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## New insights from 22-kHz ultrasonic vocalizations to characterize fear responses: relationship with respiration and brain oscillatory dynamics

Maryne Dupin<sup>1</sup>, Samuel Garcia<sup>1</sup>, Julie Boulanger-Bertolus<sup>1</sup>, Nathalie Buonviso<sup>1</sup> and Anne-Marie Mouly<sup>1</sup>

<sup>1</sup>Lyon Neuroscience Research Center, INSERM U1028; CNRS UMR5292; University Lyon1, 69366 Lyon, France

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**Correspondence should be addressed to** Maryne Dupin at [maryne.dupin@inserm.fr](mailto:maryne.dupin@inserm.fr) or Anne-Marie Mouly at [annemarie.mouly@cnrs.fr](mailto:annemarie.mouly@cnrs.fr)

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Maryne Dupin, Samuel Garcia, Julie Boulanger-Bertolus, Nathalie Buonviso and Anne-Marie  
Mouly

6    Lyon Neuroscience Research Center, INSERM U1028; CNRS UMR5292; University Lyon1,  
7    69366 Lyon, France

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9    MD and AMM Designed Research; MD and AMM Performed research; MD, SG, JBB, NB and  
10    AMM Analyzed data; MD, NB and AMM wrote the paper.

11    **5. Correspondence should be addressed to (include email address)**

12    Maryne Dupin, Lyon Neuroscience Research Center, INSERM U1028; CNRS UMR5292;  
13    University Lyon1, 69366 Lyon, France. Email address: [maryne.dupin@inserm.fr](mailto:maryne.dupin@inserm.fr)

14    Anne-Marie Mouly, Lyon Neuroscience Research Center, INSERM U1028; CNRS UMR5292;  
15    University Lyon1, 69366 Lyon, France. Email address: [annemarie.mouly@cnrs.fr](mailto:annemarie.mouly@cnrs.fr)

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28 Julie Boulanger-Bertolus present address: Center for Consciousness Science, Department  
29 of Anesthesiology, University of Michigan, Ann Arbor, MI, United States.

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36

37

38 **Abstract**

39 Fear behavior depends on interactions between the medial prefrontal cortex (mPFC) and the  
40 basolateral amygdala (BLA), and the expression of fear involves synchronized activity in theta  
41 and gamma oscillatory activities. In addition, freezing, the most classical measure of fear  
42 response in rodents, temporally coincides with the development of sustained 4-Hz  
43 oscillations in prefrontal–amygdala circuits. Interestingly, these oscillations were recently  
44 shown to depend on the animal’s respiratory rhythm, supporting the growing body of  
45 evidence pinpointing the influence of nasal breathing on brain rhythms. During fearful  
46 states, rats also emit 22-kHz ultrasonic vocalizations (USV) which drastically affect  
47 respiratory rhythm. However, the relationship between 22-kHz USV, respiration and brain  
48 oscillatory activities is still unknown. Yet such information is crucial for a comprehensive  
49 understanding of how the different components of fear response collectively modulate rat’s  
50 brain neural dynamics. Here we trained male rats in an odor fear conditioning task, while  
51 recording simultaneously local field potentials in BLA, mPFC and olfactory piriform cortex,  
52 together with USV calls and respiration. We show that USV calls coincide with an increase in  
53 delta and gamma power and a decrease in theta power. In addition, during USV emission in  
54 contrast to silent freezing, there is no coupling between respiratory rate and delta  
55 frequency, and the modulation of fast oscillations amplitude relative to the phase of  
56 respiration is modified. We propose that sequences of USV calls could result in a differential  
57 gating of information within the network of structures sustaining fear behavior, thus  
58 potentially modulating fear expression/memory.

59

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61

62 **Significance Statement**

63 While freezing is the most frequently used measure of fear, it is only one amongst the  
64 different components of rodents' response to threatening events. USV is another index that  
65 gives additional insight into the socioemotional status of an individual. Our study is the first  
66 to describe the effects of USV production on rat's brain oscillatory activities in the fear  
67 neural network, and to relate some of them to changes in nasal breathing. A better  
68 knowledge of the impact of social vocalizations on brain neural dynamics is not only  
69 important for understanding the respective weight of the different components of fear  
70 response, but is also particularly relevant for rodent models of human neuropsychiatric  
71 disorders, for which socio-affective communication is severely impaired.

## 72 Introduction

73 Fear behavior has been shown to depend on the interaction between the median prefrontal  
74 cortex (mPFC) and the basolateral amygdala (BLA), and to involve synchronized activity in  
75 theta (4–12 Hz) and gamma (30–120 Hz) frequency oscillations (Seidenbecher et al, 2003;  
76 Popa et al, 2010; Headley and Paré, 2013; Herry and Johansen, 2014; Likhtik et al, 2014;  
77 Stujenske et al, 2014; Bocchio et al, 2017). In addition, recent studies have shown that  
78 freezing, the most used index of fear response in rodents, temporally coincides with the  
79 development of sustained 4-Hz oscillations causally involved in the synchronization of  
80 spiking activity between prelimbic mPFC and amygdala (Dejean et al, 2016; Karalis et al,  
81 2016). Importantly, this slow oscillation is distinct from the theta rhythm and predicts the  
82 onset and offset of freezing. Interestingly, recent work has shown that freezing-related 4Hz  
83 oscillation in the prelimbic mPFC was correlated with the animal's respiratory rate, and that  
84 disruption of olfactory inputs to the mPFC significantly reduces the 4-Hz oscillation in this  
85 structure (Moberly et al, 2018). These data bring further support to the growing body of  
86 evidence showing that in addition to its impact on olfactory regions (for a review see  
87 Buonviso et al, 2006), nasal respiration also entrains oscillations in widespread brain regions  
88 including those involved in the fear network like the mPFC and amygdala (for a review see  
89 Tort et al, 2018). This suggests that the breathing rhythm, akin to slow oscillatory rhythms,  
90 could help coordinate neural activity across distant brain regions (Jensen and Colgin, 2007;  
91 Heck et al, 2017), and potentially modulate emotional/cognitive processes.

92 Freezing is only one amongst the different components of rodents' response to a  
93 threatening event. In aversive situations, such as exposure to predator or footshock, rats  
94 also emit 22-kHz ultrasonic vocalizations (USV) (Schwartz and Wöhr, 2012). They indicate a  
95 negative emotional state and are associated with the termination of social behavior and the

96 avoidance of social contacts. Their study provides a powerful tool to assess emotionality and  
97 social behavior in animal models of pathologies like autism (Wöhr and Scattoni, 2013).  
98 Surprisingly, to our knowledge no study has assessed the impact of USV production on the  
99 animal's brain neural dynamics. Yet such information is crucial to understand how the  
100 different components of fear response collectively modulate rat's brain neural dynamics.  
101 Indeed the emission of USV is considered as reflecting a change in emotional level and 22-  
102 kHz USV rates increase with the aversiveness of the situation, as evidenced when foot shock  
103 intensity is increased (Wöhr et al. 2005; Hegoburu et al, 2011). Importantly, 22-kHz USV  
104 emission drastically slows down the animal's respiratory rate (Fryszak and Neafsey, 1991;  
105 Hegoburu et al, 2011; Sirotin et al, 2014; Boulanger-Bertolus et al, 2017), potentially  
106 disrupting the respiratory-related brain rhythm described above. The present study thus  
107 aimed 1) to investigate whether USV emission coincides with specific changes in oscillatory  
108 activities in the fear neural network, and 2) to assess to what extent these changes are  
109 related to changes in respiratory rate.

110 To do so, rats were trained in an odor fear conditioning paradigm while local field potentials  
111 (LFP) in BLA, mPFC and olfactory piriform cortex (PIR) were monitored simultaneously with  
112 USV calls, behavior and respiration, during the post-shock period. BLA and mPFC were  
113 chosen for their well-known role in learned fear acquisition and expression (Corcoran and  
114 Quirk, 2007; LeDoux, 2000). The PIR was included as a recording site because it is involved in  
115 odor fear conditioning (Sevelinges et al, 2004; Hegoburu et al, 2009, 2014; Sacco and  
116 Sacchetti, 2010) and establishes direct connections with both the PFC (Clugnet and Price,  
117 1987) and the amygdala (McDonald, 1998). We report that USV emission temporally  
118 coincides with a significant increase in delta, beta and gamma activities while a decrease in  
119 theta activity is observed. In addition, we show that some of these changes co-occur with

120 USV-induced changes in respiration. The present data suggest that USV calls could result in a  
 121 differential gating of information within the fear neural network, thus potentially modulating  
 122 fear memory/expression.

123

## 124 **Material and Methods**

### 125 ***Animals***

126 Data were obtained from twenty-two male Long Evans rats (250-270g at their arrival, Janvier  
 127 Labs, France). They were housed individually at 23°C and maintained under a 12 h light–dark  
 128 cycle (lights on from 8:00 am to 8:00 pm). Food and water were available ad libitum. All  
 129 experiments and surgical procedures were conducted in strict accordance with the European  
 130 Community Council Directive of 22nd September 2010 (2010/63/UE) and the national ethics  
 131 committee (APAFIS#10606). Care was taken at all stages to minimize stress and discomfort  
 132 to the animals.

133

### 134 ***Surgery***

135 Animals were anesthetized with Equithesin, a mixture of chloral hydrate (127 mg/kg, i.p.)  
 136 and sodium pentobarbital (30 mg/kg, i.p.), and placed in a stereotaxic frame (Narishige,  
 137 Japan) in a flat skull position. The level of anesthesia was held constant with regular  
 138 injections of Equithesin throughout the experiment. Monopolar stainless steel recording  
 139 electrodes (100 µm in diameter) were then stereotaxically implanted in the left hemisphere  
 140 in the three brain areas: PIR (AP: -1.8, L: +5.5mm, DV: -8 mm), mPFC (AP: +3.0mm; L:  
 141 +0.8mm; DV: -3.5mm) and BLA (AP : -2.8mm, L : +4.9mm, DV : -7.5mm). Accurate positioning  
 142 in the PIR was achieved using the characteristic profiles of evoked field potential induced in  
 143 the PIR in response to electrical stimulation of the olfactory bulb (Haberly, 1973). For this, a



144 bipolar stimulation electrode (made of two 100- $\mu$ m stainless-steel wires with a tip  
145 separation of 500  $\mu$ m) was lowered transiently in the olfactory bulb to facilitate positioning  
146 in the PIR and withdrawn thereafter. A reference electrode was screwed in the skull above  
147 the right parietal lobe. The three recording electrodes were connected to a telemetry  
148 transmitter (rodentPACK system, EMKA Technologies, Paris, France) fixed to the rat's skull  
149 surface by dental acrylic cement and anchored with a surgical screw placed in the frontal  
150 bone. The animals were allowed to recover for two weeks following surgery.

151

### 152 ***Experimental apparatus***

153 The apparatus has been described in detail in a previous study (Hegoburu et al., 2011). It  
154 consisted of a whole body customized plethysmograph (diameter 20 cm, height 30 cm, Emka  
155 Technologies, France) placed in a sound-attenuating cage (L 60 cm, W 60 cm, H 70 cm, 56dB  
156 background noise). The plethysmograph was used to measure respiratory parameters in  
157 behaving animals. The ceiling of the plethysmograph was equipped with a tower allowing  
158 the introduction of a condenser ultrasound microphone (Avisoft-Bioacoustics CM16/COMPA,  
159 Berlin, Germany) to monitor USV emitted by the rats. The bottom of the animal chamber  
160 was equipped with a shock floor connected to a programmable Coulbourn shocker (Bilaney  
161 Consultants GmbH, Düsseldorf, Germany). Three Tygon tubing connected to a  
162 programmable custom olfactometer were inserted in the tower on the top of the  
163 plethysmograph to deliver air and odorants. Deodorized air flowed constantly through the  
164 cage (2 L/min). When programmed, an odor (McCormick Pure Peppermint; 2 L/min; 1:10  
165 peppermint vapor to air) was introduced smoothly in the air stream through the switching of  
166 a solenoid valve (Fluid automation systems, CH-1290 Versoix, Switzerland) thus minimizing  
167 its effect on change in pressure. The bottom of the animal chamber had a port connected to

168 a ventilation pump which could draw air out of the plethysmograph (at a rate of up to 2  
169 L/min) thus maintaining a constant airflow that did not interact with the animal's breathing  
170 pattern. Animal's behavior was monitored with two video cameras on the walls of the  
171 sound-attenuating cage.

172

### 173 ***Fear conditioning paradigm and data acquisition***

174 After the recovery period, the animals were handled individually and placed in the  
175 experimental apparatus for 30 min each day during 3 to 4 days before the beginning of the  
176 experiments in order to familiarize them with being manipulated and connected to the  
177 telemetry transmitter.

178 For the conditioning session, the telemetry transmitter was plugged on the animal's head  
179 and the rat was allowed free exploration during the first 4 min, then an odor was introduced  
180 into the cage for 20 or 30s sec, the last second of which overlapped with the delivery of a  
181 0.4mA foot-shock. The animal received 10 odor-shock trials, with an intertrial interval of 4  
182 min. After the last pairing, the transmitter was unplugged and the animal returned to its  
183 home cage.

184

### 185 ***Retention test***

186 The conditioned fear response was assessed during a retention test carried out 48h after  
187 conditioning. For the retention test, the rat was placed in the experimental cage (equipped  
188 with new visual cues and with a plastic floor to avoid contextual fear expression) and  
189 allowed a 4-min odor-free period. The CS odor was then presented five times for 20s with a  
190 4-min intertrial interval. The animal's freezing response was quantified during each 20s-odor  
191 presentation and averaged across the 5 trials.

192

193

194 ***Data acquisition and preprocessing***

195 For USV recording, the ultrasound microphone was connected to a recording interface  
196 (UltraSoundGate 116 Hb, Avisoft-Bioacoustics) with the following settings: sampling rate =  
197 214285 Hz; format = 16 bit (Wohr et al., 2005). Recordings were transferred to Avisoft  
198 SASLab Pro (version 4.2, Avisoft Bioacoustics, Berlin, Germany) and a Fast Fourier Transform  
199 (FFT) was conducted. Spectrograms were generated with an FFT-length of 512 points and a  
200 time window overlap of 87.5% (100% Frame, FlatTop window). These parameters produced  
201 a spectrogram at a frequency resolution of 419 Hz and a time resolution of 0.29 ms. The  
202 acoustic signal detection was provided by an automatic whistle tracking algorithm with a  
203 threshold of -20 dB, a minimum duration of 0.01 s and a hold time of 0.02 s. However, the  
204 accuracy of detection was verified trial by trial by an experienced user. The main parameters  
205 used in the present study were extracted using Avisoft SASLab Pro and concerned the  
206 duration as well as the peak amplitude and peak frequency of USV calls. No band pass filter  
207 has been applied during USV recording. Although a few 50-kHz USV were observed following  
208 shock delivery, in the present study we focused on 22-kHz USV.

209 The respiratory signal collected from the plethysmograph was amplified and sent to an  
210 acquisition card (MC-1608FS, Measurement Computing, USA; Sampling rate = 1000 Hz) for  
211 storage and offline analysis. The detection of the respiratory cycles was achieved using an  
212 algorithm described in a previous study (Roux et al., 2006). This algorithm performs two  
213 main operations: signal smoothing for noise reduction, and detection of zero-crossing points  
214 to define accurately the inspiration and expiration phase starting points. Momentary

215 respiratory frequency was determined as the inverse of the respiratory cycle (inspiration  
216 plus expiration) duration.

217 The video signals collected through the two cameras were transmitted to a video acquisition  
218 card and a homemade acquisition software. Offline, freezing behavior defined as the  
219 absence of any visible movement except that due to breathing (Blanchard and Blanchard,  
220 1969), was automatically detected using a Labview homemade software and further verified  
221 by an experimenter. For this, on each video recording, two successive images were  
222 subtracted and the resulting image was binarized using a grey level threshold. The pixels  
223 below this threshold were encoded in black and those above the threshold in white. The  
224 absence of white pixels on the image resulted in the scoring of Freezing state. Then the same  
225 analysis was carried out for the next images of the video recording. The animal's freezing  
226 behavior was thus analyzed with a 40ms (two consecutive images) time bin. As a final step,  
227 in accordance with classical encoding of freezing behavior in the literature, only freezing  
228 episodes lasting longer than 1s were considered as stable freezing behavior. Behaviors other  
229 than freezing were manually checked and classified. Escape attempts was scored when the  
230 animal exhibited wall climbing, running or saccadic head movements. Although the latter do  
231 not involve directed locomotion due to the small size of the plethysmograph, they are clearly  
232 different from active exploratory behavior. They might have been induced by the small size  
233 of our cage and the absence of any escape route.

234 Local field potentials (LFP) were collected by telemetry via a three-channel wireless  
235 miniature transmitter (<5.2g, RodentPack EMKA Technology). LFP signals were amplified  
236 (x1000), filtered (between 0.1 and 100 Hz), digitized (sampling frequency: 1000 Hz) and  
237 stored on a computer for offline analysis.

238

## 239 **Data analysis**

### 240 *Data selection and experimental categories*

241 Since the aim of the study was to assess the relationship between USV emission, respiration  
242 and brain oscillatory activity, we focused our analysis on the 1-min period following shock  
243 delivery during which USV were numerous and loud. During this period, the animal's  
244 behavior was of two types: Freezing or Escape attempt. No other type of behavior (like  
245 grooming, exploration, quiet immobility...) was observed. We first noticed that while the  
246 majority of USV were emitted during freezing, a substantial amount of USV also occurred  
247 during Escape behavior. This led us to distinguish four types of experimental categories:  
248 Silent Freezing, USV Freezing, Silent Escape and USV Escape (Figure 1). For each category,  
249 only segments longer than 1s were considered for further analysis.

### 250 *LFP signals Spectral analysis*

251 The different data (respiration, USV, behavior, LFP signals) were synchronized offline via a  
252 TTL synchronization signal generated at the beginning of each experimental session. Once  
253 synchronized, the data were analyzed using custom-written scripts under Python.

254 The LFP signals were first individually inspected in order to eliminate artifacts due to signal  
255 saturation or transient signal loss. The selection was made for each recording site separately  
256 and proceeded as follows: when the duration of an artifact exceeded 5s over the 60s post-  
257 shock recording, the trial was excluded. When the number of excluded trials exceeded 5 (out  
258 of the 10 trials) then the recording site was excluded for this animal. This procedure led to  
259 the following number of animals per recording site for all the electrophysiological data : BLA,  
260 n=14; CPF, n=21; PIR, n=20. Because the average duration of individual USV calls was too  
261 short to allow proper oscillatory activity analysis (notably for the slow oscillations), we  
262 carried out the analysis on blocks of USV corresponding to successive USV with less than 1s

263 between each other (Figure 1). As soon as the interval between two USV exceeded 1s, then a  
 264 new block was defined. The periods between USV blocks are considered as Silent periods.

265 The power spectral density (PSD) of the LFP signals was calculated using the continuous  
 266 Morlet wavelet transform (Kronland-Martinet et al., 1987) instead of the classical windowed  
 267 Fourier transform. Indeed the continuous wavelet transform is less susceptible to non-  
 268 stationary events and offers a better time–frequency resolution. The Morlet wavelet  
 269 estimated the amplitude of the signal at each time and frequency bin. The obtained time  
 270 frequency map was then segmented in periods of interest with variable durations  
 271 (corresponding to the four above defined experimental categories) and averaged per  
 272 category. Four frequency bands were identified for the subsequent analyses: delta (0-5Hz),  
 273 theta (5-15Hz), beta (15-40Hz) and gamma (40-80Hz) and the mean power in the different  
 274 frequency bands was calculated. The values obtained for each recording site were averaged  
 275 across animals.

#### 276 *Covariation of LFP slow (delta and theta) oscillatory frequency and respiratory frequency*

277 To study frequency-frequency coupling between LFP signals and respiration, we did not use  
 278 classical coherence analysis because the respiratory signal is not always sinusoidal (especially  
 279 during USV calls, see Figure 5A). We therefore designed a homemade method allowing to  
 280 track instantaneous frequency synchrony. To do so, for each detected respiratory cycle, the  
 281 frequency was estimated as 1/cycle duration and the time course of the instantaneous  
 282 respiratory frequency was extracted. In parallel, the continuous Morlet scalogram for the  
 283 LFP signal was computed in our frequency band of interest (0-15Hz). At each time bin (4ms),  
 284 the local maximum in the instantaneous power spectrum was extracted together with the  
 285 corresponding instantaneous frequency of the LFP signal. The time course of the  
 286 predominant instantaneous frequency curve of the LFP was then extracted. From the two

times series obtained (instantaneous respiration frequency and predominant instantaneous LFP frequency), a 2D matrix histogram was built, with the respiratory frequency represented on the X-axis and the LFP frequency on Y-axis. This 2D histogram was normalized so that the total sum is 1, and point density was represented on a color scale ranging from blue to yellow as the point density increases. The existence of a coupling between respiration frequency and LFP frequency can be assumed when a high point density (ie yellow color) is observed along the diagonal of the 2D histogram (see Figure 6 for an illustration). Conversely, in the absence of coupling a non-correlated gaussian shape is observed. The two possibilities can co-occur on the same 2D histogram.

#### *Modulation of LFP beta and gamma power by respiratory cycle phase*

To investigate whether LFP beta and gamma amplitudes were modulated by respiration phase, we computed a so called “cycle-frequency scalogram” of the LFP signal (adapted from Roux et al, 2007). In analogy to a classical time-frequency map that computes the energy of LFP signal over time and frequency, we computed the energy of LFP signal over respiratory cycle duration and frequency. Briefly this method consists in three steps 1) Compute the continuous Morlet scalogram (time-frequency map) 2) Use detected respiratory cycle to segment this scalogram in 2 phases (inhalation, exhalation) 3) Stretch by linear interpolation each segment so that all the segments fit the same normalized template (range from 0 to 1, with a 0.025 bin). The result of this analysis is very similar to the classical time frequency scalogram except that it presents small time distortions locally that do not affect the instantaneous power. Since all the cycles were normalized to the same size, they were averaged together. The typical template of an individual respiratory cycle is defined as follows: inhalation from 0 to 0.4 and exhalation from 0.4 to 1. This ratio corresponds to the average value calculated over all cycles and animals. On the scalogram, LFP signal power for

311 the different frequency bands is represented using a color scale ranging from blue to yellow  
312 as the power increases (see Figure 7B for an illustration). The maximum power in the beta  
313 and gamma bands was also extracted and represented on a curve throughout the  
314 respiratory cycle.

315

### 316 ***Statistical analysis***

317 All analyses were performed with Systat 13.0® software. For each test, the significance level  
318 was set at  $p < 0.05$ .

319 USV parameters (Figure 2; Duration, peak amplitude, peak frequency) were compared  
320 between USV Freezing and USV Escape using paired t-tests.

321 Average LFP oscillatory activity parameters (Figure 3, 4; power, peak amplitude, frequency)  
322 are calculated in the different frequency bands: delta (0–5 Hz), theta (5-15 Hz), beta (15-40  
323 Hz) and gamma (40-80 Hz), and expressed as means  $\pm$  SEM across animals. A three-way  
324 (Structure, USV and Behavior) ANOVA for repeated measures was first applied to assess  
325 between structures differences in the four experimental categories defined above. Then, for  
326 each structures, a two-way (USV and Behavior) ANOVA for repeated measures was applied  
327 followed by post-hoc multiple comparisons.

328 The time course of beta and gamma activity power throughout the respiratory cycle (Figures  
329 8 and 9) was first compared using a three-way ANOVA (Behavior, USV and Respiratory cycle  
330 time), followed by a two-way ANOVA for repeated measures (USV and Respiratory cycle  
331 time) carried out separately for Freezing and Escape condition.



332

333 ***Histology***

334 At the end of the experiment, the animals were killed with a lethal dose of pentobarbital,  
 335 their brains were removed, postfixed, cryoprotected in sucrose (20%). The brains were then  
 336 sectioned (40 $\mu$ m coronal slices) for verification of electrodes tips by light microscopy. Areas  
 337 targeted by the electrodes in the three implanted brain regions have been reported on brain  
 338 atlas coronal sections (Figure 1-1).

339

340 **Results**341 **22-kHz USV are observed during both passive and active defense responses**

342 The 1-min period following shock delivery was analyzed for behavior, USV emission and  
 343 brain oscillatory activity. During this period, the animal's behavior was of two types: Freezing  
 344 or Escape attempts. While the majority of USV were emitted during freezing, a non-  
 345 negligible amount of USV also occurred during Escape. Figure 2A illustrates the repartition of  
 346 the four categories throughout the 1-min post-shock period. The animals spent 70.3% of the  
 347 time in Freezing vs 29.7% in Escape. While the animals spent similar amounts of time in  
 348 Silent Freezing compared to USV Freezing, they spent more time in Silent Escape than in  
 349 USV Escape. Figure 2B reports the mean behavior duration in the four conditions and the  
 350 mean USV rate during the 1-min post-shock period.

351 We then compared the characteristics of the USV emitted during Freezing versus Escape.  
 352 Paired t-test comparisons revealed that call duration (Figure 2C) was significantly lower for  
 353 USV emitted during Escape than during Freezing ( $t_{21} = 2.198$ ,  $p\text{-value} = 0.039$ ), while call  
 354 peak amplitude (Figure 2D) and peak frequency (Figure 2E) was significantly higher during

355 Escape than during Freezing (peak amplitude:  $t_{21} = -3.957$ , p-value = 0.001; peak frequency:  
 356  $t_{21} = -3.928$ , p-value = 0.001).

357 Finally we assessed whether the amount of USV (USV Freezing or USV Escape) emitted  
 358 during conditioning could predict the animal's performance during the retention test carried  
 359 out 48h later (Figure 2F). We showed that the number of USV Freezing was positively  
 360 correlated with the amount of freezing at retention (Pearson correlation coefficient:  
 361  $R_{22}=0.49$ ,  $p<0.02$ ) while the number of USV Escape was not ( $R_{22}=0.19$ ).

362

363 In summary, 22-kHz USV are emitted during both passive (Freezing) and active (Escape)  
 364 defense responses. USV emitted during Escape are shorter and louder than those emitted  
 365 during Freezing, and exhibit a higher peak frequency. In addition, the amount of USV  
 366 Freezing during training was a good predictor of the animal's learned fear response at  
 367 retention.

368

#### 369 **USV emission is associated with changes in oscillatory activity power**

370 The main objective of this experiment was to assess whether USV emission is associated  
 371 with changes in oscillatory activity power compared to the silent behavioral state, and if  
 372 these changes are similar across the three recording sites. Because USV are emitted during  
 373 two different defense responses, we also compared oscillatory activity power between these  
 374 two behavioral states (Freezing versus Escape).

#### 375 ***Delta (0-5Hz) and theta (5-15Hz) bands mean power spectral density***

376 For each recording site, LFP mean power spectral density was calculated per animal and  
 377 averaged across animals (Figure 3, left part). It can be observed that in each recording site,  
 378 the signal power in the delta and theta bands depends both on the animal's behavioral state

379 (Freezing vs Escape) and for a given behavioral state, on the emission of USV (USV vs Silent).

380 Averaged mean power was calculated for each frequency band, in the four categories.

381 ***Delta band mean power*** (Figure 3, middle column)

382 A three-way ANOVA revealed no main effect of Structure ( $F_{2,52}=1.38$ ,  $p=0.3$ ), but a significant

383 USVxBehaviorxStructure interaction ( $F_{2,52}=3.23$ ,  $p=0.05$ ). In each recording sites, a two-way

384 ANOVA revealed a significant main effect of factors USV and Behavior, and no significant

385 USVxBehavior interaction except for the mPFC (see Table 1 for all the statistical results).

386 Post-hoc comparisons first showed that in the three structures, delta mean power was

387 higher during Silent Freezing than during Silent Escape. In addition, in both behavioral states

388 (except for mPFC for which the effect of USV was only significant during Escape), the

389 emission of USV was associated with a significant enhancement in delta mean power.

390 ***Theta band mean power*** (Figure 3, right column)

391 The three-way ANOVA revealed no main effect of Structure ( $F_{2,52}=0.38$ ,  $p=0.7$ ), but a

392 significant USVxStructure interaction ( $F_{2,52}=4.72$ ,  $p=0.01$ ). In BLA and mPFC, the two-way

393 ANOVA revealed a significant main effect of factors USV and Behavior, and no significant

394 USVxBehavior interaction (see Table 1, upper part). In PIR, no significant effect of factor USV

395 was observed. Post-hoc comparisons (see Table 1, lower part) showed that in the three

396 structures, theta mean power was lower during Silent Freezing than during Silent Escape.

397 Moreover, in BLA and mPFC, USV emission was associated with a decrease in theta mean

398 power.

399

400 In summary, when compared to Silent Escape, Silent Freezing is characterized by a higher

401 power of oscillatory activity in the delta band while a lower power was observed in the theta

402 band. Importantly, in both Freezing and Escape states, the emission of USV coincides globally

403 with an increase in power in the delta band in all three regions and a decrease in the theta  
 404 band in BLA and mPFC.

405

406 ***Beta (15-40Hz) and gamma (40-80Hz) bands mean power spectral density***

407 Mean power spectral density of local field potentials is represented for each recording site  
 408 on Figure 4, left part.

409 that in each recording site, the signal power in the gamma band depends on both the  
 410 animal's behavioral state (Freezing vs Escape) and, for a given behavioral state, the emission  
 411 of USV (USV vs Silent). Furthermore, in the PIR, the signal power in the beta band also seems  
 412 to be affected during the emission of USV during Escape. Averaged mean power was  
 413 calculated for each frequency band, in the four categories.

414 ***Beta band mean power*** (Table 2):

415 Beta band mean power values in the three recording sites are reported on Table 2 (upper  
 416 part). The three-way ANOVA revealed a significant main effect of Structure ( $F_{2,52}=4.24$ ,  
 417  $p=0.02$ ), and a significant interaction for USVxStructure ( $F_{2,52}=4.10$ ,  $p=0.02$ ),  
 418 BehaviorxStructure ( $F_{2,52}=5.15$ ,  $p=0.009$ ) and USVxBehaviorxStructure ( $F_{2,52}=5.32$ ,  $p=0.008$ ).  
 419 The two-way ANOVA revealed no significant changes in beta activity in the BLA (Table 2,  
 420 middle part). In the mPFC, a significant main effect of USV was observed, and post-hoc  
 421 comparisons showed that USV emission during both Escape and Freezing induced an  
 422 increase in beta mean power (Table 2, lower part). In the PIR, a significant main effect of USV  
 423 and Behavior, and a significant USVxBehavior interaction were observed. Post-hoc  
 424 comparisons showed that USV emission during Escape was associated with an increase in  
 425 beta mean power.

426 ***Gamma band mean power*** (Figure 4, right column):

427 The three-way ANOVA revealed a significant main effect of Structure ( $F_{2,52}=3.69$ ,  $p=0.02$ ),  
 428 and a significant interaction for USVxStructure ( $F_{2,52}=4.20$ ,  $p=0.02$ ) and BehaviorxStructure  
 429 ( $F_{2,52}=5.29$ ,  $p=0.008$ ). The two-way ANOVA revealed a significant main effect of Behavior,  
 430 and a significant USVxBehavior interaction in the three recording sites (Table 3). Post-hoc  
 431 comparisons showed that gamma mean power was lower during Silent Freezing than during  
 432 Silent Escape. In addition, USV emission during Escape temporally coincided with an increase  
 433 in gamma mean power. Noteworthy, USV emission during both Freezing and Escape was  
 434 associated with a narrowing of the activity towards the lower range of the band and an  
 435 increase in gamma peak power (as can be seen on the power spectra of Figure 4, left  
 436 column).

437

438 In summary, during Silent Freezing, gamma band mean power is lower than during Silent  
 439 Escape. In addition, the emission of USV coincides with an increase in gamma band activity  
 440 (mainly during escape) added to a narrowing of the activity towards the lower range of the  
 441 band where the peak power is increased. Finally, in the PIR and mPFC (but not in BLA), the  
 442 emission of USV during Escape is associated with an increase in beta activity power.

443

#### 444 **USV emission strongly affects instantaneous respiratory rate**

445 As previously reported in the literature, we found that the emission of USV drastically  
 446 changes the shape and frequency of the respiratory signal (see individual examples on Figure  
 447 5A). Figure 5B illustrates the PDF of respiration in our four experimental categories. A three-  
 448 way ANOVA revealed a highly significant BehaviorxUSVxRespiratory Frequency interaction  
 449 ( $F_{32,1344}=5.44$ ,  $p<0.000001$ ) and further two-way ANOVAs showed a significant main effect of  
 450 USV for both Freezing ( $F_{1,21}=6.29$ ,  $p=0.02$ ) and Escape ( $F_{1,21}=18.05$ ,  $p=0.0004$ ) states. The

451 emission of USV shifts the dominant respiratory frequency toward lower values, going from  
452 6.3Hz to 1.4Hz for Escape and from 2.8Hz to 1Hz for Freezing (Figure 5B, insert). The next  
453 step of our study was then to assess to what extent the frequency of oscillatory activity in  
454 the delta and theta range followed respiratory frequency, and consequently whether USV  
455 emission has an impact on this relationship.

456

#### 457 **Covariation between delta and theta oscillatory frequencies and respiratory frequency**

458 Figure 6 (upper part) highlights the fact that the respiratory frequency range delimits the  
459 range of LFP oscillatory frequency for which co-variation between the two signals frequency  
460 could be assessed.

461 In each experimental category, we carried out covariation matrices (Figure 6, lower part)  
462 depicting pairwise similarities between respiratory frequency and oscillatory frequency in  
463 the delta and theta bands. During Silent Escape and USV Escape, theta activity is  
464 preferentially expressed and shows no obvious coupling with respiration: whatever the  
465 respiratory frequency, theta activity is mostly observed with a fixed frequency around 6-7Hz.  
466 During Silent Freezing, both theta and delta activities are expressed. While a clear-cut  
467 covariation is observed between delta frequency and respiratory frequency, no covariation is  
468 seen for theta frequency. During USV Freezing, both theta and delta activities are expressed  
469 with no coupling with respiratory frequency. Raw signal traces recorded in the same animal  
470 in the four experimental categories are reported on Figure 6-1.

471 In summary, the only experimental category leading to a clear-cut frequency-frequency  
472 coupling between respiration and LFP signal is Silent Freezing and concerns the delta band.  
473 During USV emission, no covariation is observed between respiratory rate and delta or theta  
474 oscillatory activities.

475

476 **Modulation of beta and gamma power with the phase of the respiratory cycle**

477 It was shown that respiration can modulate not only slow neuronal oscillations, but also beta  
 478 and gamma band oscillations, which amplitude is modulated in phase with respiration  
 479 (Cenier et al, 2009; Ito et al., 2014). We therefore investigated whether activity in the beta  
 480 and gamma bands was modulated by the phase of the respiratory cycle in our different  
 481 experimental categories, and whether USV emission has an impact on this modulation.  
 482 Figure 7A illustrates an individual example of LFP signal collected in the PIR, with the  
 483 corresponding respiratory signal and USV calls. A time frequency analysis carried out on the  
 484 LFP signal at the level of the respiratory cycle, clearly shows that respiration modulates beta  
 485 and gamma activity power, with higher beta activity power at the beginning of expiration  
 486 and higher gamma activity throughout expiration. This modulation is further evidenced by  
 487 the analysis illustrated on Figure 7B which represents the respiration phase-frequency map  
 488 of LFP signal in the four experimental categories. We carried out this analysis in the three  
 489 recording sites (Figure 7-1). The data illustrated on Figure 8 represent the time course of  
 490 beta activity maximal power throughout the respiratory cycle. A three-way (Behavior,  
 491 Respiratory cycle time, USV) ANOVA first revealed a significant main effect of Behavior in  
 492 mPFC ( $F_{1,40}=6.38$ ,  $p=0.02$ ) and PIR ( $F_{1,38}=7.93$ ,  $p=0.008$ ), and a significant Respiratory cycle  
 493 timexBehavior interaction in BLA ( $F_{39,1014}=2.47$ ,  $p=2\times 10^{-6}$ ). We then analyzed the data  
 494 separately for Freezing and Escape to compare the time course of LFP oscillatory activity  
 495 power throughout the respiratory cycle, either with or without USV. During Freezing (Figure  
 496 8, left part), a two-way ANOVA revealed a significant effect of Respiratory cycle time in the  
 497 three recording sites and a significant interaction for USVxRespiratory cycle time in the PIR  
 498 only (see Table 4, upper part). In this recording site, during Silent Freezing, the maximum

power is observed during inspiration, while during USV Freezing, the maximum is shifted toward the early part of expiration. Concerning Escape (Figure 8, right part), in the three recording sites the ANOVA revealed a significant effect of Respiratory cycle time but no effect of USV or USVxRespiratory cycle interaction (see Table 4, upper part). The time course of gamma activity maximal power throughout the respiratory cycle is reported on Figure 9. The three-way (Behavior, Respiratory cycle time, USV) ANOVA revealed a significant Respiratory cycle time $\times$ USV $\times$ Behavior interaction in BLA ( $F_{39,1014}=0.58$ ,  $p=1\times 10^{-7}$ ), mPFC ( $F_{39,1560}=3.75$ ,  $p=1\times 10^{-7}$ ) and PIR ( $F_{39,1482}=4.18$ ,  $p=1\times 10^{-7}$ ). We then analyzed the data separately for Freezing and Escape. During Freezing (Figure 9, left part), the two-way ANOVA revealed a significant effect of Respiratory cycle time and a significant interaction for USV $\times$ Respiratory cycle time in the three recording sites (see Table 4, lower part). During Silent Freezing, the maximum power is observed during inspiration, while during USV Freezing, two maxima are observed, one during inspiration, and the other during the late part of expiration. Concerning Escape, (Figure 9, right part), the ANOVA revealed a significant effect of Respiratory cycle time and USV (except for BLA), and a significant interaction for USV $\times$ Respiratory cycle (see Table 4, lower part). During Silent Escape, the maximum power is observed at the transition between inspiration and expiration, while during USV Escape, the maximum is shifted toward inspiration.

517

In summary, in the PIR beta power is modulated by the phase of the respiratory cycle during Freezing and the pattern of this modulation is changed during USV emission. Gamma power in the three recording sites is strongly modulated by the phase of the respiratory cycle during both Freezing and Escape, although presenting slightly different patterns. The emission of USV is associated with drastic changes in the time course of this modulation.



523

524 **Discussion**

525 The present study assessed for the first time the impact of 22-kHz USV production on brain  
 526 dynamics in the network involved in fear expression, including the mPFC and the BLA. We  
 527 report that USV emission modulates oscillatory activities differentially depending on their  
 528 frequency band. Specifically, it temporally coincides with an increase in delta and gamma  
 529 power, and a decrease in theta power. In addition, in the PIR, an increase in beta activity is  
 530 observed. Some of these changes co-occur with USV-induced respiration changes. Indeed,  
 531 during USV calls, the coupling observed between respiratory frequency and delta oscillatory  
 532 frequency during Silent Freezing is lost, and the time course of gamma and beta power  
 533 within the respiratory cycle is modified. The present data suggest that USV calls could result  
 534 in a specific gating of information within the fear network, potentially modulating fear  
 535 memory, as suggested by our observation that the amount of USV emitted during  
 536 conditioning is a good predictor of the learned fear response at retention.

537

538 **22-kHz USV are observed during both passive and active fear responses**

539 22-kHz USV in rats are emitted in aversive situations such as foot-shock delivery and are  
 540 considered as reflecting a negative affective state (Litvin et al, 2007; Schwarting and Wöhr,  
 541 2012; Brudzynski, 2013). We found that USV are predominantly produced during Freezing,  
 542 which is consistent with the literature (Brudzynski and Ocepia, 1992; Wöhr et al, 2005;  
 543 Hegoburu et al, 2011; Boulanger-Bertolus et al, 2017). However, we also found that some  
 544 USV are emitted during escape. Although some examples of 22-kHz USV during locomotion  
 545 have been reported (Laplagne and Elias Costa, 2016; Boulanger-Bertolus et al, 2017), the  
 546 characteristics of these USV remain poorly investigated. Here we show that 22-kHz USV

547 emitted during escape are shorter and louder than those emitted during Freezing and exhibit  
 548 a higher peak frequency.

549 We also show that although these two types of USV have globally similar effects on brain  
 550 oscillations, they present different relationships with performance at 48h-retention. Indeed  
 551 while there is a positive correlation between the number of USV Freezing during  
 552 conditioning and the amount of Freezing at retention, this correlation is not found for USV  
 553 Escape. This suggests that the two subtypes of 22-KHz USV reflect different aspects of fear  
 554 response. For instance, USV Escape might be more related to the unconditioned response to  
 555 shock, while USV Freezing are generally considered as part of the conditioned fear response  
 556 (Wöhr and Schwarting, 2008).

557

#### 558 **Freezing and Escape differentially modulate brain oscillatory activities**

559 We first investigated whether in the absence of USV emission, the way fear is expressed is  
 560 associated with different changes in brain oscillatory activities. Freezing is a passive defense  
 561 response while escape is an active response. Recent studies pinpointed that active and  
 562 passive fear responses involve distinct and mutually inhibitory neurons in the central  
 563 amygdala (Gozzi et al, 2010; Fadok et al, 2017). Here we show that these two response  
 564 modes also differentially modulate oscillatory activity in the fear circuit. Indeed, compared  
 565 to escape, freezing is characterized by a higher delta power and a lower theta and gamma  
 566 power. Our data in the delta band are in line with the literature as several recent studies  
 567 showed that freezing temporally coincides with the development of 4-Hz oscillations in  
 568 prefrontal-amygdala circuits (Dejean et al, 2016; Karalis et al, 2016; Moberly et al, 2018). In  
 569 awake animals, theta activity is known to occur preferentially during voluntary locomotor  
 570 activities (Vanderwolf, 1969; Buszaki, 2002), thus explaining the increase in theta power

571 observed here during escape. Finally, the increase in gamma power observed during escape  
 572 might reflect an increased emotional level compared to freezing. Indeed, previous studies  
 573 both in humans and animals have shown that gamma oscillations are enhanced during  
 574 emotional situations (reviewed in Headley and Paré, 2013; Stujenske et al, 2014; Concina et  
 575 al, 2018).

576

# 577 **22-kHz USV emission alters respiration and is associated with changes in oscillatory** 578 **activities**

579 While several studies have investigated the neural circuit involved in USV production  
 580 (reviewed in Schwarting and Wöhr, 2012), and the correlates of USV perception in the brain  
 581 of conspecifics receivers (Sadananda et al, 2008; Parsana et al, 2012; Roberts and Portfors,  
 582 2015), to our knowledge no study has assessed the effect of USV production on the sender  
 583 animal's brain oscillatory activities. We showed that USV emission coincides with an increase  
 584 in delta power and a decrease in theta power. In addition, an increase in gamma power is  
 585 observed. The effects are globally similar for both types of USV, and in the three recording  
 586 sites although small differences exist. Furthermore, a strong increase in beta power is more  
 587 specifically observed in the PIR.

588 Importantly, some of the changes observed during USV emission co-occurred with changes  
 589 in respiratory rhythm. USV are produced during expiration upon constriction of the vocal  
 590 folds resulting in an increase in subglottal pressure and a reduction of airflow through the  
 591 nose (Riede, 2011; Sirotin et al, 2014). Consequently, 22-kHz USV emission induces drastic  
 592 changes in the shape and frequency of the respiratory signal (Fryszak and Neafsey, 1991;  
 593 Hegoburu et al, 2011; Boulanger-Bertolus et al, 2017). It is known from a long time that  
 594 respiration drives oscillations time-locked to breathing cycles in the olfactory pathways

595 (Adrian, 1942; Fontanini and Bower, 2005; Buonviso et al, 2006; Kay et al, 2009; Courtiol et  
 596 al, 2011; Esclassan et al, 2012; Zelano et al, 2016) and modulates the amplitude of local beta  
 597 and gamma oscillations in the olfactory bulb (Buonviso et al., 2003; Cenier et al, 2009;  
 598 Rosero and Aylwin, 2011) and in the olfactory cortex (Fontanini and Bower, 2005; Zelano et  
 599 al, 2016). Several recent papers have highlighted that outside its impact on olfactory regions,  
 600 nasal respiration also entrains oscillations in widespread brain regions in awake rodents (Ito  
 601 et al, 2014; Nguyen Chi et al, 2016; Biskamp et al, 2017; Zhong et al, 2017; Rojas-Líbano et al,  
 602 2018; Tort et al, 2018) and modulates the amplitude of fast oscillations (Ito et al, 2014;  
 603 Biskamp et al, 2017; Zhong et al, 2017). Importantly a recent work has specifically  
 604 investigated the link between respiration and freezing-related 4Hz oscillation in the mPFC  
 605 (Moberly et al, 2018), and reported that during freezing, mice respiratory frequency is  
 606 correlated with the 4-Hz oscillation in the mPFC, and that disruption of olfactory inputs to  
 607 the mPFC significantly reduces the 4-Hz oscillation.

608 Here we show that during Silent Freezing, dominant frequency in the delta band covaries  
 609 with respiratory frequency. In addition, we report that the activity in the gamma band for  
 610 the three recording sites, and in the beta band for PIR, are modulated in phase with  
 611 respiration, with greater beta and gamma power during inspiration than expiration (see  
 612 summary on Figure 9). Importantly we also show that USV emission, particularly during  
 613 freezing, coincides with important changes in the relationship between respiration and  
 614 oscillatory activity. First, USV emission induces a deep slow-down of respiratory frequency.  
 615 In parallel, the coupling between delta band dominant frequency and respiratory frequency  
 616 is lost. In addition, a reorganization of beta and gamma activity power during the respiratory  
 617 cycle occurs, with increased beta power in PIR during the first half of expiration phase, and  
 618 increased gamma power in the three recording sites during the second half of expiration.

619

620 **Functional interpretation**

621 How can we integrate the present data to the existing literature? Respiration-locked  
622 oscillations in non-olfactory regions were shown to depend on nasal airflow (Ito et al, 2014;  
623 Yanovsky et al, 2014). Indeed olfactory sensory neurons have mechanosensitive properties  
624 (Grosmaître et al, 2007) and the signals elicited by rhythmic airflow in the nose are  
625 transmitted to the olfactory bulb and the PIR (Fontanini et al, 2003; Wu et al, 2017). The PIR  
626 has direct connections with the PFC (Clugnet and Price, 1987) and the olfactory information  
627 has unique direct access to the amygdala (McDonald, 1998). We propose that the deep slow-  
628 down of respiratory rate added to the reduction of airflow through the nose during USV calls  
629 (Riede, 2011; Sirotin et al, 2014) is responsible for the loss of coupling between nasal rhythm  
630 and delta oscillation. During USV calls, brain delta oscillations become independent of nasal  
631 respiration and their power increases. In parallel, beta and gamma activity power increases  
632 during expiration. Interestingly, Manabe and Mori (2013) reported that in olfactory regions,  
633 gamma oscillations can lock to different phases of the respiratory cycle depending on the  
634 animal's behavior. Indeed, during active exploration with high sniffing rate, gamma is phase-  
635 locked to inhalation, while during awake resting in which rats show a slow respiration rate  
636 with long exhalation phase, gamma is phase-locked to exhalation. The authors propose that  
637 gamma oscillatory coupling can be generated either by olfactory sensory inputs during  
638 inhalation, or centrally in the brain during exhalation. The same kind of interpretation could  
639 hold for our data, with the emission of USV being associated with a reorganization of gamma  
640 coupling during expiration, potentially resulting in a different gating of information to  
641 downstream structures of the fear network. Since we observed that the amount of USV  
642 emitted during freezing at training is correlated with the learned freezing response at

643 retention, we suggest that the window of a USV call and its particular respiratory pattern  
644 added to its specific combination of brain oscillatory activity, might enhance plasticity at  
645 given sites of the network and ultimately strengthen long-term fear memory. Additional  
646 experiments are needed to explore the causal link between USV-related changes in  
647 oscillatory activities and fear memory.

648 A better knowledge of the impact of USV production on brain neural dynamics is not only  
649 important for understanding the respective weight of the different components of fear  
650 response, but is also particularly relevant for rodent models of human neuropsychiatric  
651 disorders, for which socio-affective communication is severely impaired (Wöhr and Scattoni,  
652 2013).

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653 **FIGURE LEGENDS**

654

655 **Figure 1 :** A) Training and recording protocol. The animals were trained with 10 odor(20s)-  
 656 Schock(1s) pairings. During the 1-min post-shock period, local field potentials were recorded  
 657 together with ultrasonic vocalizations and behavior. 48h later, a retention test was carried  
 658 out using 5 odor(20s) presentations during which the animal's freezing response was  
 659 assessed. B) Definition of four experimental categories for data analysis. During the 1-min  
 660 post-shock period, we defined blocks of USV corresponding to successive USV with less than  
 661 1s between each other. When the interval between two USV exceeded 1s, then a new block  
 662 was defined (first row). The periods between USV blocks are considered as Silent periods. In  
 663 parallel, the synchronized animal's behavior (Freezing or Escape) was detected, and four  
 664 different combinations were obtained: USV Freezing, USV Escape, Silent Freezing, Silent  
 665 Escape. For each combination, only segments longer than 1s were considered.

666

667 **Figure 2:** Repartition of the four defined categories and characterization of two 22-kHz USV  
 668 types (n=22 rats). A) Mean ( $\pm$ SEM) proportion of each category per animal over the 1-min  
 669 post-shock analysis period. B) Mean ( $\pm$ SEM) duration of the different categories and mean  
 670 ( $\pm$ SEM) number of USV Freezing and USV Escape emitted during the 1-min post-shock  
 671 period. C) Mean duration ( $\pm$ SEM) of the two USV subtypes. D) Mean frequency ( $\pm$ SEM) of the  
 672 two USV subtypes. E) Mean intensity ( $\pm$ SEM) of the two USV subtypes. n=22 rats, \*  $p < 5 \times 10^{-2}$ ,  
 673 \*\*\* $p < 5 \times 10^{-3}$ . F) Correlation between the mean number of USV calls recorded during the 1-  
 674 min post-shock period at training and the freezing score obtained during the retention test  
 675 in response to the learned odor. \*  $p < 5 \times 10^{-2}$

676

677 **Figure 3:** Power Spectral Density (PSD) of local field potential signals and mean power in  
 678 delta (0-5Hz) and theta (5-15Hz) bands. The average PSD ( $\pm$ SEM) is represented on the left  
 679 part of the figure, and the delta and theta average power ( $\pm$ SEM) is represented on the right  
 680 part. BLA: basolateral amygdala (n=14), mPFC: medial prefrontal cortex (n=21) and PIR:  
 681 olfactory piriform cortex (n=20). \*  $p < 5 \times 10^{-2}$ , \*\*  $p < 5 \times 10^{-3}$ , \*\*\*  $p < 5 \times 10^{-4}$ : significant difference  
 682 between same color-different pattern bars.  $^{\$}$   $p < 5 \times 10^{-2}$ ,  $^{\$\$}$   $p < 5 \times 10^{-3}$ ,  $^{\$ \$ \$}$   $p < 5 \times 10^{-4}$ : significant  
 683 difference between same pattern-different color bars.

684  
 685 **Figure 4:** Power Spectral Density (PSD) of local field potential signals and mean power in  
 686 gamma (40-80Hz) band. The average PSD ( $\pm$ SEM) is represented on the left part of the  
 687 figure, and the gamma average power ( $\pm$ SEM) is represented on the right part. BLA:  
 688 basolateral amygdala (n=14), mPFC: medial prefrontal cortex (n=21) and PIR: olfactory  
 689 piriform cortex (n=20). \*  $p < 5 \times 10^{-2}$ , \*\*  $p < 5 \times 10^{-3}$ , \*\*\*  $p < 5 \times 10^{-4}$ : significant difference between  
 690 same color-different pattern bars.  $^{\$}$   $p < 5 \times 10^{-2}$ ,  $^{\$\$}$   $p < 5 \times 10^{-3}$ ,  $^{\$ \$ \$}$   $p < 5 \times 10^{-4}$ : significant difference  
 691 between same pattern-different color bars.

692  
 693 **Figure 5:** Characterization of respiratory frequency in the four experimental categories (n=22  
 694 rats). A) Individual examples of the respiratory signal. B) Probability Distribution Function  
 695 (PDF) of respiratory frequency. The distributions were obtained using a 0.33 Hz bin. Insert:  
 696 Average peak frequency ( $\pm$  SEM) in each category.

697  
 698 **Figure 6:** Covariation between delta and theta oscillatory frequencies and respiratory  
 699 frequency. A) Each graph represents the Power Spectral Density (PSD) of local field potential  
 700 (LFP) signals (Left Y axis, black curve) and the Probability Distribution Function (PDF) of



701 respiration (Right Y axis, red curve). The graphs were obtained from LFP signals recorded in  
 702 the medial prefrontal cortex in the four experimental categories (Silent Escape, USV Escape,  
 703 Silent Freezing, USV Freezing). B) 2D matrix histograms obtained from LFP signals recorded in  
 704 mPFC (n=21), basolateral amygdala (BLA, n=14) and olfactory piriform cortex (PIR, n=20), Y-  
 705 axis represents LFP frequency and X-axis respiratory frequency. The 2D histogram is  
 706 normalized so that the total sum is 1, and point density is represented on a color scale  
 707 ranging from blue to yellow as the point density increases.

708  
 709 **Figure 7:** Modulation of beta and gamma power by the phase of the respiratory cycle. A)  
 710 Individual traces representing from the top, respiratory signal, USV calls, raw local field  
 711 potential (LFP) signal recorded in the olfactory piriform cortex and its time frequency map (Y  
 712 axis: LFP signal frequency in Hz, X axis: time in ms). LFP signal power is represented using a  
 713 color scale going from blue to red as the power increases. The red vertical plain line  
 714 represents the transition between expiration and inspiration, while the red vertical dotted  
 715 line represents the transition between inspiration and expiration. B) Average time frequency  
 716 map centered on the normalized respiratory cycle, in the four experimental categories. The  
 717 red vertical dotted line represents the transition between inspiration and expiration phase  
 718 that was set at 0.4 (this value corresponds to the mean ratio between inspiration and  
 719 expiration over the four experimental categories). The white horizontal dotted line  
 720 represents the transition between beta and gamma bands.

721  
 722 **Figure 8:** Beta activity power time course throughout the normalized respiratory cycle in the  
 723 three recording sites and in the four experimental categories. Left side: Silent Freezing  
 724 versus USV Freezing, right side: Silent Escape versus USV Escape. The vertical dotted line on

each graph represents the transition between inspiration and expiration phase positioned at 0.4 (this value corresponds to the mean ratio between inspiration and expiration over the four experimental categories). BLA: basolateral amygdala (n=14), mPFC: medial prefrontal cortex (n=21) and PIR: olfactory piriform cortex (n=20).

**Figure 9:** Gamma activity power time course throughout the normalized respiratory cycle in the three recording sites and in the four experimental categories. Left side: Silent Freezing versus USV Freezing, right side: Silent Escape versus USV Escape. The vertical dotted line on each graph represents the transition between inspiration and expiration phase positioned at 0.4 (this value corresponds to the mean ratio between inspiration and expiration over the four experimental categories). BLA: basolateral amygdala (n=14), mPFC: medial prefrontal cortex (n=21) and PIR: olfactory piriform cortex (n=20).

**Figure 10:** Schematic summary of the data obtained during Silent Freezing and USV Freezing. During Silent Freezing, delta frequency covaries with nasal respiratory frequency. In addition, power in the beta band for the piriform cortex and in the gamma band for the three recording sites is modulated in phase with respiration, with higher beta and gamma power during inspiration than expiration. USV Freezing emission coincides with a decrease in theta power and an increase in delta and gamma power. In parallel, a deep slow-down of respiratory frequency is observed, with the uncoupling between delta frequency and respiratory frequency. Furthermore, a reorganization of beta and gamma activity power during the respiratory cycle occurs, with increased beta power in the piriform cortex during the first half of expiration phase, and increased gamma power in the three recording sites

during the second half of expiration. mPFC: medial prefrontal cortex, BLA: basolateral amygdala, PIR: piriform cortex, LFP: local field potentials

750

#### 751 **TABLE LEGENDS**

752

753 **Table 1:** Delta and Theta activity mean power statistical data: ANOVA analysis (upper table)  
754 and p values for post-hoc comparisons (lower table).

755 \* :  $p \leq 5 \times 10^{-2}$ , \*\* :  $p \leq 5 \times 10^{-3}$ , \*\*\* :  $p \leq 5 \times 10^{-4}$ .

756

757 **Table 2:** Beta band mean power values (+/- sem) in the three recording sites (upper table),  
758 ANOVA analysis (middle table), and p values for post-hoc comparisons (lower table).

759 \* :  $p \leq 5 \times 10^{-2}$ , \*\* :  $p \leq 5 \times 10^{-3}$ , \*\*\* :  $p \leq 5 \times 10^{-4}$ .

760

761 **Table 3:** Gamma band mean power statistical data in the three recording sites: ANOVA  
762 analysis (upper table) and p values for post-hoc comparisons (lower table).

763 \* :  $p \leq 5 \times 10^{-2}$ , \*\* :  $p \leq 5 \times 10^{-3}$ , \*\*\* :  $p \leq 5 \times 10^{-4}$ .

764

765 **Table 4:** Beta (upper table) and Gamma (lower table) bands maximum power throughout the  
766 respiratory cycle, ANOVA analysis. \* :  $p \leq 5 \times 10^{-2}$ , \*\* :  $p \leq 5 \times 10^{-3}$ , \*\*\* :  $p \leq 5 \times 10^{-4}$ .

#### 767 **EXTENDED DATA**

768

769 **Figure 1-1:** Areas targeted by the electrodes (light orange areas) in the three recording sites.

770 Numbers at the bottom indicate the relative position of coronal slices from bregma (Adapted

771 from Paxinos and Watson, 2007). mPFC: medial prefrontal cortex (n=21), PIR: olfactory  
772 piriform cortex (n=20) and BLA: basolateral amygdala (n=14).

773

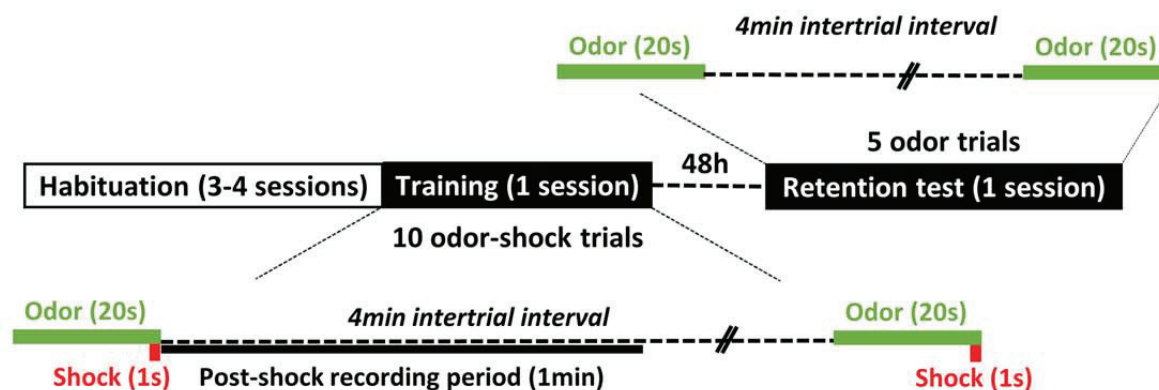
774 **Figure 6-1:** Examples of raw traces obtained in the same animal in the three recording sites  
775 and the four experimental categories. Each panel represents from the top : ultrasonic  
776 vocalizations (USV) calls (for the panels on the right), raw respiratory signal, and local field  
777 potential signals recorded in the basolateral amygdala (BLA), medial prefrontal cortex  
778 (mPFC) and olfactory piriform cortex (PIR).

779

780 **Figure 7-1:** Modulation of beta and gamma power by the phase of the respiratory cycle.  
781 Average time frequency maps centered on the normalized respiratory cycle, in the three-  
782 recorded structures (along the vertical axis) and the four experimental categories (along the  
783 horizontal axis). On each graph, the red vertical dotted line represents the transition  
784 between inspiration and expiration that was set at 0.4, and the white horizontal dotted line  
785 represents the transition between beta and gamma bands. BLA: basolateral amygdala  
786 (n=14), mPFC: medial prefrontal cortex (n=21) and PIR: olfactory piriform cortex (n=20).

787

## A – Training and recording protocol



## B – Four experimental categories

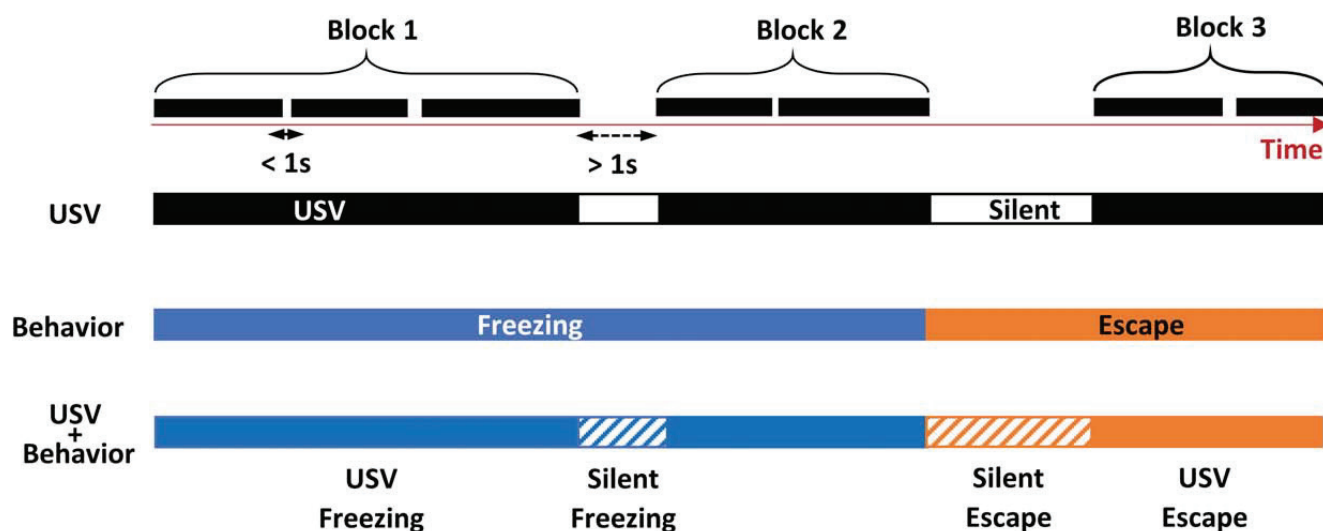
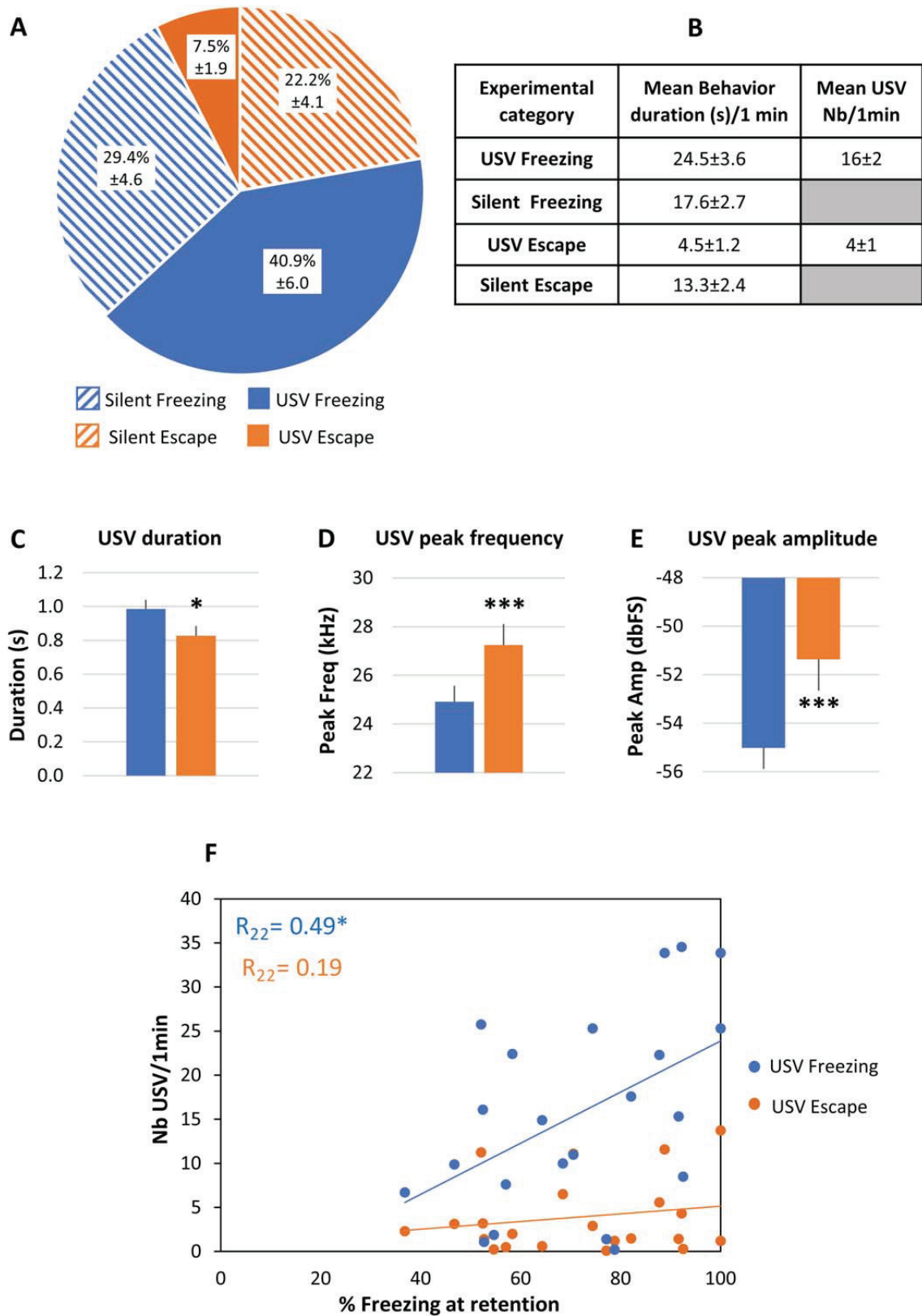


Figure 1



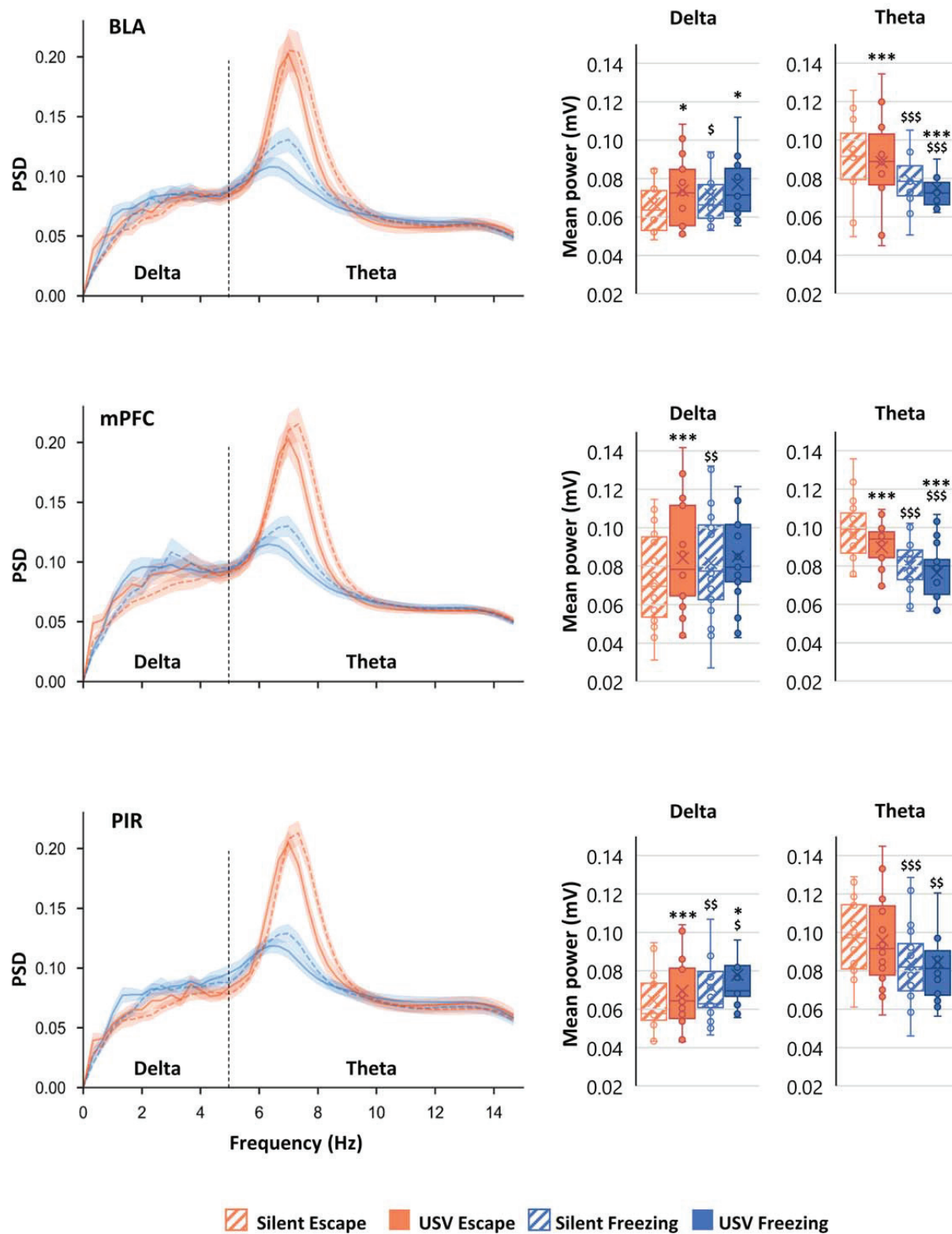


Figure 3



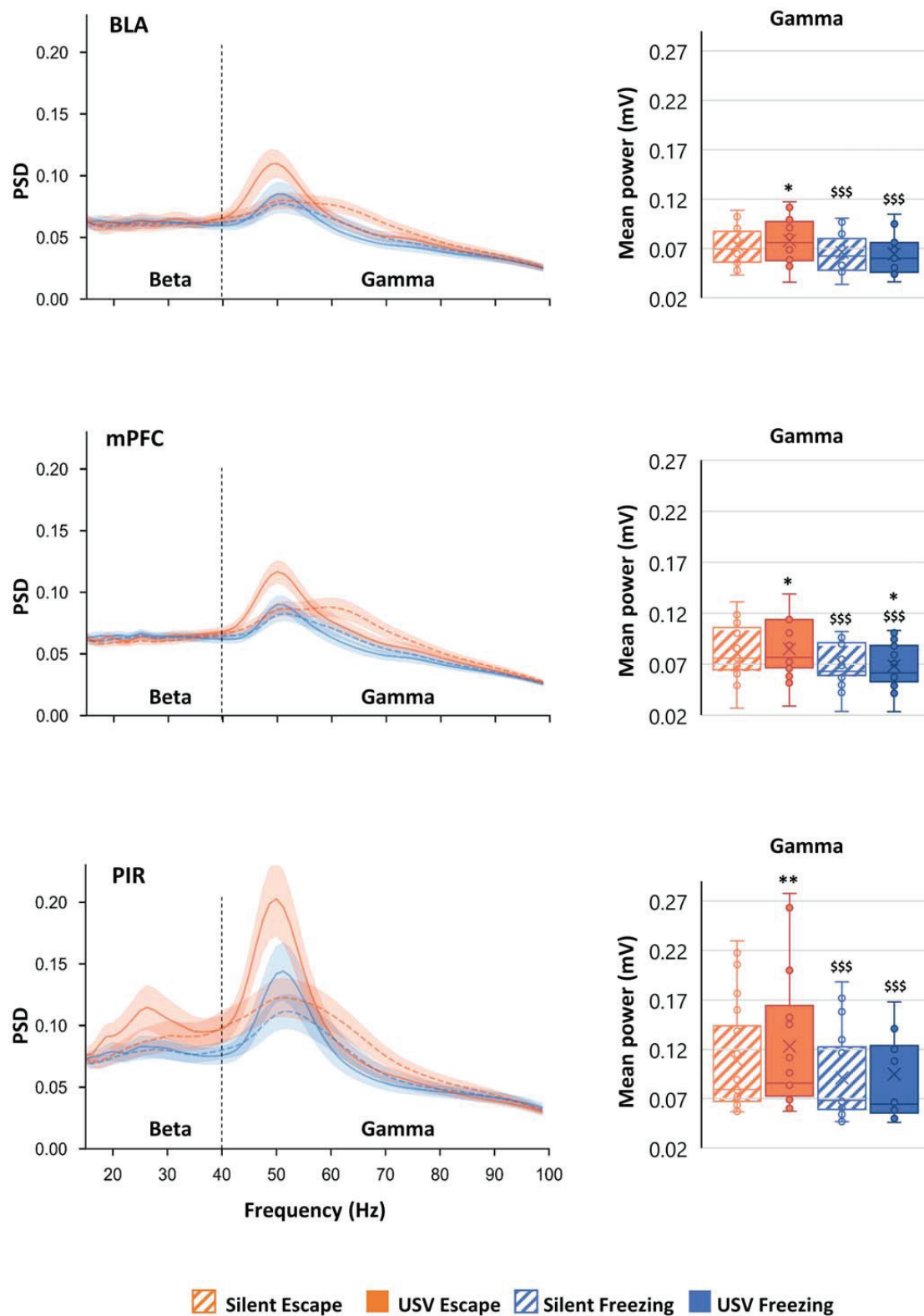


Figure 4



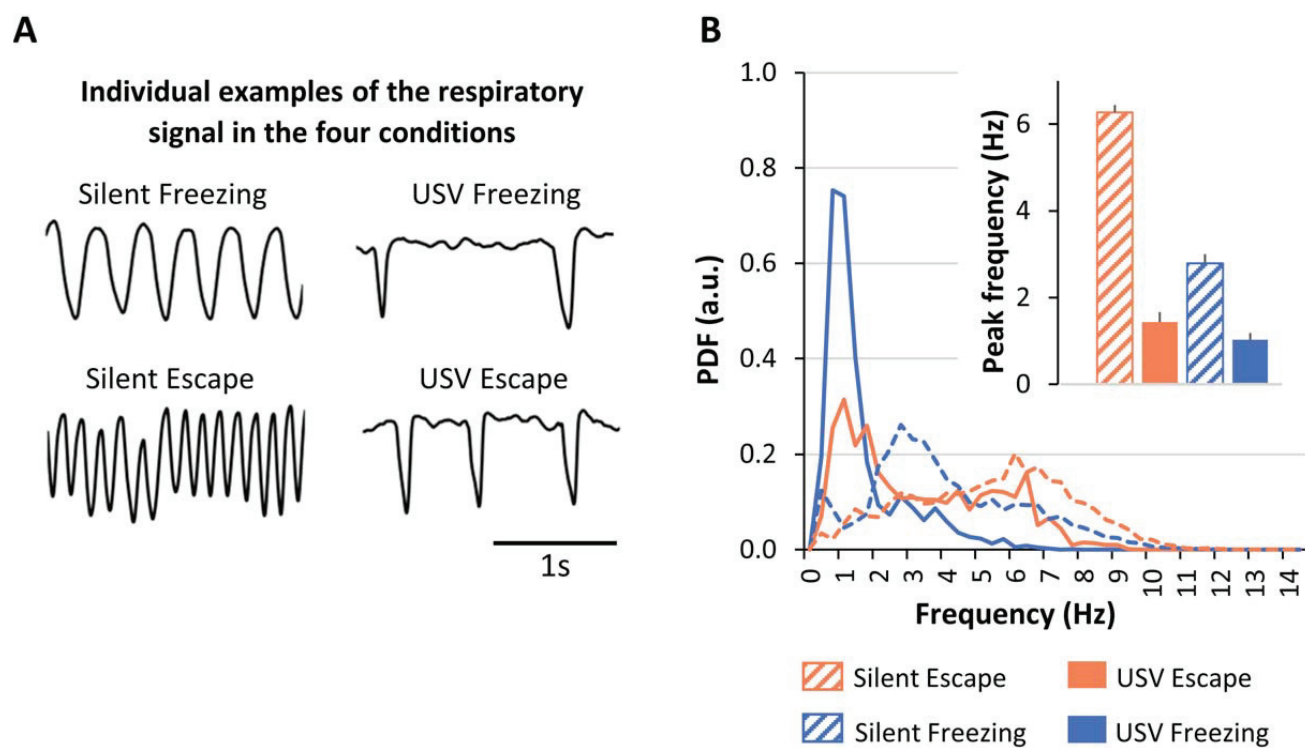


Figure 5

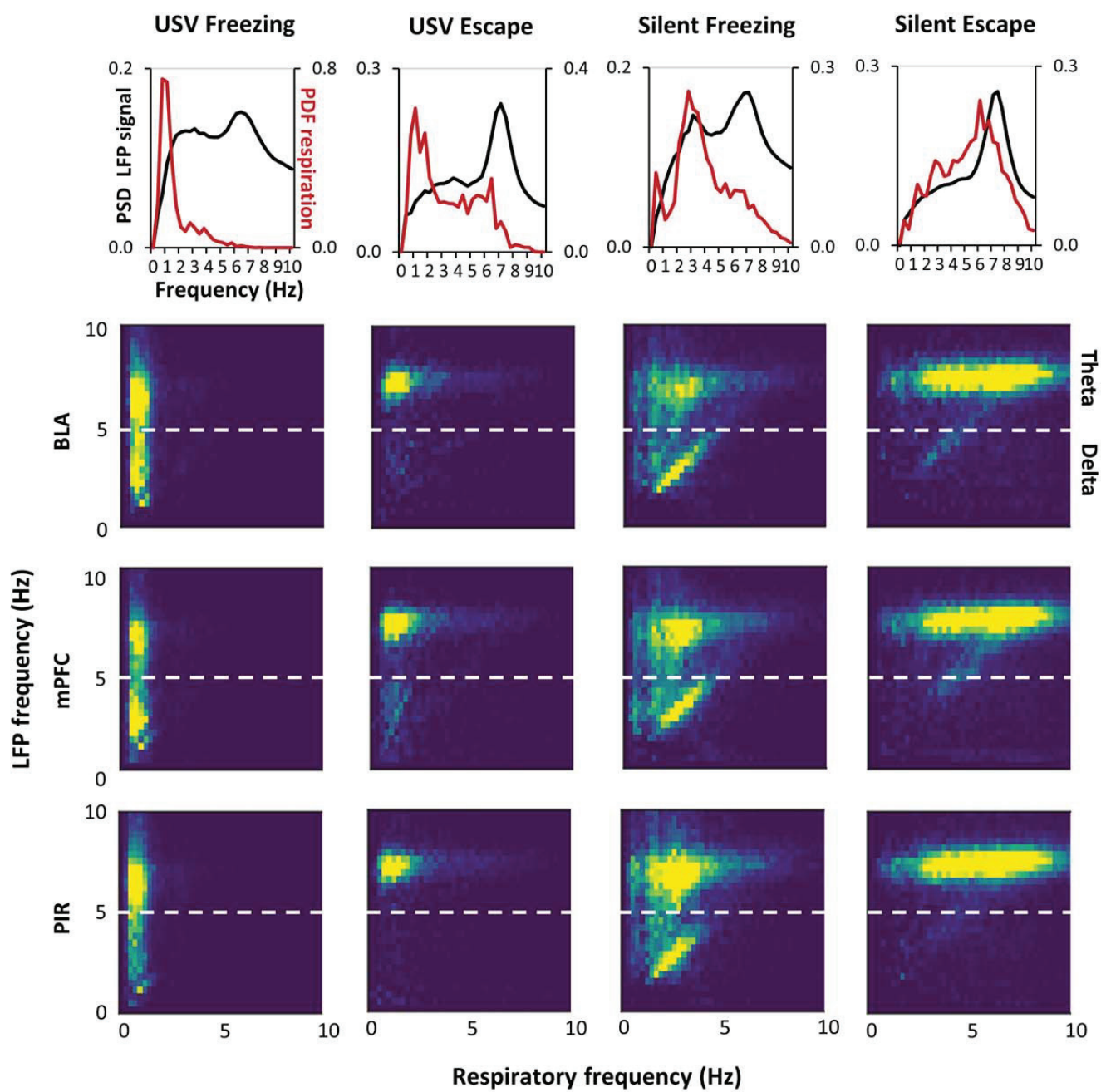


Figure 6

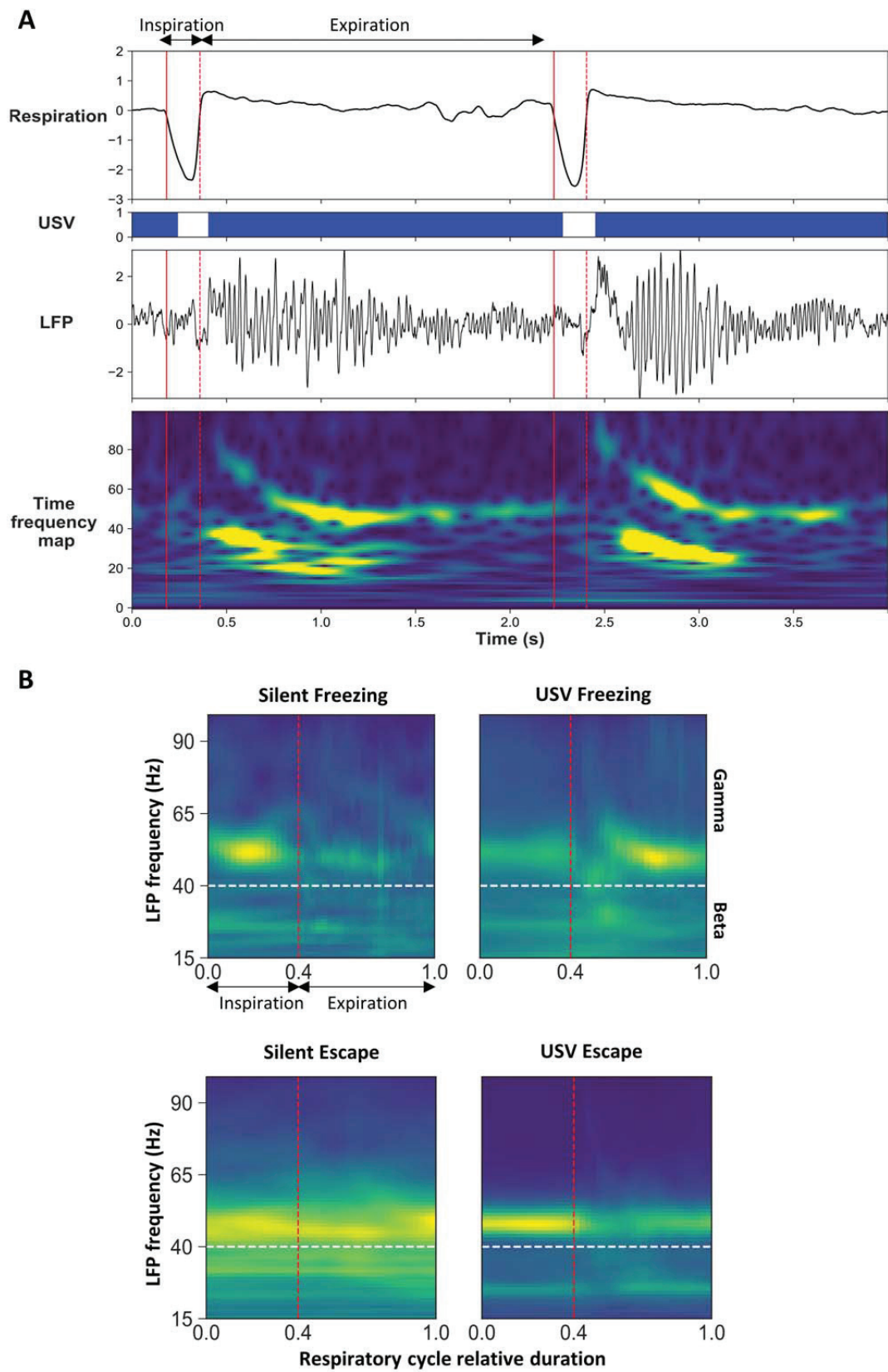


Figure 7

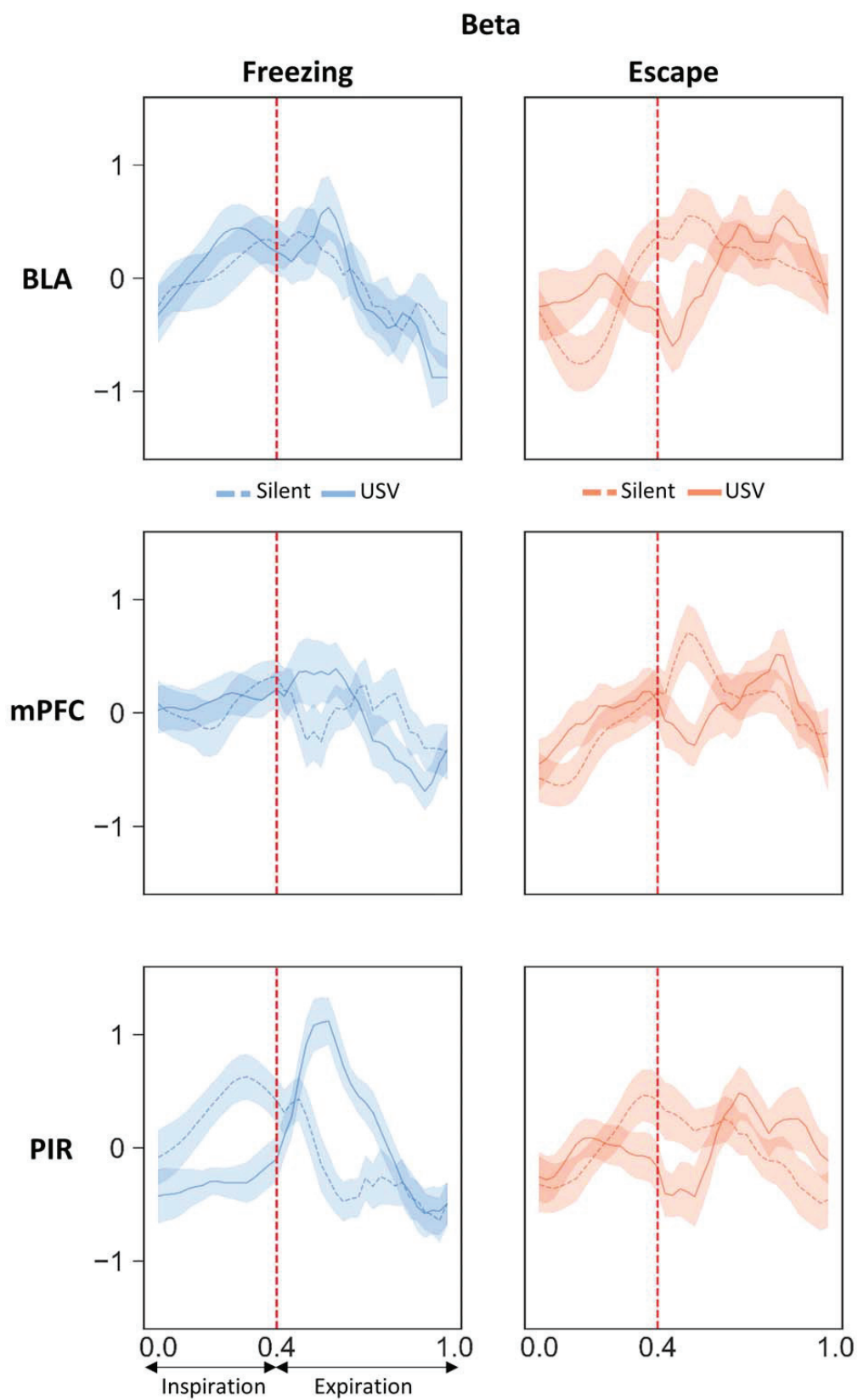


Figure 8

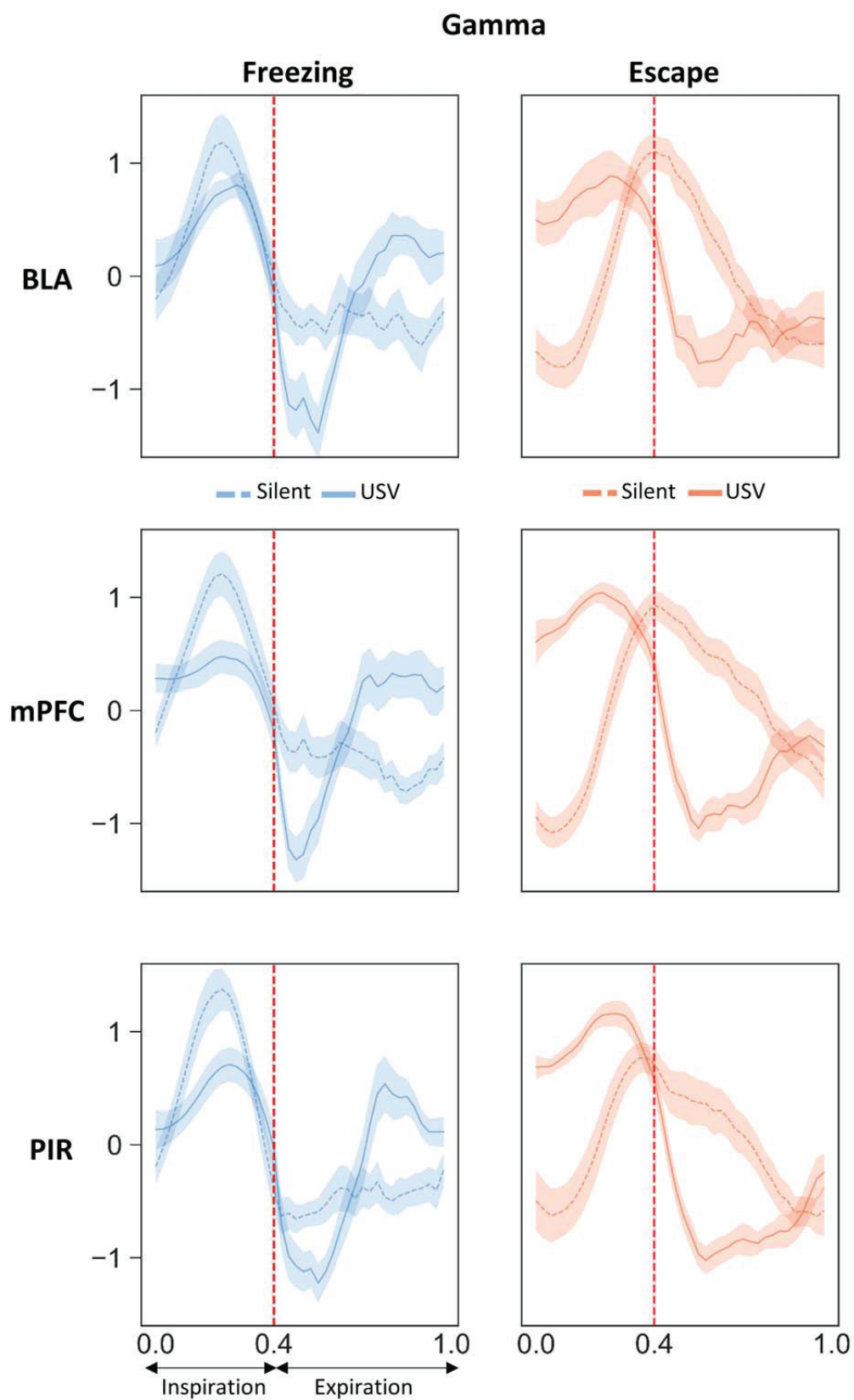


Figure 9



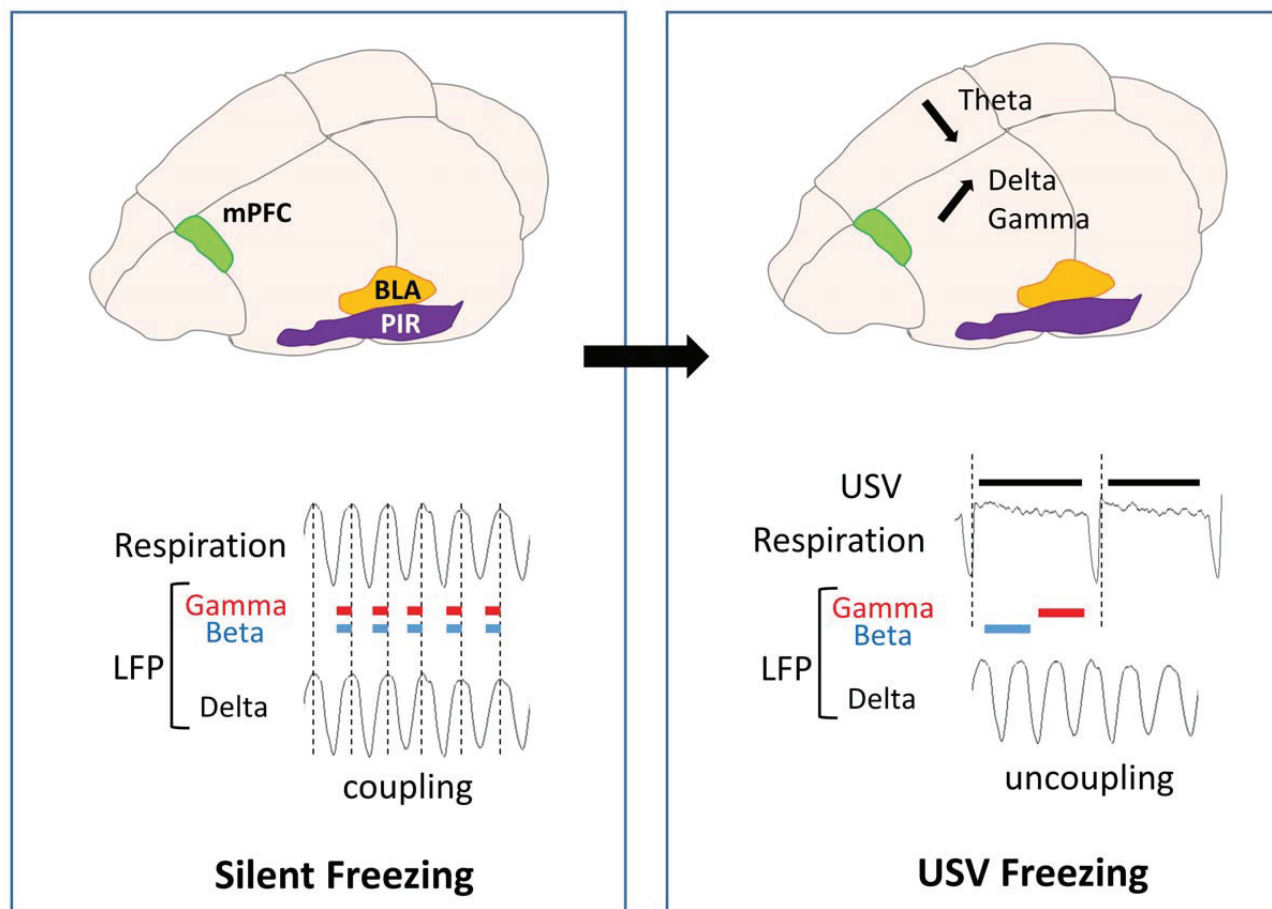


Figure 10

Oscillatory Band	Structure	Factor	F (ddl)	F value	P value
Delta Mean power	BLA	USV	1,13	17.97	1x10 <sup>-3**</sup>
		Behavior		11.26	5x10 <sup>-3**</sup>
		USVxBehavior		0.62	0.4
	mPFC	USV	1,20	17.56	5x10 <sup>-4***</sup>
		Behavior		5.70	3x10 <sup>-2*</sup>
		USVxBehavior		9.28	6x10 <sup>-3*</sup>
	PIR	USV	1,19	13.25	2x10 <sup>-3**</sup>
		Behavior		33.22	1x10 <sup>-5***</sup>
		USVxBehavior		0.15	0.7
Theta Mean power	BLA	USV	1,13	18.82	8x10 <sup>-4***</sup>
		Behavior		28.43	1x10 <sup>-4***</sup>
		USVxBehavior		0.01	0.9
	mPFC	USV	1,20	27.77	4x10 <sup>-5***</sup>
		Behavior		114.73	1x10 <sup>-5***</sup>
		USVxBehavior		2.13	0.2
	PIR	USV	1,19	0.01	0.9
		Behavior		61.99	1x10 <sup>-5***</sup>
		USVxBehavior		0.70	0.4

Oscillatory Band	Structure	Silent Freezing vs Silent Escape	USV Freezing vs USV Escape	Silent Freezing vs USV Freezing	Silent Escape vs USV Escape
Delta Mean power	BLA	3x10 <sup>-2*</sup>	0.1	5x10 <sup>-3*</sup>	6x10 <sup>-3*</sup>
	mPFC	1x10 <sup>-3***</sup>	0.7	0.1	7x10 <sup>-5***</sup>
	PIR	8x10 <sup>-4**</sup>	1x10 <sup>-3*</sup>	3x10 <sup>-2*</sup>	1x10 <sup>-4***</sup>
Theta Mean power	BLA	7x10 <sup>-6***</sup>	8x10 <sup>-4*</sup>	2x10 <sup>-2*</sup>	1x10 <sup>-4***</sup>
	mPFC	1x10 <sup>-6***</sup>	1x10 <sup>-6***</sup>	6x10 <sup>-3*</sup>	1x10 <sup>-4***</sup>
	PIR	1x10 <sup>-6***</sup>	1x10 <sup>-3**</sup>	0.7	0.5

Table 1

	Silent Escape	USV Escape	Silent Freezing	USV Freezing
<b>BLA</b>	0.062±0.005	0.064±0.005	0.062±0.005	0.063±0.004
<b>mPFC</b>	0.062±0.003	0.064±0.003	0.063±0.003	0.064±0.003
<b>PIR</b>	0.087±0.010	0.099±0.007	0.077±0.007	0.079±0.013

Structure	Factor	F (ddl)	F value	p value
<b>BLA</b>	USV	1,13	2.24	0.2
	Behavior		0.11	0.7
	USVxBehavior		0.40	0.5
<b>mPFC</b>	USV	1,20	11.60	3x10 <sup>-3**</sup>
	Behavior		0.53	0.5
	USVxBehavior		2.43	0.1
<b>PIR</b>	USV	1,19	9.04	7x10 <sup>-3*</sup>
	Behavior		6.06	2x10 <sup>-2*</sup>
	USVxBehavior		9.58	6x10 <sup>-3*</sup>

Structure	Silent Freezing vs Silent Escape	USV Freezing vs USV Escape	Silent Freezing vs USV Freezing	Silent Escape vs USV Escape
<b>BLA</b>	0.9	0.6	0.2	0.3
<b>mPFC</b>	0.1	0.9	1x10 <sup>-2*</sup>	1x10 <sup>-2*</sup>
<b>PIR</b>	0.1	1x10 <sup>-2*</sup>	0.5	2x10 <sup>-3**</sup>

Table 2



Structure	Factor	F (ddl)	F value	p value
BLA	USV	1,13	1.53	0.2
	Behavior		78.54	1x10 <sup>-5***</sup>
	USVxBehavior		6.99	2x10 <sup>-2*</sup>
mPFC	USV	1,20	0.80	0.4
	Behavior		59.79	1x10 <sup>-5***</sup>
	USVxBehavior		15.69	8x10 <sup>-4**</sup>
PIR	USV	1,19	6.29	2x10 <sup>-2*</sup>
	Behavior		31.94	2x10 <sup>-5***</sup>
	USVxBehavior		11.74	3x10 <sup>-2*</sup>

Structure	Silent Freezing vs Silent Escape	USV Freezing vs USV Escape	USV Freezing vs Silent Freezing	USV Escape vs Silent Escape
BLA	1x10 <sup>-6***</sup>	2x10 <sup>-5***</sup>	0.1	2x10 <sup>-2*</sup>
mPFC	5x10 <sup>-6***</sup>	1x10 <sup>-6***</sup>	3x10 <sup>-2*</sup>	9x10 <sup>-3*</sup>
PIR	6x10 <sup>-4**</sup>	4x10 <sup>-6***</sup>	0.4	9x10 <sup>-4**</sup>

Table 3

Beta band		Freezing			Escape		
Structure	Factor	F (ddl)	F value	p value	F (ddl)	F value	p value
BLA	Respiratory cycle time	39,507	2.32	2x10 <sup>-5***</sup>	39,507	2.35	1x10 <sup>-5***</sup>
	USV	1,13	2.4	0.1	1,13	0.11	0.7
	Respiratory cycle time x USV	39,507	1.05	0.4	39,507	1.29	0.1
mPFC	Respiratory cycle time	39,780	1.53	2x10 <sup>-2*</sup>	39,780	2.04	2x10 <sup>-4***</sup>
	USV	1,20	3.16	0.1	1,20		
	Respiratory cycle time x USV	39,780	1.09	0.3	39,780	1.99	0.2
PIR	Respiratory cycle time	39,741	5.72	1x10 <sup>-7***</sup>	39,741	1.56	2x10 <sup>-2*</sup>
	USV	1,19	0.15	0.70	1,19	0.62	0.4
	Respiratory cycle time x USV	39,741	2.65	4x10 <sup>-7***</sup>	39,741	1.30	0.1

Gamma band		Freezing			Escape		
Structure	Factor	F (ddl)	F value	p value	F (ddl)	F value	p value
BLA	Respiratory cycle time	39,507	11.06	1x10 <sup>-6***</sup>	39,507	7.58	1x10 <sup>-7***</sup>
	USV	1,13	0.41	0.5	1,13	3.79	7x10 <sup>-2</sup>
	Respiratory cycle time x USV	39,507	5.28	1x10 <sup>-6***</sup>	39,507	3.65	1x10 <sup>-7***</sup>
mPFC	Respiratory cycle time	39,780	11.65	1x10 <sup>-6***</sup>	39,780	22.08	1x10 <sup>-7***</sup>
	USV	1,20	1.05	0.3	1,20	6.95	2x10 <sup>-2*</sup>
	Respiratory cycle time x USV	39,780	5.03	1x10 <sup>-6***</sup>	39,780	5.64	1x10 <sup>-7***</sup>
PIR	Respiratory cycle time	39,741	13.36	1x10 <sup>-6***</sup>	39,741	16.11	1x10 <sup>-7***</sup>
	USV	1,19	0.27	0.6	1,19	9.03	7x10 <sup>-3*</sup>
	Respiratory cycle time x USV	39,741	7.72	1x10 <sup>-6***</sup>	39,741	4.31	1x10 <sup>-7***</sup>

Table 4