorded the ChIs in *Btbd9* ChKO mice for both spontaneous  
510 activity (Figure 6C) and responses to the injected currents (Figure 6A). We did not find the  
511 decreased activity of ChIs in *Btbd9* ChKO mice, as observed in the systematic *Btbd9* KO (Figure  
512 3). Instead, spontaneous activity of ChIs in the mutant mice was significantly increased (Figure  
513 6D, left panel,  $p = 0.02$ , ANOVA), although there was no change found in intrinsic excitability  
514 (Figure 6B,  $p = 0.70$ , logistic regression with a negative binomial distribution). Hence, a lack of  
515 *Btbd9* in ChIs alone led to elevated ChI activity.

516

#### 517 **Discussion**

518

519 In this study, we determined how the loss of *Btbd9* affects striatal physiology and focused on the  
520 role of MSNs in RLS pathogenesis. Using both brain imaging *in vivo* and electrophysiological  
521 recording *in vitro*, we found that the systematic *Btbd9* KO mice had enhanced neural activity in  
522 the striatum, more excitable MSNs, and decreased activity in the ChIs. In addition, specific loss  
523 of *Btbd9* in the MSNs was sufficient to cause RLS-like phenotypes. When *Btbd9* was

524 conditionally knocked out in the ChIs, the mice showed neither RLS-like behavioral phenotypes  
525 or maintained decreased activity in the ChIs as observed with the systematic *Btd9* KO mice.  
526 The results suggest that activity changes in the ChIs in the systematic *Btd9* KO mice are not  
527 cell-autonomous but result from alteration of striatal circuits, including changes in the MSNs. It  
528 should be noted that the current study is not aimed at generating new animal models for RLS.  
529 Instead, our findings demonstrate that striatum, especially MSNs, is critically involved in the  
530 development of RLS-like phenotypes in mice.

531

532 Our imaging study revealed increased neural activity in the systematic *Btd9* KO striatum.  
533 Close to 95% of cells in the striatum are MSNs. Therefore, the result suggests a possible overall  
534 increase in the  $\text{Ca}^{2+}$ -dependent neural activity of MSNs. Furthermore, electrophysiological  
535 results showed an increased excitatory synaptic transmission onto striatal MSNs while their  
536 intrinsic neuronal properties were not altered. No change in the frequency, but the higher  
537 amplitude of sEPSC suggest that the MSNs of the KO mice seem to have increased excitatory  
538 synaptic inputs. Combined, our data suggest an enhancement of corticostriatal or thalamostriatal  
539 synaptic activity. Similarly, in iron deprived rat, which is thought to be an RLS rodent model,  
540 corticostriatal excitability is elevated (Yepes et al., 2017). Here, it is not known whether inputs  
541 from corticostriatal, thalamostriatal, or both contributed to the increased striatal sEPSC  
542 amplitude in the systematic *Btd9* KO mice. Differential alterations of thalamostriatal and  
543 corticostriatal synapses have been found in mouse models of Huntington's disease (Deng et al.,  
544 2014; Kolodziejczyk and Raymond, 2016; Parievsky et al., 2017), an MPTP-treated monkey  
545 model of parkinsonism (Raju et al., 2008) and a rat model with L-DOPA-induced dyskinesias

546 (Zhang et al., 2013). Future studies will be focused on dissecting the differential effects of the  
547 systematic *Btbd9* KO on corticostriatal and thalamostriatal synaptic transmission.  
548

549 The electrophysiological recording revealed a decreased excitability in striatal ChIs in the  
550 systematic *Btbd9* KO mice. It has been found that *Gbx2*, a gene which is essential for the proper  
551 development of striatal ChIs, is downregulated in heterozygous *Meis1* knockout embryos  
552 (E12.5) (Spieler et al., 2014). *MEIS1* is another gene implicated in RLS (Schormair et al., 2017).  
553 With conditional knockout mice and electrophysiological recordings, we found that like *Meis1*  
554 mice, *Btbd9* mutation in the ChIs alone can cause functional abnormalities in striatal ChIs, yet it  
555 was insufficient to produce RLS-like behaviors. In the rest phase, *Btbd9* ChKO mice had  
556 decreased locomotor activity with increased excitability of striatal ChIs, while the systematic  
557 *Btbd9* KO mice showed increased locomotor activity (DeAndrade et al., 2012a) with decreased  
558 excitability in striatal ChIs. The results suggest a critical role of ChIs in movement control in the  
559 rest phase, and the decreased ChI activity found in the systematic *Btbd9* KO mice is not a cell-  
560 autonomous effect. It is known that ACh can regulate striatal circuit through its receptors on  
561 MSNs, GABAergic interneurons, glutamatergic and DA terminals (Lim et al., 2014). It is  
562 possible that excess release of ACh in *Btbd9* ChKO mice downregulated activities of MSNs  
563 directly through the nAChRs (Liu et al., 2007) and M4 receptors (Howe and Surmeier, 1995) or  
564 indirectly by increasing GABAergic inhibition on MSNs (English et al., 2011). As the sole  
565 output of the striatum, MSNs may lead to decreased locomotion through their decreased  
566 activities. However, when *Btbd9* was knocked out systematically, neuronal activities were  
567 changed in both MSNs and ChIs. Our results showed that MSNs became more excitable and may  
568 have increased activity. These changes in MSNs were likely to be dominant and overcame the

569 influence of ChIs. MSNs, via their inhibition on the ChIs, in turn, led to decreased activity in  
570 ChIs as showed by the electrophysiological recording. Although it is not clear if the activity level  
571 of MSNs in the *Btbd9* sKO mice is the same as what we found in the systematic *Btbd9* KO,  
572 *Btbd9* sKO mice did show an opposite output in behavioral tests as *Btbd9* ChKOs, which support  
573 the overwhelmingly inhibitory effect of MSNs to ChIs as mentioned above.

574

575 MSN-specific *Btbd9* KO was found to be sufficient to induce rest-phase specific hyperactive  
576 movement, sleep disturbance, and increased thermal sensation in mice. The findings support the  
577 idea that striatum is critical for the pathogenesis of RLS motor phenotypes. Postmortem studies  
578 comparing RLS patients and control group show a decreased D<sub>2</sub>R expression in the putamen, but  
579 increased phosphorylated tyrosine hydroxylase (TH), a rate-limiting enzyme for DA synthesis, in  
580 both putamen and SN (Connor et al., 2009). Brain imaging studies indicate decreased membrane-  
581 bound dopamine transporter (DAT) level and D<sub>2</sub>R binding potential in the striatum (Rizzo et al.,  
582 2017). Additionally, changes in iron hemostasis have been found in SN and putamen of RLS  
583 patients (Allen et al., 2001; Earley et al., 2014). Striata of iron-deprived RLS rodent models  
584 show a reduced density of DAT and DA receptors (Erikson et al., 2000; Erikson et al., 2001) and  
585 enhanced release at corticostriatal terminals (Yepes et al., 2017). Taken together, these studies  
586 suggest functional alterations in the striatum are correlated with RLS (Rizzo et al., 2017). In  
587 addition, a recent GWAS study demonstrated that striatal MSNs are associated with insomnia  
588 (Jansen et al., 2019), which can be caused by RLS.

589

590 However, dysfunctional striatal circuit caused by the loss of BTBD9 might not be the only  
591 mechanism in the systematic *Btbd9* KO mice. *Btbd9* is also expressed in the spinal cord,

592 although our genomic PCR failed to detect any deletion of the *Btd9* gene in the spinal cord of  
593 the *Btd9* sKO mice. Alterations in the striatum can lead to alterations in the spinal cord. The  
594 basal ganglia output modulates the spinal cord through feedback to the cortex. Additionally,  
595 recent evidence has suggested that a microcircuit exists between the corticostriatal tract and the  
596 corticospinal tract, starting in the striatum and ending in the spinal cord (Kiritani et al., 2012).  
597 Spinal neural circuits have been proposed to be central in RLS development (Clemens et al.,  
598 2006; Zwartbol et al., 2013; Koblinger et al., 2014; Kumru et al., 2015). It has been found that a  
599 lesion in a dorsoposterior hypothalamic dopaminergic A11 cell group, which is the sole source of  
600 spinal dopamine, leads to increased wakefulness across the rest phase (Ondo et al., 2000) and a  
601 long-lasting reduction in sensory thresholds (Clemens et al., 2006) in rats. D<sub>3</sub> receptors are  
602 mostly present in the dorsal spinal cord where it has been shown to modulate sensory pathways  
603 (Meneely et al., 2018). Mice knocked out of D<sub>3</sub> receptors (D<sub>3</sub>KO) show increased locomotion  
604 (Accili et al., 1996; Clemens et al., 2006) and decreased paw withdrawal latencies to thermal  
605 pain stimulation. Furthermore, D<sub>3</sub>KO mice have a reduction in frequency-dependent modulation  
606 of the longer-latency reflex (LLRs) in the spinal cord (Keeler et al., 2012). Pharmacological  
607 experiments indicate that D<sub>3</sub>KO mice exhibit a reversal of the modulatory actions of DA on  
608 spinal reflexes from depression to facilitation (Clemens and Hochman, 2004), and are responsive  
609 to D<sub>1</sub> and D<sub>2</sub> receptor agonists (Keeler et al., 2012). These findings emphasize the role of spinal  
610 dopamine in the etiology of RLS.

611

612 Our results provide a novel mechanism for the efficacy of dopaminergic agonists as treatments  
613 for RLS. The dopaminergic system plays a critical role in RLS pathogenesis. In addition to the  
614 evidence mentioned above, RLS patients have upregulated levels of L-DOPA metabolites and

615 TH activity in cerebrospinal fluid (Earley et al., 2001; Allen et al., 2009). RNAi-mediated  
616 knockdown of *BTBD9* homolog gene in a subset of dopaminergic neurons can reproduce sleep  
617 phenotype in fruit flies (Freeman et al., 2012). Ropinirole rescues the sensory deficit found in the  
618 systematic *Btbd9* KO mice (DeAndrade et al., 2012a). It is possible that increased activity of  
619 MSNs leads to a decreased firing in the ChIs and a lower level of ACh. Nigrostriatal DA  
620 terminals express both ionotropic nicotinic ACh (nACh) and G<sub>q/11</sub>-coupled muscarinic ACh  
621 (mACh) receptors (Cachope and Cheer, 2014). The activation of nACh receptors elicits DA  
622 release, while the downstream pathway of mACh receptor inhibits DA release. Overall,  
623 optogenetic stimulation of ChIs evokes DA release in slice preparation (Cachope et al., 2012;  
624 Cachope and Cheer, 2014). Therefore, a decreased level of ACh may cause a deficiency in  
625 dopamine release. It has also been found that activation of MSN through AMPA receptor leads  
626 to the generation of a diffusible messenger, hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), which can inhibit DA  
627 release via ATP-sensitive potassium channels (Avshalumov et al., 2008; Sulzer et al., 2017).  
628 Either way, increased MSN activity is predicted to reduce striatal DA release in the systematic  
629 *Btbd9* KO mouse model, which is consistent with the clinical finding that DA agonists can be  
630 used to treat RLS.

631

632 In summary, our results suggest that alteration in the striatal circuit, especially increased activity  
633 of the striatal MSNs, could potentially serve as a main pathogenetic mechanism of the motor and  
634 sensory dysfunction in RLS. It also supports an indirect role of the striatal ChIs in the disease  
635 development. Finally, these data present a plausible explanation for the therapeutic efficacy of  
636 the dopamine receptor agonists in RLS that includes the inhibition of the MSN activity, the  
637 regulation of ChI excitability, and the resetting of the striatal circuit. Further investigations are

638 needed to dissect the complex interactions among the striatal neurons and modulatory  
639 neurotransmitters, which will aid the development of highly selective anti-RLS drugs with fewer  
640 side effects.

641

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873

## 874 **Figure Legends**

875

876 Fig. 1. MEMRI of neural activity in striatal and forebrain regions of the systematic *Btd9* KO  
877 mice and their WT littermates. (A) Coronal views of the averaged signal intensity from *Btd9*  
878 KO and WT controls. (B) *Btd9* KO had significant increased neural activity in caudate and  
879 putamen and in the cerebral cortex. No difference was observed in other brain regions under  
880 investigation. Bars represent means plus standard errors. Cpu: caudate/putamen; AcbC: nucleus  
881 accumbens core; AcbSh: nucleus accumbens shell; GP: globus pallidus; LS: lateral septal  
882 nucleus; MS: medial septal nucleus; BNST: bed nucleus of the stria terminalis. \*,  $p < 0.05$ .  
883

884 Fig. 2. Whole-cell patch-clamp recording of MSNs from the systematic *Btbd9* KO mice and their  
885 WT littermates. (A, B, C) *Btbd9* KO MSNs (n=20) did not have changes in membrane  
886 capacitance, input resistance and decay time constant compared with the WT MSNs (n=33). (D)  
887 *Btbd9* KO MSNs (n=22) had increased resting membrane potential compared with the WTs  
888 (n=34). (E) Representative responses to the injected currents at 250pA of KO (n=21) and WT  
889 (n=34) MSNs. (F) The frequency-current relationship for WT and *Btbd9* KO MSNs. (G) The  
890 response of KO MSNs to the injected currents was not significantly different from the WT  
891 MSNs. (H) Representative sEPSC traces of KO (n=20) and WT (n=30) MSNs. (I) The frequency  
892 of spontaneous firing was similar between the two groups. (G) *Btbd9* KO MSNs had a higher  
893 amplitude of sEPSC than the WT. (K, L) Both the rise and decay time were not different  
894 between *Btbd9* homozygous KO and WT MSNs. GEE model normalized the WT group in the  
895 bar graph of B to 0 without the error bars. Data in A-D and I-L were presented as median with 95%  
896 confidence intervals (CI). \*\*\*,  $p < 0.005$ , \*,  $p < 0.05$ .

897

898 Fig. 3. Electrophysiological recording of ChIs from the systematic *Btbd9* KO mice and their WT  
899 littermates. (A) Representative responses to the injected currents at 200pA of KO (n=20) and  
900 WT (n=14) ChIs. (B) The frequency-current relationship for KO and WT ChIs. KO showed  
901 decreased firing frequency compared with the WT. WT was normalized to 0 in log  
902 transformation. (C) Representative traces of spontaneous firings of KO (n=19) and WT (n=17)  
903 ChIs. (D) *Btbd9* KO mice had decreased firing frequency, but no change in firing regularity in  
904 ChIs. GEE model normalized the WT group in the bar graph of B to 0 without the error bars.  
905 Data in D were presented as median with 95% CI. CV: coefficient of variance. \*,  $p < 0.05$ .

906

907 Fig. 4. Generation of conditional KO mice and validation of the loss of *Btbd9* in the striatum by  
908 qRT-PCR. (A) Schematic diagram of the generation of conditional KO mice. Filled boxes  
909 represent exons. Filled triangles indicate *loxP* sites (around the 4<sup>th</sup> exon of the *Btbd9* gene). Open  
910 triangles indicate the *FRT* sites that were incorporated to remove the neo cassette. *Btbd9 loxP*  
911 mice were crossed with *Rgs9-cre* or *ChAT-cre* mice to obtain double heterozygotes. The double  
912 heterozygotes were crossed with *Btbd9 loxP* homozygotes to obtain *Btbd9* sKO or *Btbd9* ChKO  
913 mice. In conditional KO mice, exon 4 is deleted in specific types of neurons where *cre* is  
914 expressed. Recombination occurs in these cells, while other brain regions and the rest of the  
915 body still retain the intact exons. (B) *Btbd9* sKO mice showed a decreased level of *Btbd9* mRNA  
916 in the striatum, but not in the cerebral cortex and cerebellum. Bars represent means plus standard  
917 errors. \*,  $p < 0.05$ . (C) Tissue-specific deletion of *Btbd9* exon 4 in *Btbd9* sKO mice was  
918 confirmed by PCR using DNA isolated from each brain region. The deletion was detected only  
919 in the striatum of *Btbd9* sKO mouse as predicted ( $\Delta$ ). (D) A representative immunohistochemical  
920 image of a coronal section of the striatum from an *Rgs9-cre*/GFP mouse. Scale bars represent 25  
921  $\mu$ m. Enlarged images captured with  $\times 40$  objective lens showed that the ChAT staining (red) did  
922 not overlap with GFP staining (green). The results suggest that *Rgs9-cre* does not have cre-  
923 mediated recombination in ChIs.

924

925 Fig. 5. Behavior studies of the conditional KO mice. (A) *Btbd9* sKO mice traveled more and  
926 showed an increase in clockwise circling in the 30 min open field test. (B) *Btbd9* sKO mice had  
927 increased activity in the wheel running test compared with the controls during the light phase,  
928 but not in the dark phase. Detailed hourly differences are listed (see Method). Significant  $p$   
929 values are marked above the corresponding time points. (C) In the long-term open field test,

930 *Btbd9* sKO mice did not show a significant change in the total distance traveled during both the  
931 light and the dark phases. (D) *Btbd9* sKO showed an increased probability of waking in the light  
932 phase of the long-term open field test. (E) *Btbd9* sKO mice showed shorter latencies to respond  
933 to a warm stimulus in the tail flick test. (F) *Btbd9* ChKO mice did not show activity alteration in  
934 the 30 min open field test. (G) *Btbd9* ChKO mice ran less during the light phase but ran more  
935 during the dark phase in the wheel running test. (H) In the long-term open field test, *Btbd9*  
936 ChKO mice did not have a significant change in the total distance traveled during both the light  
937 and the dark phases. (I) The probability of waking significantly decreased during the light phase  
938 and significantly increased during the dark phase for the *Btbd9* ChKO mice. Detailed hourly  
939 differences are listed (see Method). Significant *p* values are marked above the corresponding  
940 time points. GEE model normalized the WT or control group in the bar graphs of B-E and G-I to  
941 0 without error bars. Bars represent means plus standard errors. \*\*\*,  $p < 0.005$ , \*\*,  $p < 0.01$ , \*,  $p$   
942  $< 0.05$ .

943

944 Fig. 6. Electrophysiological recording of ChIs from *Btbd9* ChKO mice and their control  
945 littermates. (A) Representative responses to the injected currents at 200pA of ChKO (n=16 cells)  
946 and control (n=18 cells) mice. (B) The frequency-current relationship for ChKO and control ChIs.  
947 Firing frequency of mutant ChIs did not change compared with the controls. (C) Representative  
948 traces of spontaneous firings of mutant (n=22) and control (n=22) ChIs. (D) ChKO mice had  
949 increased ChIs firing frequency. (E) Firing regularity of ChIs was not different between mutants  
950 and controls. GEE model normalized the control group in the bar graph of B to 0 without the  
951 error bars. Data in D were presented as median with 95% CI. CV: coefficient of variance. \*,  $p <$   
952 0.05.

953 Table 1. A higher level of vertical activity and no alteration in anxiety or stereotypical behaviors  
 954 in the open field.

955

Genotype	Control (n=7)	<i>Btd9</i> sKO (n=8)	<i>p</i> value	Adjusted <i>p</i> value
Vertical Activity	410 ± 48	580 ± 45	0.026	0.049 *
Center time	328 ± 43	370 ± 41	0.50	0.6
Center distance/ Total distance	0.27 ± 0.01	0.29 ± 0.01	0.43	0.5
Stereotypy Count	2071 ± 120	2308 ± 112	0.18	0.3

956

957 Vertical activity is presented as the mean number of beam breaks ± standard errors (SEs). Center  
 958 time is presented in s. Stereotypy count is presented as the number of counts. *p* values have been  
 959 adjusted for multiple comparisons using the Benjamini-Hochberg-Yekutieli false discovery rate  
 960 [FDR (*p* < 0.05)].











