

---

*Research Article: New Research | Cognition and Behavior*

## The rat medial prefrontal cortex exhibits flexible neural activity states during the performance of an odor span task

E. De Falco<sup>1</sup>, L. An<sup>2</sup>, N. Sun<sup>2</sup>, A. J. Roebuck<sup>2</sup>, Q. Greba<sup>2</sup>, C. C. Lapish<sup>1</sup> and J. G. Howland<sup>2</sup>

<sup>1</sup>Department of Psychology, Indiana University-Purdue University Indianapolis, IN, USA

<sup>2</sup>Department of Anatomy, Physiology, and Pharmacology, University of Saskatchewan, Saskatoon, SK, Canada

<https://doi.org/10.1523/ENEURO.0424-18.2019>

Received: 30 October 2018

Revised: 13 February 2019

Accepted: 18 February 2019

Published: 4 March 2019

---

E.D.F., L.A., C.L., and J.G.H. designed research; E.D.F., L.A., N.S., A.J.R., C.L., and J.G.H. analyzed data; E.D.F., L.A., A.J.R., C.L., and J.G.H. wrote the paper; L.A., Q.G., and J.G.H. performed research.

**Funding:** <http://doi.org/10.13039/501100000024>Gouvernement du Canada | Canadian Institutes of Health Research (CIHR) 125984

**Funding:** <http://doi.org/10.13039/100000002>HHS | National Institutes of Health (NIH) AA022821

The authors declare no competing interests related to the present work.

D.F.E. and A.L. These authors contributed equally to this work

**Correspondence should be addressed to** J. G. Howland at [john.howland@usask.ca](mailto:john.howland@usask.ca) or C. C. at [lapishc@gmail.com](mailto:lapishc@gmail.com)

**Cite as:** eNeuro 2019; 10.1523/ENEURO.0424-18.2019

**Alerts:** Sign up at [www.eneuro.org/alerts](http://www.eneuro.org/alerts) to receive customized email alerts when the fully formatted version of this article is published.

Accepted manuscripts are peer-reviewed but have not been through the copyediting, formatting, or proofreading process.

Copyright © 2019 De Falco et al.

This is an open-access article distributed under the terms of the Creative Commons Attribution 4.0 International license, which permits unrestricted use, distribution and reproduction in any medium provided that the original work is properly attributed.

---

1 **The rat medial prefrontal cortex exhibits flexible neural activity states during the**  
2 **performance of an odor span task**

3  
4 De Falco E<sup>1,4</sup>, An L<sup>2,3,4</sup>, Sun N<sup>2</sup>, Roebuck AJ<sup>2</sup>, Greba Q<sup>2</sup>, Lapish CC<sup>1,\*</sup>, Howland JG<sup>2,\*</sup>

5  
6 <sup>1</sup>Department of Psychology, Indiana University-Purdue University Indianapolis, IN, USA

7 <sup>2</sup>Department of Anatomy, Physiology, and Pharmacology, University of Saskatchewan,  
8 Saskatoon, SK, Canada

9 <sup>3</sup>Present address: Medical College of Acupuncture-Moxibustion and Rehabilitation,  
10 Guangzhou University of Chinese Medicine, 510006 Guangzhou, China

11 <sup>4</sup>These authors contributed equally to this work

12  
13 \* Corresponding authors:

14  
15 Health Sciences Building  
16 107 Wiggins Rd  
17 Saskatoon, SK, Canada  
18 S7N 5E5  
19 (t) 306.966.2032  
20 john.howland@usask.ca

21  
22 402 North Blackford St  
23 Indianapolis, IN, USA  
24 46202  
25 (t) 317.274.6931  
26 lapishc@gmail.com

27  
28  
29 Running title: Medial prefrontal cortex neural activity and odor span...

30  
31 Number of figures: 7

32 Number of tables: 1

33 Number of words Abstract: 180

34 Number of words Introduction: 772

35 Number of words Discussion: 1471

36  
37  
38 **Funding:** This work was supported by a CIHR Open Operating Grant (#125984) and  
39 NSERC Discovery Grant to JGH and by the National Institutes of Health grants AA022821,  
40 AA023786, and P60-AA007611 to CCL. LA was supported by a Saskatchewan Health  
41 Research Foundation Fellowship, NS was supported by the College of Medicine (University  
42 of Saskatchewan), AJR was supported by an NSERC CREATE scholarship.

43

---

44 **Competing Interests:** The authors declare no competing interests related to the present  
45 work.

46

47 **Acknowledgements:** We thank Jillian K. Catton and Alex Senger for technical assistance  
48 with this project and Jeremy K. Seamans and James M. Hyman for comments on the  
49 manuscript.

50

51

---

52 **Abstract**

53 Medial prefrontal cortex (mPFC) activity is fundamental for working memory (WM), attention,  
54 and behavioral inhibition; however, a comprehensive understanding of the neural  
55 computations underlying these processes is still forthcoming. Towards this goal, neural  
56 recordings were obtained from the mPFC of awake, behaving rats performing an odor span  
57 task of WM capacity. Neural populations were observed to encode distinct task epochs and  
58 the transitions between epochs were accompanied by abrupt shifts in neural activity patterns.  
59 Putative pyramidal neuron activity increased earlier in the delay for sessions where rats  
60 achieved higher spans. Furthermore, increased putative interneuron activity was only  
61 observed at the termination of the delay thus indicating that local processing in inhibitory  
62 networks was a unique feature to initiate foraging. During foraging, changes in neural activity  
63 patterns associated with the approach to a novel odor, but not familiar odors, were robust.  
64 Collectively, these data suggest that distinct mPFC activity states underlie the delay,  
65 foraging, and reward epochs of the odor span task. Transitions between these states enable  
66 successful performance in dynamic environments placing strong demands on the substrates  
67 of working memory.

68

69 **Significance Statement**

70 Working memory capacity is altered in psychiatric disorders including schizophrenia. In the  
71 present manuscript, we describe activity states of neurons in medial prefrontal cortex as  
72 well-trained rats perform the odor span task, which has been nominated as a task suitable  
73 for studying working memory capacity in rodents. Our results demonstrate dynamic and  
74 flexible activity patterns during different epochs of the task (i.e., the delay period, foraging,

---

75 reward collection, and after making errors). Our results bolster contemporary theories of the

76 medial prefrontal cortex exhibiting metastable dynamics in complex environments.

77 Disruptions in these dynamics may underlie some cognitive symptoms of schizophrenia,

78 including reduced working memory capacity.

79

80

---

## 81 **Introduction**

82 Working memory (WM) refers to the ability to hold and manipulate information on-line  
83 during a delay for future use. Understanding the neurobiological bases of WM is critical as  
84 deficits in WM are a central cognitive symptom of brain disorders including schizophrenia  
85 (Barch and Smith 2008; Barch et al. 2012). WM can be broadly parsed into domains  
86 including goal maintenance, interference control, and capacity (Barch and Smith 2008;  
87 Barch et al. 2012). Each of these domains requires several cognitive functions, such as  
88 planning, executive control, resistance to distraction, task monitoring, and memory.  
89 Electrophysiological recordings performed in animals engaged in WM tasks have identified  
90 the types of computations that brain regions or networks contribute to these functions  
91 (Constantinidis et al. 2018; Lundqvist et al. 2018). Prior work indicates that optimal working  
92 memory performance is accompanied by ensembles of medial prefrontal cortex (mPFC)  
93 neurons that track the various requirements of a task (e.g., epochs, rules) (Lapish et al. 2008,  
94 2015; Durstewitz et al. 2010; Del Arco et al. 2017) which may facilitate performance  
95 monitoring and error detection in these networks (Hyman et al. 2017). Trial-specific  
96 information is also thought to be maintained over a delay for optimal performance of WM  
97 tasks. The role of the mPFC in the maintenance of information across a delay has been  
98 extensively interrogated and two hypotheses have emerged. Initially, the identification of  
99 neurons that are persistently active during the delay suggested that mPFC may serve as a  
100 “buffer” to temporarily hold information (Goldman-Rakic 1996; Funahashi 2015). However,  
101 this view has evolved to suggest that mPFC is more important for directing cognitive  
102 resources/attention toward relevant neural circuits that likely play a defined role in the

---

103 stimulus maintenance (Curtis and D'Esposito 2003; Postle 2006; Tsujimoto and Postle 2012;  
104 Lara and Wallis 2015). For example, we found that dynamic changes in theta power (mPFC  
105 and hippocampus) and increased mPFC unit phase locking to hippocampal theta during the  
106 delay period of a spatial WM task predicted subsequent performance during the test phase  
107 of the spatial WM task (Myroshnychenko et al. 2017). Therefore, the goal of this study was  
108 to further understand the contributions of the rodent mPFC to WM by measuring neural  
109 activity in a validated measure of WM, the odor span task.

110       Recently, the NIH-initiated Cognitive Neuroscience Treatment Research to Improve  
111 Cognition in Schizophrenia group nominated the odor span task (OST) for assessing WM  
112 capacity in rodents (Dudchenko et al. 2000, 2013; Young et al. 2007). The OST is an  
113 incremental nonmatching-to-sample task that closely resembles human working memory  
114 tasks that assess span, a rare characteristic for rodent WM tasks (Dudchenko et al. 2013).  
115 While performing the OST, rodents are required to dig for food rewards in scented bowls  
116 (Figure 1-B) and typically achieve spans of 8 to 15 odors in the task, although higher spans  
117 can be attained under some conditions. Performance of the OST depends on a distributed  
118 neural circuit including mPFC and dorsomedial striatum but not the hippocampus or  
119 posterior parietal cortex (Dudchenko et al., 2000; Davies et al. 2013, 2017; Scott et al. 2018).  
120 Span is also impaired by manipulations related to schizophrenia including treatment with  
121 NMDA receptor antagonists (Davies et al. 2013, 2017; Rushforth et al. 2011; Galizio et al.  
122 2013) and maternal immune activation during pregnancy (Murray et al. 2017). However, to  
123 date, no studies have assessed the patterns of neural activity underlying OST performance.

---

124 Given the critical role of mPFC in the OST and the task's similarity to human span tasks,  
125 we measured patterns of mPFC neural activity during performance of the OST in well-trained  
126 rats. In order to identify changes in neural activity required for optimal performance of the  
127 task, high span versus low spans sessions were analyzed during three task epochs: 1) the  
128 delay period, 2) the foraging period, and 3) the reward (or error) period. We predicted that  
129 delay-period activity of mPFC neurons recorded during the OST would predict span length in  
130 the OST. During foraging in the OST, rats approach familiar and novel bowls and sample the  
131 odors in exactly the same manner; however, when a novel odor is detected, they dig to  
132 retrieve the food reward. Thus, inhibition of digging is critical for performance of the task,  
133 particularly as the number of stimuli on the platform increases and the rats visit more familiar  
134 bowls during a bout of foraging. As proactive inhibition of motor responses is a recently  
135 described function of mPFC (prelimbic region) (Hardung et al. 2017), we anticipated to  
136 detect an inhibition signal during the foraging period. Finally, previous studies in decision-  
137 making tasks have shown error-related signals in mPFC neurons (Totah et al. 2009;  
138 Bissonette and Roesch 2015; Laubach et al. 2015), suggesting that this area is important for  
139 monitoring the outcome of actions during behavior. During the reward epoch of the OST,  
140 digging in the novel bowl enables retrieval of reward whereas the identical response (i.e.,  
141 digging) in a familiar bowl is an error and results in the end of the session. Thus, by directly  
142 compared neural activity in these two types of trials, we were able to generate a pure error  
143 signal. To the best of our knowledge, no other task allows for a direct assessment of WM  
144 span or capacity in this manner. Thus, our results will inform theories of mPFC function as  
145 the maximal working memory load (or capacity) is reached.

---

146 **Materials and Methods**

147 Subjects

148 Seven adult male Long-Evans rats (220-250 g at arrival, Charles River, Quebec, Canada)  
149 were used. Rats were paired housed for one week in standard ventilated plastic cages on a  
150 12 h light/dark cycle (lights on at 07:00). Food and water were available *ad libitum*. Rats  
151 were switched to individual cages, food restricted, and handled for approximately 5 min per  
152 day for 5 days before training commenced. Body weight was maintained at 85-90% of free  
153 feeding weight throughout the behavioral tasks. All experiments were performed according  
154 to the Canadian Council on Animal Care and were approved by the University of  
155 Saskatchewan Animal Research Ethics Board.

156

157 Behavioral apparatus

158 Training and testing occurred on a 91.5 cm<sup>2</sup> black corrugated plastic platform with a 2.5 cm  
159 tall border. The platform was fastened to a metal frame with casters attached and stood 95  
160 cm above the floor. It was surrounded by a beige curtain to block visual cues in the testing  
161 room. A Plexiglas box with a swinging door was placed in one corner of platform. Rats  
162 began each session in the box and were trained to go back to the box after obtaining reward  
163 for the delay period. The door was opened when trials started and closed when rats ran back  
164 into the box. Pieces of Velcro were equally spaced along the edge of the platform and used  
165 to fasten the sand filled bowls to the platform and stop the rats from spilling the sand. The  
166 bowls for a given trial were placed randomly on the pieces of Velcro. Odors were mixed in  
167 Premium Play Sand (Quikrete Cement and Concrete Products, Atlanta, GA) and then placed

---

168 in white porcelain bowls (4.5 cm high, 9 cm in diameter) on the platform as needed for each  
169 trial. Sand (100 g) was scented by mixing 0.5 g of a single dried spice purchased from a  
170 local grocery store allspice, anise seed, basil, caraway, celery seed, cinnamon, cloves (0.1  
171 g), cocoa, coffee, cumin, dill, fennel seed, garlic, ginger, lemon and herb, marjoram, mustard  
172 powder, nutmeg, onion powder, orange, oregano, paprika, sage, and thyme. The order of  
173 the odors used each day was selected randomly and rats were exposed to all odors many  
174 times before recordings began.

175

176 Pre-surgery training

177 Dig training: Rats were trained to dig for a food reward (Kellogg's Froot Loop) in a bowl filled  
178 with 100 g of unscented sand. Rats were placed opposite to a bowl on the platform for three  
179 separate phases. In the first phase, the food reward was positioned on top of the sand, in the  
180 second phase the food reward was incompletely buried, and in the third phase, the food  
181 reward was fully buried in the sand. Rats were trained until they would consistently dig for  
182 the food reward regardless of bowl position on the platform. This phase of training took 6–9  
183 days to complete.

184 Delayed non-matching-to-sample (DNMS) task: In the sample phase (Trial 0), the rat was  
185 presented with a bowl of scented sand randomly positioned on the platform. Once the rat  
186 retrieved the Froot Loop, it was gently guided back to the box for the delay (40 s). In the  
187 choice phase of the trial (Trial 1), the previously presented bowl was randomly re-positioned  
188 and a second bowl with a different odor was placed on the platform. A correct choice to  
189 obtain reward was recorded when rats dug into the bowl containing the novel odor. Rats

---

190 moved on to the odor span task when they made 5 correct responses in 6 trials for 3 days.  
191 Odor span task (OST): Trials of the OST (Figure 1-B) were run as described for DNMS task  
192 except that bowls with novel odors were added in subsequent trials until rats made an error  
193 (i.e., dug in any of the bowls except the novel one). The delay was maintained at 40 s.  
194 Aspects of the protocol were designed to minimize the influence of extraneous factors on  
195 task performance and neuronal activity. Once the rat was in the holding box, bowls for the  
196 subsequent trial were positioned on the platform out of the view of the rat. To prevent spatial  
197 cues from influencing performance, previous bowls were randomly positioned for each  
198 subsequent trial. Once rats achieved a span of 7 for two training days (8–16 days of training),  
199 the electrode array was implanted.

200

201 Electrode implantation

202 Probes were custom built and consisted of a 4 x 8 matrix of tungsten wires (25  $\mu$ m,  
203 California Fine Wire, Gower Beach, CA) in 35 Ga silica tubing (World Precision Instruments,  
204 Sarasota, FL). They were then attached via gold pins to an EIB-36-PTB board (Neuralynx,  
205 Bozeman, MT). Impedance to 200-600 k $\Omega$  measured at 1 kHz (NanoZ, White Matter LLC,  
206 Seattle, WA). Before surgery, rats were anesthetized with isoflurane, placed in a stereotaxic  
207 apparatus, and the dorsal surface of the skull was exposed. Four or 5 jeweler's screws were  
208 threaded into the skull. Electrode arrays were then slowly lowered into medial prefrontal  
209 cortex (AP + 3.5–3.8 mm, ML  $\pm$  0.5 mm to the bregma, DV - 3.5 mm from the dorsal surface  
210 of the brain). A stainless-steel wire served as the ground and was soldered onto one of the  
211 skull screws dorsal to the cerebellum. Dental acrylic was used to secure the electrode array

---

212 to the skull and screws. Rats were treated with Anafen immediately following surgery and  
213 allowed to recover for 14 days before being retrained on the OST.

214

215 Electrophysiological recordings

216 Rats were re-trained on the OST until their spans were higher than 4 for 2 days in row. Once  
217 this criterion was met, electrophysiological recordings were initiated during daily OST  
218 sessions. Rats were connected to a Digitalynx recording system controlled by Cheetah  
219 acquisition software (Neuralynx). Unit signals were recorded via a HS-36 unit gain  
220 headstage mounted on animal's head by means of lightweight cabling that passed through a  
221 commutator (Neuralynx). Unit activity was amplified, sampled at 32 kHz, and bandpass  
222 filtered at 600–6,000 Hz. Local field potentials (LFPs) were sampled at 32 kHz and filtered at  
223 0.1–9,000 Hz from each electrode. To verify the stability of recording, unit activity was  
224 recorded for about 15 min before and after the behavioral session. Behavior of the rats  
225 during the OST was monitored by a camera mounted to the ceiling with the experimental  
226 time superimposed on the video for offline analysis by a trained observer. Timestamps  
227 corresponding to trial start (when hind paws exited the Plexiglas box), delay start (re-entry to  
228 the Plexiglas box), familiar approach, novel approach, dig (forepaws contacting the sand),  
229 reward, and errors were recorded for each OST session.

230

231 Histological verification of electrode positions

232 After the completion of all recording sessions, rats were deeply anesthetized with isoflurane,  
233 electrode positions were marked by electrolytic lesions (10  $\mu$ A current for 10 s), and then the

---

234 rats were perfused transcardially with physiological saline followed by 10% formalin. Brains  
235 were removed and post-fixed in a 10% formalin-10% sucrose solution. Brains were  
236 sectioned on a sliding microtome and infusion sites were determined using standard  
237 protocols with reference to a rat brain atlas (Paxinos and Watson 2006).

238

239 Unit isolation and criteria

240 Spike sorting was performed offline with SpikeSort 3D, using a combination of KlustaKwik  
241 and manual procedures. Multiple parameters (spike height, trough, and energy) were used  
242 to visualize the clustered waveforms. Each cluster was then checked manually to ensure  
243 that the cluster boundaries were well separated, and waveform shapes were consistent with  
244 action potentials. Refractory period violations, which were identified as spikes with an  
245 interspike interval of  $< 1$  ms, were also assessed. Using this criterion, none of the cells in the  
246 data set contained more than 0.9% of violations, and 22.2% of cells contained between 0.1%  
247 and 0.9% of violations. As electrodes were fixed, it must be acknowledged that units come  
248 from overlapping populations and individual units may have been sampled during more than  
249 one span session.

250

251 Data analysis

252 Data analyses were conducted with custom routines in Matlab (MathWorks, Natick, MA).

253 Number of familiar bowls approached: As the number of bowls available increases, we

254 expected the total number of bowls visited per trial to increase too. To verify this, we

255 counted, on each trial, the number of familiar bowls approached prior to a correct dig and

256 compared it with the statistically expected number of explorations (Figure 1-E), obtained as  
 257 follows. Given  $N$  bowls of which only 1 is novel, and hypothesizing that once a bowl has  
 258 been explored, the rat would not come back to it (no replacement), the expected number of  
 259 explorations before finding the correct bowl is the sum of possible exploration numbers  
 260 weighted by their probabilities:

$$E(N) = \sum_{i=1}^{N-1} i \cdot Pf_{i-1} \cdot Pc_i$$

261 where  $Pf_i$  is the probability of having failed all the explorations up the  $i$ -th, and  $Pc_i$  is the  
 262 probability of finding the correct bowl on the  $i$ -th exploration. Note that the sum stops at  $N-1$   
 263 because we are only counting the explorations prior to the correct dig. By substituting the  
 264 probabilities in the equation, the expected number of explorations can be written as:

$$E(N) = \sum_{i=1}^{N-1} i \cdot \frac{N - (i - 1)}{N} \cdot \frac{1}{N + 1 - i} = \sum_{i=1}^{N-1} \frac{i}{N} = \frac{N - 1}{2}$$

265 where we used the known sum of the series of natural numbers in the last step.

266 Task normalized firing rates: For each correct trial, the three main epochs of the task (Delay,  
 267 Foraging, and Reward epoch) were identified through the four behavioral timestamps: Delay  
 268 start; Delay end; Correct dig; and End of trial (the latter corresponding to the Delay start  
 269 marker of the following trial). A fixed percentage of trial completion was associated to each  
 270 epoch by assigning a specific number of time bins ( $N$ ) to it. Specifically, 70 time bins were  
 271 assigned to the delay epochs, 20 to the foraging epochs, and 10 to reward epochs, for a  
 272 total of 100 bins (see Figure 2-B2). The spike trains in each trial occurring between the  
 273 beginning ( $T_{j1}$ ) and end time ( $T_{j2}$ ) of a specific epoch  $j$  were binned into  $N_j$  time intervals of  
 274 duration  $t_j$ :

---

$$t_j = \frac{T_{j2} - T_{j1}}{N_j}$$

275

276 For a given neuron  $i$ , the firing rate in Hz along epoch  $j$  was obtained by dividing its  
277 corresponding bin counts for the time interval  $t_j$ :

278

$$FR_{ij} = \frac{BinCounts_{ij}}{t_j}$$

279

280 The single neuron's firing rates across all epochs and trials were then z-score normalized.  
281 Finally, for each neuron, a task-normalized firing trace describing its mean activity during the  
282 execution of the task, was obtained by averaging across trials. The task-normalized firing  
283 rates on the error trials were obtained following a similar procedure, replacing the Correct  
284 Dig marker with the Error dig one, and setting the End of Trial marker at 10 s after the error  
285 dig. A separate analysis was performed using a fixed binning procedure to ensure that the  
286 results reported herein were not attributable to the task-normalized binning procedure (data  
287 not shown). Comparisons between the fixed and task normalized binning procedures lead to  
288 identical conclusions.

289 Waveform-based classification putative interneurons and pyramidal neurons: To separate  
290 putative interneurons from pyramidal neurons, single units were classified based on their  
291 average action potential (AP) waveforms using a clustering protocol proposed by (Ardid et  
292 al., 2015). For each of the 382 single units considered, action potentials were averaged and  
293 normalized in amplitude between -1 and 1. Each average waveform was interpolated with a  
294 cubical spline (from 32 original samples to 320 interpolated samples over 1ms time interval).

---

295 Two features of the resultant waveform were then measured: the peak-to-trough duration  
296 and the time for repolarization (time, after the peak, to reach 25% of peak amplitude). Using  
297 principal component analysis (PCA), we integrated these two features into the first principal  
298 component (explaining 84% of total variance). The distribution of first components was  
299 tested for bimodality using a calibrated Hartigan's dip test (Cheng and Hall 1998) ( $D(152) =$   
300  $0.036$ ,  $p=6.2 \times 10^{-3}$ ). We fit the distribution with two Gaussian models and defined cutoffs to  
301 separate the two groups of narrow and broad waveforms (see Figure 2-C1). The two cutoffs  
302 were defined as the points at which the likelihood to belong to a group was 10 times larger  
303 than the likelihood to belong to the other one. Neurons with a principal component value  
304 smaller than the first cutoff (narrow waveforms) were classified as putative interneurons (pln),  
305 while neurons with values larger than the second cutoff (broad waveforms) were classified  
306 as putative pyramidal cells (pPy). Neurons with a first component value falling between the  
307 two cutoffs were initially left unclassified. In several cases the AP waveform did not reach the  
308 repolarization threshold within the number of samples stored. Those cells were subsequently  
309 classified based only on their peak-to-trough duration which was compared to the peak-to-  
310 trough distributions for the classified waveforms (the peak-to-trough value had to exceed 5%  
311 confidence interval of the class distribution to be included in that class). Based on their  
312 average waveform (Figure 2-C2), the initially unclassified cells were subsequently merged  
313 with the pPy group. When looking at the firing rates of cells in each of the two classes  
314 (Figure 2-C3 and 2-D), we found that, as expected, the pln population exhibited higher firing  
315 rates than the pPy one (Kolmogorov-Smirnov test,  $D(321,61)=0.30$ ,  $p=1.1 \times 10^{-4}$ ), and higher  
316 Fano factors (Kolmogorov-Smirnov test,  $D(321,61)=0.30$ ,  $p=9.8 \times 10^{-5}$ ).

---

317 The task-normalized firing rates for plns and pPys were compared through a 2-way  
318 analysis of variance (2-way ANOVA), where the interaction of cell class (pIn or pPY) and  
319 percentage of task completed (bins spacing from 1 to 100) was tested (interaction cell class  
320 x time,  $F(99,38000) = 3.02$ ,  $p = 8.4 \times 10^{-22}$ ). The firing rate between plns and pPys at specific  
321 times were compared by re-binning the time-normalized data in 33 bins and differences in a  
322 given time bin were detected via FDR-corrected rank-sum tests (Figure 2-D).

323 Identification of neural activity patterns via PCA: A principal component analysis was  
324 performed on the matrix of mean firing rates ( $F$ ). Each column of the matrix  $F$  (100x382)  
325 contained the firing rate of a single neuron across the 100 time bins defining a trial of the  
326 task. We considered the first three principal components (PC) obtained, which, together,  
327 explained 56% of the total variance. The choice of considering three PC components was  
328 made to match our qualitative observations of the un-normalized firing patterns, and a  
329 broken stick model fitted on the cumulative explained variance of the first 30 PCs (Figure 3-A,  
330 right panel) confirmed that this was a reasonable threshold to separate the most informative  
331 components. The projection of the original data along the first three principal eigenvectors  
332 (Figure 3-A) identified the main neural patterns in our data. The task-normalized firing rates  
333 for the whole neural population were sorted according to their loadings on each of the first  
334 three PC's (Figure 3-B). The loadings on each of the first three PC's for pPys and plns were  
335 compared using a Kolmogorov–Smirnov test (Figure 3-C).

336

337 Clustering of pyramidal neurons: In the PCA each cell receives a score (i.e., loading) for  
338 each PC, and therefore when classifying neurons based on a loading threshold it is possible

---

339 for a neuron to be included in > 1 class. The goal of clustering pyramidal neurons based on  
340 their loadings was to group neurons into one class only for analyses. For this, PCA was  
341 applied to the task-normalized firing rates from the 321 identified pPy's. Collectively, the first  
342 three PC's explained 50.5% of the total variance and the respective loadings for each  
343 neuron were used as features in a k-means clustering algorithm. The optimal number of  
344 clusters was identified using the Akaike Measure of Information (Akaike, 1974) adapted for  
345 k-means algorithm (Goutte et al. 2001) and defined as:

346

$$AIC = \ln(\hat{L}) - (KQ + 1)$$

347

348 where the first term is the log-likelihood of the model (in our case, the specific clusters  
349 resulting from the k-means procedure), K is the number of clusters, and Q is the  
350 dimensionality (or number of features, 3 in our case). K-means algorithms can be considered  
351 as a form of Expectation Maximization algorithm for a Gaussian mixture model with equal  
352 weights and isotropic variances. The likelihood of our model was then estimated from the  
353 classification likelihood of each point  $u_j$ , and it can be summarized in the equation:

354

$$\hat{L} = \prod_j^N \frac{1}{\sqrt{2\pi\sigma^2}} \cdot \exp\left[\frac{-1}{2} \frac{(u_j - \mu_{k_j})^2}{\sigma^2}\right]$$

355

356

357 Where  $N$  is the total number of elements to classify,  $\sigma^2$  is the average within-cluster variance  
358 calculated on all clusters, and  $\mu_{k_j}$  is the centroid of cluster  $k$  to which  $u_j$  is assigned. The AIC

---

359 was calculated for values of  $k$  from 1 to 30 (Figure 5-A1), and a broken stick model was then  
360 used to select the number of clusters  $K=4$  that optimally balanced information and  
361 compression.

362 Familiar vs novel odor approaches: Neural activities associated with approaches to familiar  
363 and novel odors were compared. Spike trains in a time interval of 4 s around each approach  
364 event (from -2 to 2 s) were binned in 40 intervals (0.1 s each). Events closer than 2 s to each  
365 other, to the end of delay marker, or to an error event were discarded. For each neuron, the  
366 firing rates obtained were normalized by the mean firing rate of the unit, and then averaged  
367 across all familiar approach events (mean familiar firing rate, fFR), and across all novel  
368 approach events (mean novel firing rate, nFR). Neurons with a median number of spikes  
369 around the approach events smaller than 2 or with less than 6 trials available for both types  
370 of approaches were discarded, leaving  $N=188$  neurons available for the following analysis.  
371 Firing rates were smoothed using a moving average with a span of 5 bins. PCA was applied  
372 to the concatenated firing rate matrices ( $N \times 80$ , where the first 40 columns contained the  
373 fFR's and the last 40 columns contained the nFR's). From the projections of firing rates  
374 along the first three PC's we obtained the trajectories and speeds of the whole neural  
375 population around both familiar and novel approaches in the PC space (Figure 6-A). For the  
376 following analysis we only included time bins up to 0.3 s after an approach. This was done to  
377 avoid contamination in the activity coming from either the dig or the reward; a correct dig  
378 happened before 0.3 s from a novel approach only in 1.8% of the trials considered (15 out  
379 823). By concatenating the matrices vertically ( $2N \times 23$ ), a second PCA provided 2 loading  
380 coefficient sets for each neuron (one for familiar and one for novel approaches). Firing rates

---

381 for the positive and negative loaders on each PC for the two approaches were grouped and  
382 averaged (Figure 6-B). Distribution of absolute loadings on the first 3 PC's for the two  
383 approaches were compared by means of the Kolmogorov-Smirnov test (Figure 6-C).

384 Incorrect choice trials: In 67 out of the 77 sessions considered for analysis an incorrect  
385 choice trial was also recorded. Incorrect choices occurred when the animal dug into a non-  
386 novel odor bowl and it resulted in the session ending. Firing activity during a single incorrect  
387 trial was available for each of the 237 pyramidal neurons recorded from these sessions.

388 Task-normalized firing rates for the incorrect trials were obtained between the four  
389 behavioral timestamps: Delay start; Delay end; Error dig; and End of trial and arranged in a  
390 matrix of size 237x100, where each row corresponded to the activity of a single neuron. For  
391 the same neurons, a random correct trial was selected for comparison, and the related task-  
392 normalized firing rates were arranged in a second matrix of size 237x100. The two matrices  
393 were concatenated row wise and PCA was applied to the resulting 237x200 matrix. PCA  
394 space trajectories and speeds of the neural population on correct and incorrect trials were  
395 then obtained from the projections of firing rate matrices along the first three PC's, which  
396 together explained 38.5% of the variance. Note that a single correct trial was selected for  
397 this procedure to keep the signal's noise comparable in the two matrices. As a control for  
398 possible effects due to the trial's order, we also tried to select the random correct trial among  
399 the last 5 correct trials. PCA space trajectories obtained in this case were similar to those  
400 obtained with the unconstrained selection of the random correct trial. Task-normalized firing  
401 rates for the 237 pPys were sorted according to their loadings on PC3 (Figure 7-B). Firing  
402 rates for the top 30% positive loaders on PC3 were compared in the two conditions (correct

---

403 and incorrect trials) through a 2-way analysis of variance. The firing rates at specific times  
404 were compared via FDR-corrected rank-sum tests, as described for Figure 2C. Similarly,  
405 neural activity trajectories during trial progression (Figure 7-A) for 125 pPys (from sessions  
406 with a span length of at least 9), were obtained by PCA on averaged pairs of consecutive  
407 trials (sliding window from 1 to 9, with a window length of 2).

408

## 409 **Results**

### 410 **Task normalization and the classification of neurons**

411 A timeline of the experiment is illustrated in Figure 1-A. The OST, detailed in Figure 1-B,  
412 is designed to assess working memory capacity in rodents (Dudchenko et al. 2000). For  
413 each experimental session, the number of novel odors correctly identified (span length) is a  
414 measure of memory performance. In this experiment, 7 well-trained rats were implanted with  
415 mPFC electrodes and participated in a total of 86 recording sessions (see Materials and  
416 Methods and Table 1). The span length distribution across all recording sessions (Figure 1-C)  
417 was found to be bimodal; unimodality was rejected using a calibrated version of Hartigan's  
418 dip test (Cheng and Hall 1998; Ardid et al. 2015) (Calibrated Hartigan's dip test,  $D(86) =$   
419  $0.048$ ,  $p=7.2 \times 10^{-3}$ ). The local minimum between the two peaks (span = 11.5) was then taken  
420 as threshold to separate "Low" and "High" span sessions. Nine sessions with span length  
421 smaller than five were excluded from all the following analysis because of the inadequate  
422 number of trials. Performance was uniform across the cohort of animals (Figure 1-D, ANOVA  
423 test,  $F(6,79) = 1.78$ ,  $p = 0.11$ ) and across testing days (ANOVA test,  $F(18,67) = 1.22$ ,  $p =$   
424  $0.27$ ). Moreover, sessions labeled as High and Low span were equally distributed across

---

425 recording days (categorical ANOVA test,  $F(18,67) = 0.93$ ,  $p = 0.55$ ). Analyses of the rats'  
426 choices during trials from which recordings were obtained demonstrate that as the number of  
427 bowls available increased, the probability of the rat choosing the novel (i.e., correct) bowl  
428 decreased and the total number of bowls visited before a choice was made increased  
429 (Figure 1-E). In addition, the average time between approaches to bowls did not change  
430 significantly as the number of bowls available increased (ANOVA test,  $F(14,702) = 1.51$ ,  $p =$   
431  $0.1$ ), whether the rat ultimately achieved a high or low span (Figure 1-F). Thus, experimenter  
432 cues or changes in locomotor activity are unlikely to have confounded analyses among  
433 sessions. Following pre-processing and spike sorting, we identified 382 single neurons  
434 active during the 77 sessions considered for analysis.

435       As each rat is permitted to forage at its own pace, the duration of foraging varies for  
436 each trail. To overcome this problem, we employed a targeted task-normalization of the firing  
437 rates. Figure 2-B2 shows a schematic of a single trial, where specific events were aligned to  
438 specific percentages of completion: the delay epoch covered from 0% to 70% of the trial,  
439 foraging epoch from 70% to 90%, and reward epoch (including the time to go back into the  
440 cage) from 90% to 100%. Through a time-normalized binning procedure (described in  
441 Materials and Methods) we obtained, for each neuron and each trial, a task-normalized firing  
442 rate trace spacing 100 bins, from the beginning to the completion of the task. For each  
443 neuron, the resulting firing rates were z-scored and then averaged across trials. The grand-  
444 average of normalized firing rates for the whole population of 382 neurons is shown in Figure  
445 2-B1.

---

446 Neurons were classified as putative Interneurons (pIn) and putative Pyramidal cells (pPy)  
447 according to their average waveform. The waveform features considered were integrated  
448 into the first component of a PCA and two Gaussian models were fit on the distribution of  
449 first components (Figure 2-C1) to separate narrow waveforms (corresponding to plns) and  
450 broad waveforms (corresponding to pPy). Details about the classification procedure are  
451 described in Materials and Methods. Average waveforms and mean firing rate distribution for  
452 the classified cells are shown in panel C2 and C3 of Figure 2, respectively. At the end of the  
453 classification procedure 61 out of the 382 (16%) were classified as plns, while the remaining  
454 321 were classified as pPy. Firing rates across the population of plns were significantly  
455 different from firing rates across the population of pPys (2-way ANOVA, interaction cell class  
456 x time,  $F(99,38000) = 3.02$ ,  $p = 8.4 \times 10^{-22}$ ), with significant differences (FDR-corrected rank-  
457 sum,  $p < 0.05$ ) during the first 13% of the task and during the whole foraging epoch (Figure 2-  
458 D). In particular, plns exhibited a distinct pattern of activity, most prominently characterized  
459 by an increase in the average firing rate during the foraging and reward epochs compared to  
460 the delay epoch.

461

#### 462 **Neural activity patterns robustly remapped between task epochs and predicted span**

463 The most prominent neural activity patterns underlying the average firing rate profile  
464 were assessed via PCA. Figure 3-A shows the first three PCs identified. The task-  
465 normalized firing rates for the whole population of neurons were sorted according to their  
466 loadings on each of the first three PCs (Figure 3-B). From the sorted firing rates, distinct  
467 neural populations were observed whose firing rates changed together throughout the

---

468 different task epochs. In particular, groups of foraging-active neurons and delay-active  
469 neurons emerged when sorting according to the first PC (left panel of Figure 3-B); neurons  
470 active during the first part of the delay epoch (early-delay) were separated from neurons  
471 active in the second part of the delay epoch (late delay) when sorting according to the  
472 second PC (middle panel of Figure 3-B); and neurons particularly active during the reward  
473 epoch were identified by the third PC (right panel of Figure 3-B). Remapping of neural  
474 activity signaled the transition between task epochs with a sharp and abrupt transition  
475 between delay and foraging epochs, and a smaller transition between foraging and reward  
476 epochs. Interestingly, pIns loaded more heavily than pPys on the first PC (Figure 3-C, left  
477 panel, Kolmogorov-Smirnov test:  $D(321,61)=0.24$ ,  $p=4.9\times 10^{-3}$ ), indicating that interneurons  
478 mostly stayed active from the end of the delay and throughout the foraging epoch (in line  
479 with their average firing rate observed in Figure 2-D). No significant difference was observed  
480 for loadings on second and third PCs (Figure 3-C, middle and right panels, Kolmogorov-  
481 Smirnov test:  $D(321,61)=0.10$ ,  $p=0.63$  for PC2;  $D(321,61)=0.12$ ,  $p=0.37$ ).

482 We then examined the relationship between neural firing rates and odor span. Sessions  
483 were separated into high and low span (according to the threshold defined in Figure 1-C)  
484 and firing rates were compared for both pPys and pIns in the two span groups. The average  
485 firing rates ( $\pm$  SEM) separated by span group are reported in Figure 4-A and Figure 4-B for  
486 pPys and pIns, respectively. Firing rates of pPys recorded from low vs high span sessions  
487 were significantly different (2-way ANOVA, interaction span class x time,  $F(99, 31900) =$   
488  $1.72$ ,  $p=1.1\times 10^{-5}$ ), with bin-by-bin differences observed especially during the middle part of  
489 the delay epoch (FDR-correct rank-sum,  $p<0.05$ ). No significant differences were observed

---

490 in the firing rates of plns when comparing low and high span sessions (2-way ANOVA,  $F(99,$   
491  $5900) = 0.87, p=0.81$ ).

492 Differences in neural activity patterns were observed in pPys across low and high spans.  
493 Since, as seen in Figure 3-B, different neurons exhibit different firing patterns throughout the  
494 execution of the task, we asked whether specific subsets of neurons were responsible for  
495 driving the difference between low and high span seen in Figure 4-A. We used a k-means  
496 clustering approach based on PCA-features (see Materials and Methods) to identify sub-  
497 classes of pPys responding in a similar manner to the different task epochs. The ideal  
498 number of clusters ( $k=4$ ) was selected by means of the Akaike information criterion (Figure  
499 5-A1). The 3-D feature space considered for clustering (loading on the first three PCs for  
500 each neuron) and the classification results are shown in Figure 5-A2. The average firing rate  
501 ( $\pm$ SEM) for each of the four classes identified is shown in Figure 5-A3.

502 When looking at the relationship between span and firing rate for each of the subclasses  
503 identified (Figure 5-B), only Class 2 exhibited a significant difference between firing rates in  
504 the low vs the high span sessions (2-way ANOVA, interaction span class x time  $F(99, 7000)$   
505  $= 3.59, p=1.4 \times 10^{-29}$ ), localized both during the delay and the foraging epochs (FDR-correct  
506 rank-sum,  $p < 0.05$ ). Neurons in this class were increasing their activity during the second half  
507 of the delay epoch. In the high span sessions, such increases started earlier and decreased  
508 more gradually towards the end of the delay compared to the low span sessions. No  
509 significant differences between firing rates in the low and high span sessions were observed  
510 in the remaining classes (2-way ANOVA, interaction span class x time:  $F(99, 15300) = 1.23,$

---

511  $p = 0.06$  for class1;  $F(99,4700) = 0.93$ ,  $p = 0.67$  for class 3;  $F(99,4300) = 1.18$ ,  $p = 0.11$  for  
512 class 4.

513

514 **Neural activity changes upon approach to novel, but not familiar, odors**

515 During foraging, neural trajectories associated with the approach to familiar and novel  
516 odors were found to diverge right around the time of the approach (Figure 6-A). Trajectories  
517 were obtained through PCA on 188 neurons available (see Materials and Methods).

518 Pronounced changes in neural activity were observed upon approach to a novel odor, while  
519 neural patterns associated with familiar odor approaches were weaker (Figure 6-B). The

520 effect was statistically confirmed by comparing the distributions of absolute loadings on the  
521 first three PC's for familiar vs novel approaches (Figure 6-C), (Kolmogorov-Smirnov test:

522  $D(188,188)=0.22$ ,  $p=2.0\times 10^{-4}$  for PC1;  $D(188,188)=0.19$ ,  $p=2.5\times 10^{-3}$  for PC2;

523  $D(188,188)=0.15$ ,  $p=2.7\times 10^{-2}$  for PC3). Note that both the pattern classification and the

524 statistical comparison (Figure 6-B and 6-C) were performed by including only the neural

525 activity up to 0.3 s after the approach in order to avoid contamination in the activity coming

526 from the following events.

527 The divergences in neural dynamics observed in different aspects of the task (i.e.,

528 related to performance during the delay epoch and to the approach to novel odors during

529 foraging) led us to speculate whether differences in mPFC neural activity could be detected

530 on trials where the animal dug in an incorrect bowl. A PCA of correct and incorrect trials was

531 performed to address this issue (see Materials and Methods). Figure 7-A shows the neural

532 trajectories for correct (color-coded by epoch) and incorrect (black) trials in PC space. The

---

533 neural trajectories provide a qualitative assessment of the most predominant population  
534 activity patterns in mPFC that are observed across the delay, foraging, and reward epochs.  
535 For correct trials the neural activity patterns follow a circular trajectory in this space, where  
536 the neural activity patterns at the end of the trial are similar to those observed in the start of  
537 the trial, and no evident change appears as the session progresses. However, on an  
538 incorrect trial, a sharp divergence in the trajectory's direction was observed along PC3 at the  
539 beginning of the reward epoch, which corresponded to the activity of a group of neurons that  
540 started firing during this time on error trials only (top positive loaders on PC3, Figure 7-B).  
541 Firing rates for the top 30% of positive loaders on PC3 (30 pPys) were significantly different  
542 on correct vs incorrect trials (2-way ANOVA, interaction between kind of trial and time bin,  
543  $F(99, 5800) = 1.59, p=2.0 \times 10^{-4}$ ), with differences localized exclusively during the epoch  
544 following the dig (FDR-corrected rank-sum,  $p < 0.05$ , Figure 7-C). Collectively, these data  
545 indicate that a unique signal emerges in mPFC immediately upon digging in an empty bowl  
546 that corresponds to a small subset of pyramidal neurons that start firing.

547

#### 548 **Discussion**

549 The present experiment is the first to directly measure patterns of neural activity during  
550 the OST. We assessed activity in mPFC given its established role in the OST and other tests  
551 of working memory. The main findings of the study are: 1) Span lengths were bimodal and  
552 longer spans were associated with differences in neural activity of putative pyramidal  
553 neurons during the delay; 2) Sharp transitions in neural activity patterns emerge during the  
554 performance of the task that correspond to the onset of each behavioral epoch; 3) A

---

555 transition was especially pronounced at the beginning of the foraging epoch where a group  
556 of putative interneurons were transiently and robustly active; 4) During foraging, neural  
557 activity patterns in putative pyramidal neurons were more robust during approach/digging of  
558 a novel than a familiar bowl; 5) A group of putative pyramidal neurons becomes active  
559 following an incorrect choice. Collectively, these data highlight the rich and evolving  
560 dynamics in mPFC that emerge throughout the performance of the OST. Therefore, the  
561 contribution of the mPFC to the OST is likely broad and diverse and not limited to the  
562 maintenance of a working memory.

563

564 **Neural activity at the termination of the delay correlates with span capacity**

565 Our analyses of mPFC neural firing during the OST revealed complex patterns of neural  
566 activity that evolved throughout each of the epochs. Neural activity was increased in a  
567 subpopulation of putative pyramidal neurons during the delay, which then decreased sharply  
568 at the beginning of the foraging epoch (Figure 3, 5-B). Similar increases in mPFC delay  
569 activity that also predicted task performance have been reported in other spatial WM tasks  
570 (Myroshnychenko et al. 2017). In the current study, neural recordings were acquired in well-  
571 trained rats that likely anticipate the end of the delay, therefore, these changes in activity  
572 may reflect preparation for foraging. In addition, we observed that on high span trials, neural  
573 activity patterns at the end of the delay progressively became more similar to neural activity  
574 patterns observed during foraging (Figure 4-A, 5-B). This phenomenon may provide a  
575 smooth transition of the network to the foraging state and possibly facilitate the maintenance  
576 of information across the transition of the delay to the foraging epoch.

---

577 Putative interneurons were identified by their extracellular waveforms and most  
578 predominantly positively loaded on PC1 (Figure 2,3). Interneurons exhibited a rapid and  
579 pronounced increase in activity during the transition from the delay epoch to foraging epoch.  
580 This increase in activity was commensurate with increases in activity of a subpopulation of  
581 putative pyramidal neurons during this time as well. However, this was the only time during  
582 the task when transient increases in interneuron activity were detected, thus raising the  
583 question of what types of computations they facilitate during the transition from the delay to  
584 foraging epochs. During the foraging epoch, animals are required to sample different odors  
585 and refrain from digging in ones previously encountered. Effective execution of this part of  
586 the task requires that a representation of the previously visited bowls be held online in  
587 memory. This is extremely memory intensive and requires that between 4 and 17 odors be  
588 accessible in memory. Retention of these items in memory would be facilitated by a neural  
589 code that is flexible (e.g., it can be readily activated and inactivated) and highly dimensional  
590 (e.g., has high capacity). Maintaining a tight balance between excitation and inhibition in  
591 cortical networks has been suggested to facilitate an efficient yet high capacity coding  
592 scheme (Denève and Machens 2016). Therefore, we hypothesize that the concomitant  
593 increases in pyramidal and interneuron firing provide a network state that is capable of  
594 facilitating the transient maintenance of potentially large memory sets, such as those  
595 required to hold previously encountered odors in working memory. While there is precedent  
596 for this idea (Harvey et al. 2012; Lim and Goldman 2013, 2014), a more direct test of this  
597 hypothesis will provide critical clues as to how mPFC flexibly adapts to meet the  
598 computational needs of a given behavior.

---

599

600 **Neural activity in mPFC signals approach to novel, but not familiar, odors**

601 The OST also has elements of a test of novelty detection whereby responding must be  
602 inhibited to familiar odors and then initiated (i.e., a dig) whenever a novel odor is detected.

603 This pattern of responding requires maintenance of 'familiarity' for odors that have been  
604 experienced during the daily session and inhibition of digging when they are approached.

605 We did not find evidence that a familiarity signal and/or inhibition of digging signal is  
606 maintained in mPFC on approaches to familiar odors. This was a surprising observation  
607 given reports of deficits in response inhibition following lesions of the mPFC (Miller and  
608 Cohen 2001; Fuster 2008; Chudasama 2011; Dalley et al. 2011). This suggests that errors in  
609 the OST following lesions of the mPFC may not be associated with computational processes  
610 required to inhibit behavior but rather incorrectly identifying an odor as novel.

611 Neural activity was strongly modulated during approach to a novel odor. This could be  
612 interpreted as a novelty signal which then triggers a dig in the correct bowl. The anterior  
613 cingulate cortex in humans/primates is proposed to code associations between rewards and  
614 actions, and in particular determine actions necessary to obtain rewards (Rushworth et al.  
615 2011). Further, the mPFC in rodents may be involved in 'working-with-memory' during WM  
616 tasks, a function that optimizes behavioral responding during these tasks (Horst and  
617 Laubach 2012). As changes in neural activity were observed through approach, then digging,  
618 and retrieval of reward, they might also encode a more general signal that reflects the  
619 change in the behavioral requirements of the task during this epoch (e.g., stop foraging, dig,  
620 and retrieve reward).

---

621 Neural activity associated with approaches and initial digging on correct and incorrect  
622 trials did not differ. However, at the end of the digging, when the food pellet should be  
623 retrievable, robust differences in neural activity were observed. Upon receiving the food  
624 pellet on correct trials, neural activity patterns were qualitatively similar to those observed  
625 during the delay period. This is not surprising since the reward epoch signals the beginning  
626 of the delay. However, incorrect trials were uniquely characterized by a group of putative  
627 pyramidal neurons that increased firing when the animal would have been rewarded on a  
628 correct trial. It is possible that these neurons encode an “error” signal driven by the  
629 expectancy mismatch of expecting food and not receiving it. However, an incorrect dig, also  
630 signaled the end of the task for that day. As recordings were performed in well trained  
631 animals, it is likely the animals understood this, and this signal may reflect environmental  
632 changes associated with the end of the task (e.g. being taken from the arena, etc.).

633

#### 634 **Implications for theories of mPFC function during working memory and foraging**

635 A number of neural activity patterns emerged throughout the OST. Robust transitions in  
636 the pattern of active neurons were observable across each behavioral epoch, which reflects  
637 a transiently stable population of (in)active neurons likely necessary to carry out the  
638 cognitive demands of each epoch. Similar phenomena have been observed in the mPFC of  
639 rodents engaged in foraging tasks (Lapish et al. 2008; Balaguer-Ballester et al. 2011) and  
640 operant tasks (Hyman et al. 2017) that require working memory. These “metastable” states  
641 are thought to provide an important mechanism to organize activity across populations of  
642 neurons to optimize information processing (Balaguer-Ballester et al. 2018). In the current

---

643 study, the transitions between these states may have facilitated the updating of action plans  
644 required between each behavioral epoch.

645 The OST has been proposed as one of the few tasks suitable for measuring WM  
646 capacity in rodents and therefore provides an opportunity for identifying the brain  
647 mechanisms that underlie WM. Given the impairments in WM capacity seen in numerous  
648 brain disorders, use of this the task may provide an opportunity to model WM deficits in  
649 rodents and develop novel treatment approaches. Indeed, the OST shares some features in  
650 common with span tasks used to measure WM capacity in humans. However, differences  
651 between the OST and other WM capacity tasks used in humans and primates are notable.  
652 For example, a long delay period (at least in the context of WM) exists between the addition  
653 of each novel bowl in a given session and rats achieve odor spans much higher than the  
654 typical WM capacity limits in humans or primates. These differences have led some authors  
655 to question the specific nature of the cognitive function(s) measured by the task (April et al.  
656 2013; Dudchenko et al. 2013; Branch et al. 2014; Davies et al. 2017). This study highlights  
657 the diverse and evolving patterns of neural activity observed in the mPFC of rodents  
658 performing the OST. Future research assessing the necessity of the observed neural activity  
659 states for span will increase understanding of the mPFC's contribution to cognition, including  
660 those operations required for WM tasks with a significant capacity component.

661

---

662 **References**

- 663 Akaike H. (1974). A new look at the statistical model identification. *IEEE Transactions on*  
664 *Automatic Control* 19:716-723.
- 665 April LB, Bruce K, Galizio M. (2013). The magic number 70 (plus or minus 20): Variables  
666 determining performance in the rodent odor span task. *Learning and Motivation* 44:143-  
667 158.
- 668 Ardid S, Vinck M, Kaping D, Marquez S, Everling S, Womelsdorf T. (2015). Mapping of  
669 functionally characterized cell classes onto canonical circuit operations in primate  
670 prefrontal cortex. *Journal of Neuroscience* 35:2975-2991.
- 671 Baeg EH, Kim YB, Jang J, Kim HT, Mook-Jung I, Jung MW. (2001). Fast spiking and regular  
672 spiking neural correlates of fear conditioning in the medial prefrontal cortex of the rat.  
673 *Cerebral Cortex* 11:441-451.
- 674 Balaguer-Ballester E, Lapish CC, Seamans JK, Durstewitz D. (2011). Attracting dynamics of  
675 frontal cortex ensembles during memory-guided decision-making. *PLoS Computational*  
676 *Biology* 7:e1002057.
- 677 Ballaguer-Ballester E, Moreno-Bote R, Deco G, Durstewitz D, eds. (2018). *Metastable*  
678 *Dynamics of Neural Ensembles*. Lausanne: Frontiers Media. Doi: 10.3389/978-2-  
679 88945-437-2.
- 680 Barch DM, Moore H, Nee DE, Manoach DS, Luck SJ. (2012). CNTRICS imaging biomarkers  
681 selection: Working memory. *Schizophrenia Bulletin* 38:43-52.
- 682 Barch DM, Smith E. (2008). The cognitive neuroscience of working memory: Relevance to  
683 CNTRICS and schizophrenia. *Biological Psychiatry* 64:11-17.

- 
- 684 Bissonette GB, Roesch MR. (2015). Neural correlates of rules and conflict in medial  
685 prefrontal cortex during decision and feedback epochs. *Frontiers in Behavioral*  
686 *Neuroscience* 9:266.
- 687 Branch CL, Galizio M, Bruce K. (2014). What-Where-When memory in the rodent Odor Span  
688 Task. *Learning and Motivation* 47:18-29.
- 689 Cheng MY, Hall P. (1998). Calibrating the excess mass and dip tests of modality. *Journal of*  
690 *the Royal Statistical Society. Series B: Statistical Methodology* 60:579-589.
- 691 Chudasama Y. (2011). Animal models of prefrontal-executive function. *Behavioral*  
692 *Neuroscience* 125:327-343.
- 693 Constantinidis C, Funahashi S, Lee D, Murray JD, Qi XL, Wang M, Arnsten AFT. (2018).  
694 Persistent spiking activity underlies working memory. *Journal of Neuroscience* 38:7020-  
695 7028.
- 696 Curtis CE, D'Esposito M. 2003. Persistent activity in the prefrontal cortex during working  
697 memory. *Trends Cogn Sci.* 7(9):415–423.
- 698 Dalley JW, Everitt BJ, Robbins TW. (2011). Impulsivity, compulsivity, and top-down cognitive  
699 control. *Neuron* 69:680-694.
- 700 Davies DA, Greba Q, Howland JG. (2013). GluN2B-containing NMDA receptors and AMPA  
701 receptors in medial prefrontal cortex are necessary for odor span in rats. *Frontiers in*  
702 *Behavioral Neuroscience* 7:183.
- 703 Davies DA, Greba Q, Selk JC, Catton JK, Baillie LD, Mulligan SJ, Howland JG. (2017).  
704 Interactions between medial prefrontal cortex and dorsomedial striatum are necessary  
705 for odor span capacity in rats: Role of GluN2B-containing NMDA receptors. *Learning &*

- 
- 706 Memory 24:524-531.
- 707 Davies DA, Molder JJ, Greba Q, Howland JG. (2013). Inactivation of medial prefrontal cortex  
708 or acute stress impairs odor span in rats. *Learning & Memory* 20:665-669.
- 709 Del Arco A, Park J, Wood J, Kim Y, Moghaddam B. (2017). Adaptive encoding of outcome  
710 prediction by prefrontal cortex ensembles supports behavioral flexibility. *The Journal of*  
711 *Neuroscience* 37:8363-8373.
- 712 Denève S, Machens CK. (2016). Efficient codes and balanced networks. *Nature*  
713 *Neuroscience* 19:375-382.
- 714 Dudchenko PA, Talpos J, Young J, Baxter MG. (2013). Animal models of working memory:  
715 A review of tasks that might be used in screening drug treatments for the memory  
716 impairments found in schizophrenia. *Neuroscience and Biobehavioral Reviews*  
717 37:2111-2124.
- 718 Dudchenko PA, Wood ER, Eichenbaum H. (2000). Neurotoxic hippocampal lesions have no  
719 effect on odor span and little effect on odor recognition memory but produce significant  
720 impairments on spatial span, recognition, and alternation. *Journal of Neuroscience*  
721 20:2964-2977.
- 722 Durstewitz D, Vittoz NM, Floresco SB, Seamans JK. (2010). Abrupt transitions between  
723 prefrontal neural ensemble states accompany behavioral transitions during rule learning.  
724 *Neuron* 66:438-448.
- 725 Funahashi S. 2015. Functions of delay-period activity in the prefrontal cortex and mnemonic  
726 scotomas revisited. *Front Syst Neurosci.* 9:2.
- 727 Fuster JM. (2008). *The Prefrontal Cortex*, 4<sup>th</sup> Ed. San Diego: Academic Press.

- 
- 728 Galizio M, Deal M, Hawkey A, April B. (2013). Working memory in the odor span task:  
729 Effects of chlordiazepoxide, dizocilpine (MK801), morphine, and scopolamine.  
730 *Psychopharmacology* 225:397-406.
- 731 Goldman-Rakic PS. 1996. Regional and cellular fractionation of working memory. *Proc Natl*  
732 *Acad Sci USA*. 93(24):13473–13480.
- 733 Goutte C, Hansen LK, Liptrot MG, Rostrup E. (2001). Feature-space clustering for fMRI  
734 meta-analysis. *Human Brain Mapping* 13:165-183.
- 735 Hardung S, Epple R, Jäckel Z, Eriksson D, Uran C, Senn V, Gibor L, Yizhar O, Diester I.  
736 (2017). A functional gradient in the rodent prefrontal cortex supports behavioral  
737 inhibition. *Current Biology* 27:549-555.
- 738 Harvey CD, Coen P, Tank DW. (2012). Choice-specific sequences in parietal cortex during a  
739 virtual-navigation decision task. *Nature* 484:62-68.
- 740 Horst NK, Laubach M. (2012). Working with memory: evidence for a role for the medial  
741 prefrontal cortex in performance monitoring during spatial delayed alternation. *Journal*  
742 *of Neurophysiology* 108:3276-3288.
- 743 Hyman JM, Holroyd CB, Seamans JK. (2017). A novel neural prediction error found in  
744 anterior cingulate cortex ensembles. *Neuron* 95:447-456.
- 745 Lapish CC, Balaguer-Ballester E, Seamans JK, Phillips AG, Durstewitz D. (2015).  
746 Amphetamine exerts dose-dependent changes in prefrontal cortex attractor dynamics  
747 during working memory. *Journal of Neuroscience* 35:10172-10187.
- 748 Lapish CC, Durstewitz D, Chandler LJ, Seamans JK. (2008). Successful choice behavior is  
749 associated with distinct and coherent network states in anterior cingulate cortex.

- 
- 750 Proceedings of the National Academy of Sciences of the United States of America  
751 105:11963-11968.
- 752 Lara AH, Wallis JD. 2015. The role of prefrontal cortex in working memory: a mini  
753 review. *Front Sys Neurosci*. 9:1-7.
- 754 Laubach M, Caetano MS, Narayanan NS. (2015). Mistakes were made: Neural mechanisms  
755 for the adaptive control of action initiation by the medial prefrontal cortex. *Journal of*  
756 *Physiology Paris* 109:104-117.
- 757 Lim S, Goldman MS. (2013). Balanced cortical microcircuitry for maintaining information in  
758 working memory. *Nature Neuroscience* 16:1306-1314.
- 759 Lim S, Goldman MS. (2014). Balanced cortical microcircuitry for spatial working memory  
760 based on corrective feedback control. *Journal of Neuroscience* 34:6790-6806.
- 761 Lundqvist M, Herman P, Miller EK. (2018). Working memory: delay activity, yes! Persistent  
762 activity? Maybe not. *Journal of Neuroscience* 38:7013-7019.
- 763 Miller EK, Cohen JD. (2001). An integrative theory of prefrontal cortex function. *Annual*  
764 *Review of Neuroscience* 24:167-202.
- 765 Murray BG, Davies DA, Molder JJ, Howland JG. (2017). Maternal immune activation during  
766 pregnancy in rats impairs working memory capacity of the offspring. *Neurobiology of*  
767 *Learning and Memory* 141:150-156.
- 768 Myroshnychenko M, Seamans JK, Phillips AG, Lapish CC. (2017). Temporal dynamics of  
769 hippocampal and medial prefrontal cortex interactions during the delay period of a  
770 working memory-guided foraging task. *Cerebral Cortex* 27:5331-5342.
- 771 Paxinos G, Watson C. 2006. *The Rat Brain in Stereotaxic Coordinates*, 6<sup>th</sup> ed. San Diego:

---

772 Academic Press.

773 Postle BR. 2006. Working memory as an emergent property of the mind and  
774 brain. *Neuroscience*. 139(1):23–38.

775 Rushforth SL, Steckler T, Shoaib M. (2011). Nicotine improves working memory span  
776 capacity in rats following sub-chronic ketamine exposure. *Neuropsychopharmacology*  
777 36:2774-2781.

778 Rushworth MFS, Noonan MAP, Boorman ED, Walton ME, Behrens TE. (2011). Frontal  
779 cortex and reward-guided learning and decision-making. *Neuron* 70:1054-1069.

780 Scott GA, Zabder NK, Greba Q, Howland JG. (2018). Performance of the odour span task is  
781 not impaired following inactivations of parietal cortex in rats. *Behavioural Brain*  
782 *Research* 341:181-188.

783 Totah NKB, Kim YB, Homayoun H, Moghaddam B. (2009). Anterior cingulate neurons  
784 represent errors and preparatory attention within the same behavioral sequence.  
785 *Journal of Neuroscience* 29:6418-6426.

786 Tsujimoto S, Postle BR. 2012. The prefrontal cortex and oculomotor delayed response: a  
787 reconsideration of the “mnemonic scotoma”. *J Cogn Neurosci*. 24(3):627–635.

788 Young JW, Kerr LE, Kelly JS, Marston HM, Spratt C, Finlayson K, Sharkey J. (2007). The  
789 odour span task: A novel paradigm for assessing working memory in mice.  
790 *Neuropharmacology* 52:634-645.

791

792

---

793 **Figure legends**

794 **Figure 1:**

795 A- Timeline depicting experimental events. Pretraining and delayed non-match to sample  
796 training (DNMS) required 6-9 days of training. Training on the odor span task (OST) required  
797 8-16 days of training. Following OST, animals underwent electrode implantation surgery and  
798 were allowed 14 days to recover. Following recovery, OST resumed, and  
799 electrophysiological recording occurred.

800 B- OST consists of successive trials in which the animal must identify a novel odor and dig to  
801 receive a food reward. Different colors indicate different odors. With each successive trial, a  
802 new odor bowl (+) is added, while the previous odors (-) are rearranged pseudo-randomly.  
803 Between each trial the animal returns to a clear Plexiglas house for an intertrial delay period  
804 of about 40 s. OST continues until the animals fails to dig in the novel bowl. Span length is  
805 determined as the number trials successfully completed.

806

807 C- Distribution of span lengths across the 86 recording sessions. The distribution is not  
808 unimodal (Calibrated Hartigan's dip test,  $D(86) = 0.048$ ,  $p=7.2 \times 10^{-3}$ ). The local minimum  
809 between the two peaks (span = 11.5, black dotted line) was taken as threshold to classify  
810 the sessions into 'Low span' (blue) and "High span" (red). Nine sessions with a span length  
811 smaller than 5 were excluded from the following analysis (grey).

812 D- Span length for each session plotted by individual rats. Most rats (6/7) had both low and  
813 high span sessions (ANOVA test,  $F(6,79) = 1.78$ ,  $p = 0.11$ ).

814 E- Average number (+/- SEM) of familiar bowl approaches vs number of familiar bowls

---

815 available (red). The numbers of bowls visited prior to a correct dig was compatible with the  
816 statistically expected ones (blue dots, FDR-corrected t-test,  $p > 0.05$  for all spans).

817 F- Average time between approaches vs number of bowls available in High and Low span  
818 sessions. No difference was found for any number of bowls between 2 and 12 (FDR-  
819 corrected t-test,  $p > 0.05$ ).

820

821 **Figure 2: Task-normalized firing rates for pyramidal cells and interneurons**

822 A, D- Coronal and sagittal rat brain sections depicting the location of the recording sites and  
823 photograph of a representative electrode placement. Probes were located in the prelimbic  
824 region of the mPFC. Box indicate the medial-lateral (left panel) and anterior-posterior (right  
825 panel) locations of the electrode arrays.

826 B1- Grand-average ( $\pm$ SEM) of task-normalized firing rate for 382 neurons recorded across  
827 77 recording sessions. Firing rates were z-scored before averaging across neurons.

828 B2- Timeline of a single trial, where the three main epochs of the task (Delay, Foraging, and  
829 Reward) were identified through the four behavioral timestamps: Delay starts; Delay ends;  
830 Correct dig; and End of trial. Specific percentages of completion were assigned to each task  
831 epoch to calculate the task-normalized firing rates (see Time Normalization in Materials and  
832 Methods).

833 C1- Distribution of first PCA components (integrating two waveform features) for putative  
834 Interneurons (pIn), putative pyramidal cells (pPy) and unclassified neurons. The Gaussian  
835 fits used for the classification are shown as continuous lines on top of the distribution.

836 C2- Mean waveforms ( $\pm$ SEM) for the three classes of neurons detailed in panel B1.

---

837 Unclassified neurons had a mean waveform closer to the pPy class and were subsequently  
838 labeled as pPys.

839 C3- Distribution of mean firing rates for 61 plns and 321 pPy. Firing rates were higher in the  
840 pln population than in the pPy one (Kolmogorov-Smirnov test,  $D(321,61)=0.30$ ,  $p=1.1\times 10^{-4}$ ).

841 Vertical dotted lines mark the mean value of each distribution.

842 D- Grand-average ( $\pm$ SEM) of task-normalized firing rate for plns and pPys. The firing rates in  
843 the two classes were significantly different (2-way ANOVA, interaction cell class x time,

844  $F(99,38000) = 3.02$ ,  $p = 8.4\times 10^{-22}$ ). Black horizontal lines mark groups of time bins with

845 significant differences between plns and pPy (FDR-corrected rank-sum,  $p<0.05$ ). Top left

846 panel - Distribution of Fano factors for pPys vs plns (dotted lines mark mean values). Plns

847 exhibit higher trial-to-trial variability (Kolmogorov-Smirnov test,  $D(321,61)=0.30$ ,  $p = 9.8\times 10^{-5}$ ).

849

### 850 **Figure 3: Identification of neural populations via PCA**

851 A-First three principal components (PC). Projection of firing rates for the 382 neurons along  
852 the first three principal eigenvectors identified through PCA (left panel) and variance  
853 explained by each PC (right panel, blue line marks the broken stick model fit on the data).

854 The first three PCs together explained 56% of the original variance of the dataset.

855 B-Task-normalized firing rates for the 382 neurons identified sorted according to their  
856 loadings on first, second and third PC (left, center, and right panel, respectively). Green  
857 arrows on the right side of each color-plot indicates the transition point between positive and  
858 negative loaders.

---

859 C-Distributions of loadings on each PC separated for plns and pPys. On the first PC plns'  
860 loadings were significantly higher than pPys' ones (left panel, Kolmogorov-Smirnov test:  
861  $D(321,61)=0.24$ ,  $p=4.9 \times 10^{-3}$ ), while no significant effect was found on the other two PCs  
862 (Kolmogorov-Smirnov test:  $D(321,61)=0.10$ ,  $p=0.63$  for PC2;  $D(321,61)=0.12$ ,  $p=0.37$ ).

863

864 **Figure 4: Activity of pyramidal neurons is predictive of span.**

865 A- Grand-average ( $\pm$ SEM) of task-normalized firing rate for 321 pPys, separated according  
866 to the session's span (low and high span were defined according to the threshold identified  
867 in Figure 1-C). Firing rates in the two groups were significantly different (2-way ANOVA,  
868 interaction between span class and time bin,  $F(99, 31900) = 1.72$ ,  $p=1.1 \times 10^{-5}$ ). Black  
869 horizontal lines mark groups of time bins showing significant differences between low and  
870 high span groups (FDR-corrected rank-sum,  $p < 0.05$ ).

871 B- Grand-average ( $\pm$ SEM) of task-normalized firing rate for 61 plns, separated according to  
872 the session's span. Firing rates in the two groups were not significantly different (2-way  
873 ANOVA, interaction between span class and time bin  $F(99, 5900) = 0.87$ ,  $p=0.81$ ).

874

875 **Figure 5: Identification of subpopulations of pyramidal neurons**

876 A1-Akaike Information Criterion (AIC) for the PCA-features k-means clustering, calculated  
877 for different number of clusters (k). The selected number of clusters (k=4) was identified  
878 through a broken stick fit (cyan line). A2- Loadings on the first 3 PCs for the population of  
879 321 pPys clustered. Different colors indicate the different classes assigned. A3- Average  
880 ( $\pm$ SEM) task-normalized firing rate for each of the classes identified.

---

881 B- Grand-average ( $\pm$ SEM) of task-normalized firing rate for each class of pPys, separated  
882 according to the session's span (low or high). Only firing rates in Class 2 were significantly  
883 different (2-way ANOVA, interaction span class x time,  $F(99, 7000) = 3.59$ ,  $p=1.4 \times 10^{-29}$ ).  
884 Black horizontal lines mark groups of time bins showing significant differences between low  
885 and high span groups (FDR-corrected rank-sum,  $p < 0.05$ ). No significant differences between  
886 firing rates in the low and high span sessions were observed in the remaining classes (2-way  
887 ANOVA, interaction span class x time:  $F(99, 15300) = 1.23$ ,  $p = 0.06$  for class1;  $F(99, 4700)$   
888  $= 0.93$ ,  $p = 0.67$  for class 3;  $F(99, 4300) = 1.18$ ,  $p = 0.11$  for class 4.

889

890 **Figure 6: Distinct neural trajectories for familiar and novel odor approaches**

891 A- Neural activity trajectories in the PC space for 188 pyramidal neurons around familiar and  
892 novel approaches (time interval -2 s to 2 s around each event, first 3 PC's explaining 56% of  
893 variance). Arrows indicate module and direction of trajectories' speed.

894 B1-B2-B3- Average normalized firing rates ( $\pm$ SEM) for positive and negative PC loaders for  
895 familiar approaches (left panels) and novel approaches (right panels). Loadings were  
896 obtained considering a time interval from -2 s to 0.3 s around each event. C- Empirical  
897 cumulative distribution function (CDF) of absolute loadings on the first three PC's for familiar  
898 and novel approaches (time interval -2 s to 0.3 s around each event, first 3 PC's explaining  
899 74% of variance). Absolute loading' distributions in the two classes were different  
900 (Kolmogorov-Smirnov test:  $D(188, 188)=0.22$ ,  $p=2.0 \times 10^{-4}$  for PC1;  $D(188, 188)=0.19$ ,  
901  $p=2.5 \times 10^{-3}$  for PC2;  $D(188, 188)=0.15$ ,  $p=2.7 \times 10^{-2}$  for PC3).

902

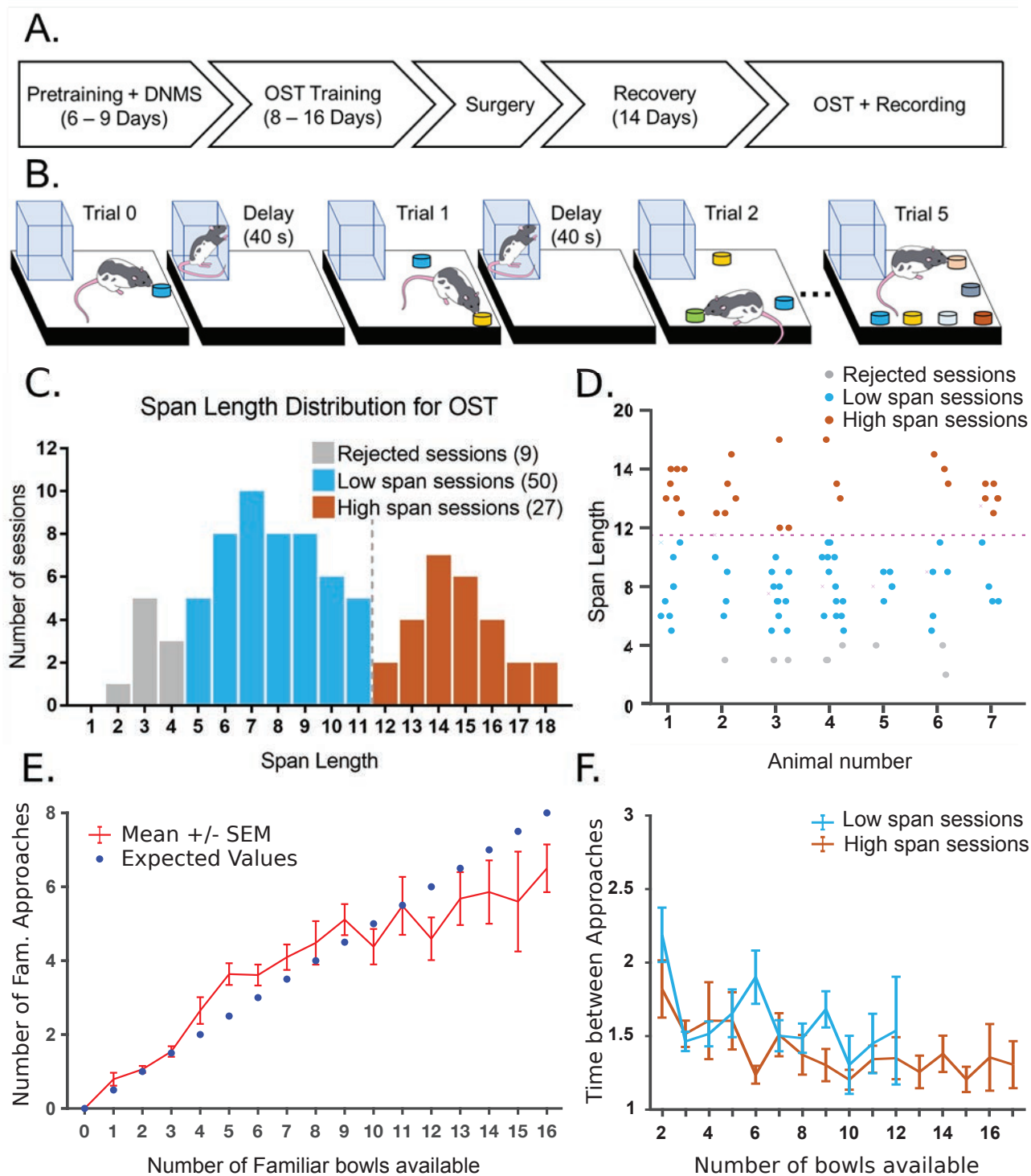
---

903 **Figure 7: Divergence of the neural trajectory following an incorrect choice**

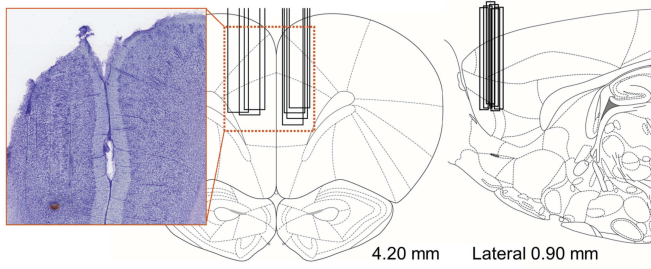
904 A- Neural activity trajectories in the PC space for 125 pPys during consecutive correct trials  
905 (1 to 9) and incorrect trials (black). Arrows indicate module and direction of trajectories'  
906 speed. Different epochs of the task are color coded, transition between foraging and error  
907 epochs corresponds to a Correct dig for the correct trials and to an Error dig for the incorrect  
908 ones, trial progression is color-coded from darker to lighter.

909 B- Task-normalized firing rates for 237 pPys sorted according to their loadings on PC3 for  
910 correct (left panel) and incorrect (right panel) trials. PCA was performed on trial-normalized  
911 firing rates, and PC3 identified the error signal. Green vertical lines mark the End of the  
912 delay and the Dig event. Green arrows on the right side of each color-plot indicates the  
913 transition point between positive and negative loaders.

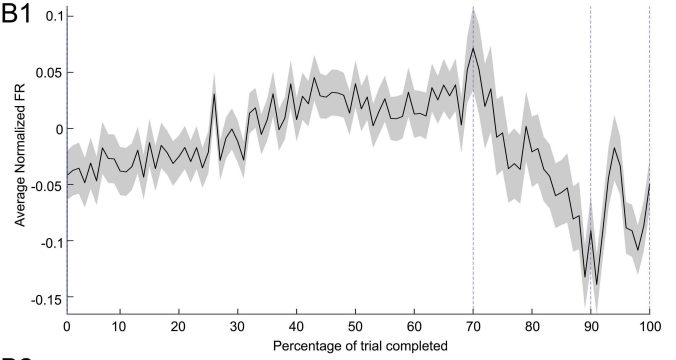
914 C- Grand-average ( $\pm$ SEM) of task-normalized firing rate for the top 30% positive loaders on  
915 PC3 (30 pPys) on correct and incorrect trials. Firing rates in the two groups were significantly  
916 different (2-way ANOVA, interaction between kind of trial and time bin,  $F(99, 5800) = 1.59$ ,  
917  $p=2.0 \times 10^{-4}$ ). Black horizontal markers indicate groups of time bins showing significant  
918 differences between correct and incorrect trials (FDR-corrected rank-sum,  $p < 0.05$ ).



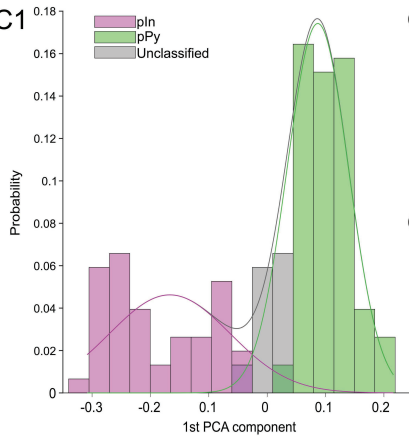
A



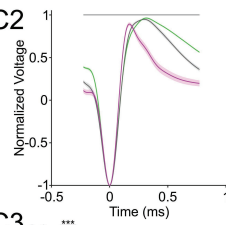
B1



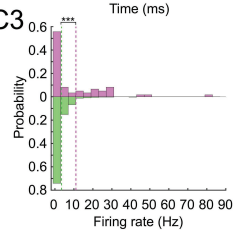
C1



C2



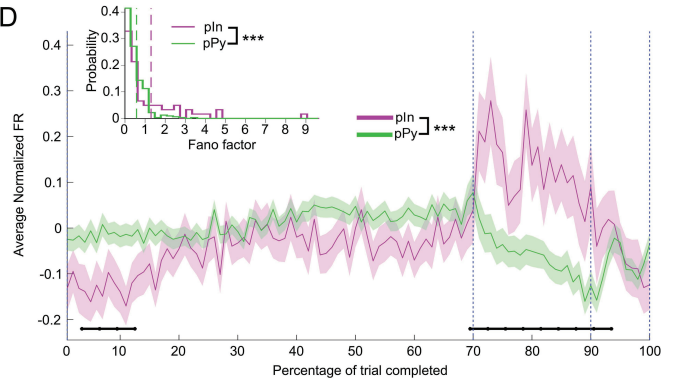
C3

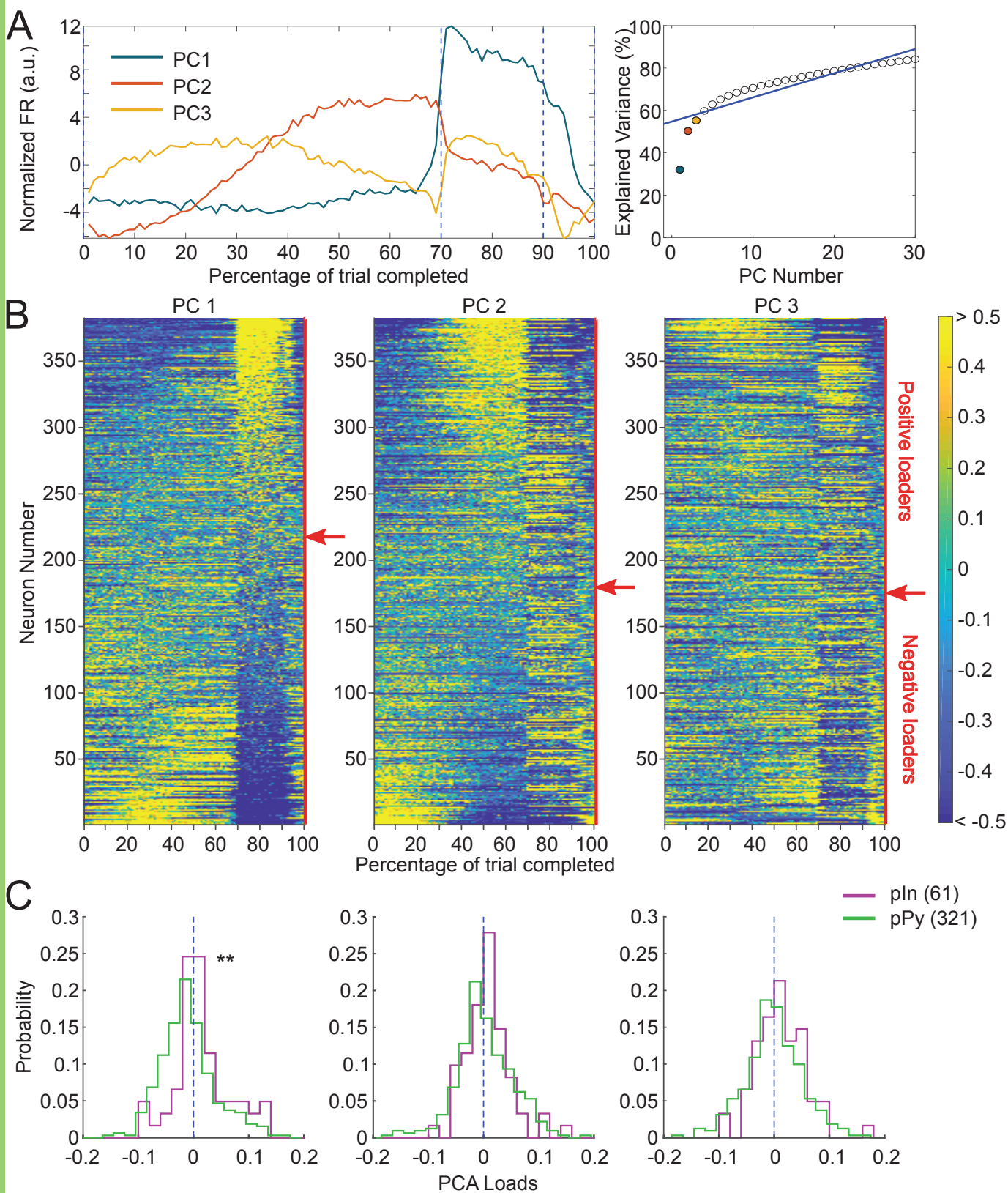


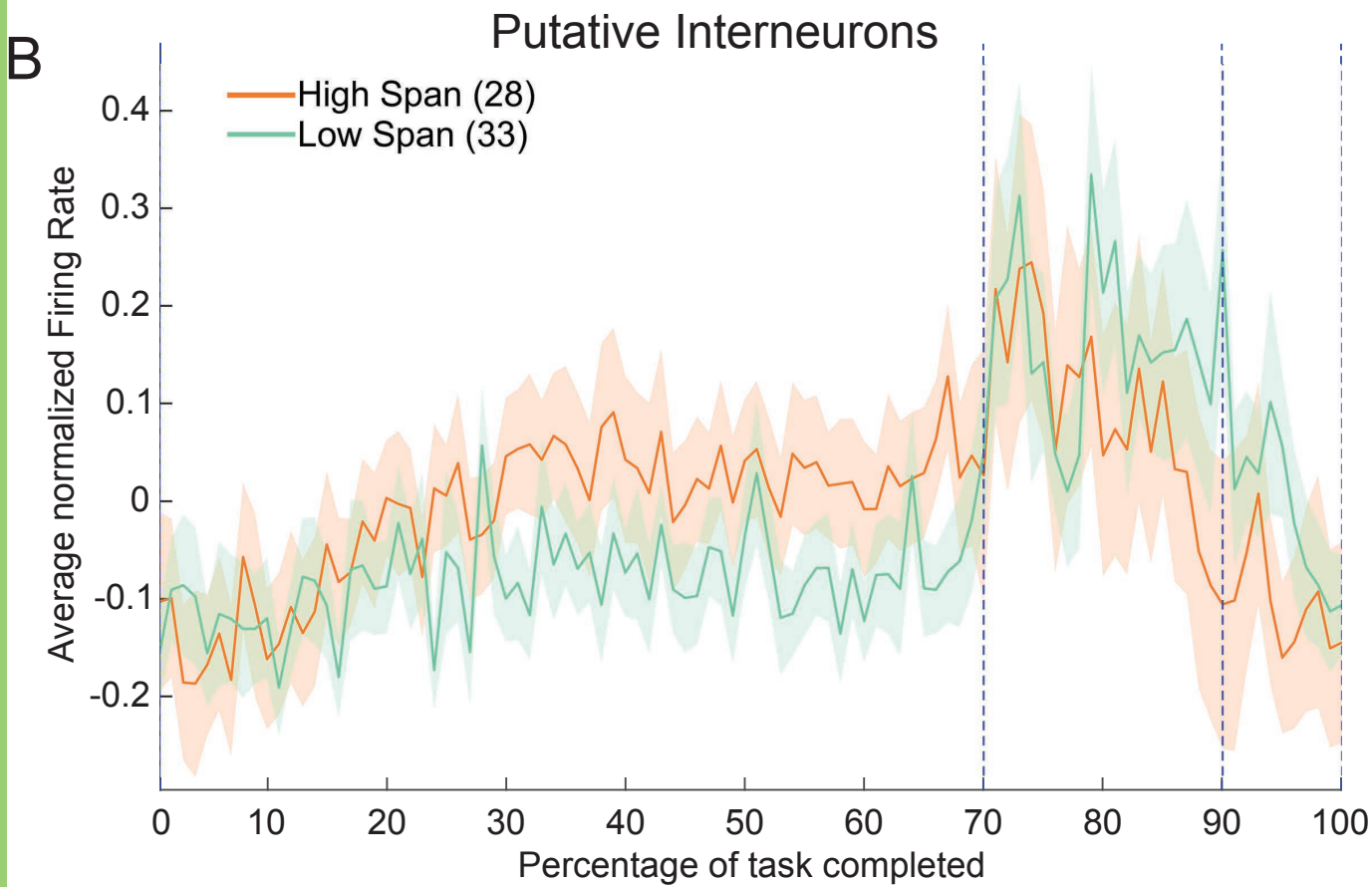
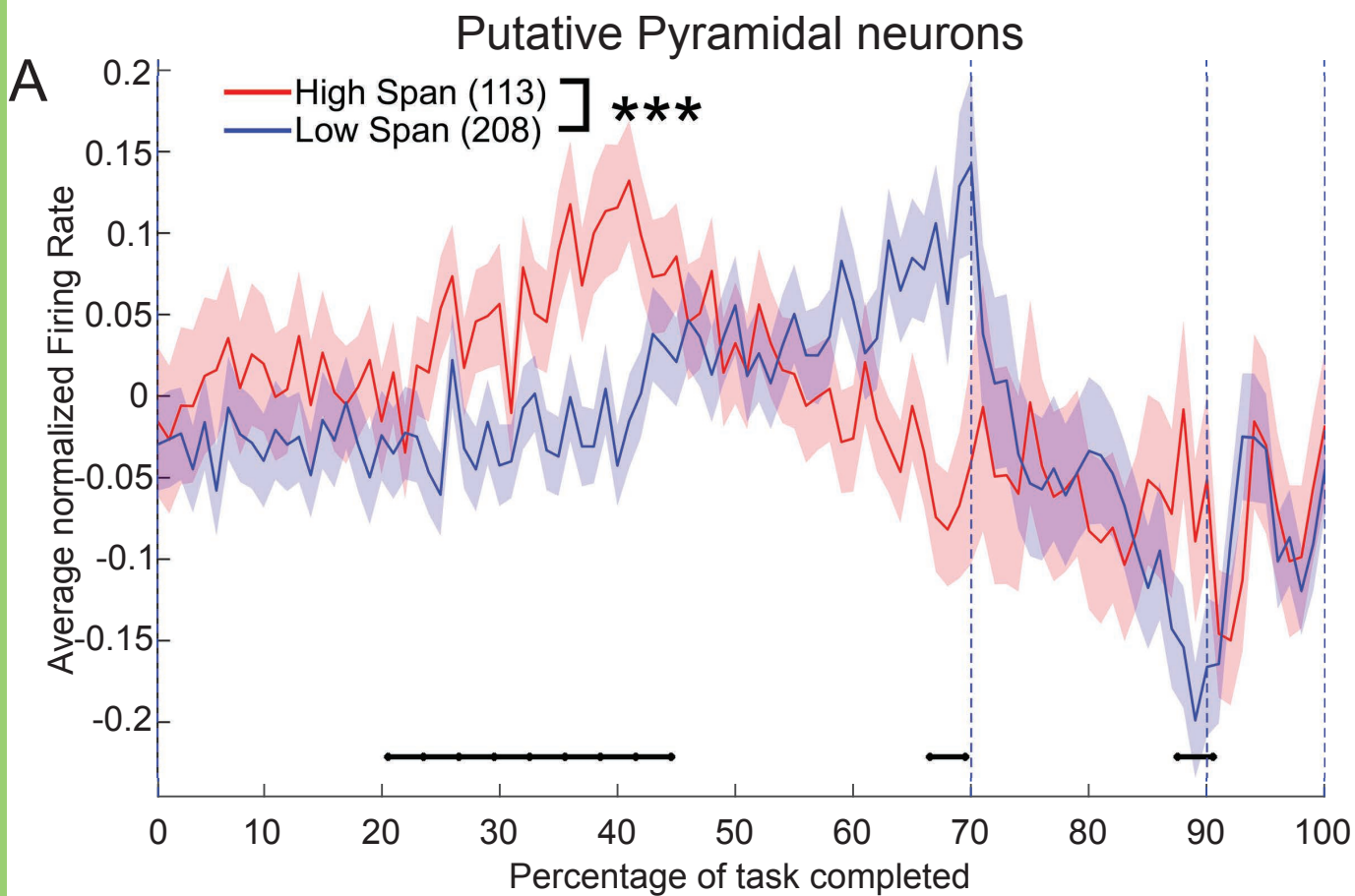
B2

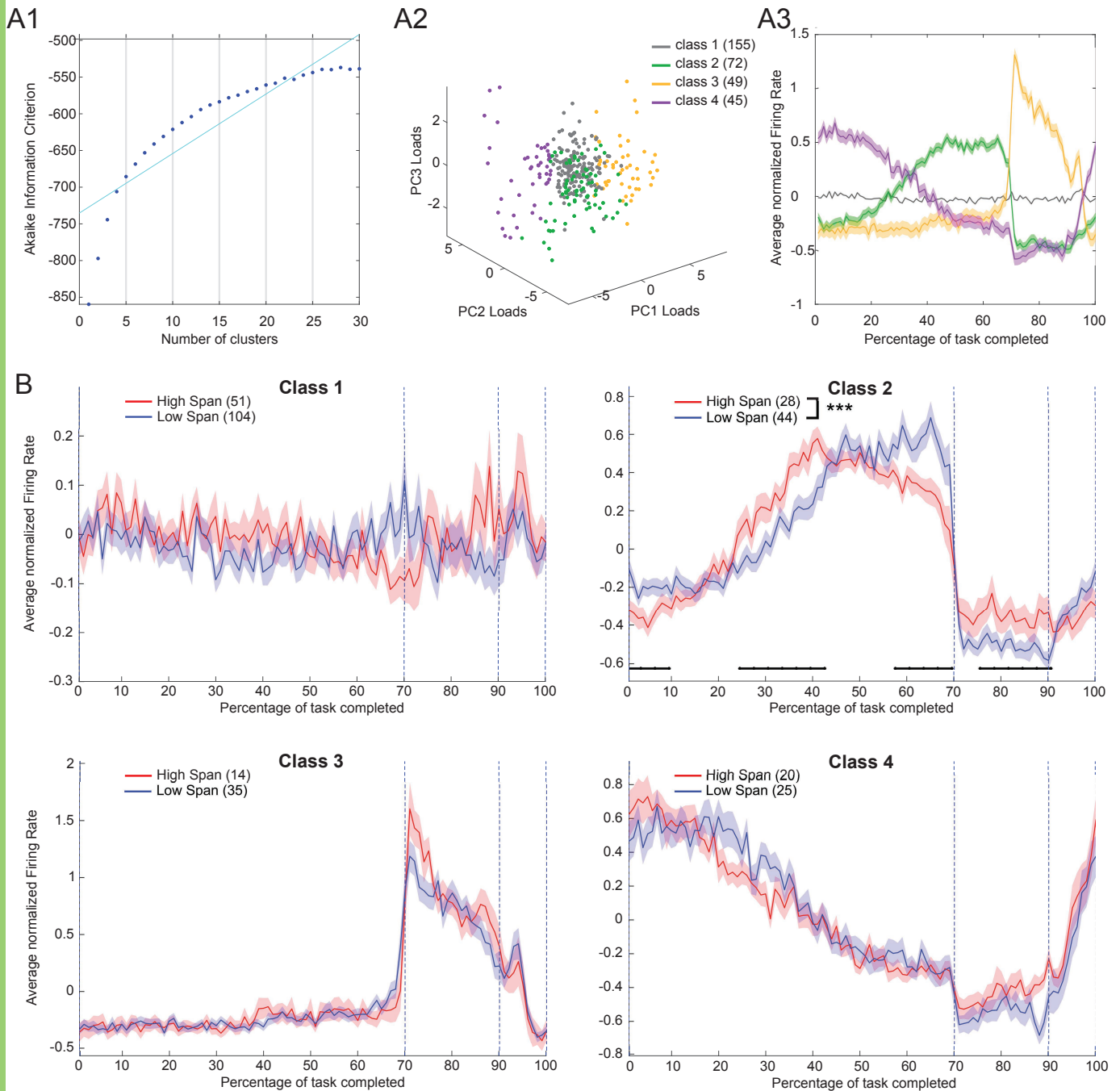


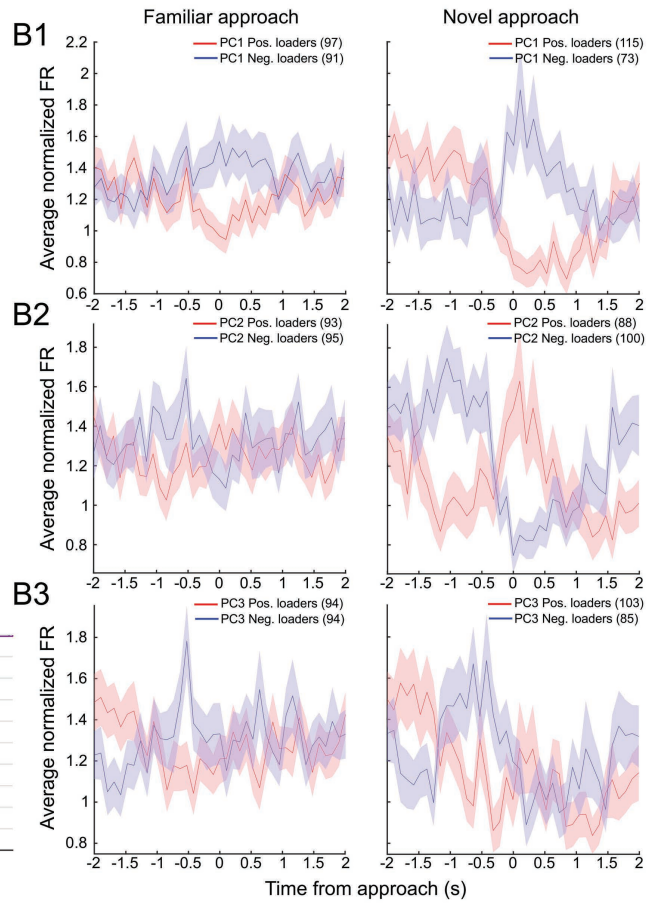
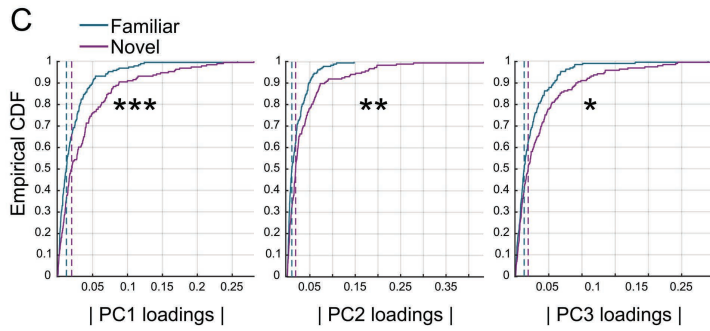
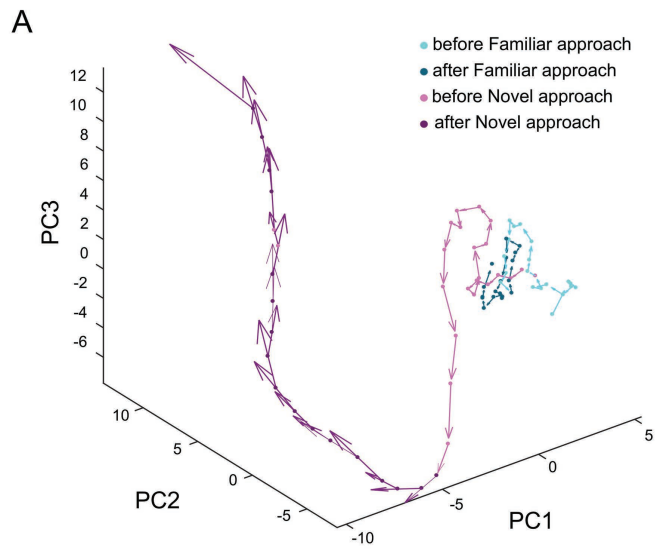
D

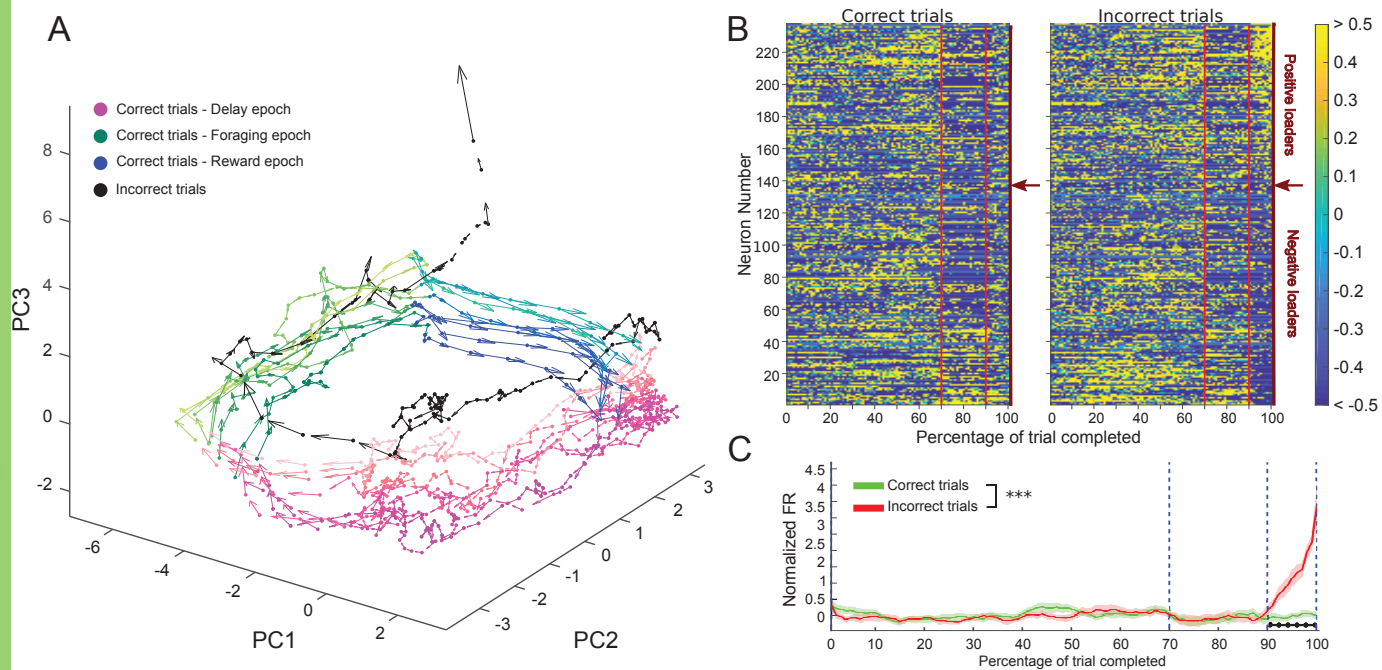












1 **Table 1. Number of recording sessions and number of neurons recorded for each**

2 **animal.**

3

Animal ID	Number of sessions	Number of sessions with span>4	Number of neurons
1	15	15	68
2	10	9	38
3	16	14	70
4	19	16	84
5	6	5	29
6	10	8	71
7	10	10	22

4

5