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Heartbeat induces a cortical theta-synchronized network in the resting state

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1 **Title: Heartbeat induces a cortical theta-synchronized network in the resting state**

2 **Running title: Heartbeat-induced network**

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5 **Author Affiliations:** Jaejoong Kim^{1,2}, Bumseok Jeong^{1,2*}

6 ¹Graduate School of Medical Science and Engineering, Korea Advanced Institute for Science and Technology (KAIST),
7 291 Daehak-ro, Yuseong-gu, Daejeon, 34141, Republic of Korea; ²KI for Health Science and Technology, KAIST Institute,
8 KAIST, 291 Daehak-ro, Yuseong-gu, Daejeon, 34141, Republic of Korea

9 **Correspondence:** Bumseok Jeong, M.D., Ph.D., Associate Professor, Director, Laboratory of
10 Computational Affective Neuroscience and Development, Graduate School of Medical
11 Science and Engineering, Korea Advanced Institute of Science and Technology, Daehak-ro
12 291, Daejeon, Korea

13 **Tel.** +82-42-350-4245 (Office), **E-mail:** bs.jeong@kaist.ac.kr

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35 **Conflict of interest**

36 Authors have no conflict of interest to declare.

37

1 **Abstract**

2 In the resting state, heartbeats evoke cortical responses called heartbeat-evoked responses
3 (HERs), which reflect cortical cardiac interoceptive processing. While previous studies have
4 reported that the heartbeat evokes cortical responses at a regional level, whether the heartbeat
5 induces synchronization between regions to form a network structure remains unknown. Using
6 resting-state magnetoencephalography data from 85 human subjects of both genders, we first
7 showed that heartbeat increases the phase synchronization between cortical regions in the theta
8 frequency but not in other frequency bands. This increase in synchronization between cortical
9 regions formed a network structure called the heartbeat-induced network (HIN), which did not
10 reflect artificial phase synchronization. In the HIN, the left inferior temporal gyrus and
11 parahippocampal gyrus played a central role as hubs. Furthermore, the HIN was modularized,
12 containing 5 subnetworks called modules. In particular, module 1 played a central role in
13 between-module interactions in the HIN. Furthermore, synchronization within module 1 had a
14 positive association with the mood of an individual. In this study, we show the existence of the
15 HIN and its network properties, advancing the current understanding of cortical heartbeat
16 processing and its relationship with mood, which was previously confined to region-level.

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25 **Significance statement**

26 Complex brain processing usually occurs at a network level, which requires an interaction
27 between brain regions. However, despite its importance in homeostasis and affective
28 processing, a network level processing of cardiac interoception has not been investigated. Here,
29 we first provided an evidence that the heartbeat induces phase synchronizations between
30 cortical regions those comprise a heartbeat-induced network (HIN) with control analyses
31 excluding the possibility of an artificial synchronization. Furthermore, by applying graph-
32 theoretical analysis, we find hubs of the HIN and found out that it is a modularized network
33 with five modules. Finally, we also showed the relationship between the participants' mood
34 and the HIN. These results provide the first evidence of network-level heartbeat processing and
35 its relevance with emotion.

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49 **Introduction**

50 One of the important purposes of the brain is to maintain homeostasis by continuously sensing
51 the homeostatic state (for example, visceral sensations and immunological signals), which is
52 termed interoception, and the brain regulates the bodily condition using this homeostatic
53 information even in the resting state (Craig, 2009). Recently, interoceptive processing,
54 especially at the cortical level, has been proposed to play various roles not only in reflective
55 homeostatic regulation but also in psychological processes, including affective and cognitive
56 processing (Tsakiris & De Preester, 2018). Therefore, understanding the precise mechanism of
57 cortical interoceptive processing is important for understanding ‘embodied’ emotion and
58 cognition.

59 Because the brain processes interoceptive information, there exist brain responses related to
60 visceral signal processing. For example, the heartbeat signal evokes cortical activity defined as
61 heartbeat-evoked responses (HERs) (Pollatos & Schandry, 2004). HERs are associated with
62 many psychological processes, including heartbeat awareness (Pollatos & Schandry, 2004),
63 emotion processing (Couto et al., 2015; Kim et al., 2019; Maister, Tang, & Tsakiris, 2017),
64 visual awareness (Park, Correia, Ducorps, & Tallon-Baudry, 2014), bodily self-consciousness
65 (Park et al., 2016), and autobiographical self-related processing (Babo-Rebelo, Richter, &
66 Tallon-Baudry, 2016; Babo-Rebelo, Wolpert, Adam, Hasboun, & Tallon-Baudry, 2016).
67 Furthermore, disruption of resting-state HERs is known to be related to emotion-related
68 psychiatric diseases/disorders, such as depression and borderline personality disorder (Müller
69 et al., 2015; Terhaar, Viola, Bär, & Debener, 2012).

70 While previous studies have shown that the heartbeat evokes region-level or sensor-level
71 cortical responses and is related to a variety of psychological states and functions, whether the
72 heartbeat also induces interactions between cortical regions remains unknown. However,

73 previous fMRI studies have shown that network-level fluctuations vary with visceral signal-
74 related measures such as heart rate variability (HRV) (Chang et al., 2013; Rebollo, Devauchelle,
75 Béranger, & Tallon-Baudry, 2018), indicating that heartbeat-related interactions may occur
76 between cortical regions. Therefore, we hypothesized that the heartbeat induces functional
77 coupling between cortical regions involved in heartbeat processing and forms a network
78 structure in the resting state. In this study, using a resting-state magnetoencephalography (MEG)
79 dataset, we investigated the heartbeat-induced network (HIN), which was defined as a network
80 composed of significantly increased phase synchronization between regions compared with
81 baseline values. We first showed the existence of the HIN and that it does not reflect artificial
82 synchronization between cortical regions. Then, we investigated the properties of the HIN
83 using graph-theoretical measures. In particular, we first investigated the hubs of the HIN, which
84 play a central role in the HIN. Next, we investigated the modularized property of the HIN to
85 determine whether the HIN is one homogeneous network or can be segregated into several
86 subnetworks. Finally, cardiac interoceptive processing has been suggested to be closely related
87 to an affective state of an individual (Müller et al., 2015; Terhaar et al., 2012). Therefore, we
88 hypothesized that synchronization within the HIN, reflecting network-level cardiac
89 interoceptive processing, is related to an affective state of an individual. We tested this
90 hypothesis by investigating the relationship between synchronization within the HIN and
91 affective state scores of study participants.

92 **Methods**

93 **Dataset description**

94 Resting-state MEG data from 89 subjects collected from the Human Connectome Project (HCP)
95 S1200 data release were used in this study (Larson-Prior et al., 2013; Van Essen et al., 2013,
96 RRID: SCR_008749). All subjects were young (22-35 years of age) and healthy. MEG

97 recordings were collected on a whole-head Magnes 3600 scanner (4D Neuroimaging, San
98 Diego, CA, USA) with 248 magnetometer channels at a sampling rate of 2034.51 Hz.
99 Recordings were performed in three sessions, and each session lasted 6 minutes. HERs were
100 extracted from the preprocessed version of the MEG dataset, which is publicly available at
101 Connectome DB (Hodge et al., 2016). The preprocessing pipeline of HCP data included
102 segmentation of the raw data into epochs of 2 seconds and removal of bad segments and bad
103 channels. Importantly, an independent component analysis (ICA) (Hämäläinen, Hari,
104 Ilmoniemi, Knuutila, & Lounasmaa, 1993) was applied to remove cardiac field artifacts (CFAs)
105 and electrooculography (EOG)-related artifacts, and the data were finally downsampled to
106 508.68 Hz. Notably, because these preprocessed data did not include electrocardiogram (ECG)
107 recordings, which are essential for HER extraction, we used an ECG recording included in the
108 raw MEG data. Among 89 subjects, four subjects were excluded (IDs 133019, 140117, 149741
109 and 17746); we failed to detect the R-peak in the ECG recording of subject 149741, and in
110 subjects 133019, 140117 and 17741, an excessive error occurred when performing
111 Brainnetome atlas-based (Fan et al., 2016) source time-course extraction (the time-course
112 extraction of more than 16 cortical regions (among 210 cortical regions) failed in this subject).
113 Finally, 85 subjects were included in our analysis (47 males and 38 females).

114 **MEG analysis**

115 **HER extraction procedure**

116 HER extraction and preprocessing were performed using the FieldTrip toolbox (Oostenveld,
117 Fries, Maris, & Schoffelen, 2011, RRID: SCR_004849). First, preprocessed HCP MEG data,
118 which were initially segmented into 2-second epochs, were concatenated into one continuous
119 time series such that each segment was realigned to its original location in the raw MEG
120 recording (preprocessed data contained information about the locations of each segment in the

121 raw MEG recording). Because bad segments were removed, the concatenated continuous time
122 series data had empty spaces where the bad segments existed. These empty spaces were
123 replaced by NaN. Next, the R-peak was detected in the ECG recordings using the Pan-Tompkins
124 algorithm (Pan & Tompkins, 1985). Then, epoching of HERs from 900 ms before the R-peak
125 to 1800 ms after the R-peak was performed on the concatenated continuous MEG data. As
126 mentioned above, the concatenated MEG data contained the time window including NaN; thus,
127 the HER epoching procedure resulted in some NaN-containing epochs. These NaN-containing
128 HER epochs were removed. Finally, the HER extraction procedure resulted in $840.8 (\pm 138.7)$
129 HER epochs on average. The mean interbeat interval (IBI) of every subject was 981.8 ms
130 (± 151.5 , corresponding heart rate: 61.11 beats per minute (BPM)), with a range of 675.4-
131 1374.2 ms (heart rate range: 43.67-88.84 BPM).

132 **Source reconstruction of HERs**

133 All sensor HER data were source-reconstructed using the linearly constrained minimum
134 variance (LCMV) beamformer methods (Van Veen, Van Drongelen, Yuchtman, & Suzuki, 1997)
135 provided in the FieldTrip toolbox in a manner similar to that used in a previous study (Heusser,
136 Poeppel, Ezzyat, & Davachi, 2016). A common spatial filter was estimated for each source
137 point using HER data from all trials, an HCP-provided single-shell volume conduction head
138 model and an HCP-provided 4-mm grid source model for every subject (Larson-Prior et al.,
139 2013). Then, this common spatial filter was applied to sensor HER data (sensor * time matrices)
140 to calculate the time courses of each source. Finally, we used Brainnetome atlas-based
141 parcellation (Fan et al., 2016, RRID: SCR_014091) to perform a region of interest (ROI)-based
142 connectivity analysis. Among the total of 246 brain regions, because an HER is known to
143 mainly reflect cortical heartbeat processing (Pollatos, Kirsch, & Schandry, 2005) and the deep
144 source activity estimation including the subcortex and cerebellum in MEG data is less reliable

145 than cortical source estimation (Hämäläinen et al., 1993), we excluded 36 subcortical cerebellar
146 regions. We also excluded 15 cortical regions among the remaining 210 cortical regions
147 because the source reconstruction procedure failed to extract time courses in these regions in
148 at least one subject (indicating that these regions did not contain source vertices in some
149 participants). Therefore, the time courses of vertices within each of the 195 cortical regions
150 (Table 1-1) were averaged. This final step produced the time courses of HERs for all 195
151 cortical regions, epochs and subjects.

152 **Calculation of the debiased wPLI estimator in the theta frequency range between cortical**
153 **regions**

154 The debiased estimator for weighted phase lag index (wPLI-D) (Vinck, Oostenveld, Van
155 Wingerden, Battaglia, & Pennartz, 2011) was used as a measure of functional connectivity
156 between cortical regions. The weighted phase lag index (wPLI) (Vinck et al., 2011) is a measure
157 of phase coherence and is robust to the spurious connectivity induced by volume conduction,
158 which is reflected in “zero-phase synchronization” between sources. Furthermore, the wPLI is
159 invariant to linear mixing of two dependent sources (Palva et al., 2018; Vinck et al., 2011), and
160 in the presence of true interactions, this measure is immune to false-positive detection (Palva
161 et al., 2018). Because a direct estimator of the wPLI is biased by sample size (Vinck et al.,
162 2011), we used the debiased wPLI estimator (wPLI-D) (Vinck et al., 2011), which ranges from
163 zero to one (maximum coherence). We hypothesized that synchronization would occur in the
164 theta band (4-7 Hz), which is the frequency band with the strongest increase in phase
165 synchronization within regions according to a previous study (Park et al., 2017). First, complex
166 Morlet wavelet transformation was performed on every trial (which was epoched from -900
167 ms to 1800 ms R-peak) with a 20-ms time step starting from -300 ms to 600 ms R-peak and a
168 frequency ranging from 4 to 7 Hz with 1-Hz steps. Four cycles were used in the wavelet

169 transformation procedure. Then, the wPLI-D was calculated for every pair of regions in each
170 time and frequency step. wPLI-Ds from 4 to 7 Hz were averaged to obtain the wPLI-D of the
171 theta frequency range. These procedures resulted in 195 (number of ROIs) by 195 by 31 (time
172 windows from -300 to 600 ms at the R-peak with 20-ms steps) wPLI-D matrices for each
173 subject. Additionally, although our frequency band of interest was the theta band, which has
174 been shown to be a major frequency band with respect to HERs, we tested whether similar
175 HINs exist in the alpha (8~13 Hz) and beta (14~29 Hz) bands by using the same pipeline used
176 in the theta-band HIN.

177 **Identification of the HIN using network-based statistics**

178 We compared the wPLI-Ds between the baseline period, which was defined as a time window
179 300 ms to 100 ms before the R-peak onset, and a time window 200 ms to 600 ms after the R-
180 peak onset, which is the time window in which the effects of HERs were reported in most
181 previous HER studies (Fukushima, Terasawa, & Umeda, 2011; Pollatos & Schandry, 2004), to
182 determine whether the heartbeat induced a network composed of significantly increased phase
183 synchronization between regions, and we called this time window the ‘induced’ period. The
184 200-ms period after the R-peak onset corresponds to the approximate time that the heartbeat
185 signal enters the CNS following carotid baroreceptor stimulation, which is the major input path
186 of the heartbeat to the CNS (Eckberg & Sleight, 1992). However, note that because the
187 heartbeat signal is conveyed to the CNS via various pathways except this pathway including
188 somatosensory pathway via spinal cord or stimulation of cardiac afferent neuron at heart wall,
189 the timing of this CNS entrance of the heartbeat signal could be varying (Park & Blanke, 2019).
190 The baseline period used in the present study is the same period used in a previous study of
191 HER-induced phase synchronization within regions. This baseline period was postulated to
192 avoid cardiac artifacts around the ECG P-wave (Park et al., 2017).

193 We then performed a group-level network-based statistic (NBS) (Zalesky, Fornito, &
194 Bullmore, 2010, RRID:SCR_002454) analysis, which is a statistical method that controls
195 multiple comparisons at the network level. This analysis enabled us to identify a network
196 composed of significantly increased wPLI-Ds between cortical regions in the induced period
197 compared to the baseline period at the group level. First, baseline and induced wPLI-D matrices
198 were computed by averaging wPLI-Ds from each time window for every subject, which
199 resulted in one baseline wPLI-D matrix and one induced wPLI-D matrix for each subject.
200 Second, multiple paired t-tests comparing wPLI-Ds from the induced period and the baseline
201 wPLI-D were performed for every pair of cortical regions, which resulted in one matrix of t-
202 values from these paired t-tests. Then, a threshold t-value of 2.51 was applied to the matrix of
203 t-values, and a t-value less than 2.51 was therefore set to 0. The network statistic was computed
204 by adding the t-values of all the connected components in the thresholded matrix (a connected
205 component refers to any two nodes within a component are connected by a path of edges). Next,
206 a null distribution of the network statistic was created from 5000 permutations by randomly
207 permuting an element of the induced wPLI-D matrices and the baseline wPLI-D matrices
208 within each subject. Finally, network-level familywise-error (FWE)-corrected p-values of the
209 network were obtained using the original network statistic and null distribution. Next, we
210 constructed a heartbeat-induced synchronization (HIS) matrix whose elements corresponded
211 to the increase in the wPLI-D in the induced period compared to that in the baseline period,
212 and each element was significant in the NBS results. Therefore, the HIS matrix was composed
213 of elements with significantly increased wPLI-Ds in the group-level NBS analysis and
214 represents the structure of the HIN.

215 **Examination of increased theta phase synchronization between ECG signals and brain**
216 **regions**

217 We postulated that the HIN that we identified may represent an artificial increase in phase
218 synchronization caused by a CFAs. We expected that if an electromagnetic field induced by
219 cardiac contractile activity directly influenced both regions A and B and this effect artificially
220 increased phase synchronization between these two regions, then phase synchronization would
221 increase between regions A and B, and the phase synchronization between the ECG signal and
222 both regions A and B should increase after a heartbeat because the same electromagnetic field
223 induced by cardiac contraction influenced all three signals, including the ECG signal and the
224 signals from regions A and B. We assessed whether theta-phase synchronization between ECG
225 signals and brain regions increased during an induced period (200-600 ms after the R-peak)
226 compared to that in the baseline period to test this hypothesis. We calculated the wPLI-Ds
227 between ECG signals and 195 cortical regions in the theta band for every subject, which
228 resulted in two 195 by 1 vectors of ECG-brain region wPLI-Ds from the baseline and induced
229 periods for every subject. Then, we performed 195 group-level paired t-tests between the wPLI-
230 Ds from the induced and baseline periods for all 195 cortical regions to determine which wPLI-
231 Ds between each ECG-brain region pair were significantly increased in the induced period
232 compared to that at baseline.

233 **The forward and inverse-modeled trial-shuffled surrogate method for evoked component**
234 **estimation of the HIN**

235 We next tested whether phase synchronization in the HIN reflected artificial synchronization
236 due to evoked responses within distributed regions, which are phase-locked to the heartbeat
237 (Hirvonen, Monto, Wang, Palva, & Palva, 2018), using the forward and inverse-modeled trial-
238 shuffled surrogate method, which was used to identify an evoked component of phase
239 synchronization in a previous study (Hirvonen et al., 2018). This method selectively and more
240 effectively identifies true-induced inter-areal interactions compared to the conventional trial

241 shuffling method (Lachaux, Rodriguez, Martinerie, & Varela, 1999). Briefly, using the source-
242 modeled single-trial data, the time course of each source vertex within a particular region was
243 simulated with the region time courses of a random trial (trial shuffle) and using the forward
244 model, sensor-level surrogate data were generated. Finally, the sensor-level surrogate data were
245 source-reconstructed, and the wPLI-Ds between cortical regions were calculated with
246 procedures identical to those used for the real data. As noted in a previous study (Hirvonen et
247 al., 2018), by using this procedure, surrogate data contain both evoked, stimulus-phase-locked
248 components and signal spread caused by MEG data acquisition and inverse modeling, while
249 non-stimulus locked (induced) phase synchronization between regions is eliminated. Therefore,
250 by comparing the HIN of the surrogate data with the HIN of the real data, we can identify
251 whether phase synchronization within the HIN was caused by evoked responses. We
252 established 20 sets of surrogate data and compared phase synchronization within the HIN
253 between the surrogate data and the real data.

254 **Network properties of the HIN**

255 After confirming that the HIN does not reflect artificial synchronization induced by either
256 CFAs or evoked responses, we identified the following characteristics of the HIN. First, we
257 identified the hubs of the HIN, which play an important role in connecting regions within the
258 HIN. Then, we identified whether the HIN is one homogeneous network or can be divided into
259 subnetworks called modules, indicating that the HIN is modularized. Additionally, we also
260 investigated how synchronization within the HIN changes over time by summing the wPLI-D
261 of every HIN edge at every time point from -300 ms to 600 ms.

262 **Identification of the hubs of the HIN**

263 One of the important features of a network is the hub of the network, which is defined as a
264 node that plays an important role within a network, such as connecting nodes (Fornito, Zalesky,

265 & Bullmore, 2016). To identify the hubs of the HIN, we calculated the strength and
266 betweenness centrality of each region. The strength of a node is defined as a sum of the weights
267 of all edges connected to that node, and betweenness centrality is defined as the fraction of all
268 the shortest paths in a network that pass through a given node (Brandes, 2001). The graph-
269 theoretical measures used to define hubs were calculated using the functions of the brain
270 connectivity toolbox (BCT) (Rubinov & Sporns, 2010, RRID: SCR_004841).

271 **Identification of the modularized structure of the HIN**

272 Because we expected that the HIN would have a modular structure, we applied a community
273 detection algorithm to the HIS matrix to determine how the HIN is partitioned into different
274 subnetworks. However, to identify whether the HIN is modularized, one should examine the
275 extent of modularity compared to random networks. Therefore, we compared the ‘modularity
276 index’ of the HIN with 100 random networks (Bassett et al., 2011). Optimal partitioning of
277 cortical regions was performed using the Louvain greedy algorithm (Blondel, Guillaume,
278 Lambiotte, & Lefebvre, 2008) to maximize the modularity index Q formulated using the
279 following equation:

$$280 \quad Q = \frac{1}{2\mu} \sum_{ij} [A_{ij} - \gamma P_{ij}] \delta(g_i, g_j)$$

281 In this equation, A_{ij} represents the strength of the edge between node i and node j , P_{ij}
282 represents the expected weight between node i and node j , μ is the sum of the strengths of all
283 edges in the network, and $\delta(g_i, g_j)$ is 1 if node i and j belong to the same community and 0
284 otherwise (g_i is a label of the community to which node i belongs). The resolution parameter
285 γ was set to 1, which is a default value. However, because the partition that maximizes Q can
286 vary across each algorithm run, we used the consensus partition method to identify the most
287 representative partition S (Lancichinetti & Fortunato, 2012) using the functions of the BCT

288 (Rubinov & Sporns, 2010). The consensus partition procedure, which is identical to a
289 previously reported procedure (Fornito et al., 2016), is briefly explained below. First, a
290 community detection algorithm (Louvain greedy algorithm) was run 10000 times to create
291 10000 partitions. Second, the agreement matrix D was constructed. Each element of D
292 corresponded to the proportion of the number of times that nodes i and j were in the same
293 module to the number of total iterations. Third, a threshold $\tau = 0.2$ was applied to D . The
294 value of τ was set to less than 0.4 as recommended in a previous study (Lancichinetti &
295 Fortunato, 2012). Fourth, community detection was performed 10000 times using D , which
296 created another agreement matrix, D' . Fifth, steps 2 through 4 were repeated until the consensus
297 matrix exhibited a block-diagonal structure in which all edge weights equaled one for node
298 pairs in the same community and zero otherwise. We initially constructed the agreement matrix
299 with 10000 iterations of the HIS matrix. Then, 10000 partitions were provided as the functional
300 input for steps 2 through 4, and these processes were repeated until convergence was achieved.
301 By this consensus partitioning procedure, we achieve optimal partitioning and obtain the
302 modularity index Q of this optimal partition. To test whether the HIN is modularized, we
303 constructed 100 random networks with preservation of the weight distribution and then applied
304 the same consensus partition procedure on these random networks. As a result, we obtained
305 100 Q values of each random network, which constituted a surrogate distribution of the Q .
306 Then, we tested the location of the Q value of the HIN in this surrogate distribution.

307 **Properties of each module of the HIN**

308 After partitioning the HIN, we identified the properties of each module of the HIN. Specifically,
309 we extracted the time course of within-module synchronization for each module, which was
310 defined as the sum of the edge weights within each module at every time point, and then
311 determined which node was the 'connector hub' of the modules. These connector hubs connect

312 modules and enable effective interactions between modules and are defined by graph-
313 theoretical measurements called the within-module degree z-score (Guimera 2005) and the
314 participation coefficient (Guimera 2005). The within-module degree z-score quantifies the
315 normalized within-module strength, while the participation coefficient quantifies a node's
316 participation in each module. Using within-module degree z-scores and participation
317 coefficients, we defined the role of every node according to the z-P classification (Guimera &
318 Amaral, 2005). In particular, the connector hub is a node with many connections within the
319 module to which the node belongs and also forms many connections with nodes of other
320 modules; thus, the connector hub efficiently connects nodes within one module to nodes of
321 other modules (Fornito et al., 2016). In our study, the connector hub was defined as a node with
322 a within-module degree z-score greater than 2.5 and a participation coefficient (P) greater than
323 0.3 (Fornito et al., 2016; Guimera & Amaral, 2005).

324 **Identification of between-module interactions using graph-theoretical analysis**

325 We next investigated synchronization patterns between modules. Between-module
326 synchronization of sC_2 pairs of modules was computed by the sum of the edge weights between
327 each module, which resulted in a 5 x 5 between-module synchronization matrix. By applying
328 graph-theoretical analysis to this between-module synchronization matrix, we identified which
329 module plays a central role within the HIN using module-level nodal strength and betweenness
330 centrality.

331 **The relationship between emotional status and the HIN**

332 To identify relationships between the HIN and participants' emotional states, we used the
333 emotional statuses included in the HCP data. The HCP data included a measure for six negative
334 emotional affective states, including anger-affect, anger-hostility, anger-aggression, fear-affect,
335 fear-somatic, and sadness, and positive affect surveys were retrieved from the NIH Toolbox

336 (Gershon et al., 2010). To reduce dimensions, we performed a principal component analysis of
337 seven survey scores and extracted the first principal component (PC) reflecting the moods of
338 the participants. Then, we fit a stepwise linear regression model in which the first PC was a
339 dependent variable to the within-module and between-module synchronizations of the five HIN
340 modules.

341 **Results**

342 **Theta-phase synchronization between cortical regions increased after the heartbeat,** 343 **confirming the existence of the HIN**

344 The NBS analysis showed a network displaying a significant increase in phase synchronization
345 in the induced period compared to that in the baseline period (network-level FWE-corrected p
346 < 0.001), revealing the existence of the HIN. The density of the network was 9.2%, indicating
347 that among the total of $_{195}C_2$ pairs of regions, 9.2% of the region pairs showed significantly
348 increased phase synchronization after the heartbeat. Additionally, no HIN formed in the alpha-
349 or beta-frequency bands.

350 **No significant change in phase synchronization occurred between ECG signals and** 351 **cortical regions**

352 The paired t-tests (wPLI-Ds for ECG signals and cortical regions) comparing responses
353 between the induced period (200-600 ms after the R-peak) and the baseline period did not
354 reveal a significant increase or decrease in wPLI-Ds between ECG signals and cortical regions
355 in the theta band (the minimum p-value among the 195 cortical regions was $p = 0.104$ (false
356 discovery rate (FDR)-corrected) with $t(86) = 2.97$ in the 'Right Postcentral Gyrus A2', Figure
357 1a). If the electromagnetic field generated by cardiac contractile activity induced artificially
358 increased phase synchronization between regions in the HIN compared with the baseline period,

359 then the ECG signal originating from the same electromagnetic field should show increased
360 phase synchronization with cortical regions within the HIN. However, phase synchronization
361 between cortical regions and ECG signals did not change in the induced period compared to
362 baseline, while phase synchronization between the regions in the HIN increased in the induced
363 period, indicating that the increased theta-phase synchronization between cortical regions in
364 the HIN was not caused by CFAs (Figure 1a). Furthermore, the HIN was not likely caused by
365 a pulse artifact (PA), which occurs when sensors are influenced (moved) by vascular pulsation.
366 In our study, we used MEG data, and MEG sensors do not directly contact the subject; thus, a
367 vessel cannot induce pulsatile movement of the sensors to cause a PA. To our knowledge, no
368 previous studies have reported a PA in MEG recordings. Furthermore, according to a previous
369 HER study using electrocorticography (ECoG) (Kern, Aertsen, Schulze-Bonhage, & Ball,
370 2013), if PA-induced artificial synchrony occurs between ECoG electrodes, then the ECG and
371 ECoG electrodes likely display high phase synchronization (Kern et al., 2013), which was not
372 observed in our results. By summarizing these results, the HIN that we identified in the theta
373 frequency band was not caused by an artificial increase in phase synchronization induced by
374 CFAs or a PA. While the theta-phase synchronization between ECG signals and cortical regions
375 was not increased compared to baseline, a CFA-induced increase in phase synchronization
376 compared with baseline may exist in lower frequency bands, such as the delta band (0.5-4 Hz),
377 because cardiac contractile activity typically occurs at a rate of 60-100 beats per minute (BPM),
378 which corresponds to a frequency of 1-1.67 Hz belonging to the delta band. Similarly, in our
379 data, the subjects displayed a maximum heart rate of 88.84 BPM (~ 1.48 Hz); therefore, the
380 CFAs or PA induced by pulsation may have increased artificial synchronization in the delta
381 band.

382 **The HIN is not composed of artificially increased synchronization induced by evoked**
383 **responses**

384 We compared the synchronization within the HIN in 20 surrogate datasets and in the real data.
385 The results showed that the heartbeat-induced synchronization within the HIN in the real data
386 was significantly stronger than that in all 20 surrogate datasets (Monte-Carlo $p < 0.05$, Figure
387 1b, C, Figure 1-1), indicating that the synchronization within the HIN could not be explained
388 by artificial synchronization caused by evoked responses in distributed regions. Notably, if the
389 HIN is composed of evoked responses in distributed regions, the existence of only a small
390 proportion of edges among all possible edges (9.2%) within HIN regions is unlikely.

391 **Network properties of the HIN**

392 Within the HIN, left inferior temporal regions including the temporal pole and
393 parahippocampal gyrus had high strength and betweenness centrality (Figure 2a, Table 1).
394 Figure 2a shows that the connections between HIN regions are centered at the polar part of an
395 inferior temporal region including the inferior temporal gyrus and parahippocampal gyrus.
396 Specifically, 'Left Inferior Temporal Gyrus A20il' had the highest betweenness centrality and
397 strength among the regions (Table 1), suggesting its importance as a hub of the HIN. In addition
398 to these regions, orbitofrontal regions also had a high degree and betweenness centrality.
399 Notably, the HIN was left-dominant such that the heartbeat-induced synchronization between
400 regions was substantially stronger within left hemispheric regions than that within right
401 hemispheric regions ($t(84) = 5.22$, $p < 0.001$ in a paired t-test comparing induced
402 synchronization between the right and left hemispheres, Figure 2a). Finally, the time course of
403 the synchronization within the HIN showed that the degree of synchronization increases from
404 baseline and was maximal at approximately 300 ms after the R-peak (Figure 1c).

405 **The HIN is a modularized network with five subnetworks, and module 1 plays a central** 406 **role within the HIN**

407 Based on the consensus partitioning results, the HIN was partitioned into five modules (Figure

408 2a, Figure 2b). Using the consensus partitioning result for the real data and the consensus
409 partitioning of the random network, we tested whether the HIN is modularized. We found that
410 the HIN had a significantly greater modularity index than the random network (Monte-Carlo
411 < 0.01), indicating that the HIN is modularized rather than one homogeneous network.
412 Importantly, among the five modules, the synchronization within module 1 was the strongest
413 (Figure 2a, Figure 3a). This module contained most of the polar part of the left inferior temporal
414 gyrus and parahippocampal gyrus (Figure 2b). Specifically, 'Left Inferior Temporal Gyrus
415 A20il', which had the highest betweenness centrality and strength, was also contained in this
416 module. Furthermore, graph-theoretical analysis of the between-module synchronization
417 matrix showed that module 1 was the center of an interaction between the HIN modules with
418 the highest strength (1.29) and betweenness centrality (2) among the modules (Figure 3b). The
419 posteromedial regions including the middle cingulate cortex, supplementary motor area,
420 posterior cingulate and precuneal regions were also contained in this module (Figure 2b).
421 Module 2 had the second strongest within-module synchronization and contained the bilateral
422 ventromedial/orbital frontal regions (Figure 2a, Figure 3a). Finally, seven hubs connected each
423 module, most of which were located in the temporal polar regions and orbitofrontal regions.

424 **The relationship between affective status and synchronization within the HIN**

425 The first PC explained 47% of the variance of the emotion survey data and had positive loading
426 on the positive affect score and negative loading on the other negative affect scores. Therefore,
427 we surmised that this PC reflected the moods of the participants. Stepwise linear regression
428 analysis resulted in a model that only contained the within-module synchronization of module
429 1 in the HIN, which explains approximately 10% of the variance of the mood data (model F (1,
430 83) = 8.95, $p = 0.004$, $R^2 = 0.10$, beta of the within-module synchronization of module 1 = 0.57,
431 $t = 3.00$, $p = 0.004$, Figure 4), indicating that an individual with higher synchronization within

432 module 1 has a more positive mood or is less likely to experience a negative mood. Notably,
433 the relationship between these two variables was also significant when we applied a robust
434 regression or non-parametric correlation to reduce the effect of outlier points (all $p < 0.05$).

435 **Discussion**

436 In the resting state, our brain receives cardiac afferent signals, and previous studies have shown
437 regional modulation of HERs. As shown in the present study, we found that the heartbeat
438 induces theta-phase synchronization of cortical regions, thus generating a network that we
439 called the HIN, which did not reflect artificially-induced synchronization. This HIN was not
440 present within other frequency bands, including alpha and beta bands. The synchronization
441 within the HIN was maximal at approximately 300 ms after the R-peak, and the left inferior
442 temporal gyrus and parahippocampal gyrus played a central role as hubs. Furthermore, the HIN
443 was a modularized network with five modules. Module 1 included major hubs of the HIN and
444 played a central role in interactions between modules of the HIN. Finally, we found that the
445 stronger synchronization within module 1 of the HIN explained 10% of the variance in mood
446 and had a positive association with mood.

447 In this study, we first showed that the heartbeat increases “true” induced synchronization
448 between cortical regions by controlling several factors that can cause artificial synchronization.
449 By using the wPLI-D as a synchronization metric, we controlled the effect of zero-phase
450 synchronization caused by volume conduction (Cohen, 2014). Furthermore, we controlled for
451 the possibility of artificially increased synchronization induced by CFAs from cardiac
452 contractile activity or a PA by analyzing the increase in ECG-cortical region phase
453 synchronization. However, because an artifact-induced increase in phase synchronization
454 compared with synchronization at baseline may exist in a lower frequency band, such as the
455 delta band, we suggest that for MEG studies, an investigation of the HIN in the frequency

456 ranges covering the theta and higher frequency bands would be more reliable because these
457 bands are unlikely to be influenced by CFAs or a PA, while an investigation of the HIN in the
458 delta band and lower frequency bands would be less reliable because artifacts and the HIN
459 would be difficult to discriminate in these frequency bands. Lastly, we controlled for large-
460 scale synchronization within the HIN that may occur artificially due to evoked responses in
461 cortical regions. We compared the HIN of real data with that of surrogate data whose non-
462 heartbeat-locked phase relationships were eliminated while evoked components were
463 preserved (Hirvonen et al., 2018) and found that the phase synchronization within the HIN
464 could not be explained by artificial synchronization caused by evoked responses. These results
465 from the control analyses consistently suggest the existence of the HIN, which is likely
466 composed of truly increased neural-phase synchronization induced by the heartbeat.

467 Several studies have investigated brain regions or networks related to cardiac activity. Chang
468 and colleagues investigated a resting-state brain network that fluctuated with HRV using the
469 dorsal anterior cingulate cortex and amygdala as seed regions (Chang et al., 2013). In the recent
470 reviews of Azzalini and colleagues (Azzalini, Rebollo, & Tallon-Baudry, 2019), authors
471 mentioned that because HRV was reported to be largely driven by the brain, the HRV-associated
472 resting-state brain networks are likely to be associated with descending influences from the
473 brain to heart (Azzalini et al., 2019). Another recent fMRI study showed regions associated
474 with a low-frequency peripheral pulse fluctuation called an autonomic network (Shokri-Kojori,
475 Tomasi, & Volkow, 2018). Based on these studies showing cortical regions or networks related
476 to cardiac activity-related measures, we showed ascending cardiac afferent signal-induced
477 phase synchronization between cortical regions in this study. Furthermore, we quantified the
478 interaction pattern between these regions in the network using graph-theoretical measures,
479 which have not been investigated in previous studies.

480 In the analysis of the properties of the HIN, synchronization within the HIN was maximal at
481 approximately 300 ms after the R-peak, which is the time after the heartbeat enters the CNS
482 (Eckberg & Sleight, 1992). Most of the hubs of the HIN with high strength and betweenness
483 centrality were concentrated around the left inferior temporal gyrus and the parahippocampal
484 gyrus. In particular, the parahippocampal gyrus has been reported to be related to the cardiac
485 cycle duration (Kim et al., 2019) and to be part of an autonomic network (Shokri-Kojori et al.,
486 2018). Additionally, the bilateral orbitofrontal region also had high strength and betweenness
487 centrality, which is a visceromotor region that sends motor signals to the viscera (Kleckner et
488 al., 2017). Notably, unlike previous theories emphasizing the role of the insula in processing
489 interoceptive signals, in our study, the insula did not serve as a hub (Craig, 2009). However,
490 some recent studies of heartbeat-evoked responses showed that the insula is not always the
491 most important structure for heartbeat processing, but its importance varies according to the
492 task or situation that one is engaged in (Babo-Rebelo, Richter, et al., 2016; Tsakiris & De
493 Preester, 2018).

494 Next, we found that the HIN was a modularized network with greater modularity than random
495 networks. The HIN was divided into 5 modules, and module 1 was the center of interactions
496 between these five modules with high module-level betweenness centrality and strength,
497 indicating that module 1 is responsible for a large portion of the interactions between modules
498 of the HIN. Module 1 contained left inferior temporal regions including the parahippocampal
499 gyrus and posteromedial regions. Interestingly, an autonomic network identified in a previous
500 fMRI study was composed of regions showing stronger interactions with low-frequency
501 peripheral pulse amplitude fluctuations (occurring at approximately 0.01~0.09 Hz) than with
502 other brain regions (Shokri-Kojori et al., 2018), and the network had some topological overlap
503 with module 1 such that it also contained a large portion of posteromedial regions and the
504 parahippocampal gyrus. Notably, this previous study also used an HCP dataset (fMRI and

505 behavior data, 18 participants in the previous study were also included in our study) as in our
506 study. Furthermore, while module 1 showed a significant relationship with the mood score, the
507 autonomic network also showed a significant relationship with the emotion PC extracted from
508 the HCP behavioral data of emotion (which is slightly different from our study because the
509 authors not only extracted an emotion PC from the score related to mood but also included
510 other measures such as an emotion recognition score) (Shokri-Kojori et al., 2018). The
511 topological overlap between module 1 and the autonomic network, which is also a cardiac
512 activity-related network, and their similar relationships with emotion may suggest that they are
513 the same or a similar kind of network induced by the heartbeat even though they were measured
514 by different modalities and methods, with the autonomic network emphasizing a peripheral
515 pulse-cortical region relationship and module 1 of the HIN focusing on heartbeat-induced
516 interactions between cortical regions. However, the regions included in each network were not
517 entirely the same; therefore, we cannot determine whether the automatic network and module
518 1 are the same in this study. However, we can conclude that a strong relationship exists between
519 the regions included in both networks and heartbeat processing and also between heartbeat-
520 related processing within these regions and the emotional state of an individual.

521 A limitation of our study is that although interoceptive processing typically includes subcortical
522 regions, such as the amygdala (Kleckner et al., 2017), we used only cortical regions to construct
523 the HIN. The HIN including subcortical regions may show different properties than those
524 reported in this study. Therefore, future studies on HINs including subcortical regions using
525 deep source imaging MEG techniques are needed.

526 In conclusion, we first showed the existence of heartbeat-induced network interactions with
527 hubs in inferior temporal regions. The HIN was modularized and contained 5 modules, with
528 module 1 as the center of module interactions. The synchronization within module 1 of the HIN

529 had a positive association with the mood scores of the participants. Considering recent theories
 530 on abnormal interoceptive processing in mood disorder patients (Paulus & Stein, 2010),
 531 investigating the HIN within such patients may also improve our understanding of the
 532 corresponding pathophysiology.

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650

651 **Table Legends**

652 **Table 1. High-strength nodes of the HIN.** Five regions having high-strength are reported here
653 with their betweenness centrality and modules they belong to. Full list of regions and their
654 network characteristics are provided in the Table 1-1 (Table 1-1).

655 **Figure Legends**

656 **Figure 1. Results of the control analysis. a. The time course of synchronization between**
657 **the ECG signal and HIN regions.** We plotted the wPLI-D in the theta band between the ECG
658 signal and HIN regions for all HIN regions (thin colored lines). In addition, the averaged
659 synchronization time course was also plotted (thick black line). These time courses show
660 similar levels of synchronization between the baseline and induced periods. **b. Comparison of**
661 **the synchronization within the HIN between real and surrogate data in the induced period.**
662 We generated 20 surrogate datasets without an induced component of synchronization and
663 compared synchronization within the HIN between real (yellow bar with the label 'R') and
664 surrogate data (blue bar). This figure shows that the synchronization within the real data is
665 stronger than the synchronization in all 20 surrogate datasets in the induced period, indicating
666 that the synchronization within the HIN cannot be explained by artificial synchronization
667 caused by evoked responses (Figure 1-1). **c. The time courses of synchronization within the**
668 **HIN for real and surrogate data.** This figure shows that the synchronization within the real

669 data is stronger than the mean synchronization in the surrogate datasets in the induced period
670 (Figure 1-1). Note that in Figure 1a and 1B, baseline subtraction was performed (-300 ~ -100
671 ms at the R-peak).

672 **Figure 2. Structures of the HIN. a. Synchronization patterns within the HIN.** The figure
673 shows that the synchronization within the HIN is concentrated in the left inferior temporal
674 region (white dashed circles), particularly in the polar part and the parahippocampal gyrus,
675 which are hubs of the HIN. Furthermore, these regions were contained in module 1. **b. Spatial**
676 **pattern of each module of the HIN.** In this spatial map of each module, module 1 contained
677 most of the polar part of the left inferior temporal regions and the parahippocampal gyrus. The
678 posteromedial part of the bilateral hemispheres was also contained in this module. Module 2
679 contained the ventromedial and orbitofrontal cortices, which are also hubs of the HIN.

680 **Figure 3. Within- and between-module synchronization in the HIN. a. Within-module**
681 **synchronization in the HIN.** Within-module synchronization was strongest in module 1,
682 followed by that in module 2. **b. Between-module synchronization pattern of the HIN.** The
683 between-module synchronization pattern graph shows that module 1 is the center of interaction
684 between modules such that it has strong connections with other modules, which were quantified
685 by the strength of this node.

686 **Figure 4. The relationship between mood and the within-module synchronization of**
687 **module 1.** Stepwise linear regression showed that the within-module synchronization of
688 module 1 has a positive association with the moods of the participants.

689

690

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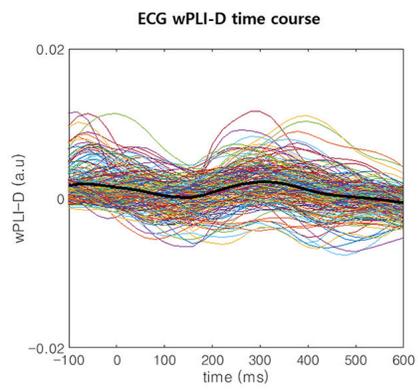
692 **Figure 1-1. wPLI-D in the induced and baseline period for real and surrogate data.** This
693 figure shows the wPLI-D in the induced (blue) and baseline (orange) period separately. ‘R’
694 represents the wPLI-D of the real data and others represents wPLI-D of 20 surrogate data. One
695 can notice that the synchronization within the HIN is much stronger in the real data for both
696 induced and baseline period. Note that, an increase of induced synchronization was also
697 strongest in the real data compared to the surrogate data (Figure 1b).

698

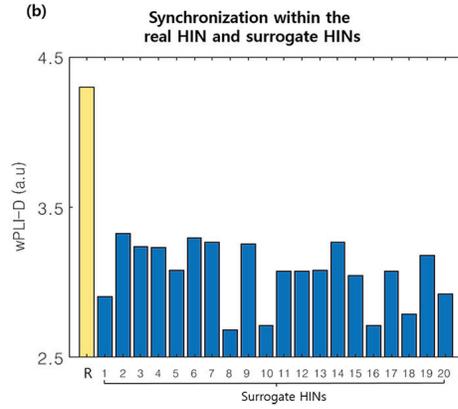
699 **Table 1-1. List of 195 cortical regions used in the analysis and the graph theoretical**
700 **properties of each region.**

701

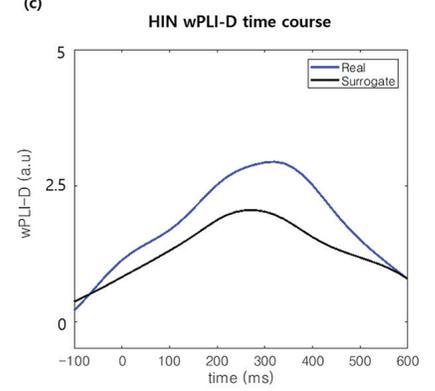
(a)



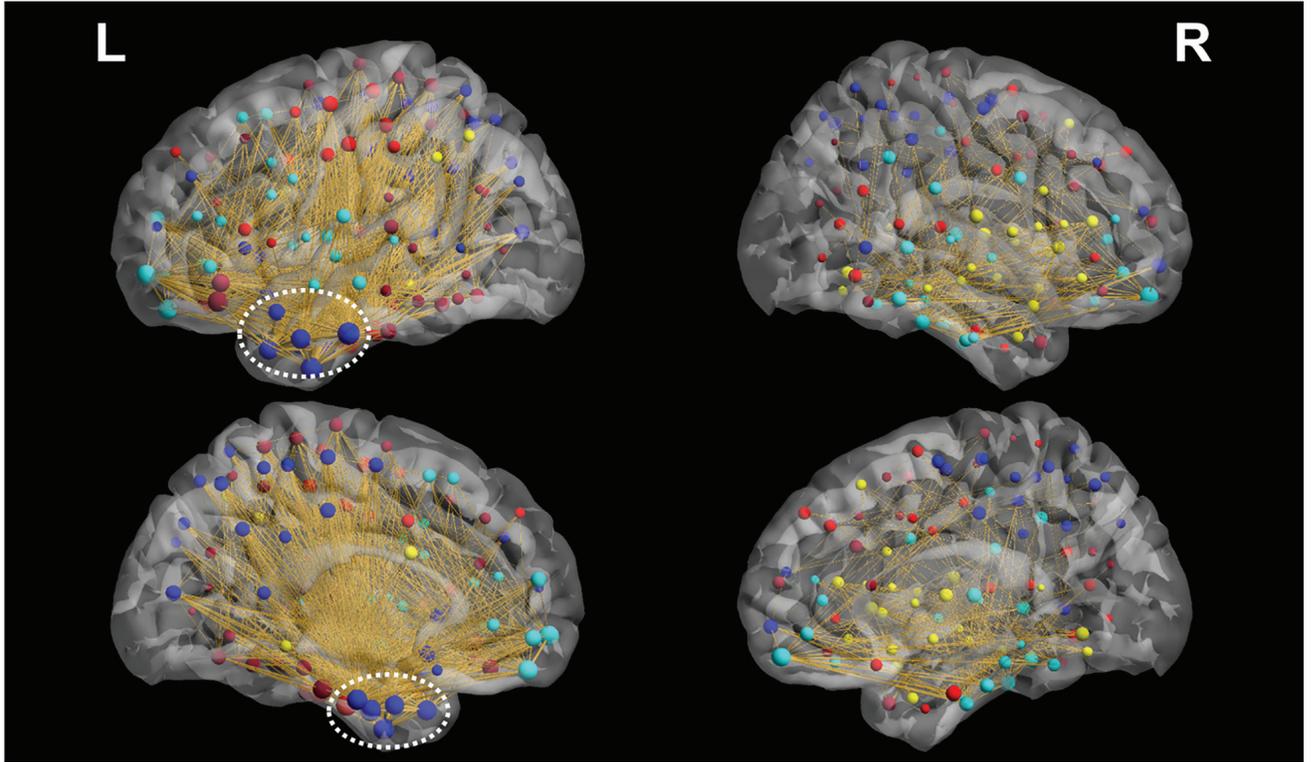
(b)



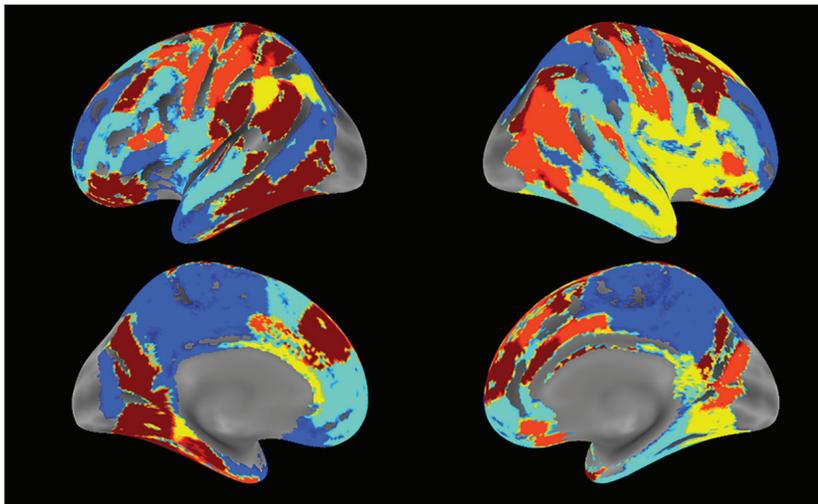
(c)



(a)

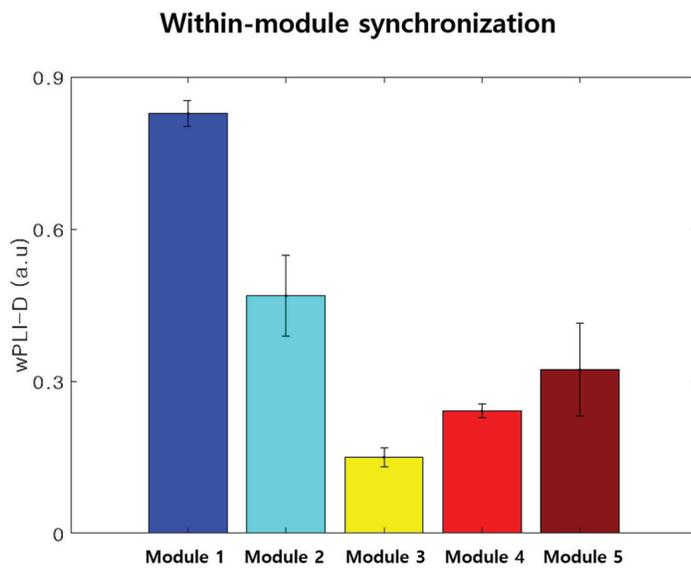


(b)

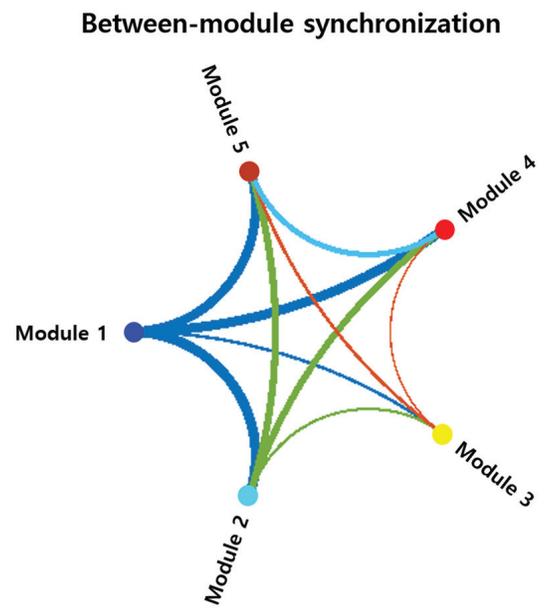


- Module 1
- Module 2
- Module 3
- Module 4
- Module 5

(a)



(b)



Relationship between mood and the within-module synchronization of module 1

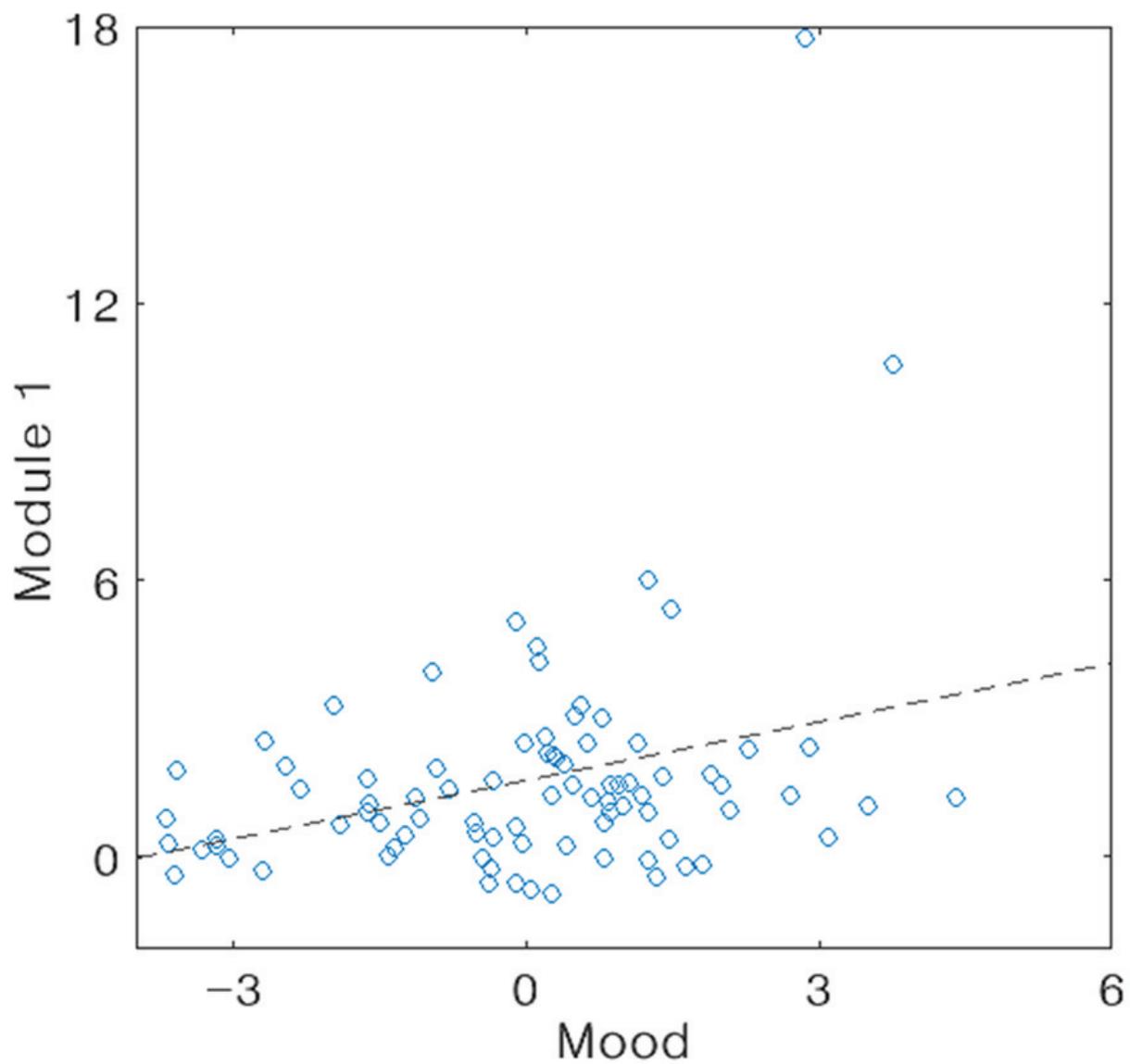


Table 1. High-strength nodes of the HIN.

Region name	BC[†]	Strength	Module
Left Inferior Temporal Gyrus A20il, intermediate lateral area 20	8661	30.1	1
Left Parahippocampal Gyrus A35/36r, rostral area 35/36	4775	25.9	1
Left Inferior Temporal Gyrus A20iv, intermediate ventral area 20	2781	25.4	4
Left Inferior Temporal Gyrus A20r, rostral area 20	4069	24.8	1
Left Fusiform Gyrus A20rv, rostroventral area 20	1556	24.3	4

[†]BC: Betweenness centrality