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Transcriptomic Signatures of Postnatal and Adult Intrinsicly Photosensitive Ganglion Cells

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1 **Manuscript title: Transcriptomic Signatures of Postnatal and Adult Intrinsically**
2 **Photosensitive Ganglion Cells**

3

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12

13 **Abstract**

14 Intrinsically photosensitive retinal ganglion cells (ipRGCs) are rare mammalian photoreceptors
15 essential for non-image-forming vision functions, such as circadian photoentrainment and the
16 pupillary light reflex. They comprise multiple subtypes distinguishable by morphology,
17 physiology, projections, and levels of expression of melanopsin (Opn4), their photopigment. The
18 molecular programs that distinguish ipRGCs from other ganglion cells and ipRGC subtypes from
19 one another remain elusive. Here, we present comprehensive gene expression profiles of early
20 postnatal and adult mouse ipRGCs purified from two lines of reporter mice that mark different
21 sets of ipRGC subtypes. We find dozens of novel genes highly enriched in ipRGCs. We reveal
22 that *Rasgrp1* and *Tbx20* are selectively expressed in subsets of ipRGCs, though these
23 molecularly defined groups imperfectly match established ipRGC subtypes. We demonstrate
24 that the ipRGCs regulating circadian photoentrainment are diverse at the molecular level. Our
25 findings reveal unexpected complexity in gene expression patterns across mammalian ipRGC
26 subtypes.

27

28 **Significance statement:** A comprehensive transcriptomic analysis has identified dozens of
29 genes differentially expressed in intrinsically photosensitive retinal ganglion cells, including
30 some linked to signaling, gene regulation, and melanopsin phototransduction.

31

32 **Introduction**

33 Many unique attributes distinguish intrinsically photosensitive retinal ganglion cells
34 (ipRGCs) from conventional RGCs. Only ipRGCs express the blue-light sensitive photopigment
35 melanopsin (OPN4), which renders them autonomously light-sensitive. They violate the usual
36 stratification rule in which ON-type RGCs deploy their dendrites only in the inner (proximal) half
37 of the inner plexiform layer; their inputs from ON bipolar cells are atypical (Dumitrescu et al.,
38 2009; Hoshi et al., 2009; Kim et al., 2010). Whereas most RGCs direct their entire output
39 through the optic nerve, some ipRGCs modulate intraretinal processing, through amacrine cells
40 (Reifler et al., 2015; Sabbah et al., 2017; Zhang et al., 2008; Xue et al., 2011) and spontaneous
41 retinal waves during the early postnatal period (Renna et al., 2011). Functionally, ipRGCs are
42 unique among RGCs in their ability to encode overall light intensity for extended periods (Wong,
43 2012). This tonic luminance signal is transmitted to specific brain targets for a variety of
44 functions including photoentrainment of circadian rhythms and light evoked pupillary
45 constriction. Additionally, ipRGCs appear more resistant than RGCs overall to various insults,
46 including optic nerve injury, glutamate-induced excitotoxicity, and glaucoma (Cui et al., 2015).

47 The ipRGCs consist of at least six anatomically distinct retinal subtypes, termed M1-M6
48 (Figure 1A). These differ in their level of melanopsin expression, visual response properties,
49 dendritic stratification, axonal projections, and contributions to light-modulated behavioral
50 responses (Schmidt et al., 2011). The suprachiasmatic nucleus (SCN) receives projections
51 primarily from M1 ipRGCs, but also a minor input from the M2 subtype (Viney et al., 2007; Baver
52 et al., 2008). The ipRGCs project to distinct regions of the midbrain, with M1 and M2 ipRGC

53 axons arriving to the shell and core of the olivary pretectal nucleus (OPN), respectively
54 (Prichard et al., 2002; Baver et al., 2008; Güler et al., 2008). The OPN also receives significant
55 input from the recently described M6 ipRGCs (Quattrochi et al., 2019). M2-M4 ipRGCs send
56 projections to image-forming brain regions and mediate coarse pattern vision, while spectrally
57 opponent M5 cells contribute to color vision (Ecker et al., 2010; Estevez et al., 2012; Stabio et
58 al., 2018; Sonoda et al., 2018).

59 The distinctive structural and functional properties of ipRGCs must ultimately be
60 traceable to different patterns of gene expression that have remained elusive. The melanopsin
61 phototransduction cascade is a major defining feature of ipRGCs and the basic molecular
62 framework has been identified (see Hughes et al., 2012 for review). However, the precise
63 phototransduction mechanisms across the ipRGC subtypes have only recently become
64 characterized (Sonoda et al., 2018; Jiang et al., 2018). M1 ipRGCs have been further
65 subdivided based on their expression of the transcription factor Pou4f2 (Brn3b) (Chen et al.,
66 2011; Jain et al., 2012). Ablation of Brn3b-positive ipRGCs severely impairs the pupillary light
67 reflex, but leaves circadian photoentrainment intact (Chen et al., 2011). Further, Brn3b-positive
68 M1 ipRGCs provide inputs to diverse brain regions including the thalamus, midbrain, and
69 hypothalamus (Li and Schmidt, 2018). Additionally, the transcription factor Tbr2 is selectively
70 expressed in adult ipRGCs (Mao et al., 2014; Sweeney et al., 2014). Further molecular diversity
71 is expected among ipRGCs, both within and between established ipRGC subtypes.

72 Previous attempts to develop a “molecular parts list” for ipRGCs through gene-
73 expression profiling of adult ipRGCs have been limited by the extreme heterogeneity of retinal
74 tissue and the fragility of mature retinal neurons (Lobo et al., 2006; Heiman et al., 2008; Sanes
75 and Masland, 2015). Prior efforts using either anti-melanopsin immuno-panning or fluorescence-
76 activated cell sorting (FACS) of genetically-labeled fluorescent ipRGCs have been limited by low
77 yield and inclusion of contaminating cell populations such as rods (Hartwick et al., 2007; Peirson
78 et al., 2007; Siegert et al., 2012).

79 Here we conducted a thorough unbiased transcriptomic analysis of ipRGCs by purifying
80 GFP-tagged ipRGCs through a combination of FACS and immunoaffinity and comparing with
81 the transcriptional profile of GFP-negative RGCs. We did this in two different mouse lines,
82 marking partially overlapping subsets of ipRGCs. The specificity and purity of these ipRGC
83 samples is validated by their substantial enrichment for transcripts of genes known to be
84 selectively expressed in ipRGCs and very low expression levels of genes linked to potentially
85 contaminating cell types. We identified more than 75 new gene candidates expressed much
86 more highly in adult ipRGCs than in other RGCs. We validate two of the new molecular markers
87 at the protein level: *Rasgrp1*, which is a Ras guanine nucleotide exchange factor (Ras GEF);
88 and *Tbx20*, a T-box transcription factor. We relate these novel markers to established ipRGC
89 subtypes and patterns of central projection.

90

91 **Materials and Methods**

92 *Animals*

93 All experiments were conducted in accordance with NIH guidelines under protocols approved by
94 the Brown University (Providence, RI) Animal Care and Use Committee. Both male and female
95 adult mice (P30 to P90) were used unless otherwise stated. *Opn4^{cre/cre}* mice were crossed with
96 floxed-stop reporter mice: “Z/EG” (*Jax#003920*); the offspring express GFP in *cre*-expressing
97 cells (M1-M6), as described by Ecker et al., 2010. *Opn4-GFP(ND100Gsat)* is a BAC transgenic
98 mouse generated by the GENSAT project at Rockefeller University. The *Rasgrp1-KO*
99 (*Rasgrp1^{tm1Jstn}*, Dower et al., 2000) was initially provided generously by Robert Barrington, U. of
100 Alabama for initial testing. The *Cdh3-GFP* reporter is a BAC transgenic originally generated by
101 the Gensat project (MMRRC, BK102Gsat/MMNC) useful for identifying M6 ipRGCs (Quattrochi
102 et al., 2019). This mouse line was backcrossed with C57BL/6J background for >10 generations.
103 *Cdh3-GFP* mice were three weeks old or younger unless otherwise stated.

104

105 *Retinal dissociation*

106 Mice from either postnatal day 5 (+/- 1day) or young adult (P30 +/- 3 days) mice were
107 euthanized by inhalation of CO₂. Retinal tissue was dissected free into room temperature
108 Hibernate-A medium (BrainBits). At least three biological replicates were produced for each
109 dataset: three replicates for immature Gensat *Opn4-GFP* mice (postnatal day 4-6; P4-6); six for
110 adult Gensat *Opn4-GFP* mice; and four for *Opn4^{Cre/+}; Z/EG^{+/-}*. We were able to regularly isolate
111 more than 10,000 GFP+ ipRGCs from nine postnatal day 5 (P5) transgenic reporter mice. In
112 contrast, each adult replicate required 15-20 mice to obtain sufficient cells for the transcriptional
113 analysis due the relative fragility of adult retinal ganglion cells.

114 Fresh retinas were enzymatically dissociated in a medium containing 10 mL of
115 Hibernate-A minus Calcium (Brainbits), 20U/mL papain (Worthington), 0.25% Glutamax (Gibco),
116 1mM L-Cysteine, 0.004% DNase, and titrated to 7.4 pH with NaOH. The dissociation medium
117 was activated for 30 minutes at 37°C before retinal immersion. Retinas were incubated in it for
118 45 minutes, with gentle shaking every 5-10 minutes, then centrifuged for 3 minutes at 200 rcf
119 and washed with 1 mL of trituration medium containing Hibernate-A and 10% Fetal Calf Serum
120 (FCS). Retinas were gently triturated 10-15 times with a P1000 tip and an additional 4 mL of
121 trituration buffer was added to each tube. The retina cell suspension was centrifuged for 11
122 minutes at 1000 rcf. The pellet was washed and resuspended with 1mL of HABG buffer
123 containing Hibernate-A, 0.25% BSA, 1% B27 (Gibco), and 0.25% Glutamax (Gibco).

124

125 *RGC Pre-Enrichment*

126 As a first step to purifying ipRGCs from dissociated retinal cells, we selected for ganglion
127 cells by immunoaffinity for the cell-surface protein Thy-1 (Barres et al., 1988; Cahoy et al.,
128 2008). We adapted classic immunopanning procedures to a magnetic-activated cell-sorting
129 approach (MACS, Miltenyi Biotec). We incubated 1 mL of dissociated retinal cell suspension
130 with 100 µl Thy1(CD90.2)-conjugated magnetic nanoparticles (Miltenyi) for 15 minutes at room

131 temperature. The cell suspension was then passed through a Pre-separation filter and MS
132 column (Miltenyi), which retained cells bound to Thy1-magnetic beads within the column
133 magnetic field. After rinsing with HABG media, the remaining cells were flushed with 1 mL
134 HABG media. In preparation for FACS, 2 μ L/mL of the DNA intercalating dye 7-AAD (BD
135 Pharmingen), was added to the solution to mark cells with compromised cell membranes.

136

137 *Fluorescence-Activated Cell Sorting (FACS)*

138 The RGC-enriched cell suspension obtained from the immunoaffinity step was passed
139 through a FACS Aria (BD Biosciences) electrostatic sorter. Dead and dying cells were excluded
140 based on high deep red 7-AAD fluorescence. We also excluded cellular debris, which
141 registered as being essentially non-fluorescent, with relatively lower forward light scatter (FSC;
142 an indication of particle size) and side light scatter (SSC; granularity) compared to RGCs.

143 The remaining cells were sorted using FITC gating into GFP-positive cells (presumptive
144 ipRGCs in either reporter mouse we used; Figure 2) and GFP-negative cells (nearly all
145 expected to be conventional RGCs; cRGCs). The goal was to compare the transcriptional
146 profiles of these two matched samples to identify genes differentially over- or under-expressed
147 in ipRGCs. The cRGC and ipRGC samples were treated with the same reagents, cytometer
148 settings, centrifugation forces, and temperatures throughout the procedure. cRGCs and ipRGCs
149 had similar SSC and FSC values.

150

151 *RNA Extraction and cDNA Preparation*

152 RNA-processing was done in an enclosed RNase-free environment to limit degradation
153 of RNA throughout the extraction process. FACS-acquired cells were sorted directly into Qiagen
154 RLT buffer with 10 μ L/mL of β -mercaptoethanol for immediate lysis. While sorting, the lysis
155 solution was kept at 4°C and periodically mixed. After sorting, we extracted the RNA from the
156 lysed cells using the RNeasy Micro Kit and RNeasy Minelute columns (Qiagen). The enriched

157 RNA was treated on-column with RNase-free DNase I (RNeasy Micro Kit) to remove any
158 residual genomic DNA from the sample. For RNA elution, 12 μ l of RNase-free water was added
159 directly to the center of the spin column membrane and centrifuged at >8000 rcf for 1 minute. 5
160 μ l of eluted RNA solution was retained for Nugen Ovation cDNA amplification (Caretto et al.,
161 2008; Clément-Ziza et al., 2009; Morse et al., 2010; Tariq et al., 2011). Additionally, integrity of
162 eluted RNA was assessed using PicoChip RIN analysis and RNA amount using the Qubit RNA
163 assay. Initially, we proceeded immediately with cDNA processing after RNA extraction.
164 However, freezing at -80°C did not alter RNA integrity since the frozen RNA samples still
165 received RIN score of 9.0 or greater. Therefore, most of the cDNA libraries were prepared after
166 storage of extracted RNA at -80°C .

167

168 *RNA-seq library preparation and sequencing*

169 Before preparing sequencing libraries, we first sheared cDNA to the appropriate size,
170 (200-300bp median) using the Covaris system. Each sample was subsequently processed
171 using Illumina Truseq kit using a unique barcode adapter to allow for multiplexing multiple
172 samples. Excess adapter sequences were removed using Ampure bead isolation, which
173 removes all DNA fragments less than 200bp. The library fragment size distribution was tested
174 using High Sensitivity Bioanalyzer) and qPCR analysis using primers that match the library
175 adapters (Quail et al., 2008) (Figure 3D). Finally, 50 bp single-end sequencing was completed
176 using HiSeq 2000 (approximately 200 million reads divided among sequencing samples).
177 Initially, we processed 8 samples per lane with multiplexed sequencing. We later ran many of
178 the sequencing libraries again with less multiplexing, providing increased sequencing depth.
179 The corresponding technical replicates were merged together for differential expression
180 analysis. The final read counts of each sample are shown in Figure 3D.

181

182 *Differential gene and transcript expression analysis*

183 For the tens of millions of reads generated by sequencing we first removed adapter
184 sequences and low-quality reads using `fastx_clipper` and `fastq_quality_filter`, respectively.
185 Second, using `tophat2` we aligned reads to the mm9 mouse reference genome. Third, the
186 aligned reads were converted to SAM format for `htseq-count`, which counted the number of
187 reads that aligned to an annotated gene. Finally, we used the EdgeR package to perform
188 statistical analysis on the generated count table to identify quantitative differences in expression
189 levels between the two experimental samples (Anders and Huber, 2010; Trapnell et al., 2012;
190 Anders et al., 2013). EdgeR compares and retains the relationship between all pairs of
191 experimental samples when calculating differential expression likelihood (Anders et al., 2013).
192 Our analysis filtered out genes with very low counts, less than 1 count per million (cpm), in more
193 than half of the samples used in the differential expression analysis.

194

195 *Identification of differentially expressed genes*

196 To identify the set of differentially expressed genes (DEGs) in the ipRGC populations,
197 we used the following strict criteria. First, we identified genes with low false discovery rate (FDR
198 < 0.05) and high fold-change (greater than 2-fold) suggesting differential expression between
199 ipRGCs and generic RGCs. Second, we considered whether the differentially gene expression
200 was corroborated across both reporters (*Opn4::GFP* labeling M1-M3 cells and the
201 *Opn4::Cre/GFP* system that labels M1-M6 ipRGCs) and both ages (postnatal day 5 (+/- 1day) or
202 young adult (P30 +/- 3 days). Third, we identified whether the DEGs have nearly absent gene
203 expression in cRGC samples to distinguish potential for selective gene expression in ipRGCs.
204 This was distinguished both at the level of count-values and manual inspection of aligned raw
205 reads using the Integrated Genome Viewer (IGV) (Thorvaldsdóttir and Robinson, 2013). Using
206 IGV, we verified that the reads align with reference gene model for full-length coverage across
207 multiple ipRGC replicates and that there were absent or partial reads aligned across the generic
208 RGC replicates.

209 Determining differentially *repressed* genes in adult ipRGCs was confounded by the high
210 amount of contaminants in generic RGC populations. We could not decipher whether a gene
211 with relatively low expression in ipRGCs was the result of non-RGC populations contaminating
212 the generic RGC control population. In contrast, the P5 generic RGC samples from the *Opn4-*
213 *GFP* reporter were determined to have greatly reduced levels of contamination and similar
214 levels of RGC marker expression (Figure 5). This made it possible to identify genes more
215 weakly expressed in ipRGCs than in generic RGCs in early postnatal development (Figure 7).

216

217 *Accession of RNA-Seq data*

218 Deposited in NCBI GEO with accession number GSE118780. To review GEO accession, Go to
219 <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE118780>

220 Enter token knstamamblqhpuh into the box (please keep access code confidential)

221

222 *Retina Tissue Preparations and Solutions*

223 Mice were euthanized by inhalation of CO₂. Prior to removing the eye, the dorsal margin of the
224 cornea was marked with a cautery and this was used to guide the placement of a large relieving
225 cut in the dorsal retina as a subsequent guide to retinal orientation. Eyes were removed
226 immediately after death and placed in Hibernate-A solution preheated to 37 °C. To keep track of
227 retinal orientation, the right and left eye were identified and processed separately.

228

229 *Immunohistochemistry*

230 The following primary antibodies were used for our immunofluorescence co-labeling
231 studies: rabbit anti-melanopsin (Advanced Targeting Systems; 1:10,000), guinea pig anti-
232 RBPMS (PhosphoSolutions 1832-RBPMS), rabbit anti-green fluorescent protein (GFP;
233 Invitrogen); Goat anti-Brn3b antibody (Santa Cruz #sc-6026); mouse anti-Rasgrp1 (Santa Cruz
234 sc-8430); guinea pig anti-Tbx20[1:8500] (Song et al., 2006). Secondary antibodies consisted of

235 Alexa Fluor 350, 488, 594 or 647 donkey anti-goat, Alexa Fluor 594 donkey anti-rabbit and
236 Alexa Fluor 594 goat anti-guinea pig (Invitrogen or Jackson Immunoresearch).

237 For immunofluorescence studies, the dissected retina was flattened onto Millipore
238 nitrocellulose paper after making four small relieving cuts and fixed for 30 minutes at room
239 temperature (freshly prepared 4% paraformaldehyde in 0.1M phosphate buffered saline; PBS;
240 pH 7.4). The tissue was then washed for 15 minutes in PBS three times, then incubated in a
241 blocking solution of 0.5% Triton-X and 5% Goat Serum in PBS for two hours at room
242 temperature. The tissue was incubated in the primary antibodies diluted in this same blocking
243 solution for two days at 4 °C on a shaker. The following day, the samples were washed six
244 times for 20 minutes in 0.1% Tween-20 in PBS. The tissue was then incubated for two hours in
245 the appropriate secondary antibodies diluted 1:1000 in the blocking solution at room
246 temperature. The tissue was then washed six times for 10 minutes in 0.1% Tween-20 in PBS.
247 The retinas were mounted in Aquamount, coverslipped, and sealed with fingernail polish.

248 For Rasgrp1 immunofluorescence studies that did not include Tbx20
249 immunofluorescence, an additional antigen retrieval step was included by placing fixed tissue in
250 Tris-EDTA (pH 8.0) for 30 minutes at 80°C. The samples were then allowed to return to room
251 temperatures (about 15-30 minutes) before they were removed from the Tris-EDTA solution and
252 washed three times for 15 minutes in PBS.

253 Anti-GFP immunofluorescence using alexa fluor 488 labeled secondary antibody was
254 included for all studies exploiting GFP labeling.

255

256 *Image Acquisition*

257 Immunofluorescent images were captured on a Zeiss Confocal (LSM 510) and Nikon Eclipse
258 microscope (Micro Video Instruments, Inc. E614, Avon, MA) with a built in Spot Camera
259 (Diagnostic Instruments, Inc. HRD 100-NIK Sterling Heights, MI). Confocal images were taken
260 with a 20x objective (Plan Apochromat, WD 0.55 mm) at a resolution of 2048 pixels. To

261 enhance clarity, image files were pseudocolored and the brightness and contrast was adjusted
262 using ImageJ 1.47 (National Institutes of Health, Bethesda, MD). Final images were assembled
263 in ImageJ and Powerpoint (Microsoft Corporation, Redmond, WA).

264

265 *Retrograde axon-transport labeling of ipRGCs from the suprachiasmatic nucleus*

266 To label ipRGCs sending axon terminals to the suprachiasmatic nucleus (SCN), we used a
267 retrograde tracing method as previously described (Estevez et al., 2012; Stabio et al., 2018).
268 Wild type mice (4-8 weeks old) were anesthetized by inhalation of 3% isoflurane and placed in
269 stereotaxic apparatus. A craniotomy was performed above the injection site (SCN: -0.5 AP, -5.6
270 DV, 1.25 ML) and a glass micropipette attached to a Picospritzer II (Parker Hannifin) was used
271 to deliver 200 nL of retrograde tracer rhodamine latex microspheres (RetroBeads, Lumafluor).
272 Three to five days later, the brain was removed and immediately fixed overnight at 4°C in 4%
273 paraformaldehyde freshly prepared in 0.1M phosphate buffered saline. The following day, the
274 brain was rinsed in 0.1M PBS and sectioned at 50um in the coronal plane. The slices were
275 incubated in fluorescent DAPI stain to facilitate histological identification of the SCN.
276 Fluorescence imaging allowed us to visualize the injection site (rhodamine channel) in relation
277 to the SCN, discernable from UV DAPI fluorescence. For this, we used a SPOT RT Slider
278 digital microscope camera mounted to a Nikon (Diagnostic Instruments) as described previously
279 (Berson et al., 2010; Estevez et al., 2012). Images were assembled in Adobe Photoshop CS3.
280 For all data presented, injections spared the underlying optic chiasm and tracts, thus avoiding
281 nonspecific retrograde labeling of RGCs.

282

283 **Results**

284 For our transcriptomics studies, we enzymatically dissociated retinas from melanopsin-
285 reporter mice, selected for RGCs by anti-Thy1 immunoaffinity, and sorted these into
286 presumptive ipRGC and conventional ganglion-cell (cRGC) pools by FACS based on the

287 fluorescent labeling of ipRGCs (Figure 1B). We then compared gene expression in these
288 ipRGC-enriched and cRGC-enriched cell samples to identify genes differentially expressed in
289 ipRGCs as compared to other ganglion cells.

290 We used two strains of melanopsin-reporter mice for these experiments. One of these
291 was a BAC transgenic mouse generated by the GENSAT project (here termed *Opn4-GFP*).
292 Because the GENSAT *Opn4-GFP* reporter line has not been characterized previously, we using
293 anti-melanopsin immunofluorescence to relate the pattern of GFP labeling to that obtained.
294 The M1 and M2 ipRGCs subtypes (and the bistratified M3 variant) express enough melanopsin
295 throughout their somas and dendrites to permit M1 and M2 cells to be differentiated based on
296 their dendritic stratification in the IPL (OFF sublayer for M1 cells; ON sublayer for M2 cells; see
297 Berson et al., 2010; Schmidt and Kofuji 2009). M3 ipRGCs, which are relatively rare, stratify in
298 both the ON and OFF sublamina. Documenting this bistratified pattern in such material is labor
299 intensive, so for efficiency, we simply grouped any cell with melanopsin immunopositive
300 dendrites in the OFF IPL together and refer to this as the “M1/M3” type. To limit bias,
301 identification and classification of ipRGCs based on *Opn4*-immunofluorescence was completed
302 first and remained hidden from the analyst until labeling of other features were completed.

303 All *Opn4-GFP*⁺ cells were *Opn4*-immunopositive (n=60 GFP⁺ cells across seven regions
304 of one retina, Figure 2A). Approximately half of GFP⁺ cells were M1/M3 ipRGCs with somas in
305 the ganglion-cell layer (52.6±6.8% of 66 GFP⁺ cells across seven retinal regions), 9.7±5.0%
306 were displaced M1 cells, and the remaining 37.7±3.5 were M2 cells. Unexpectedly, many of the
307 *Opn4*-immunopositive M1-M3 ipRGCs were not labeled by the reporter. Most, but not all, M1/M3
308 ipRGCs immunoreactive for anti-*Opn4* also co-expressed GFP (63.3±4.8% of 55 M1 cells),
309 while only 28.7±3.9% of M2 ipRGCs (88 cells) were GFP⁺. Therefore, the coexpression of
310 EGFP expression by the *Opn4-GFP* reporter is strongly correlated with M1-M3 ipRGCs, but only
311 accounts for about half of the population. In its selective labeling of M1-M3 ipRGCs, this *Opn4*-

312 *EGFP* reporter resembles another BAC transgenic melanopsin reporter mouse of similar design
313 (Schmidt et al., 2008). The selectivity presumably results from the fact that these ipRGC
314 subtypes express the most melanopsin, and GFP expression is proportionate.

315 The other reporter mouse used in this study, *Opn4^{Cre/+}; Z/EG* mice (*Opn4-Cre/GFP*), has
316 been previously demonstrated to label with EGFP all six known morphological types of ipRGCs,
317 named M1–M6, while labeling few if any conventional RGCs (cRGCs) (Ecker et al., 2010;
318 Estevez et al., 2012; Quattrochi et al., 2019; Stabio et al., 2018). M4-M6 cells have lower levels
319 of melanopsin-expression than M1-M3 cells and their dendrites are not revealed by *Opn4*
320 immunostaining (Ecker et al., 2010; Quattrochi et al., 2019; Stabio et al., 2018). Nonetheless,
321 M4 cells are recognizable among GFP+ cells in this mouse line from their large soma size, and
322 weak melanopsin or absent anti-melanopsin immunolabeling (Estevez et al., 2012).

323 *Opn4*-immunofluorescence revealed that more than one-fourth of ipRGCs of the M1, M2
324 and M3 cells lacked discernable GFP-labeling in this reporter mouse (M1/M3 cells: 28%, n=81;
325 displaced M1 cells: 27%, n=15; M2 cells: 33%, n=132; four sampled regions from one *Opn4-*
326 *Cre/GFP* retina; Figure 2B,C). Among presumed M4 cells (large somas; faint *Opn4-*
327 immunoreactivity) only about a quarter were GFP-positive (27% *Opn4⁺;GFP^{neg}*, n=67 M4 cells).
328 Other presumed M4 cells (large GFP+ somas) were *Opn4*-immunonegative (54%, n=67 M4
329 cells) (Figure 2C). GFP+ cells with small somas and no evident *Opn4*-immunoreactivity were
330 designated as “unknown” ipRGC types (72%, n=202 unknown cells; Figure 2C) These were
331 presumably mainly M5 and M6 cells, but this could not be confirmed from dendritic
332 immunostaining. Additionally, we observed small cell bodies of unknown type with weakly *Opn4-*
333 immunolabeling that did not extend to the dendrites (28%) (Figure 2C).

334

335 **Cell composition and purity of isolated ipRGCs and conventional RGCs**

336 The transcriptional data obtained offered broad internal evidence for the efficacy of
337 purification of cell samples. As expected, the *Opn4* (melanopsin) gene was among the genes

338 much more highly expressed in ipRGCs than in cRGCs. For example, *Opn4* was enriched 40-
339 fold in adult ipRGCs purified from *Opn4-GFP* mice, and this was highly significant, at $q < 1 \times 10^{-55}$
340 false discovery rate (FDR). Though *Opn4* expression was detected in cRGCs at modest levels
341 (Figure 4A), this was expected, because some ipRGCs lack GFP expression in both melanopsin
342 reporter lines (*Opn4-GFP* and *Opn4-cre/GFP*), and these would have been pooled with cRGCs
343 during the FACS procedure.

344 Transcripts of other genes known to be expressed in ipRGCs were also enriched in the
345 ipRGC pool relative to the cRGC pool (FDR < 0.05, significantly expressed in ipRGC samples,
346 and absent or weakly expressed in cRGC samples). Among these genes were *Adcyap1*
347 (pituitary adenylate-cyclase activating polypeptide; PACAP), *Tbr2*, (*Eomesodermin*), *Trpc7* and,
348 to a lesser extent, *Trpc6* (Hannibal et al., 2004; Xue et al., 2011; Sand et al., 2012; Mao et al.,
349 2014; Sweeney et al., 2014) (Figure 4A). Taken together, these results demonstrate that mRNA
350 isolated from purified ipRGC samples were enriched as expected for transcripts for genes that
351 are known to be differentially expressed in ipRGCs.

352 The relationship among the transcriptional profiles of ipRGC and cRGC samples across
353 replicates are illustrated in the multidimensional scaling (MDS) plots of Fig. 4B. These show the
354 relationship between all pairs of samples (one of ipRGCs, the other of cRGCs) based on a
355 count-specific pairwise distance measure (Anders et al., 2013) (Figure 4B). These sample pairs
356 were clearly separated along the first dimension, indicating pronounced differences in overall
357 gene-expression patterns between ipRGC and cRGC samples. Samples of ipRGCs and cRGCs
358 derived from the same retina and processed in parallel tended to be closely spaced along the
359 second dimension, indicating greater similarity within than across replicates. This may reflect
360 slight differences in overall genetic makeup of mice contributing to each pool, since both strains
361 used were on a mixed genetic background, or to slight technical differences in the acquisition
362 and processing of RNA from one run to the next.

363 In the purified ipRGC samples, we found little or no evidence of contamination by
364 transcripts from other retinal cell types. For example, transcript levels were very low for rod and
365 cone opsins, for the amacrine-specific marker ChAT, for several bipolar markers (*Otx2*; *Vsx2*;
366 *Grm6*; *Trpm1*), and for markers of astrocytes, microglial and vascular cells (Figure 5). Several
367 transcripts suitable for assessing potential contamination from Müller glia (*Glul*, *Vim*) were
368 present at surprisingly high levels in the purified ipRGC samples, suggesting that these glial
369 cells may contaminate the transcriptional picture to some degree.

370 In general, the cRGC samples were relatively less pure than the ipRGCs samples by this
371 measure. A particularly informative transcript for assessing such contamination is that for the
372 rhodopsin gene (*Rho*), because rods are by far the most common neuronal type in the mouse
373 retina and express *Rho* at very high levels. Rhodopsin transcripts were significantly (150-fold)
374 more abundant in the cRGC samples than in ipRGC samples (Figure 5), whether isolated from
375 *Opn4-GFP* or *Opn4-Cre/GFP* adult reporter mice ($FDR < 6 \times 10^{-9}$). Evidently, the second
376 isolation step in which GFP+ positive cells were isolated by FACS from the purified RGC pool
377 was a key factor in the greater purity of the ipRGC sample. Similarly, transcripts associated with
378 bipolar cells and Müller glial cells were generally more abundant in cRGC than ipRGC samples.
379 For example, the cRGCs had relatively high expression of the known Müller glia markers *Glul*,
380 *ApoE*, *Aqp4*, and *Vim*, generally higher than in the ipRGC pool (Figure 5). Contamination of
381 adult cRGC samples by other cell types may explain why most RGC markers, such as *Rbpms*
382 and *Sncg* (Soto et al., 2008; Rodriguez et al., 2014), were less abundant in the cRGC cell pool
383 than in the ipRGC pool. However, the data suggest that contamination in the cRGC pool was
384 not uniform across retinal cell types. Amacrine-specific transcripts were no more abundant
385 overall in cRGCs than in ipRGCs, and microglial and vascular markers were essentially absent,
386 as in ipRGCs.

387 In immature mice (P5; *Opn4-GFP*), contamination of cRGC samples by non-RGC
388 transcripts appeared more modest than in adults. The major sources of contamination (rods and

389 Müller glia) are still being born and undergoing early-stage differentiation at this age, and this
390 would presumably depress the abundance of their cell-type-specific transcripts (Young, 1985;
391 Morrow et al., 1998; Matsushima et al., 2011).

392 To summarize, this analysis suggests that the ipRGC samples were relatively free of
393 contamination by most other retinal cell types. In contrast, contamination of the cRGC samples
394 appears to derive mainly from Müller cells and strongly expressed genes in rods. Though this
395 must be factored into the analysis, our primary focus was on genes more strongly expressed in
396 ipRGCs than in cRGCs, and this difference seems unlikely to be affected by the modest
397 contamination of the cRGC pool.

398

399 **Genes differentially expressed in ipRGCs**

400 Comparing the abundance of transcripts in the ipRGC and cRGC pools, we identified
401 over 75 genes that were differentially elevated expression in ipRGCs (as marked by one or both
402 melanopsin-reporter lines) relative to cRGCs. Briefly, identification of differentially expressed
403 genes (DEGs) in ipRGCs relied on the following stringent criteria: 1) low FDR with high fold-
404 change, 2) corroboration of differential expression across both ipRGC reporters, and 3) absence
405 of gene expression in cRGC samples (see Methods). Some instances of genes with borderline
406 FDR in a subset of samples, such as *Zcchc12* and *Hs6st2*, were included after closer manual
407 inspection of aligned reads determined relative high expression in ipRGCs combined with
408 absent or relatively low aligned reads in cRGC samples. The identified DEGs are diverse, and
409 most have not been previously identified as ipRGC-enriched (Figure 6; see Methods). Here, we
410 survey some of these genes, grouped by their functional features (Figure 6).

411

412 **1. *Transcription factors***

413 Transcription factors, by regulating other genes, help to generate and maintain ipRGC
414 identity. We noted above that the T-box transcription factor *Tbr2* was much more strongly

415 expressed in adult ipRGCs than in cRGCs, as expected (Sweeney et al., 2014). *Tbr2* is best
416 known for its key role in early retinal development. Its expression in adult retina is far more
417 restricted, but it remains expressed in the majority of ipRGCs. A second T-box transcription
418 factor, *Tbx20*, was similarly enriched (Figure 6). *Tbx20* has not been previously linked to adult
419 retinal function, but we will show that it too is quite selectively expressed in ipRGCs.
420 Additionally, four other transcription factors, *Irx6*, *Dmrtb1*, *Nr4a3*, and *Pou6f2*, were differentially
421 expressed in adult ipRGCs. Most of these genes serve as broad lineage determinants in early
422 retinal development (Zhou et al., 1996; Star et al., 2012). Other highly expressed genes
423 included the neuron-derived orphan receptor 1 *Nor1* (*Nr4a3*), which codes for a nuclear
424 receptor, and *Elavl2* gene, which codes for a RNA-binding protein important for mRNA
425 metabolism and neuronal differentiation (Fornaro et al., 2007; Hinman and Lou, 2008). Pathway
426 analysis (DAVID) of DEGs in ipRGCs suggested specialization in heparan sulfate biosynthesis,
427 including *Hs3st4*, *Hs3st2*, *Hs6st2*, *Ndst4*, and *Gpc5* (Figure 6).

428

429 2. **Receptors and channels**

430 Multiple genes encoding diverse surface receptors were differentially expressed in ipRGCs
431 (Figure 8). For example, expression data suggest that ionotropic nicotinic acetylcholine
432 receptors in ipRGCs may be composed of $\alpha 3$, $\alpha 4$, $\alpha 6$, $\beta 2$ and $\beta 3$ subunits (Figure 8), although
433 the $\alpha 3$ and $\alpha 4$ transcripts were borderline for differential expression in ipRGCs. In agreement
434 with previous studies, we found that ipRGCs expressed the *Drd1* dopamine receptor, but had
435 low levels of *Drd2* expression (Van Hook et al., 2012). Several serotonin receptor genes (*Htr1b*,
436 *Htr1d*, and *Htr5a*) were modestly enriched in ipRGCs. The ipRGCs were also found to express
437 many glutamate receptors subunits, but only one of these - the NMDA receptor subunit 3A
438 (*GRIN3A*) - was differentially expressed relative to other adult RGCs. The mu opioid receptor
439 gene *Oprm1* is differentially expressed in ipRGCs; it could regulate their light responses
440 interacting with L-type calcium channels, which carry the majority of light-evoked inward calcium

441 current in ipRGCs (Moises et al., 1994; Diaz et al., 1995; Doğrul et al., 2001; Hartwick et al.,
442 2007). Recently, μ -opioid receptors (MOR) immunoreactivity was identified on rodent M1-M3
443 ipRGCs and MOR activation reduced ipRGC [excitability](#) via modulation of voltage-
444 gated [potassium and calcium currents](#) (Cleymaet et al., 2019). Our data appear at odds with
445 earlier reports that M1 and M4 ipRGCs express the melatonin receptor genes *Mtnr1a* and
446 *Mtnr1b* (Sengupta et al., 2011; Pack et al., 2015; Sheng et al., 2015). Additionally, *Kcnh1*, also
447 known as ether-a-go-go (*Eag1*), was differentially expressed in ipRGCs (Figure 6). *Kcnh1* is a
448 voltage-gated K⁺ channel that has been shown to be crucial for the generation of dark current in
449 the inner segment of rods (Frings et al., 1998), but may normally regulate other neuronal
450 functions in ipRGCs (Martin et al., 2008).

451

452 3. **Cell adhesion**

453 Genes encoding for several cell adhesion molecules were differentially expressed in
454 ipRGCs (Figure 9B). For example, the cell adhesion molecule *DscamL1* was relatively low in
455 ipRGCs during postnatal development, but the closely related genes encoding the
456 immunoglobulin superfamily (IgSF) adhesion molecules *Sidekick-1* and *Sidekick-2* were
457 enriched in developing ipRGCs. *Unc5a* and *Unc5d* were significantly differentially expressed
458 both in early postnatal and adult ipRGCs. In contrast, expression of *Unc5b* and *Unc5c* in
459 ipRGCs was low relative to that in cRGCs. As suggested previously, expression of the repulsive
460 ligand *Sema6a* was significantly lower in ipRGCs than cRGCs during postnatal development
461 (Matsuoka et al., 2011). However, its receptor *PlxnA4* was enriched in P5 ipRGCs. Another
462 semaphorin, *Sema5a*, was also significantly enriched in developing ipRGCs. Other differentially
463 expressed cell-adhesion molecules *Salm5* (*Lfn5*), *Clstn2*, *Thbs1*, *Lrrtm2*, *Pcdh19*, *Ptprm*, and
464 *Lrrc4c* (*Ng1*) could play significant roles in the formation of ipRGC synapses (Burden-Gulley
465 and Brady-Kalnay, 1999; Lin et al., 2003; de Wit et al., 2009; Xu et al., 2010; Lipina et al., 2016;

466 Pederick et al., 2016; Lin et al., 2018). The cell surface glycoprotein *Mdga1* was also
467 differentially expressed in developing ipRGCs, and is known to influence the formation and
468 maintenance of inhibitory synapses (Pettem et al., 2013).

469

470 4. **Tolerance to stress**

471 There is increasing evidence that ipRGCs are resistant to stress and able to survive
472 under circumstances that are fatal for other retinal neurons (Li et al., 2008; de Sevilla Müller et
473 al., 2014; Cui et al., 2015; Duan et al., 2015). The harsh dissociation and FACS processing has
474 the potential of generating stress-induced gene expression changes (Figure 6). We attempted to
475 identify potential survival molecular programs that are specific to ipRGCs compared to generic
476 RGCs. The genes *Adcyap1* (PACAP), *Igf1*, and *Spp1* (*osteopontin*), all of which have previously
477 described roles in promoting ipRGC survival (Atlasz et al., 2010; Duan et al., 2015) were
478 differentially expressed in ipRGCs. We also identified a number of genes related to glial function
479 differentially expressed in ipRGCs, including *Gldh*, *Cntn2*, *Lama4*, and *Astn2*, and *Thbs1*
480 (Figure 6).

481

482 5. **Phototransduction**

483 Photoactivation of melanopsin photopigment typically triggers a phosphoinositide
484 signaling cascade resembling that in rhabdomeric (invertebrate) photoreceptors, involving G
485 proteins in the Gq family, phospholipase C, and canonical TRP channels. In ipRGCs, the
486 phototransduction cascade typically signals through Gq-family proteins and phospholipase C
487 beta 4 (PLCB4) to open canonical TRP channels (*Trpc7* and *Trpc6*) (Graham et al., 2008; Xue
488 et al., 2011; Hu et al., 2013; Emanuel and Do, 2015; Emanuel et al., 2017) (Figure 10A).
489 Additionally, M2 and M4 ipRGCs use distinct ciliary phototransduction pathway components,
490 including cyclic nucleotide as the second messenger and HCN as the ion channel for
491 phototransduction (Jiang et al., 2018). Further, contrast sensitivity of M4 cells is enhanced by

492 *Opn4* phototransduction through the regulation of potassium leak channels (Sonoda et al.,
493 2018).

494 We determined that the genes in this signaling cascade (*Opn4*, *Trpc7*, *Trpc6*, *Plcb4*, and
495 several Gq genes) were expressed at relatively high levels in all three ipRGC pools (i.e.,
496 selective-postnatal; selective-adult; or pan-subtype adult). Moreover, two key genes - *Opn4* and
497 *Trpc7* - were more highly expressed in ipRGCs than in cRGCs in all three ipRGC pools. *Trpc6*
498 was also significantly overexpressed in ipRGCs in younger animals, with a trend in this direction
499 also in adult ipRGCs, but *Trpc7* was expressed at much higher levels than *Trpc6*. *Plcb4*
500 appears essential for melanopsin phototransduction in some cells, and it was expressed at
501 much higher levels than *Plcb1*, 2 or 3. However, *Plcb4* was differentially expressed in postnatal
502 age ipRGCs compared to cRGCs, but had similar expression during adulthood.

503 Recent evidence indicates that multiple the G α subunits of the Gq family, including
504 Gnaq, Gna11, or Gna14 subunits, redundantly contribute to phototransduction in ipRGCs
505 (Hughes et al., 2015). Our studies suggest a similar expression pattern, including a lack of
506 Gna15 expression (Figure 10B). Further, we determined that Gna14 was differentially
507 expressed in our P5 ipRGC samples, but it did not reach a statistical significant difference in
508 adult *Opn4*-GFP ipRGCs. Gnaq appears to be among the highest expressing Gq/11 subunits in
509 our study, which conflicts with the negative finding of Siegert and colleagues (2012). To date,
510 the G $\beta\gamma$ complex involved in the ipRGCs signaling cascade remains unknown. Our studies
511 determined that the beta subunit Gnb1 is by far the most highly expressed in ipRGCs, having a
512 15-fold higher expression than the other subunits Gnb2, Gnb4, or Gnb5; Gnb3 was not
513 detectably expressed in adult ipRGCs (Figure 10C). Additionally, we found that the gamma
514 subunit Gng4 is differentially expressed in ipRGCs.

515 Also differentially expressed in ipRGCs were two factors with known roles in
516 diacylglycerol (DAG) signaling, Ras guanyl nucleotide-releasing protein 1 (*Rasgrp1*) and

517 Diacylglycerol kinase gamma (*Dgkg*) (Figure 10B). *Rasgrp1* is a guanine nucleotide exchange
518 factor (GEF) that activates *Ras* by facilitating its GTP binding (Bivona et al., 2003). *Rasgrp1*
519 binds DAG and Ca^{2+} , both of which are elevated by melanopsin phototransduction. This
520 provides a possible basis for intrinsic photoresponses of ipRGCs to modulate Ras signaling and
521 thus genes governing cell growth, differentiation and survival. We will return to a more detailed
522 consideration of *Rasgrp1* later in this report.

523 *Dgkg* converts DAG to phosphatidic acid, thus acting as a terminator of DAG signaling
524 (Bivona et al., 2003; Shulga et al., 2011). Because DAG appears to be a key link between early
525 steps in phototransduction and gating of the light-activated channels, *Dgkg* may regulate the
526 kinetics of the photoresponse in ipRGCs. The protein products of the two overexpressed genes
527 may interact. Diacylglycerol kinases are also known to bind to *Rasgrp* and modulate its activity
528 (Topham and Prescott, 2001). Diacylglycerol and calcium are also known to activate the protein
529 kinase C (PKC) family members *Prkcd* and *Prkco* (Oancea and Meyer, 1998), which we
530 determined to be differentially expressed in ipRGCs. Protein kinase C (PKC) activity has been
531 suggested to be important for deactivating TRPC activity in the invertebrate photoreceptors and
532 potentially also for the *Opn4* phototransduction cascade (Graham et al., 2008). Peirson and
533 colleagues (2007) previously identified another PKC member, *Prkcz*, as being important for
534 ipRGC-mediated photoentrainment of circadian rhythms (Peirson et al., 2007). However, *Prkcz*
535 is only moderately expressed in ipRGCs in our data, at levels and similar to those in cRGCs.

536 In other photoreceptors, RGS (Regulator of G protein signaling) proteins play a key role
537 in terminating the photoresponse by accelerating the intrinsic GTPase activity of the cognate G-
538 protein (e.g., transducin in rods). Two RGS genes were overexpressed in all three ipRGC pools:
539 *Rgs4* and *Rgs17*. At least one of these (*Rgs17*) regulates Gq signaling (Mao et al., 2004; Ji et
540 al., 2011) (Figure 10B).

541 The arrestins also contribute to response termination by binding to phosphorylated
542 opsin. ipRGCs exhibited strong expression of both beta arrestin genes (*Arrb1*, *Arrb2*) but low

543 expression of rod (*Sag*) and cone (*Arr3*) arrestin genes. This is consistent with earlier evidence
544 that beta arrestins rather than conventional retinal arrestins bind photoactivated melanopsin in
545 ipRGCs (Cameron and Robinson, 2014; Mure et al., 2018). Still, these beta arrestin transcripts
546 are both at similarly high levels in cRGCs as in ipRGCs, presumably because these arrestins
547 regulate diverse GPCRs (Figure 10B).

548 Many of the genes involved in rod and cone phototransduction had low expression
549 (scarce or no read alignment) and/or were present at much lower levels in ipRGCs than cRGCs.
550 These include the genes for opsins, transducin alpha, and arrestin in rods (*Rho*, *Gnat1*, *Sag*)
551 and cones (*Opn1mw*, *Opn1sw*, *Gnat2*, and *Arr3*; Figure 10C). Although *Cngb1* was differentially
552 expressed in ipRGCs, the total reads aligning to the *Cngb1* locus were low and derived mainly
553 from a limited region of the gene, and the obligatory alpha subunits were not detected, so this
554 could be a false positive (Figure 10C).

555

556 **Genes differentially repressed in ipRGCs**

557 The lack of contamination by non-RGC retinal neurons in the postnatal day 5 (P5)
558 samples allowed us to identify genes that were differentially repressed in ipRGCs compared to
559 cRGCs in early postnatal development. Our data suggested that the transcription factor *Jun*
560 (Jun Proto-Oncogene) and *Irx4* are differentially repressed in P5 ipRGCs samples (Figure 9).
561 Other genes that were differentially repressed in the P5 ipRGC samples included *Satb1*, *Satb2*,
562 and *Foxp2*, all of which are known to have restricted expression in the abundant F-RGC type
563 that is likely included in the cRGC samples (Rousso et al., 2016). The *Pou4f1* (*Brn3a*) and
564 *Pou4f3* (*Brn3c*) transcription factors were both differentially repressed in P5 ipRGCs, consistent
565 with their known lack of expression in ipRGCs (Jain et al., 2012) (Figure 9). The transcriptional
566 repressors *Bcl11b* (*CTIP2*), *Irx4*, and *Tbr1* were all found to be differentially repressed in ipRGC
567 compared to cRGCs samples. Furthermore, the *Cdkn1c* (*p57KIP2*), a gene known to be

568 transcriptionally repressed by *Bcl11b* (Topark-Ngarm et al., 2006), had relatively increased
569 expression in ipRGCs (Figure 6).

570

571 **Expression differences between the *Opn4-GFP* and *Opn4-Cre/GFP* reporter systems**

572 To study gene expression differences across the different ipRGC subtypes, we
573 compared the expression patterns of *Opn4-Cre/GFP* (labels M1-M6 subtypes) and *Opn4-GFP*
574 (labels only the M1-M3 subtypes) (Figure 7). In general, genes differentially expressed in
575 ipRGCs identified in the two reporter systems were both supportive and cross-correlated.
576 However, we identified 24 genes that were differentially expressed in the adult *Opn4-Cre/GFP*
577 reporter but had low or no apparent expression in the *Opn4-GFP* reporter, suggesting selective
578 expression in one or more of the M4-M6 ipRGC subtypes. The *Opn4-Cre/GFP* specific genes
579 included *Anxa2*, *Gem*, *Sema3d*, *Rbp4*, and *Rxrg*. Recently, an *Rbp4* reporter (*Rbp4-Cre*) was
580 demonstrated to mark amacrine cells coupled to ipRGCs, although there was an apparent lack
581 of labeling in ipRGCs (Sabbah et al., 2017). The *Kcnk4/TRAAK*, another gene that was
582 differentially expressed in the *Opn4-Cre/GFP* reporter, encodes a two-pore potassium channel
583 subunit (Fink et al., 1998). Additionally, our data suggest that the *Kcns3* electrically silent
584 voltage-gated potassium channel subunit has its expression restricted to the ipRGCs labeled by
585 *Opn4-Cre/GFP*, but this difference did not reach statistical significance (FDR 0.13). However,
586 close inspection of reads aligning to *Kcns3* using the integrated genome viewer (IGV) confirmed
587 weak expression in ipRGCs and absent expression in cRGCs for *Opn4-Cre/GFP* samples (data
588 not shown). Lastly, the neurexophilins *Nxph1* and *Nxph3* were differentially expressed in the
589 *Opn4-GFP* and *Opn4-Cre/GFP* reporters, respectively (Figure 7). These proteins are known to
590 bind α -neurexins in mice and have restricted expression patterns (Missler et al., 1998;
591 Beglopoulos et al., 2005; Craig and Kang, 2007).

592

593 ***Rasgrp1* is selectively expressed in ipRGCs**

594 Since differential mRNA expression does not guarantee a correspondence with protein
595 product (Koussounadis et al., 2015), we sought to test our transcript-level differential expression
596 analysis at the protein level and to determine whether their expression is selective for particular
597 adult ipRGC subtypes. Transcriptional profiling suggested that *Rasgrp1* is expressed
598 differentially, possibly even selectively, in ipRGCs. *Rasgrp1* has both a calcium and a
599 diacylglycerol binding domain and has well-described role in lymphocytes as a Ras GEF, a
600 nucleotide exchange factor activating Ras through the exchange of bound GDP for GTP
601 activating Erk/MAP kinase. The phototransduction cascade in ipRGCs elevates DAG and
602 intracellular calcium, both of which mediates the Ras GEF activity of *Rasgrp1* cascades (Bivona
603 et al., 2003). Therefore, *Rasgrp1* is well-positioned to provide a unique form of Ras signaling to
604 a subset of ipRGCs.

605 We used immunofluorescence against *Rasgrp1* (Puente et al., 2000) to label the
606 *Rasgrp1* protein in whole-mount retinas from adult wild type mice. *Rasgrp1*-immunopositive
607 somata were present in the ganglion cell layer (GCL) and in the inner nuclear layer (INL). The
608 latter likely represent amacrine cells or displaced ganglion cells, judging by their close proximity
609 to the inner plexiform layer (IPL) (Figure 11). Immunolabeling marked the cytoplasm as well as
610 the somatic plasma membrane of these cells. Occasionally, particularly strongly *Rasgrp1*-
611 labeled cells had some dendritic labeling. *Rasgrp1* immunostaining was also observed in a
612 subset of photoreceptors in the outer retina (data not shown).

613 To test whether the *Rasgrp1*-positive cells in the ganglion-cell layer were RGCs, we
614 carried out double immunofluorescence for both *Rasgrp1* (antibody m199) and the RNA-binding
615 protein Rbpms, which selectively labels all and only RGCs (Rodriguez et al., 2014). About half
616 of *Rasgrp1*-immunopositive cells in the GCL were RGCs, as determined by co-labeling for
617 Rbpms (56%, $n = 708$ across three retinas, three mice; Figure 11A). Most of these *Rasgrp1*-
618 expressing RGCs were ipRGCs, as revealed by their immunoreactivity for melanopsin
619 ($95.9 \pm 1.1\%$, $n = 412$, Figure 11A). In contrast, only a fraction of *Opn4*-immunopositive ipRGCs

620 were Rasgrp1 immunopositive (34%, $n = 1169$). The remainder of the Rasgrp1-immunopositive
621 cells that are immunonegative for Rbpms (non-RGCs), expectedly, lack Opn4-immunoreactivity
622 and can be assumed to be displaced amacrine cells. Thus, Rasgrp1 expression in the GCL is
623 apparently restricted to a subpopulation of ipRGCs and many amacrine cells.

624 We next tested whether the immunolabeling of RGCs represented endogenous Rasgrp1
625 protein expression. The antibody used in this study has been previously shown to specifically
626 label Rasgrp1 protein expression in hippocampal neurons (Pierret et al., 2000). As a further test
627 for the specificity of the antibody, we compared immunofluorescence labelling of whole mount
628 retinas from normal and Rasgrp1-knockout mice generated by inserting *LacZ* and a *Neo*
629 cassette into the Rasgrp1 gene to disrupt its expression (Dower et al., 2000). Our control
630 experiments showed that the GCL and INL cellular immunolabeling is absent in the Rasgrp1
631 knockout (Figure 11B). However, vasculature and photoreceptor cell labeling persisted in
632 Rasgrp1-knockout mouse retinas, suggesting cross-reactivity of antibody with other proteins.

633

634 **Rasgrp1 expression is restricted to diverse ipRGC subtypes**

635 We next determined which of the established morphological subtypes of ipRGCs
636 express Rasgrp1 into adulthood (Figure 11C). For this purpose, we used key characteristics
637 such as relative Opn4 expression, soma size, and dendritic morphology. In the GCL, the
638 majority of M1/M3 cells ($71.6 \pm 3.9\%$, $n = 300$ M1/M3 across three retinas, three mice), but only a
639 fraction of M2 cells ($23.4 \pm 5.4\%$, $n = 389$ M2 cells) expressed Rasgrp1 (Figure 11C).
640 Additionally, many cells with low Opn4-immunofluorescence (designated “low Opn4-IF”) also
641 expressed Rasgrp1 ($31.4 \pm 6.13\%$, $n = 138$). Within the INL, displaced M1 cells express Rasgrp1
642 at a similar percentage as conventionally placed M1 cells ($70.7 \pm 8.0\%$, $n = 3$ retinas). We found
643 no examples of Rasgrp1 immunoreactivity in M4 cells (0%, $n = 172$, Figure 11C). Of the
644 Rasgrp1-expressing ipRGCs, half were M1/3 cells ($52.8 \pm 3.8\%$), nearly a quarter were M2 cells

645 (21.1±2.7%) and a small percent (10.4±1.8%) were low Opn4-IF cells ($n = 396$ Rasgrp1⁺/Opn4⁺
646 cells across three retinas, three mice) (Figure 11D). Therefore, Rasgrp1 is selectively expressed
647 in a diverse set of ipRGC subtypes.

648

649 **Tbx20 is expressed in a diverse subset of ipRGCs**

650 The T-box transcription factor Tbx20 was suggested from our gene expression analysis
651 to be differentially expressed in ipRGCs. We selected it for further analysis in part for its
652 potential role in maintaining the adult identity of ipRGCs. Tbx20 co-localization analysis with
653 ipRGC subtypes was characterized as with our previous studies, but with the exception that M3
654 were combined with M2 types during ipRGC classification (annotated "M2/M3") instead of with
655 M1 types as in our other colabeling studies. Immunofluorescence co-localization analysis of
656 Tbx20 and Opn4 expression confirmed its high expression in a subset of ipRGCs (Figure 12).
657 Tbx20 was expressed in most M1 cells (82.6±1.8%, $n=514$ across four retinas), but only in a
658 minority of M2/3 cells (30.2±6.5%, $n=1305$) and low Opn4-IF cells (12.4±3.4%, $n = 603$). Half of
659 the displaced M1 (dM1) cells expressed Tbx20 (46.0±7.1%, $n = 153$). Strikingly, however,
660 Tbx20 was not expressed at all in M4 cells (0%, $n = 283$).

661 Many Tbx20 cells were not detectably immunopositive for Opn4. Only 41% of Tbx20
662 immunopositive were also Opn4-immunoreactive (18.5±2.6% were M1 cells; 16.0±2.0% were
663 M2/3 cells; and only 3.6±0.9% were low Opn4-IF cells; $n = 2184$ across four retinas; Figure 13).
664 The remaining Tbx20-immunopositive cells were RGCs, as confirmed by Rbpms-
665 immunoreactivity (data not shown). Additionally, Tbx20-immunopositive RGCs that were also
666 Opn4-immunonegative were topographically enriched in the ventral retina, with most Tbx20-
667 positive cells in the dorsal retina being accounted for by Opn4-immunoreactivity. These results
668 demonstrate that Tbx20 is expressed in a diverse set of RGCs, including ipRGC
669 subpopulations.

670

671 **Tbx20 expression in M5-M6 ipRGCs**

672 To investigate whether some or all of the Tbx20-immunopositive RGCs that were Opn4-
673 immunonegative might be ipRGCs of the M5 and M6 subtypes that exhibit weak Opn4
674 immunostaining, we examined the co-localization of Tbx20-immunopositive cells, Opn4-
675 immunopositive cells, and all GFP-labeled cells in the *Opn4-Cre;Z/EG* mouse reporter, which
676 among other ipRGCs, labels M5 and M6 cells. We observed examples of Tbx20-
677 immunopositive cells that were GFP-positive (M1-M6 ipRGCs), but not Opn4-immunopositive
678 M4-M6, and relatively small (not M4), suggesting that Tbx20 may be expressed in at least a
679 subset of M5 or M6 ipRGCs (Figure 13A,B).

680 To test the implication that many Tbx20 cells were M5 or M6, we turned to *Cdh3-GFP*
681 mice. Most GFP+ RGCs in this mouse line are M6 cells and the remainder are M5 cells
682 (Quattrochi et al., 2019). We tested Tbx20 immunoreactivity in the context of Opn4
683 immunofluorescence and *Cdh3-GFP* labeling (Figure 12B,C). For the purpose of this study, we
684 focused on GFP cells in the GCL that are Opn4-immunonegative (to distinguish from Opn4-
685 immunopositive M2 types). We found that at three weeks after birth, most *Cdh3-GFP* cells
686 express the Tbx20 protein ($82.1 \pm 4.3\%$, $n = 439$ across four retinas, Figure 12C). Many, but not
687 all, of the Opn4-immunonegative Tbx20-positive cells were GFP+ (27% , $n=1277$ Tbx20⁺;Opn4⁻
688 cells; 4 retinas). The dorsal-ventral gradient of Tbx20-positive cells that are Opn4-
689 immunonegative was broadly similar to the retinal labeling of the *Cdh3-GFP* reporter. A large
690 portion of Tbx20-immunopositive cells remained unclassified ($43.3 \pm 3.8\%$, $n=2184$; Figure 13D).

691 Further, we determined whether Tbx20 expression correlates with the related T-box
692 transcription factor *Tbr2*, a gene previously described to be enriched in adult ipRGCs (Mao et
693 al., 2014; Sweeney et al., 2014). All Tbx20-expressing cells were strongly *Tbr2*-immunopositive
694 ($n = 328$; 4 regions distributed across a single adult *Opn4-Cre/GFP* retina; Figure 13C).

695 Therefore, whereas Tbr2 is expressed in a broad range of types that includes the entire ipRGC
696 family, Tbx20 expression is confined to a diverse subset of ipRGC subtypes.

697

698 **Molecular diversity of Rasgrp1 and Tbx20 expression in ipRGCs**

699 Our expression studies revealed that Rasgrp1 and Tbx20 have a strikingly similar
700 pattern of expression among ipRGC subtypes. Both genes were expressed in the majority of M1
701 cells, a minority of M2 cells, and a small population of low Opn4-IF cells, but not in M4 cells
702 (Figures 12 and 14). To directly test for co-expression, we compared and contrasted the
703 expression patterns of Tbx20- and Rasgrp1-immunoreactivity in the context of the M1-M4
704 subtypes revealed by Opn4-immunoreactivity (Figure 14). Rasgrp1 co-expression with Tbx20
705 was only observed in a fraction of M1/3 cells ($26.0 \pm 1.8\%$; $n = 241$ across two retinas, two mice;
706 Figure 14). Further, M1 cells expressing either Rasgrp1 or Tbx20 alone accounted for roughly
707 similar fractions of M1 cells ($37.3 \pm 4.0\%$ and $31.1 \pm 3.5\%$, respectively). Only a small fraction of
708 M1 cells were immunonegative for both Rasgrp1 and Tbx20 ($5.3 \pm 0.7\%$). In contrast, half of M2
709 cells lacked Rasgrp1 and Tbx20 immunoreactivity ($57.7 \pm 3.3\%$; $n = 388$ across two retinas, two
710 mice). Approximately a third of M2 cells expressed Tbx20 ($31.0 \pm 0.7\%$), while only $11.3 \pm 5.1\%$
711 expressed Rasgrp1. We did not observe any example of an M2 cell expressing both Rasgrp1
712 and Tbx20.

713

714 **Molecular diversity of SCN-projecting ipRGCs**

715 We further examined the Rasgrp1- and Tbx20-expressing ipRGC subtypes to seek
716 intersectional expression patterns that would divide ipRGCs by their downstream visual
717 pathways. Earlier studies showed that M1 cells could be subdivided based on their level of
718 expression of Brn3b (Chen et al., 2011; Jain et al., 2012). We used quadruple immunolabeling
719 to simultaneously test Brn3b expression with Rasgrp1- and Tbx20-immunoreactivity in the

720 context of Opn4-immunolabeled ipRGCs (25 regions, three wild type retinas; Figure 14A,B). We
721 determined that a minority of M1/3 cells express Brn3b ($7.9\pm 6.0\%$, $n=241$), which is similar to
722 previous studies (Jain et al., 2012). The Brn3b⁺ M1/3 cells expressed either Tbx20 or Rasgrp1
723 (91.0 and $9.0\pm 10.1\%$, respectively; $n=30$) (Figure 14C).

724 Further, we determined that most M2 cells expressed Brn3b (90.8 ± 6.9 , $n=168$). In
725 contrast to M1/M3 ipRGCs, the majority of Brn3b⁺ M2 ipRGCs did not express either Rasgrp1 or
726 Tbx20 ($67.4.6\pm 13.8$, $n=222$). Most M2 cells expressing Tbx20 were also Brn3b immunopositive
727 (84.5 ± 25.1 , $n=118$). The small subset of M2 cells that express Rasgrp1 could be further divided
728 by Brn3b presence or absence ($5.0\pm 6.0\%$ and $4.5\pm 1.4\%$, respectively; $n=168$). Generally, we
729 found no cells co-expressing all three genes ($n=729$).

730 Although Brn3b has been used as a proxy for distinguishing M1 ipRGC subpopulations
731 targeting distinct brain regions, many M1 cells have transient Brn3b expression in development
732 that is downregulated by adulthood (Chen et al., 2011). Therefore, we directly correlated gene
733 expression of Rasgrp1 and Tbx20 in the retina with retrograde labeling from the SCN (Figure
734 15A). We injected rhodamine-conjugated retrobeads in the SCN, followed by
735 immunofluorescence labeling for Opn4, Rasgrp1, and Tbx20 (Figure 15A-C). All injection sites
736 clearly involved the SCN, as revealed by DAPI labeling, but did not spread to the optic chiasm
737 or tract (Figure 15B). Quantitative co-expression analysis (18 confocal images collected across
738 the contralateral and ipsilateral retinas) revealed that nearly all retrolabeled cells were Opn4-
739 immunopositive (95.2% , 248 retrolabeled cells). Most retrolabeled Opn4-immunoreactive cells
740 expressed both Rasgrp1 and Tbx20 ($79\pm 5\%$, across 18 sections, 235 cells), but equal minorities
741 expressed either Rasgrp1 ($10\pm 3\%$) or Tbx20 ($10\pm 4\%$, Figure 15C). This expression pattern was
742 consistent across the ipsi- and contralateral retina (Figure 15D), as suggested by a bilateral
743 input to the SCN (Hattar et al., 2006; Fernandez et al., 2016). Therefore, we show that SCN-

744 projecting ipRGCs have a complex pattern of *Rasgrp1* and *Tbx20* gene expression. Together,
745 these results provide evidence for previously unrecognized molecular diversity in adult ipRGCs.

746

747 **Discussion**

748 Prior efforts to assess the distinctive genetic composition of ipRGCs have been complicated by
749 their rarity among diverse retinal cell types and the inherent difficulties of maintaining viability of
750 dissociated mature neurons (Lobo et al., 2006). Our approach was first to isolate RGCs by
751 immunoaffinity, then to further purify ipRGCs from these based on genetic labeling and FACS,
752 and to finally to compare the transcriptional profiles of the purified ipRGCs to those of the
753 residual cell pool, consisting mainly of conventional RGCs. The relative purity of our ipRGC
754 sample is supported by enrichment for transcripts of genes known to be differentially expressed
755 in ipRGCs and the low levels of transcripts selectively expressed in potentially contaminating
756 populations, including the abundant rod photoreceptors. Our isolation method and differential
757 expression analysis allowed us to identify more than 75 DEGs in ipRGCs relative to
758 conventional RGCs.

759

760 **Genes differentially expressed in adult ipRGCs**

761 There is limited knowledge of specific gene expression in ipRGCs generally and within
762 particular ipRGC subtypes, especially non-M1 ipRGCs. Many diverse genes appeared more
763 highly expressed in ipRGCs than in conventional RGCs. We confirmed differential protein
764 expression in ipRGCs immunohistochemically for two of these genes: *Tbx20*, a transcription
765 factor implicated in visual development; and *Rasgrp1*, a G-protein exchange factor that may
766 interact with the melanopsin phototransduction cascade. However, only a subset of ipRGCs
767 appeared to express detectable levels of these proteins, and such variable expression was
768 apparent even among ipRGCs of the same subtype. Some ipRGCs expressed both proteins,
769 but many did not. This diversity even extended to the M1 cells projecting to the SCN, which had

770 been thought to share the distinctive molecular feature of little or no expression of the
771 transcription factor Brn3b. These novel markers of molecularly distinctive ipRGC varieties open
772 the way for cell-type-specific manipulations through intersectional strategies.

773

774 **What type(s) of adult ipRGCs express Rasgrp1?**

775 Rasgrp1 expression has previously been detected in the hippocampus, striatum and
776 olfactory regions of the brain (Ebinu et al., 1998; Toki et al., 2001), but our study appears to be
777 the first to explore Rasgrp1 expression in the eye. Rasgrp1-like immunoreactivity marked a
778 diverse subpopulation of ipRGC subtypes, including the M1-M3 ipRGC subtypes but not the M4-
779 type. Either M5 or M6 ipRGCs, or both, also appear likely to express Rasgrp1, because some
780 Rasgrp1 immunoreactive cells had weak Opn4-immunoreactivity without the characteristic
781 dendritic labeling of M1-M3 ipRGCs and with somas too small to be M4 cells (Ecker et al., 2010;
782 Quattrochi et al., 2019; Stabio et al., 2018).

783 We find Rasgrp1 to be expressed not only in SCN-projecting M1 ipRGCs, but also in
784 other ipRGC subtypes, especially M2 cells and apparently M5 and/or M6 cells. Collectively,
785 these types project to various non-image-forming visual centers, including the olivary pretectal
786 nucleus, intergeniculate leaflet, and dorsal lateral geniculate nucleus (Quattrochi et al., 2019;
787 Stabio et al., 2018).

788 The function of Rasgrp1 in ipRGCs is unknown, but it could interact with the melanopsin
789 phototransduction cascade. The direct photoresponse of ipRGCs appears to increase levels of
790 both DAG and calcium. Both of these signaling molecules bind to and activate Rasgrp1, and
791 trigger its translocation to the Golgi apparatus (Bivona et al., 2003; Graham et al., 2008; Zhang
792 et al., 2010). However, ipRGC phototransduction Rasgrp1 signaling does not appear to be
793 essential for ipRGC phototransduction because more than a quarter of M1 ipRGCs and the
794 great majority of M2 cells are immunonegative for Rasgrp1. Even in ipRGCs, Rasgrp1 may be
795 activated by DAG and calcium signals unrelated to Opn4 phototransduction, and such signals

796 are presumably also responsible for modulating *Rasgrp1* in cells (such as certain amacrine
797 cells) which express *Rasgrp1* but not melanopsin.

798 *Rasgrp1* has the potential to affect any number of neuronal signaling pathways. Ras
799 signaling pathways are enormously complex and the cross talk between pathways makes it
800 even harder to identify specific effects. One basic mechanism for specificity in Ras signaling is
801 the distinct subcellular targeting of downstream components of the signaling pathway. In
802 lymphocytes, localized Ras signaling of *Rasgrp1* occurs preferentially on the Golgi apparatus,
803 which is a rare form of compartmentalized Ras signaling (Bivona et al., 2003; Zhang et al.,
804 2010). The Golgi apparatus in neurons provides the posttranslational protein modifications
805 required for organizing protein and organelle trafficking throughout the cell. *Rasgrp1* could play
806 a crucial role in orchestrating a specific set of post-translational modifications at the Golgi.

807

808 **Tbx20 is expressed in a diverse set of ipRGC subtypes**

809 The T-box transcription factor *Tbx20* exhibited enriched expression relative to
810 conventional RGCs in postnatal and adult retinas. Double immunolabeling revealed that many
811 ganglion cells that expressed this protein also expressed melanopsin. Similar to *Rasgrp1*,
812 *Tbx20* was determined to be expressed in most M1 ipRGCs (83%), a substantial minority of M2
813 cells (30%) and an additional population of RGCs whose identity was not immediately obvious.
814 We decided to then compare *Tbx20* against other known molecular patterns in ipRGCs.
815 Whereas most RGCs follow a *Brn3b*-dependent developmental program, the M1 ipRGCs that
816 project to the SCN do not express *Brn3b* while OPN-projecting M1 ipRGCs express *Brn3b*. We
817 found that *Brn3b*-expressing M1 cells are also *Tbx20*-immunopositive. The *Brn3b*-negative M1
818 cells are split between cells that express *Tbx20* and those that do not. This finding suggests that
819 ipRGCs are more molecularly diverse than originally anticipated: *Tbx20* is expressed in ipRGCs
820 with differing brain targets, *Tbx20* is expressed across multiple morphologically defined

821 subtypes, and Tbx20 is not expressed in all of any one type. The exploration of Tbx20
822 coexpression with Rasgrp1 revealed a complex coexpression pattern among M1-M3 ipRGCs.

823 Tbx20 has well-established roles in embryonic development and is continuously required
824 in mature neurons and other cell types to maintain their identities and functional properties
825 during adulthood (Naiche et al., 2005). Tbx20 functions as a repressor in early embryonic ocular
826 development (Carson et al., 2000; Pocock et al., 2008) and is required for the expansion of the
827 small pool of precursor cells in the optic vesicle (Carson et al., 2004). However, little is known
828 about the function of Tbx20 in the adult retina.

829 Tbx20 can function as a transcriptional activator in parallel with its repressor activity, with
830 these two roles impinging on distinct biological processes (Sakabe et al., 2012). In addition to its
831 key developmental roles, Tbx20 appears vital for maintaining the structure and function of
832 cardiac muscle cells in the adult mouse heart (Stennard et al., 2003; Shen et al., 2011). In adult
833 cardiomyocytes, Tbx20 is responsible for directly activating genes critical for normal adult
834 cardiac function such as those required for ion transport and heart contraction (Shen et al.,
835 2011; Sakabe et al., 2012). In contrast, genes directly repressed by Tbx20 have known roles in
836 non-heart developmental programs, cell cycle, proliferation, and immune response (Sakabe et
837 al., 2012). The transcriptional effects of Tbx20 shift during cardiac development, from early
838 mediation of proliferation of cardiac progenitors, to implementation of an anti-proliferative
839 program in the adult heart (Cai et al., 2005; Takeuchi et al., 2005). Therefore, Tbx20 cooperates
840 with distinct cohorts of transcription factors to either promote or repress distinct molecular
841 programs in a context-dependent manner (Sakabe et al., 2012). Similarly, binary cell fate
842 specification in the retina is driven by complex genetic programs that require the simultaneous
843 activation and repression of genes by transcription factors. Tbx20 may prove to have a similar
844 reversal in its transcriptional activity in the retina when transitioning from broad embryonic
845 development program to regulating adult neuron identity of a subset of ipRGCs. Further, Tbr2 is
846 another Tbox family member that is known to have a critical role in the development of retinal

847 ganglion cells (Mao et al., 2008), which later becomes essential to a restricted set of ipRGCs
848 that participate in NIF visual circuits (Mao et al., 2014; Sweeney et al., 2014). Our studies
849 determined that Tbx20 and Tbr2 are coexpressed in adult ipRGCs. They may work
850 cooperatively to specify ipRGC subtype identity by regulating cell-specific transcriptional
851 programs and repressing alternate fates.

852

853 **Characterization of ipRGC subtypes**

854 Retinal cell types are generally classified using a combination of morphology, gene
855 expression, mosaic organization, light responses and synaptic connectivity (Sanes and
856 Masland, 2015). By these criteria, intrinsically photosensitive RGCs comprise at least six distinct
857 cell types. Though all express melanopsin, they differ from one another in the strength of the
858 intrinsic response, their morphology, pattern of light responses, and projections to the brain.
859 However, the further subdivision may be in order. The M1 type appears subdivisible into at least
860 two subtypes, one expressing the transcription factor Brn3b and innervating the OPN and
861 geniculate complex, while the other lacks Brn3b expression and innervates the SCN (Chen et
862 al., 2011). Our study shows further diversity in the M1 and M2 types based on the expression of
863 *Rasgrp1* and *Tbx20*. For example, we find molecular diversity among in the SCN-projecting
864 ipRGC subtypes. It is unclear to us whether this should be used to propose a further formal
865 subdivision of M1 and M2 cells. For example, the expression of these proteins could fluctuate
866 over time in individual cells and be uncorrelated across cells of the same type.

867

868 **Comparison with other ipRGC gene expression profiles**

869 Siegert and colleagues (2012) surveyed gene expression in many different sets of
870 mouse retinal neurons, using specific mouse reporter strains (including the *Opn4-Cre* reporter
871 system for ipRGCs), FACS isolation of labeled cells, and microarray analysis. Many of the
872 genes they found strongly expressed in ipRGCs were also among the genes we found

873 differentially expressed in ipRGCs. However, dozens of additional genes differentially expressed
874 in ipRGCs emerged from our analysis that were not detected in theirs (Siegert et al., 2012).
875 Discrepancies between their findings and ours may stem from technical factors such as differing
876 degrees of contamination of the starting material with rod photoreceptor transcripts, the use of
877 internal control cell populations for relative gene expression comparison in our study but not
878 theirs, and differences between microarray and RNA-sequencing methodologies.

879 Another study used single-cell transcriptomic analysis of the mouse retina and were able
880 to identify ipRGCs from their cell suspensions (Macosko et al., 2015). Macosko et al., 2015
881 distinguished the main broad class of RGCs, but they required *post hoc* supervised analysis to
882 distinguish a limited number of genes attributed to Opn4-positive cell clusters. They identified
883 nine genes with a two-fold increase in expression compared to Opn4-negative cells. Three
884 genes (*Tbr2*, *Igf1*, and *Tbx20*) were also found to be enriched in our ipRGC samples. In
885 contrast, *Tbx20* did not reach above threshold for Siegert et al., (2012), but it is among the
886 highest expressing ipRGC-enriched genes in our study. Our study complements a recently
887 published single-cell RNA-seq study of RGCs from pre-eye opening age (P5) mice (Rheume et
888 al., 2018). Although ipRGCs were not a major focus, Rheume et al., 2018 recognized ipRGCs
889 by clustering and considering established markers such as *Opn4* and *Eomes/Tbr2* in clusters 6,
890 25, 26, 33, and 37. They identified further molecular diversity that maps well onto known ipRGC
891 subtypes and those described in our current study. Rheume et al. determined that the ipRGCs
892 clusters 25 and 37 have major expression of *Tbx20*, with minor expression in cluster 6. Although
893 not explicitly mentioned, their dataset also includes restricted *Rasgrp1* expression in clusters 6
894 and 25. Considering our gene expression datasets and co-expression studies of *Rasgrp1* and
895 *Tbx20* in subsets of M1 and M2 cells, we suggest that clusters 6 and 25 constitute these types.
896 Further, we infer that clusters 26 and 37 represent M5 and M6 cells, respectively, considering
897 the following information: 1) *Cdh3* expressed in clusters 6, 26, and 37. The *Cdh3-GFP* reporter
898 preferentially labels bistratified M6 ipRGCs, but also labels some monostratified M2 and M5

899 ipRGCs (Quattrochi et al., 2019); 2) *Cdh3-GFP* labeled RGCs express *Cdh6* (Osterhout et al.,
900 2011), which is expressed in clusters 26 and 37; 3) Our study determined that *Tbx20* was highly
901 expressed in *Cdh3-GFP* reporter, suggesting enriched expression in M6 ipRGCs. Important
902 differences remain between the experimental parameters of these two studies. Droplet-based
903 scRNA-seq technologies used by Rheaume et al., 2018 provides hierarchies of molecular types
904 and classes, but the sequencing is relatively shallow and our complementary approach of deep
905 sequencing purified populations of ipRGCs generates more complex cDNA libraries with
906 increased sensitivity of detected gene enrichment. In addition to postnatal age group similar to
907 Rheaume et al., we expanded our gene expression profiles to adult ipRGCs, which required
908 substantial optimizations of our dissociation and FACS acquisition process to obtain viable
909 samples of the relatively fragile adult neurons.

910

911 **Further considerations**

912 Our identification of DEGs in ipRGCs should be treated as hypothesis for genes that are
913 functionally relevant for ipRGC function. Although our selection criteria dramatically decreased
914 the potential heterogeneity obscuring differential gene expression in ipRGC, the transgenic
915 reporters used in our studies are known to label multiple morphologically and physiologically
916 distinct ipRGC types. For example, genes determined to be differentially expressed using the
917 *Opn4-GFP* reporter can, at best, be inferred to have restricted expression within the M1-M3
918 ipRGC types. However, it would be impossible to know whether this gene is expressed in all
919 M1-M3 types or a subset. Further, we cannot assume that DEGs in our studies are uniquely
920 expressed in ipRGCs and absent in non-ipRGCs. Our studies are based on relative abundance
921 of ipRGCs compared to cRGC populations. As exemplified by *Tbx20*, we cannot rule out
922 scenarios that DEGs are enriched in ipRGCs, but are also expressed in additional subsets of
923 other RGCs.

924 The study of transcriptomes and differential gene expression has certainly proven
925 important for revealing otherwise unknown molecular underpinnings to specialized cell function.
926 However, the transcription of genomic DNA to mRNA is only one of many intermediate steps to
927 the synthesis of functional proteins. Translational control, post-translational modifications, and
928 subcellular localizations are examples of ways that the level and function of proteins can be
929 decoupled from the relative abundance of mRNA expression. Therefore, additional follow-up
930 localization studies using *in situ* hybridization or immunofluorescence will be required to test the
931 validity and cell type-specific expression of our proposed DEGs.

932

933 **Conclusion**

934 In conclusion, our results demonstrate a method to purify ipRGCs and identify an
935 extensive list of more than 75 genes that are differentially expressed in adult ipRGCs compared
936 to generic RGCs. These genes will be useful for the identification of marker genes for ipRGC
937 subtypes, comparison of gene expression across types, understanding the intracellular gene
938 networks underlying ipRGC phenotypes, and the testing for conservation of ipRGC molecular
939 programs across mammalian species.

940

941

942 **Figure legends (see attached PDF for figure images)**

943 **Figure 1. Experimental design of gene expression profiling from purified ipRGCs and**
944 **comparison with generic RGCs.**

945 A. Current model of ipRGC family members integrating molecular, physiology, brain circuitry,
946 and morphology (see text for details).

947 B. Two transgenic reporters were used for gene expression profiling of ipRGCs. The BAC
948 transgenic *Opn4-GFP* labels M1-M3 ipRGCs while the *Opn4-Cre* crossed with a cre-dependent
949 GFP reporter labels M1-M6 ipRGCs. Within the schematic of the gene expression profiling

950 procedure. 1) Isolation of cell populations from enzymatically dissociated retinas. 2) The surface
951 protein Thy-1 is enriched in RGCs, this high affinity of Thy1-conjugated magnetic beads to
952 RGCs was used to enrich the extracted cell populations with RGCs. 3) Fluorescence-activated
953 cell sorting (FACS) was used to isolate GFP-positive cells (ipRGCs) from GFP-negative cells
954 (cRGCs). These two populations were isolated in parallel to provide direct internal testing of
955 ipRGCs versus cRGCs under the same treatments, conditions, and genetic backgrounds. 4)
956 The RNA of these two main populations was subjected to mRNA extraction. 5) The RNA was
957 converted to cDNA and amplified using Nugen Ovation RNA amplification system. 6) Illumina
958 Truseq sequencing libraries were prepared by ligating adapters to the cDNA. Single-end 50
959 base pair sequencing was completed using the Illumina HiSeq system. 7) Differentially
960 expressed genes were determined using EdgeR bioinformatics pipeline. See Methods for
961 details.

962

963 **Figure 2. Characterization of *Opn4*-based fluorescent reporters for gene expression**
964 **studies.**

965 A. Immunofluorescence of anti-*Opn4* immunofluorescence (IF) of whole mount retina from
966 transgenic *Opn4-GFP* mice with fluorescent protein expression in ipRGCs. Red, *Opn4*-
967 immunolabeling; green, fluorescently labeled cells; yellow, merged co-localized labeling pattern.
968 Scale bar, 20 μ m.

969 B. Co-expression of *Opn4-Cre/GFP* labeling with immunofluorescence of anti-*Opn4* staining of
970 whole mount retina. Red, *Opn4*-immunolabeling; green, fluorescently labeled cells; yellow,
971 merged co-localized labeling pattern. Scale bar, 20 μ m.

972 C. Quantification of labeling efficiency of *Opn4*-immunolabeled M1-M3 ipRGCs by *Opn4*-
973 *Cre/GFP*. Additional comparison of GFP-labeling in low *Opn4*-expressing ipRGC subtypes M4
974 (large soma) and M5/6 (small soma).

975

976 **Figure 3. Fluorescence activated cell sorting (FACS) gating strategy for isolating ipRGCs**
977 **(GFP+) in parallel with GFP-negative cells that are enriched for RGCs.**

978 A-C. Healthy cells were selected against death marker 7-AAD (not G1). The ipRGCs (GFP⁺)
979 and generic RGCs (GFP⁻) cells were selected based on intensity and similar relative cell size
980 ultimately using gates G2A and G3A, respectively.

981 A. Example sort from retina of postnatal day 4 (P4) *Opn4-GFP* mouse.

982 B. Example sort from retina of young adult *Opn4-GFP* mouse, with noticeably higher debris and
983 cell death.

984 C. Microscopy testing of accurate sorting of GFP⁺ cells isolated from P4 *Opn4-Cre/GFP* mouse.

985 D. Total sequenced reads from adult *Opn4-GFP* and *Opn4-Cre/GFP* reporters.

986

987 **Figure 4. Scatterplot analysis of relative gene expression and dispersion of biological**
988 **replicates from positively-selected ipRGCs and enriched conventional RGCs.**

989 A. Volcano plot analysis of gene transcripts with positive fold-change (x-axis; ipRGC-enriched
990 relative to cRGCs) plotted against the negative logarithm of the FDR (y-axis). Significant
991 differentially expressed transcripts (FDR<0.05) are represented as red dots, while transcript with
992 FDR>0.05 have black dots. blue transcripts, Parv-Cre/TdT enriched; red, SNS-Cre/TdT
993 enriched, twofold, $p < 0.05$). The most significant genes from each sample set are attributed
994 with gene name labels, with limits implemented for text readability (FDR<1E-20 and logFC>2 for
995 postnatal age *Opn4-GFP* reporter ; FDR<1E-4 and logFC>3 for adult ipRGC reporters).

996 B. EdgeR multi-dimensional scaling (MDS) plot illustrates the overall similarity between
997 expression profiles of different samples. Each sample is denoted by a letter ("i" for ipRGCs; c for
998 cRGCs) and a number, corresponding to particular replicate, comprising one pool of purified
999 RGCs then divided into the two pools. Numbering scheme represents paired ipRGCs (GFP⁺)
1000 and cRGCs (GFP⁻) replicates (i.e., ipRGC sample 'i1' was processed in parallel with cRGC
1001 sample 'c1', sample 'i2' with 'c2', etc.). Distances are approximately the log₂ fold changes

1002 between samples. Green and gray ovals represent ipRGC (GFP+) and cRGC (GFP-) samples,
1003 respectively. Adapted from EdgeR simple graphical output of individual samples in 2D space.

1004

1005 **Figure 5. Purity and cell composition assessment of ipRGC and generic RGC samples.**

1006 Heat map of known cell type marker gene expression in the retina to assess purity and cell
1007 composition of ipRGC and generic RGC samples. Shown are biological replicates tested for
1008 *Opn4-GFP* (P5 and adult) and *Opn4-Cre/GFP* reporters. Relative expression levels, fold
1009 change, and false discovery rate (FDR) are color-coded as indicated in the figure. White boxes
1010 indicate high gene expression, while blue represents little or no detected expression. FDR is not
1011 available ("NA") in cases that our analysis filtered out genes with very low counts, less than 1
1012 count per million (cpm), in more than half of the samples used in the differential expression
1013 analysis.

1014

1015 **Figure 6. The expression pattern of candidate ipRGC-specific genes.**

1016 Heat map of 83 genes differentially expressed in ipRGCs that have functional links to GPCR
1017 signaling, regulation and maintenance of molecular programs, neuron communication and
1018 organization, neuron survival, and neuron-glia interactions. Relative expression levels, fold
1019 change, and FDR are color-coded as indicated in the figure.

1020

1021 **Figure 7. Expression pattern differences between *Opn4*-based reporters.**

1022 Heat map of genes differentially expressed in adult ipRGCs labeled by the *Opn4-Cre/GFP*
1023 reporter (M1-M6 ipRGCs) compared to *Opn4-GFP* (M1-M3 ipRGCs). Relative expression levels,
1024 fold change, and FDR are color-coded as indicated in the figure.

1025

1026 **Figure 8. The expression pattern of neurotransmitter receptors.**

1027 Heat map of genes encoding for nicotinic acetylcholine, dopamine, serotonin, glycine,
1028 glutamate, and melatonin receptors. Relative expression levels, fold change, and FDR are
1029 color-coded as indicated in the figure.

1030

1031 **Figure 9. The expression pattern of developmentally regulated genes in ipRGCs.**

1032 A. Heat map of genes encoding transcription factors that have a particular temporal pattern of
1033 differential expression in ipRGCs (e.g., high gene expression in P5 ipRGCs relative to adult
1034 expression). Relative expression levels, fold change, and FDR are color-coded as indicated in
1035 the figure.

1036 B. Heat map of genes relevant for development of ipRGCs. Relative expression levels, fold
1037 change, and FDR are color-coded as indicated in the figure.

1038

1039 **Figure 10. Phototransduction-related gene expression.**

1040 A. Distinct from rod and cone photoreceptors, the light-activation of Opn4 triggers a membrane-
1041 bound signaling cascade including $G_{q/11}$ type G-proteins, the generation of 1,2-diacylglycerol
1042 (DAG) by PLC β 4, the opening of downstream TRPC6 and TRPC7 channels, and ultimately
1043 leads to the influx of calcium through L-type voltage-gated calcium channels.

1044 B. Heat map of genes that are potentially relevant to the Opn4-mediated phototransduction
1045 signaling cascade. Relative expression levels, fold change, and FDR are color-coded as
1046 indicated in the figure.

1047 C. Heat map of genes previously described to play a role in the light response, dark adaptation,
1048 and chromophore regeneration of rod and cone photoreceptors. Relative expression levels, fold
1049 change, and FDR are color-coded as indicated in the figure.

1050

1051 **Figure 11. Rasgrp1 is selectively expressed in ipRGCs.**

1052 A. Whole mount retina immunostained for Opn4, Rasgrp1, and the pan-RGC marker Rbpms
1053 (gray-scale). Focal plane is at the ganglion cell layer (GCL). We quantified co-localization of the
1054 three markers in confocal images of 49 regions that were topographically dispersed across
1055 three whole-mount adult retinas. Co-localization of Rasgrp1 (green), Rbpms (red), and Opn4
1056 (magenta). Rasgrp1 is expressed in a subpopulation of amacrine cells and RGCs (Rbpms-
1057 negative and -positive, respectively). Scale bar, 20 μ m.

1058 B. Rasgrp1 immunolabeling (antibody sc-8430) of cell bodies in GCL of Rasgrp1^{+/-}
1059 heterozygous mice (left, yellow arrows). Absence of cell body immunolabeling in Rasgrp1^{-/-}
1060 knockout mice (right) suggests a lack of cellular off-target antibody staining.

1061 C. Quantification of Rasgrp1-expression across Opn4-immunopositive ipRGC subtypes. 70% of
1062 M1 and displaced M1 (dM1) cells were Rasgrp1 immunopositive while only 20-30% of either
1063 M2, M5 or M6 cells were Rasgrp1 immunopositive. None of the identified M4 cells were
1064 Rasgrp1 immunopositive. M1 and M3 types were combined during the process of co-expression
1065 analysis (designated "M1/M3"). Error bars represent standard error of the mean.

1066 D. Distribution of all Rasgrp1-expressing RGCs (Rasgrp1⁺;Rbpms⁺) that belong to specific RGC
1067 types, to the extent that could be determined, including Opn4-immunoreactive ipRGC subtypes.
1068 No examples of M4 cells were observed to express Rasgrp1. The vast majority (96%) of
1069 Rasgrp1-RGCs are Opn4-immunopositive and therefore ipRGCs. The remaining "unknown"
1070 RGC types expressing Rasgrp1 (Rasgrp1⁺ ; Rbpms⁺ ; Opn4^{neg}) could be a low-expressing
1071 ipRGC type or conventional RGCs. Error bars represent standard error of the mean.

1072

1073 **Figure 12. Colocalization study of Tbx20-expression in ipRGC subtypes.**

1074 A. Triple immunofluorescence of Opn4, Tbx20, and *Cdh3-GFP* (gray scale).

1075 B. Tbx20-expression in subset of M1-M3 ipRGCs as well as an additional population of Opn4-
1076 immunonegative cells.

1077 B,C. Tbx20 is concentrated in the nucleus of most *Cdh3-GFP*-cells.

1078 D. Quantification of Tbx20-expression across Opn4-immunopositive ipRGC subtypes. Tbx20
1079 immunofluorescence labels multiple ipRGC subtypes, including M1s, M2 cells and small soma,
1080 low Opn4 expression cells (presumptive M5/M6 ipRGCs), and *Cdh3-GFP* cells (M6-type
1081 enriched). M2 and M3 types were combined during the process of co-expression analysis
1082 (designated "M2/M3"). Error bars represent standard error of the mean.

1083

1084 **Figure 13. Coexpression study of Tbx20 with *Opn4-Cre/GFP* and *Tbr2*, including**
1085 **distribution of Tbx20-expression across ipRGC subtypes.**

1086 A-C. Quadruple immunofluorescence of Opn4, Tbx20, Opn4-Cre/GFP, and Tbr2. Scale bar, 20
1087 μm .

1088 A. Gray scale of Opn4, Opn4-Cre/GFP, and Tbx20 immunofluorescence.

1089 B. Co-expression study of Tbx20 (green) in the context of Opn4 (magenta) and *Opn4-Cre/GFP*
1090 (red) labeling. GFP cells that are Opn4-immunonegative are inferred M4-M6 types.

1091 C. Co-expression analysis of Tbr2 (magenta) with Tbx20 (green).

1092 D. Distribution of Tbx20 expressing cells that belong to specific RGC types, to the extent that
1093 could be determined, including Opn4-immunoreactive ipRGC subtypes and RGCs labeled by
1094 the *Cdh3-GFP* transgenic reporter. Unaccounted Tbx20-expressing cells are designated as
1095 "unknown" RGC types. Error bars represent standard error of the mean.

1096

1097

1098 **Figure 14. Complex pattern of *Rasgrp1*-Tbx20-Brn3b co-expression suggests further**
1099 **diversity in ipRGC family.**

1100 A. Quadruple immunofluorescence study of Tbx20, Brn3b, Opn4, and *Rasgrp1* (gray-scale).

1101 B. *Rasgrp1* and Opn4 (left panel) were initially quantified for ipRGC subtype expression prior to
1102 comparison with Tbx20 (middle panel) and Brn3b (right panel) expression. *Rasgrp1*, Brn3b, and
1103 Tbx20 expression are partially overlapping.

1104 C. Integrated co-expression patterns of Brn3b, Rasgrp1, and Tbx20 with M1 and M2 ipRGC
1105 subtypes. The M1 group includes displaced M1 and M3 types.

1106

1107 **Figure 15. The ipRGCs projecting to the suprachiasmatic nucleus (SCN) have a**
1108 **molecularly diverse pattern of Rasgrp1 and Tbx20 expression.**

1109 A. Experimental design of fluorescent bead injection to SCN, followed by examination of
1110 Rasgrp1 and Tbx20 expression in retrograde labeled RGCs.

1111 B. Neuroanatomical study to verify that retrograde injection is within the SCN, but not the optic
1112 nerve.

1113 B. Triple immunofluorescence of Opn4, Rasgrp1, and Tbx20 in combination with fluorescent
1114 Retrobeads. Retrobeads were mostly observed in Opn4-immunopositive RGCs (M1-M3
1115 ipRGCs). Quantification of Rasgrp1 and Tbx20 in retrolabeled cells.

1116 D. SCN-projecting ipRGCs in the ipsilateral and contralateral retina are molecularly diverse for
1117 Tbx20 and Rasgrp1 expression.

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Figure 1.

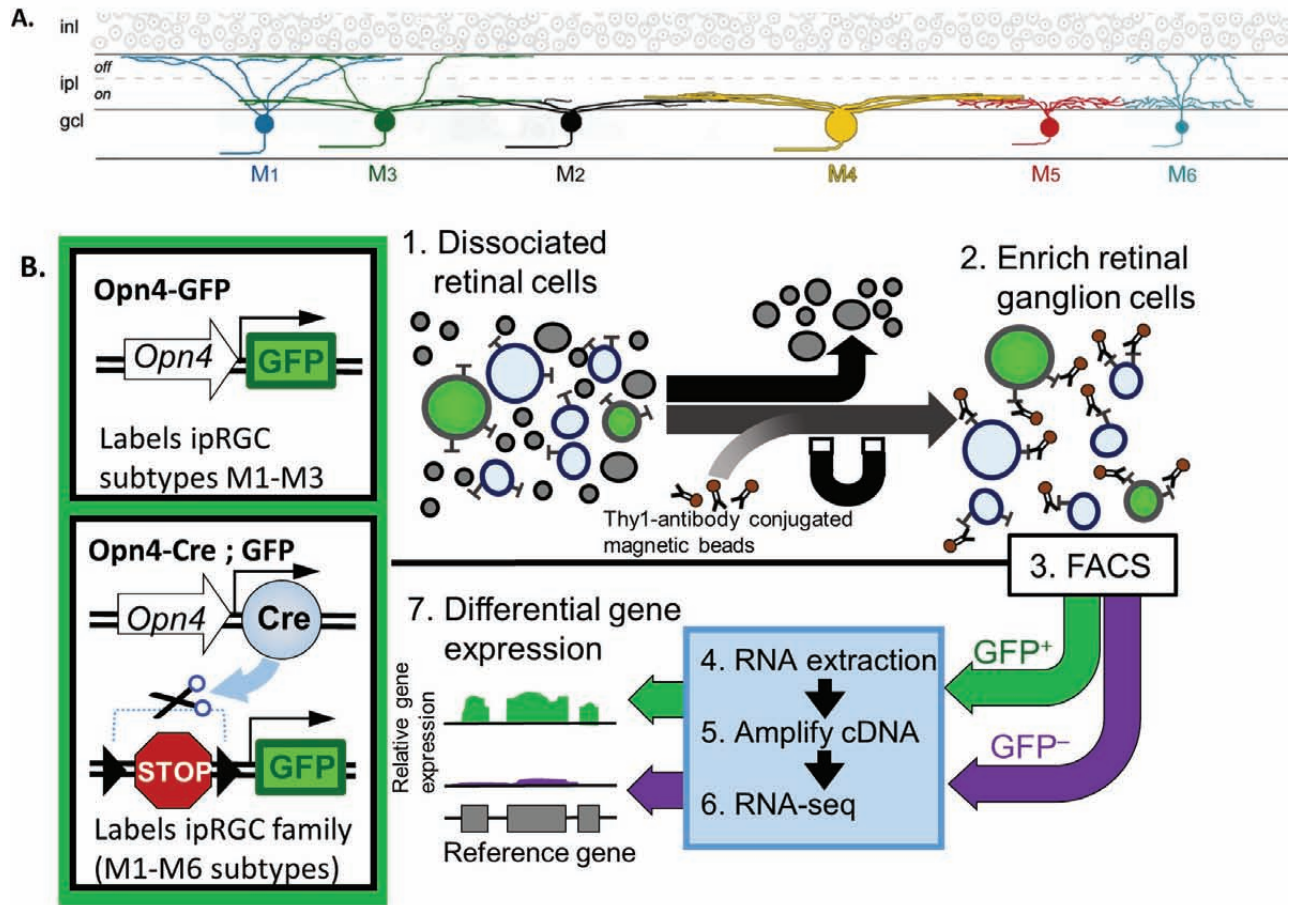
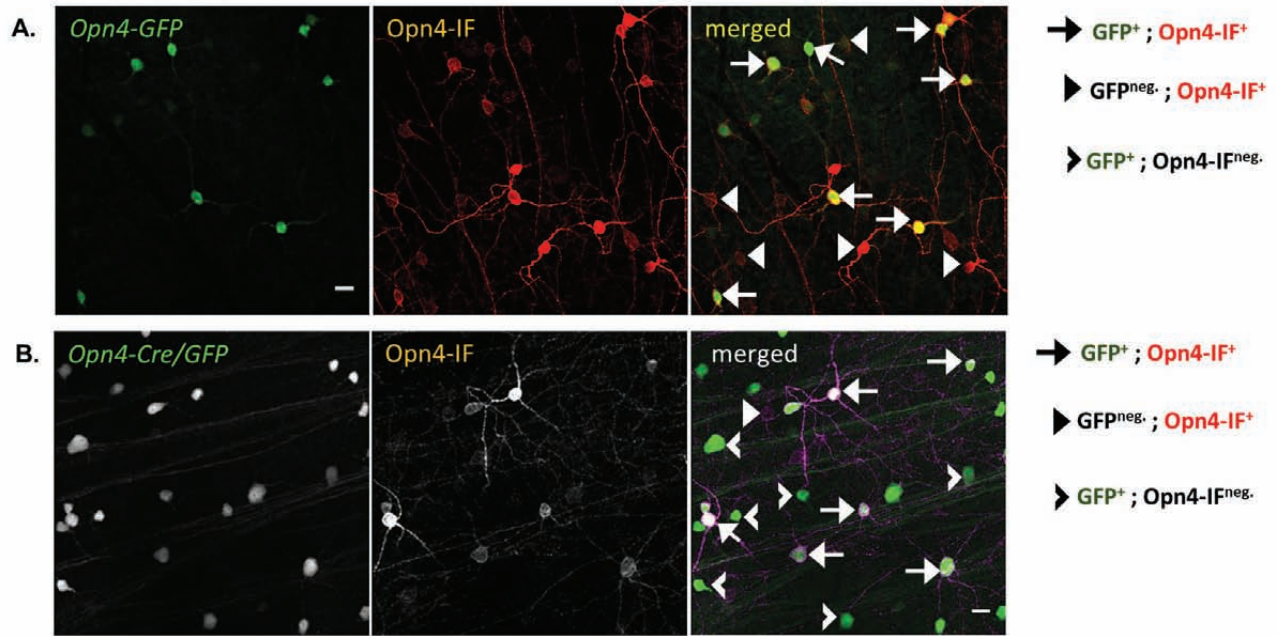


Figure 2.



C. *Opn4-Cre/GFP* reporter colabeling with *Opn4*-immunofluorescence

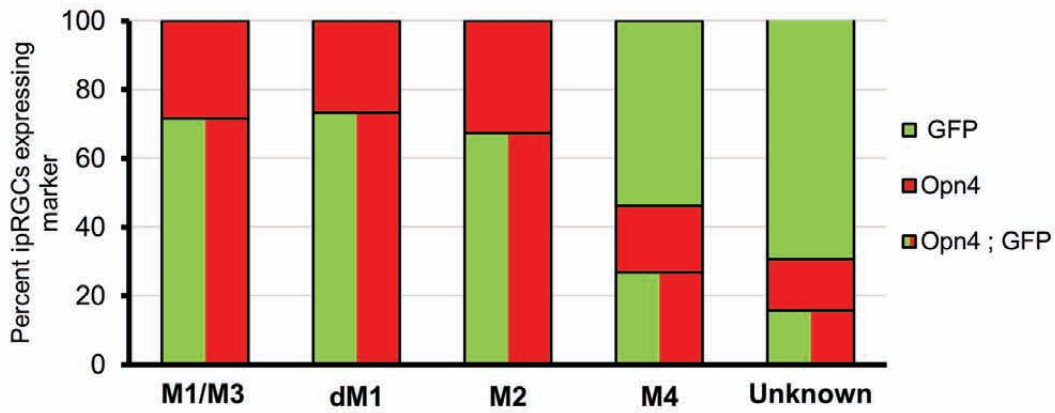


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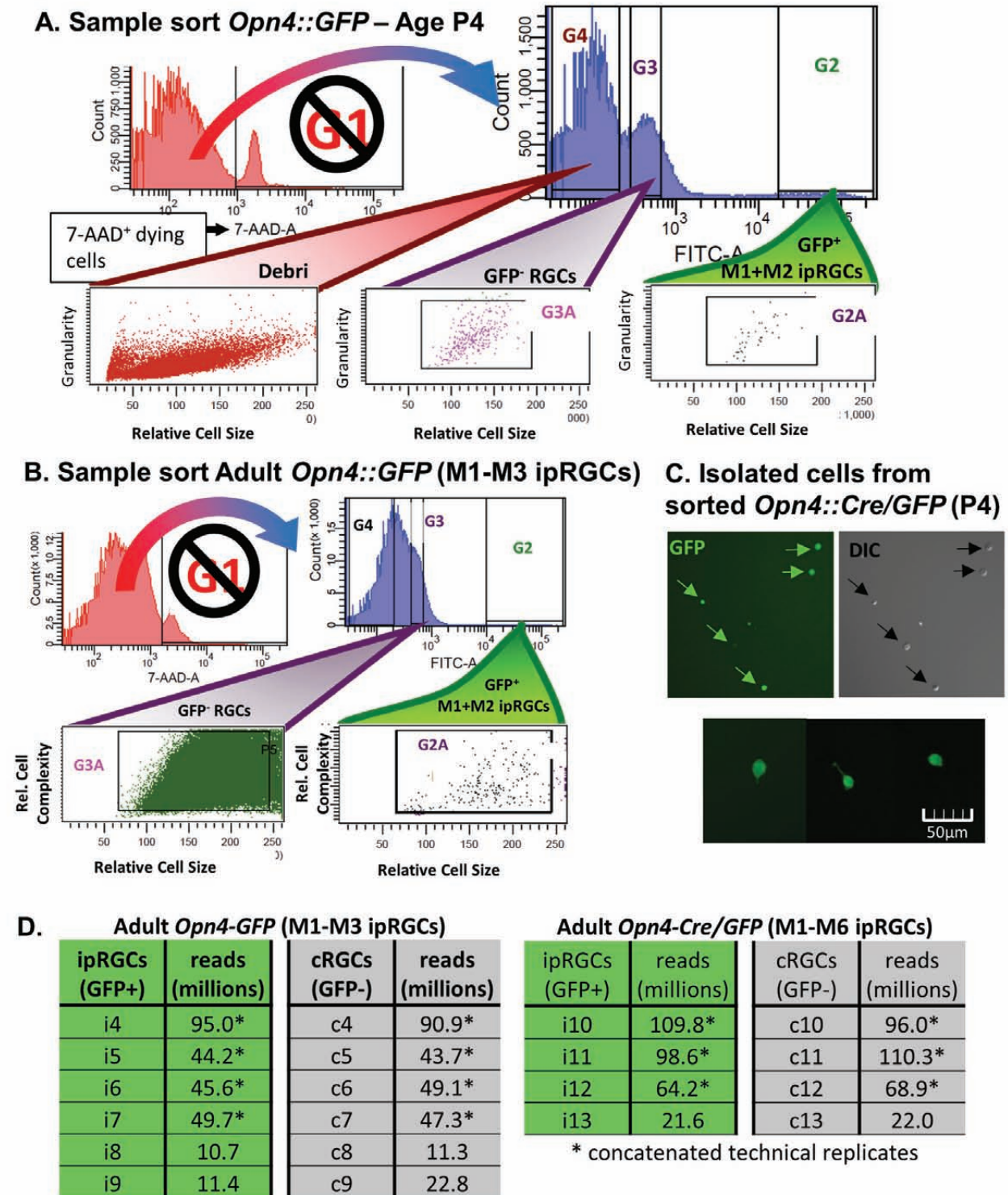


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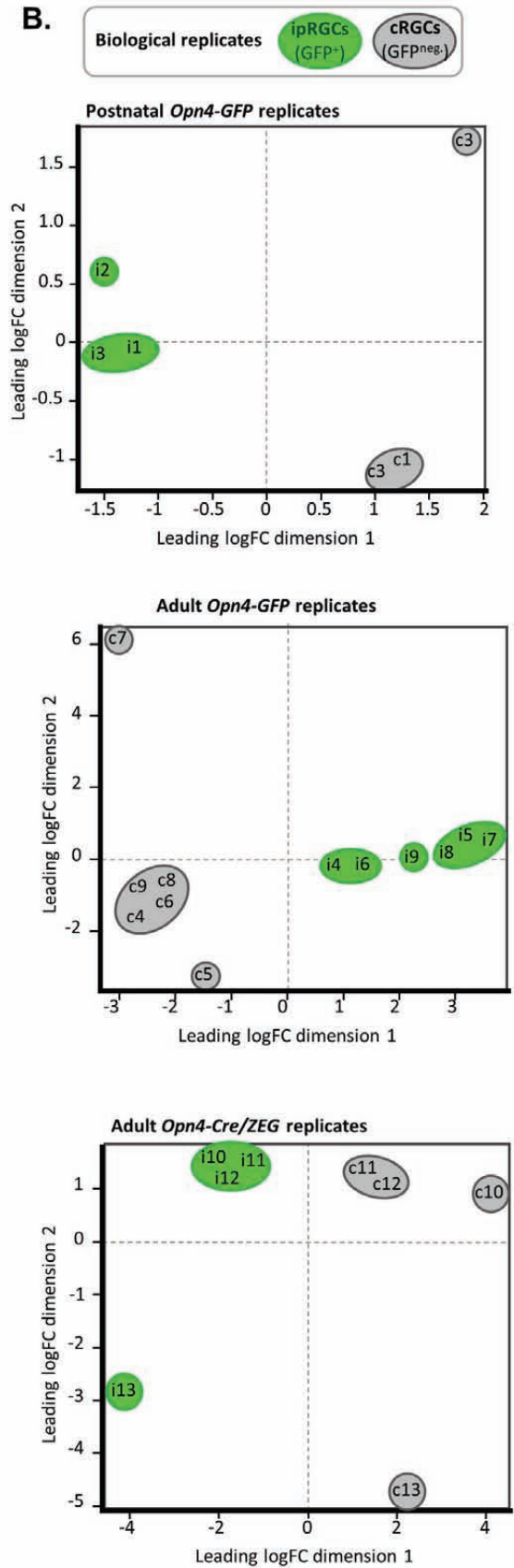
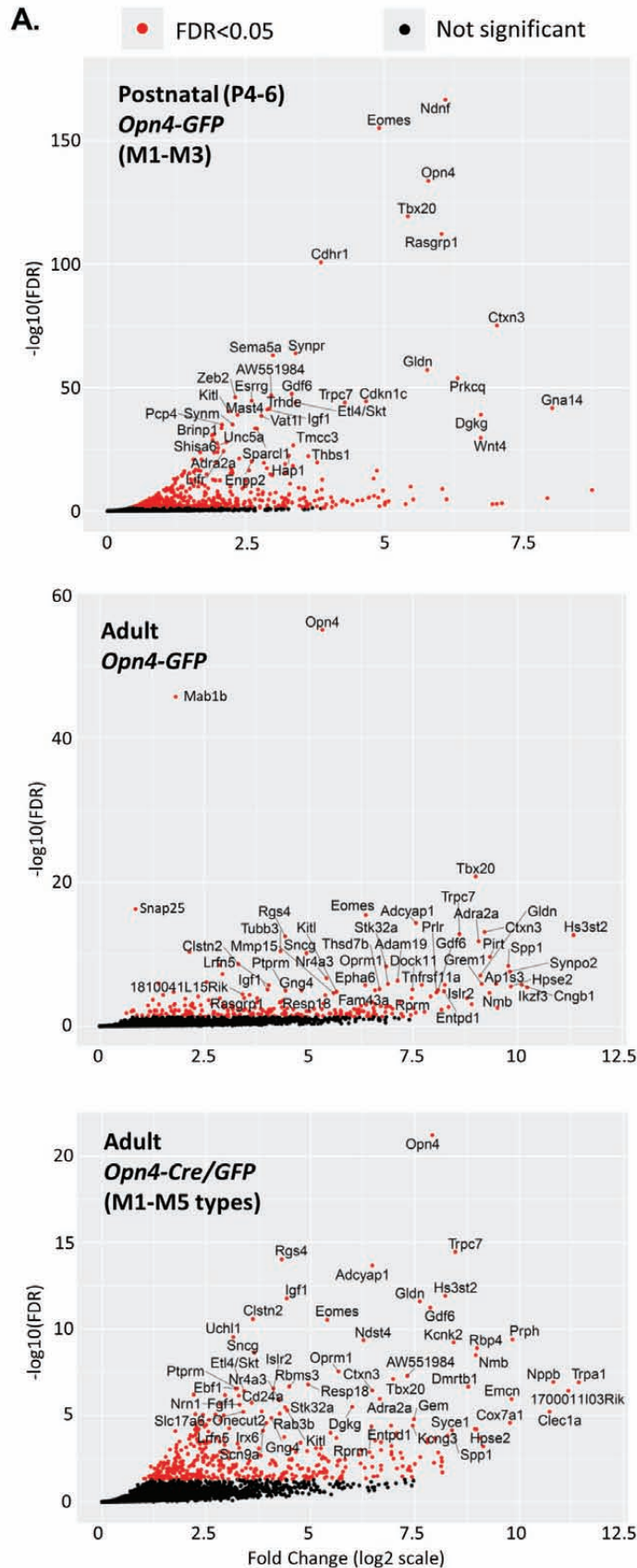


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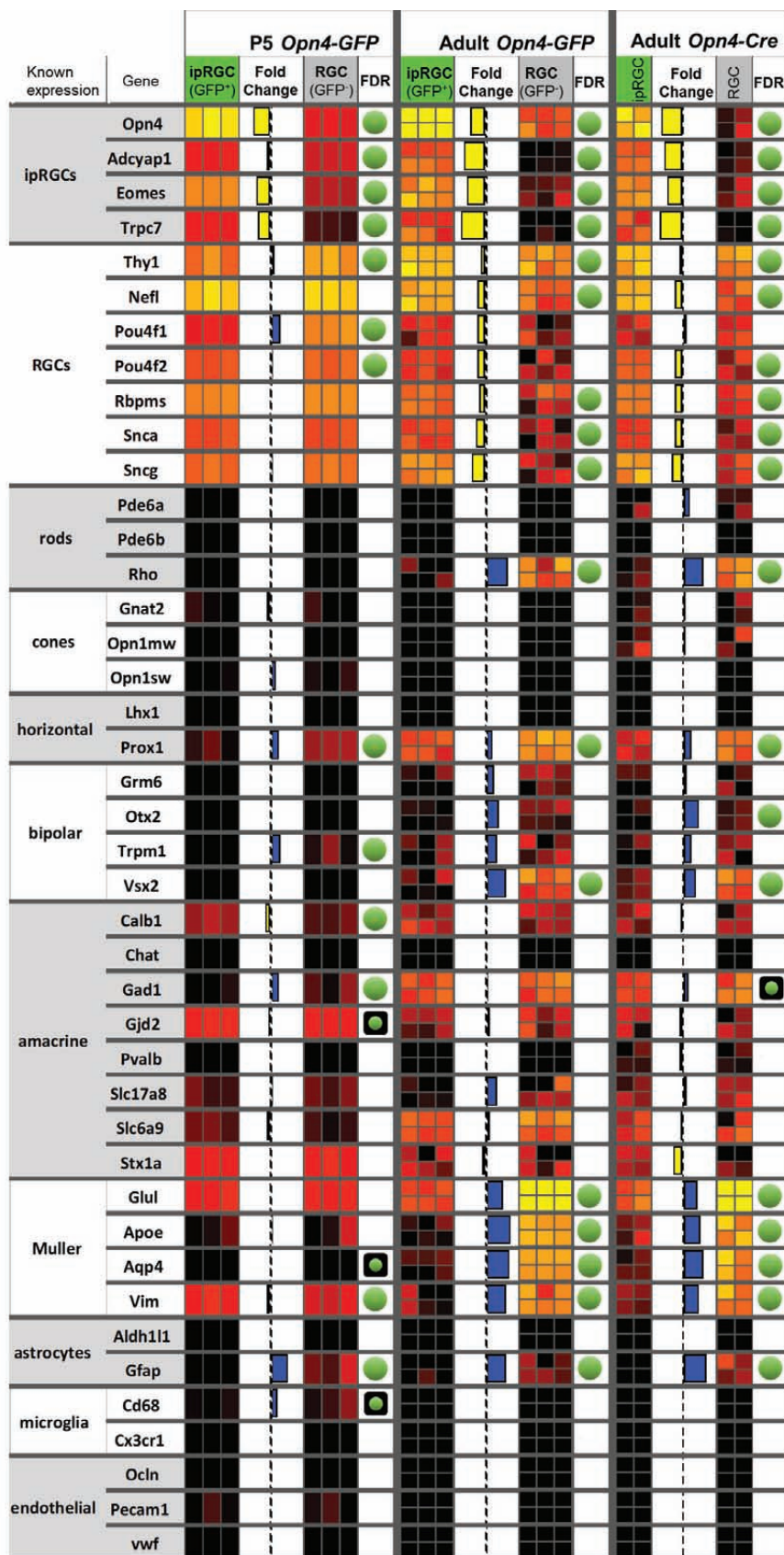
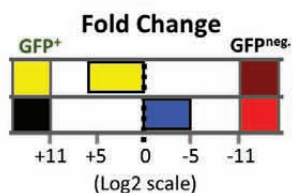
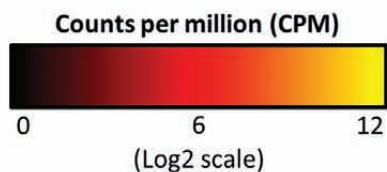
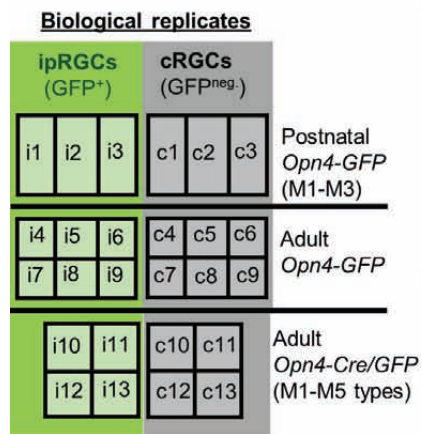


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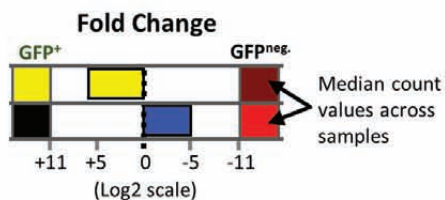
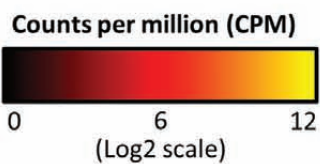
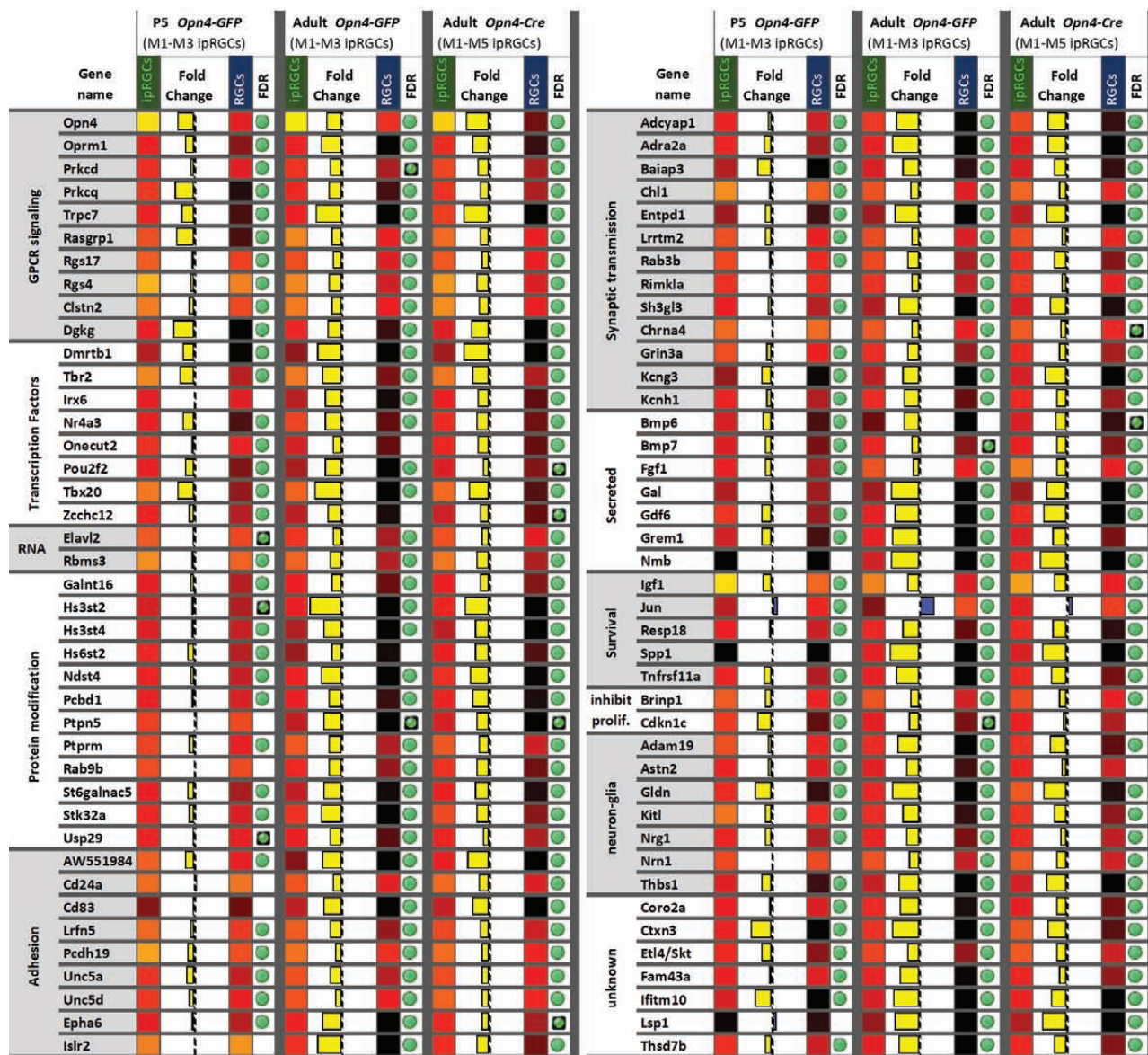


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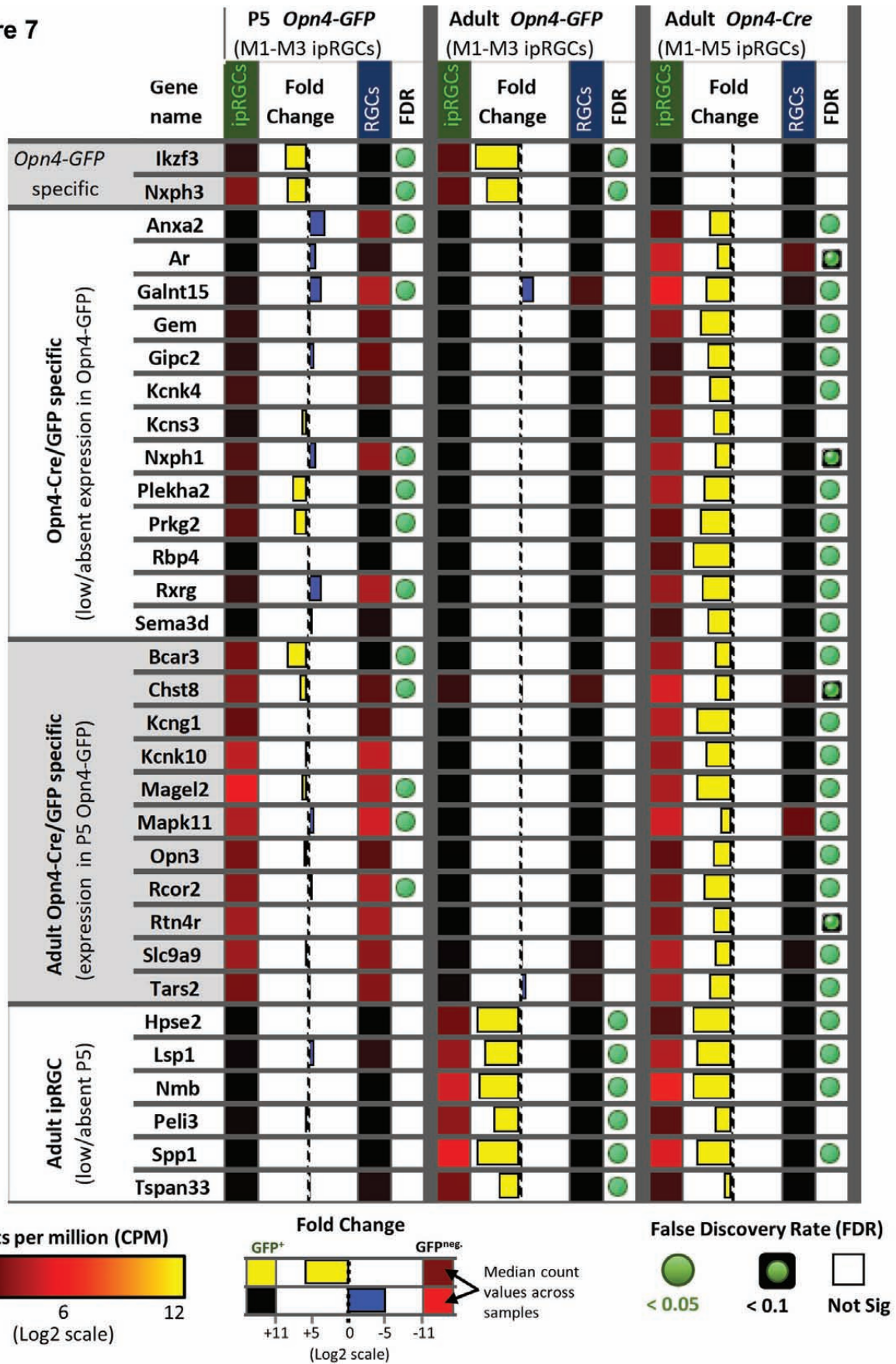


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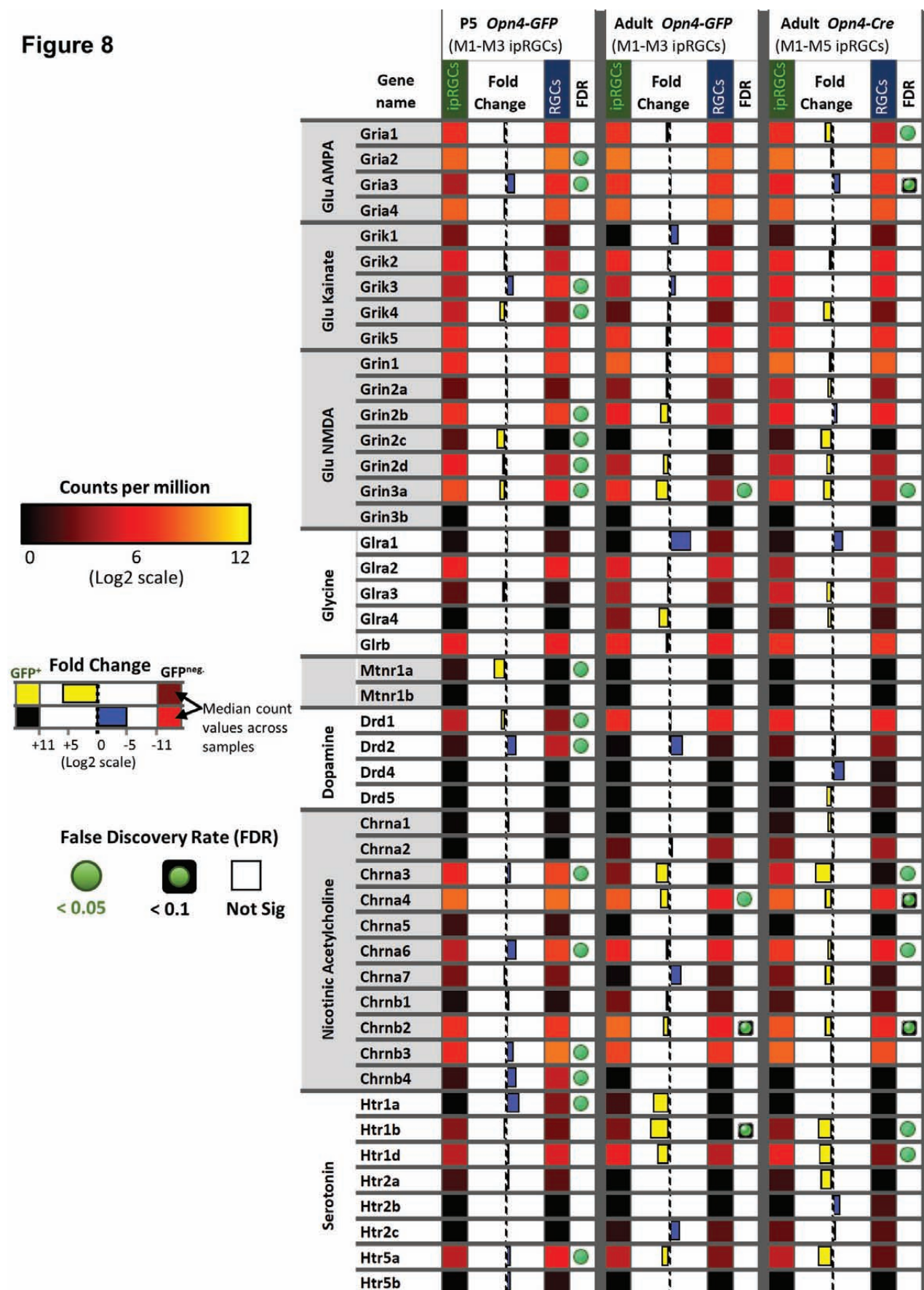


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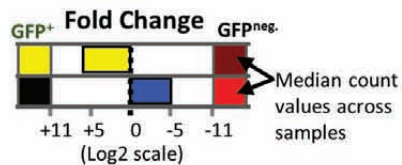
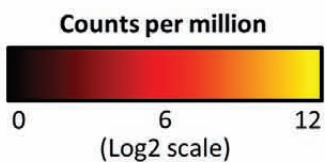
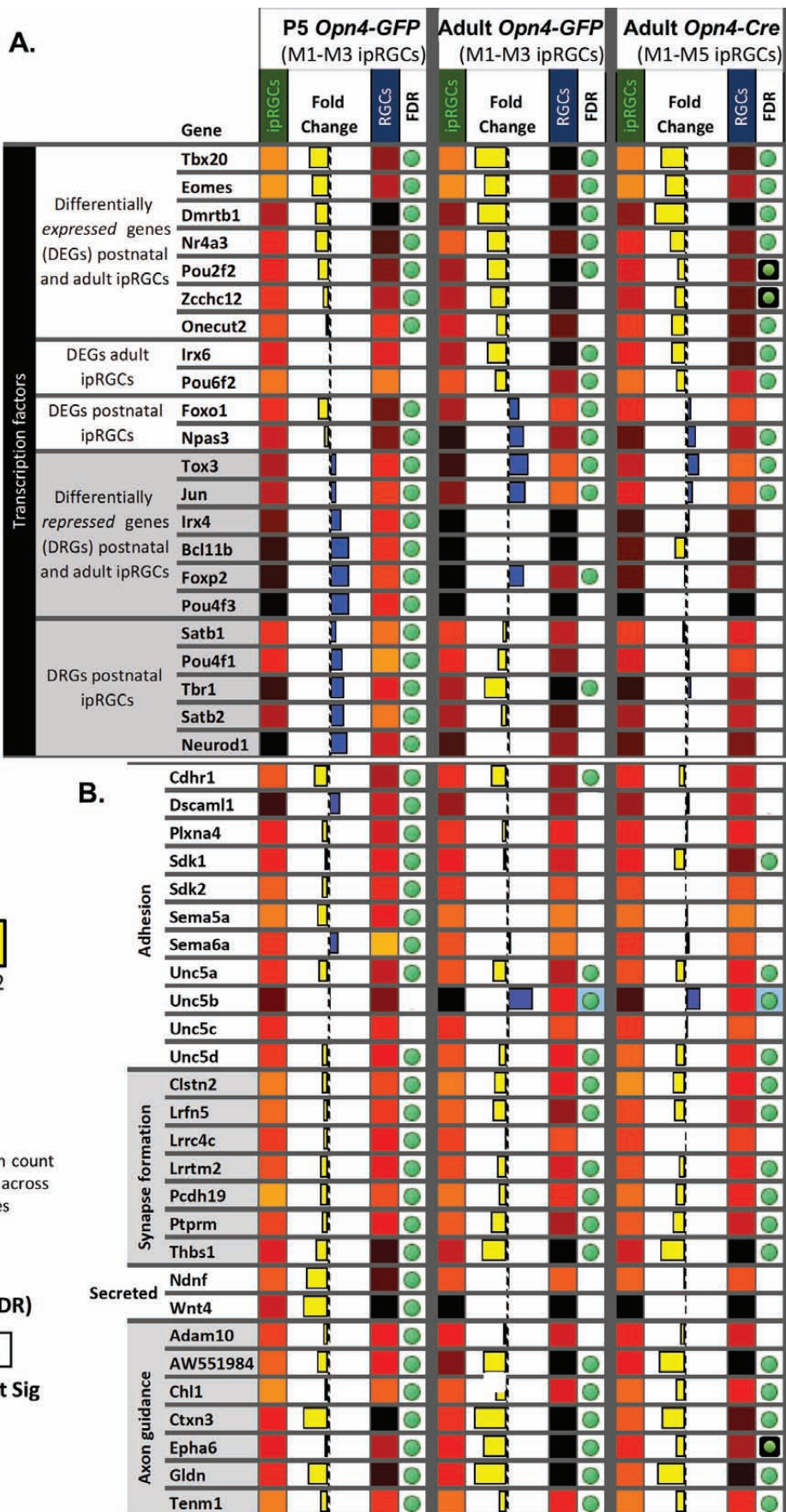


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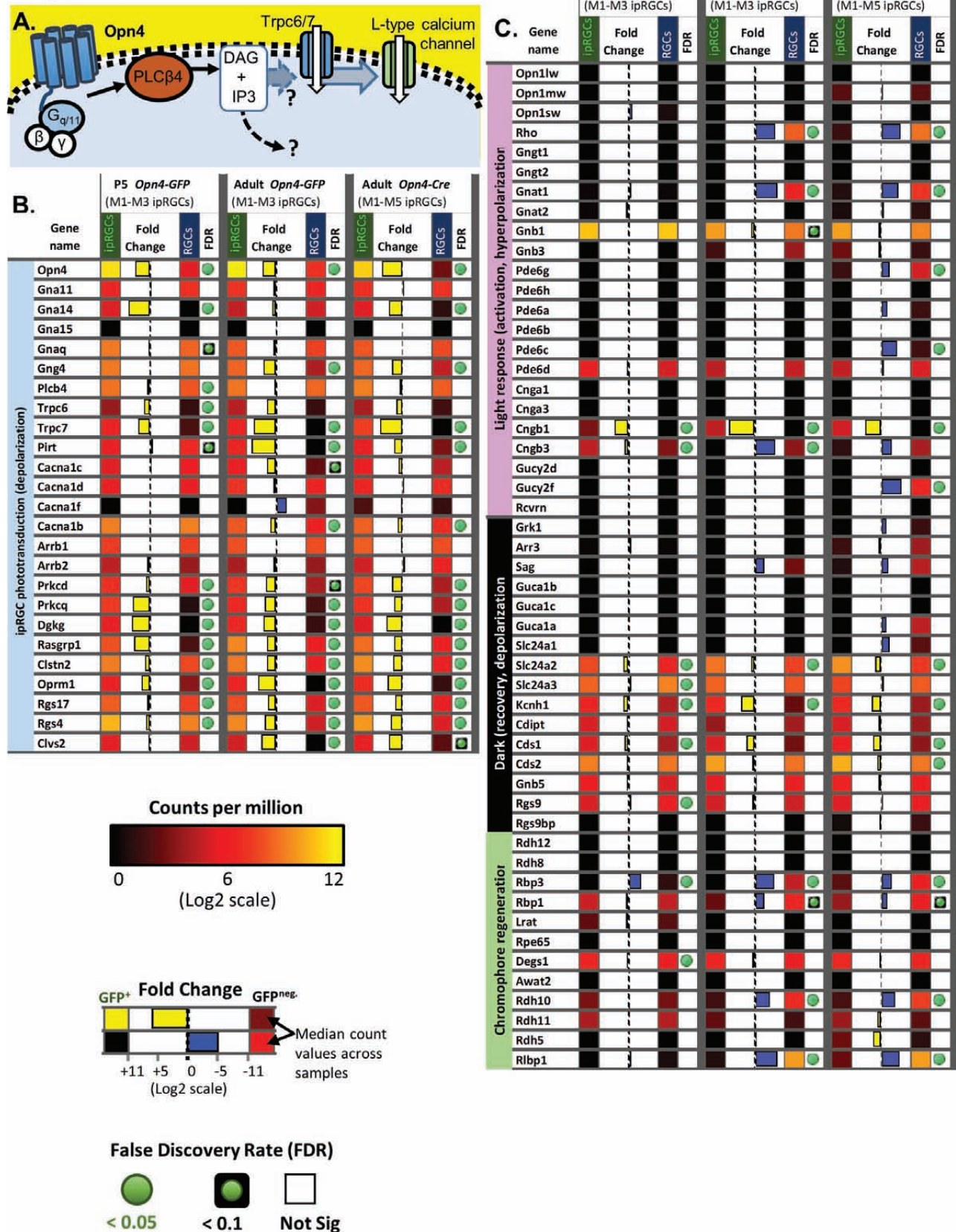


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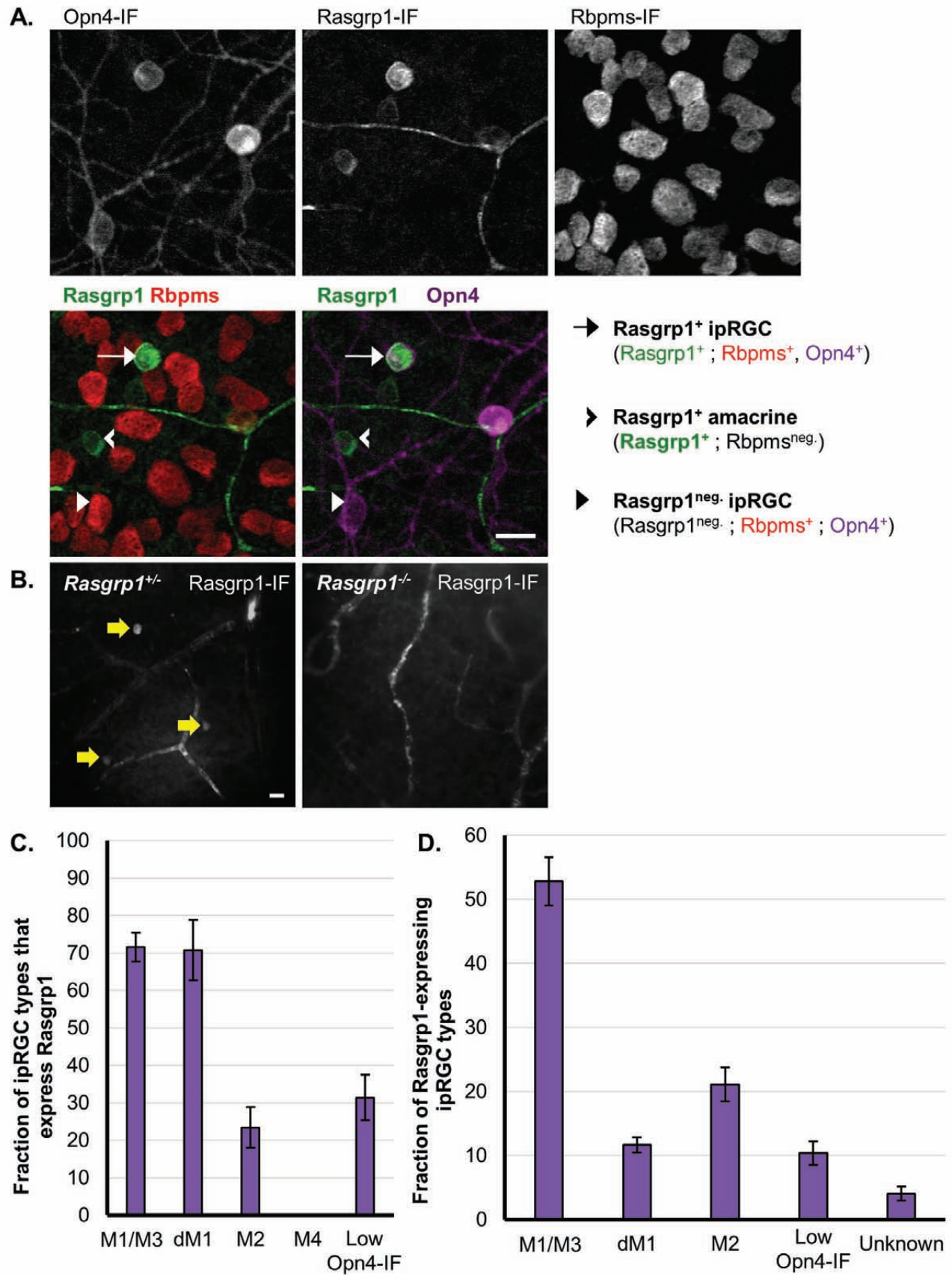


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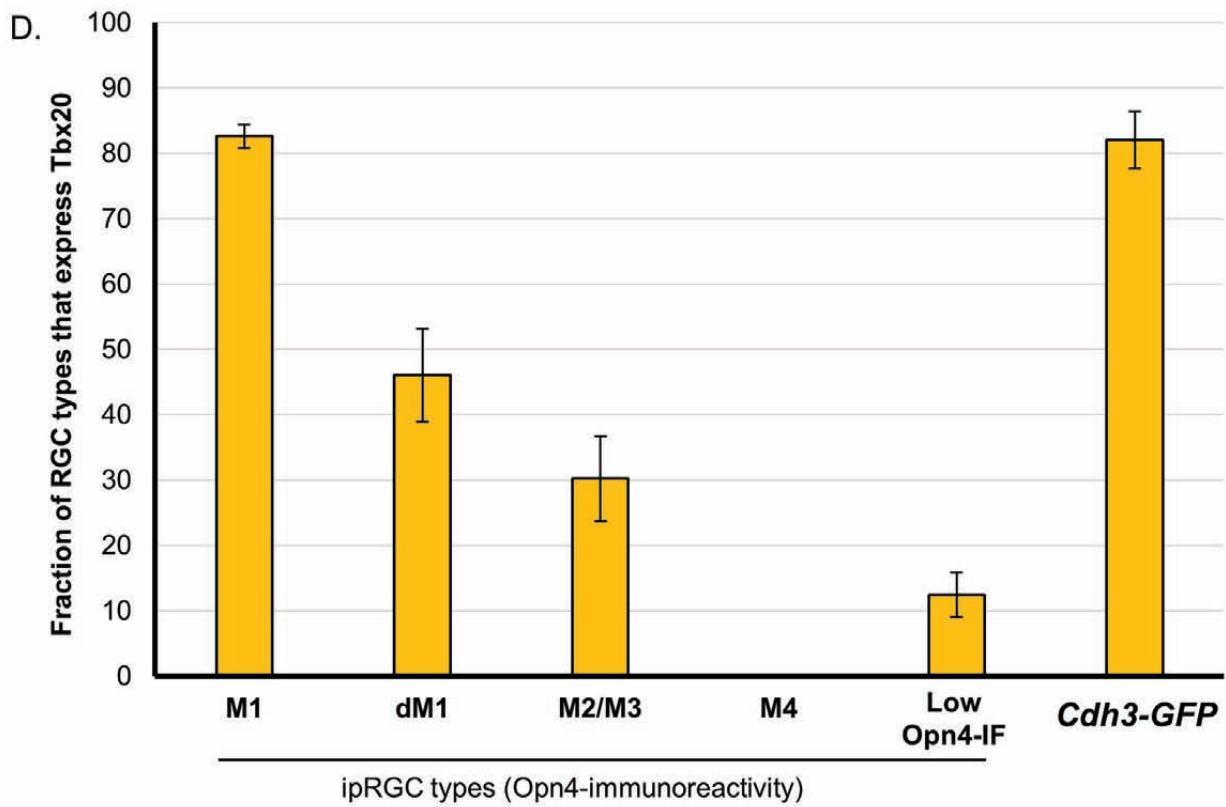
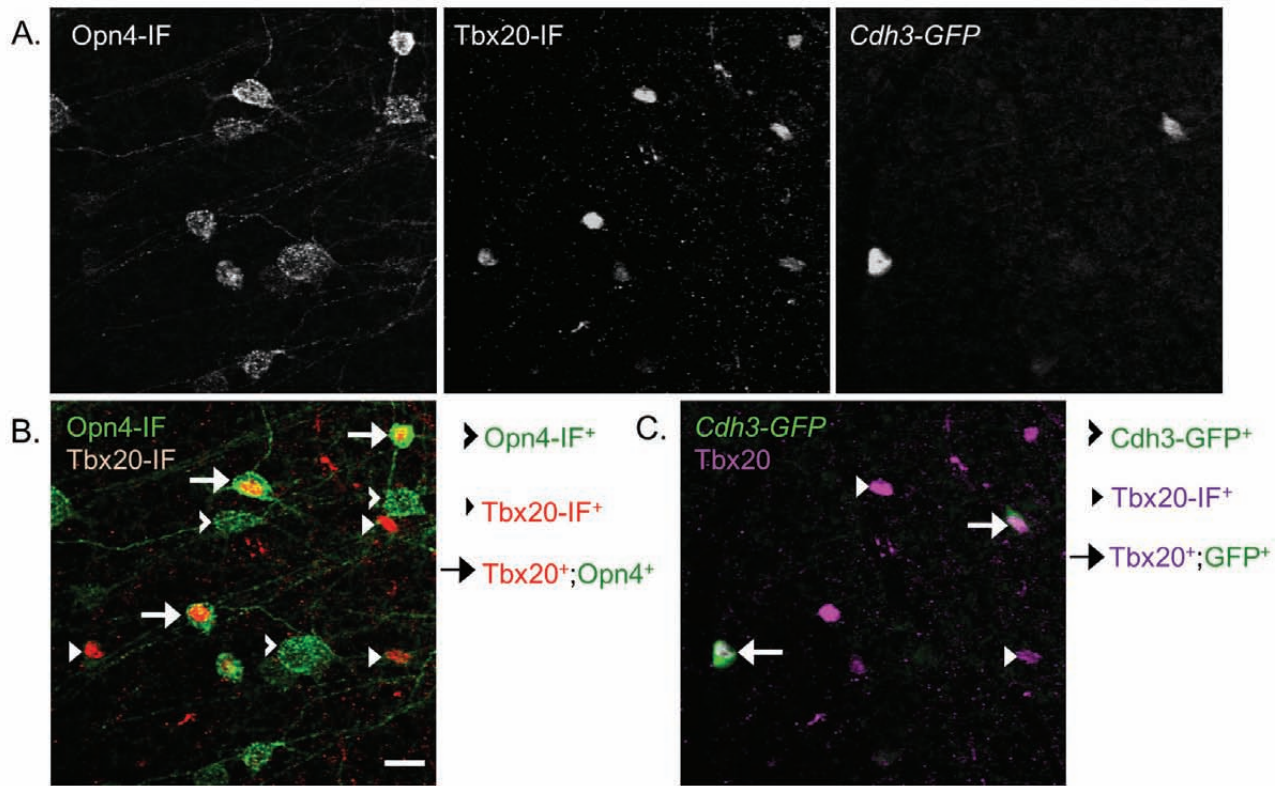


Figure 13

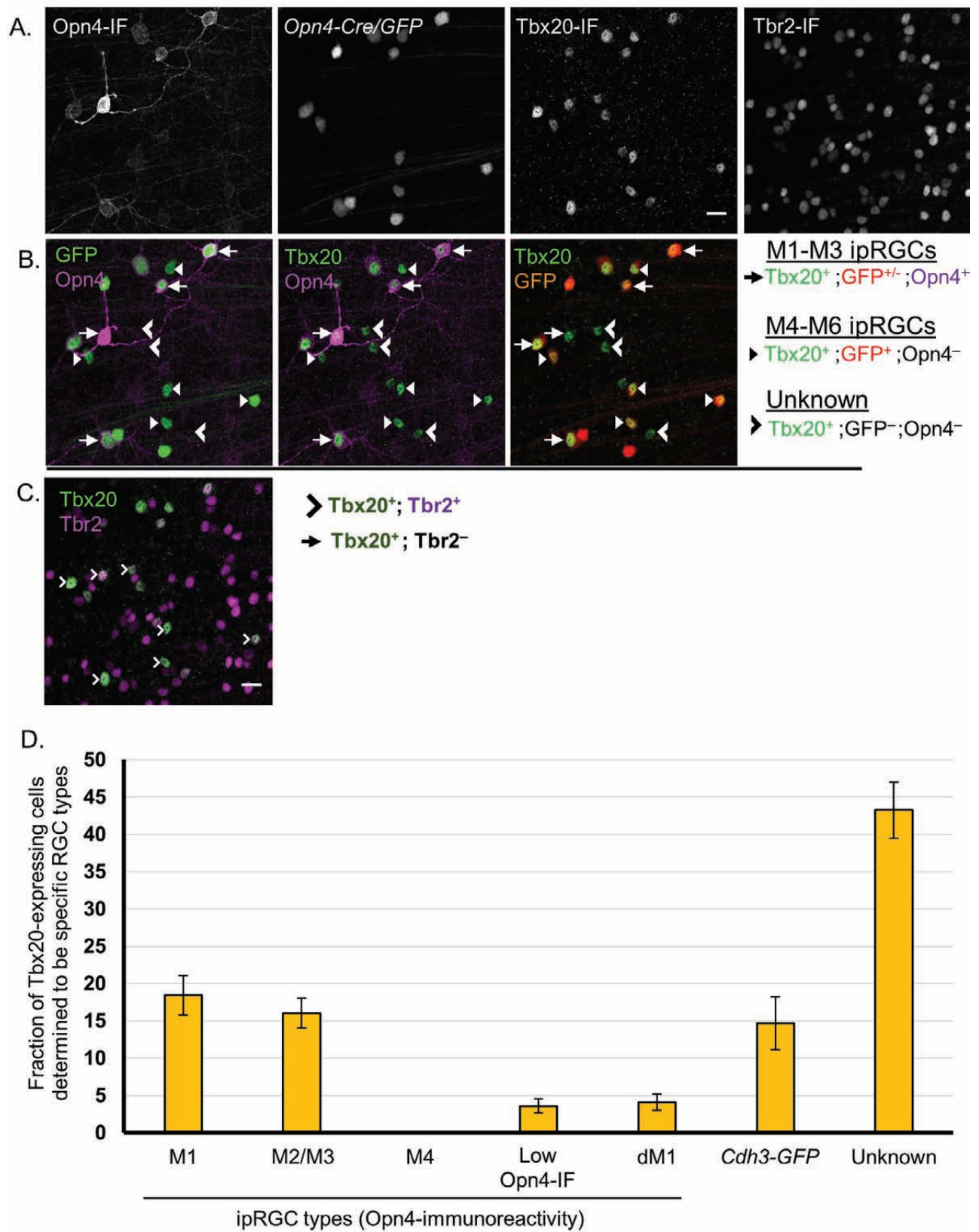
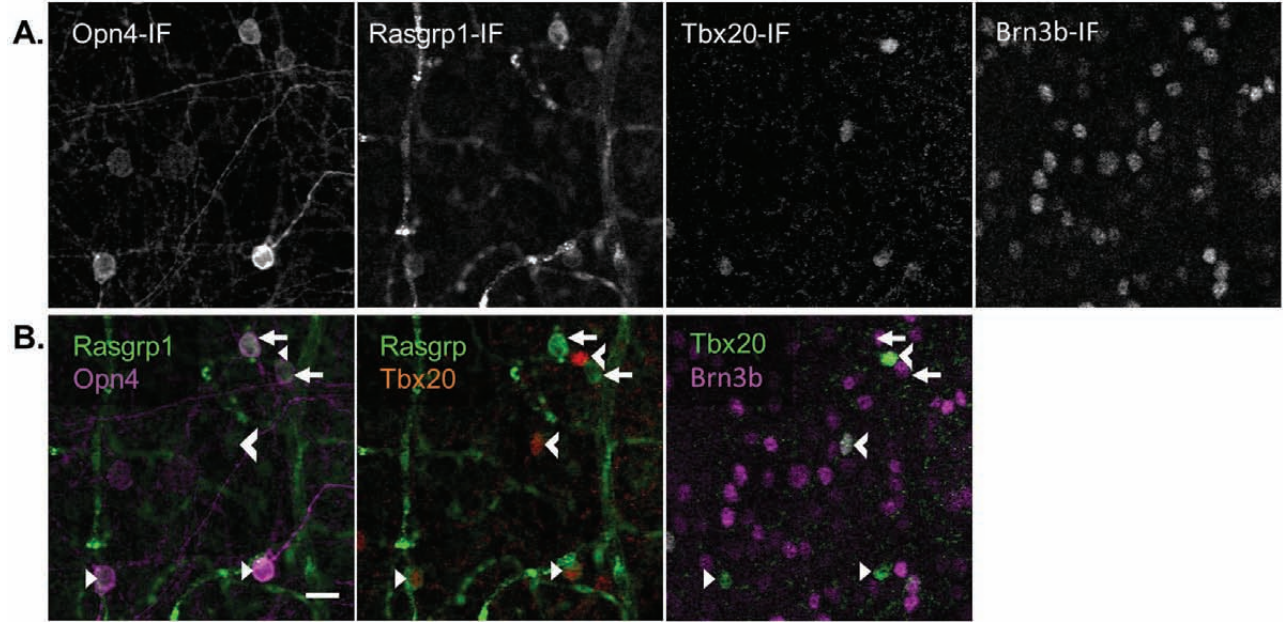


Figure 14.



- ➔ Opn4⁺ ; Rasgrp1⁺ ; Tbx20⁻ ; Brn3b⁻
- Opn4⁺ ; Rasgrp1⁺ ; Tbx20⁺ ; Brn3b⁻
- Opn4⁻ ; Rasgrp1⁻ ; Tbx20⁺ ; Brn3b⁺

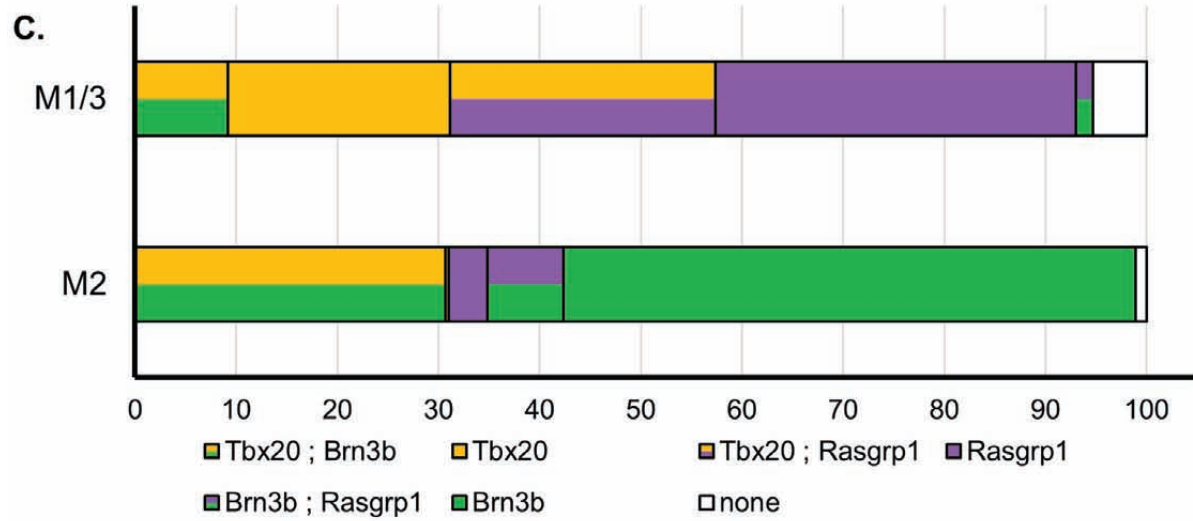


Figure 15.

