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Electrophysiological correlates of absolute pitch in a passive auditory oddball paradigm: a direct replication attempt

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3 Abstract

4 Humans with absolute pitch (AP) are able to effortlessly name the pitch class of a sound without an external reference. The association of labels with pitches cannot be 5 6 entirely suppressed even if it interferes with task demands. This suggests a high level of automaticity of pitch labeling in AP. The automatic nature of AP was further investigated in a 7 8 study by Rogenmoser et al. (2015). Using a passive auditory oddball paradigm in 9 combination with electroencephalography, they observed electrophysiological differences 10 between musicians with and without AP in response to piano tones. Specifically, the AP 11 musicians showed a smaller P3a, an event-related potential (ERP) component presumably 12 reflecting early attentional processes. In contrast, they did not find group differences in the mismatch negativity (MMN), an ERP component associated with auditory memory 13 processes. They concluded that early cognitive processes are facilitated in AP during passive 14 15 listening and are more important for AP than the preceding sensory processes.

In our direct replication study on a larger sample of musicians with (n = 54, 27females, 27 males) and without (n = 50, 24 females, 26 males) AP, we successfully replicated the non-significant effects of AP on the MMN. However, we could not replicate the significant effects for the P3a. Additional Bayes factor analyses revealed moderate to strong evidence (Bayes factor > 3) for the null hypothesis for both MMN and P3a. Therefore, the results of this replication study do not support the postulated importance of cognitive facilitation in AP during passive tone listening.

24 Significance Statement

25 A better understanding of the neural basis of absolute pitch (AP), the ability to 26 identify a pitch without an external reference, provides valuable insights to the mechanisms 27 of pitch processing in the human brain. Since only a tiny fraction of the population possesses AP, most previous neuroscientific research had small sample sizes. In our direct replication, 28 29 we used a large sample of musicians (n = 104) with and without AP to confirm an intriguing 30 finding showing that AP musicians process tones more efficiently even when not actively attending them. Using both frequentist and Bayesian analyses, we failed to replicate this 31 32 effect with an identical experimental setting. This finding highlights the significance of 33 replications and the need for large sample sizes.

34 Introduction

35 Replications are an integral part of science. They can help estimate the size of an effect, identify the specific conditions under which it occurs, and - when successful -36 37 increase confidence in a scientific claim (Brandt et al., 2014; Nosek et al., 2012). In recent 38 years, the low replicability of published research has become an increasing concern within 39 neuroscience and science in general (Baker, 2016). Possible explanations for the observed 40 low replicability include publication bias, flexibility in data analysis, and low statistical power (Munafò et al., 2017). Due to the resource-intensive data acquisition, many neuroscientific 41 42 studies use small sample sizes, resulting in low power (Szucs and Ioannidis, 2017). Low 43 power can compromise the conclusions of a study by reducing the probability of detecting a 44 true effect, by increasing the probability that a significant finding does not reflect a true effect, and by overestimating the size of an effect (Button et al., 2013). 45

46 Acquiring data from a large sample is even more challenging for studies investigating 47 special populations like individuals with absolute pitch (AP), the rare ability to label the pitch class (chroma) of a sound without an external reference (Levitin and Rogers, 2005; Takeuchi 48 and Hulse, 1993; Zatorre, 2003). AP is often contrasted with relative pitch (RP), the more 49 50 common ability to identify the musical interval (pitch distance) between two tones 51 (McDermott and Oxenham, 2008). Despite its rarity, AP has received considerable scientific 52 attention, partly because it might help understand different modes of perceptual processing and general aspects of pitch memory (Levitin and Rogers, 2005). 53

The neural and cognitive mechanisms underlying AP are not yet fully understood, but several studies have demonstrated that the labeling process in AP is at least in part automatic and not suppressible, even if it is disadvantageous for the task at hand (Itoh et al., 2005; Miyazaki and Rakowski, 2002; Schulze et al., 2013). The extent of this automaticity was

further investigated by studies recording the electroencephalogram (EEG) during passive listening (Elmer et al., 2013; Matsuda et al., 2013; Rogenmoser et al., 2015; Tervaniemi et al., 1993). Using this approach, one can study the neurophysiological correlates of the automatic labeling process with high temporal resolution while minimizing the influence of top-down processes.

63 An often-used paradigm is the passive auditory oddball, in which one tone (standard) 64 is presented more frequently than the other tones. The infrequent tones (deviants) are 65 known to reliably elicit two frontal event-related potential (ERP) components: the mismatch negativity (MMN) and the P3a. Both ERP components are usually assessed by subtracting the 66 67 standard ERP from the deviant ERP. The MMN is a negative deflection on this difference wave that peaks around 100-250 ms after stimulus onset and possibly reflects an automatic 68 69 memory-based detection of change or rule violation (Garrido et al., 2009; Näätänen et al., 70 2011; Picton et al., 2000). While the MMN is thought to represent pre-attentive processing, the subsequently occurring positive deflection P3a has been linked to involuntary attention 71 72 shifts towards unattended stimuli (Escera et al., 1998; Friedman et al., 2001; Kujala et al., 73 2007; Polich, 2007).

74 Rogenmoser et al. (2015) were the first to analyze both MMN and P3a in AP, which 75 allowed them to study the influence of the sensory and the early cognitive processes 76 reflected by these ERP components. They recorded EEG from 16 AP musicians and 10 non-AP musicians during a passive auditory oddball paradigm. The analysis of the MMN did not 77 reveal any significant group differences, but AP musicians showed smaller P3a amplitudes 78 79 than non-AP musicians when the deviations were larger than one semitone. The authors 80 concluded that early cognitive processes are more efficient in AP during passive listening, 81 whereas pre-attentive auditory processing contributes less to AP. This is in accordance with

theoretical perspectives describing AP as a mainly cognitive ability (Levitin and Rogers, 2005;
Zatorre, 2003).

84 Within small research fields like AP research, every single study has a high impact on the development of theoretical models. At the same time, the sample sizes are often small, 85 86 which increases the need for replications. Rogenmoser et al. (2015) showed that AP 87 musicians process tones differently even when not actively attending them. The extent of 88 automaticity implied by this is both interesting and surprising. The aim of the present study 89 was to confirm this finding in an independent and larger sample (n = 104). We attempted a 90 direct replication, using the same stimuli, measures, and statistical analyses as in the original 91 study. In addition, we calculated Bayes factors to quantify the success of the replication.

92

93 Material and Methods

7

94 Participants

The current study was carried out as part of a broader research project on AP, involving multiple experiments using different imaging modalities (magnetic resonance imaging [MRI] and EEG). Fifty-four self-reported AP possessors and 50 self-reported non-AP possessors between the age of 18 and 44 years were recruited for the current study.

All participants were professional musicians, music students, or highly-trained amateur musicians and received payment for their participation. The research protocol was approved by the local ethics committee in accordance with the Declaration of Helsinki, and all participants provided written informed consent.

103 None of the participants reported any past or present severe neurological, 104 psychiatric, or audiological disorders. Normal hearing was confirmed by pure-tone 105 audiometry in all participants (MAICO ST 20, MAICO Diagnostic, GmBh, Berlin). The two 106 groups were matched for sex, age, handedness, age of onset of musical training, and 107 cumulative training hours over the lifespan. Handedness was assessed by self-report and 108 validated by the Annett Handedness Questionnaire (Annett, 1970). To control for possible 109 between-group differences in intelligence, the Mehrfachwahl-Wortschatz-Intelligenztest 110 (MWT-B; Lehrl, 2005) was administered. The MWT-B guantifies verbal intelligence and was 111 shown to be a good predictor of global IQ (Lehrl et al., 1995). The musical aptitudes of the 112 participants were assessed based on the total scores in the Advanced Measures of Music 113 Audiation (AMMA; Gordon, 1989). To estimate musical experience in terms of age of onset 114 of musical training and number of training hours, participants filled out an online 115 questionnaire before taking part in the experiment. Demographical information and 116 information on musical experience are given in Table 1.

117

118 Pitch-Labeling Test

119 Pitch-labeling ability was estimated using a web-based behavioral test (adapted from 120 Oechslin et al., 2010), in which participants had to identify the pitch class and pitch height of 108 pure tones. The tones ranged from C3 to B5 (tuning: A4 = 440 Hz), lasted 500 ms, and 121 122 were each presented three times in a pseudorandomized order with no tones repeated 123 immediately in successive trials. In each trial, 2000 ms of Brownian noise were presented 124 immediately before and after the pure tone. Answers were given by clicking on one label out 125 of a list of all 36 possible labels (C3 to B5). Trials lasted 15000 ms but could be terminated 126 early by clicking on a "next" button. Pitch-labeling ability was determined by the relative 127 frequency of correctly identified tones in terms of pitch chroma and irrespective of octave 128 errors (Deutsch, 2013; Miyazaki, 1989, 1988; Takeuchi and Hulse, 1993).

9

130 Stimulus Material and Experimental Procedure

131 Since the current study was a direct replication, we followed the experimental 132 procedure of the original study as closely as possible. The stimulus material and the code for 133 stimulus presentation were identical to those used in the original study. The auditory stimuli consisted of five piano tones with different fundamental frequencies. Three of the tones 134 135 were in tune (C4 = 264 Hz, A4 = 440 Hz, Ab4/ G#4 = 416 Hz) and two of the tones were 136 mistuned (1/4-semitone deviation of Ab4/ G#4 = 422 Hz, 1/10-semitone deviation of A4 = 137 438 Hz). All piano tones were recorded as 16-bit stereo files and had a duration of 200 ms with 5 ms rise and fall time. Their overall amplitude was normalized to ensure equal 138 139 intensities.

140 During EEG recording, the auditory stimuli were presented binaurally with HiFi 141 headphones (Sennheiser, HD 25-1, 70 Ω , Ireland) at a sound pressure level of 70 dB. 142 Stimulus presentation was controlled by the Presentation software (Version 18.1, 143 RRID:SCR 002521). The participants were instructed to watch a silent black and white film 144 and to ignore the simultaneously presented auditory stimuli. This passive listening 145 experiment consisted of five blocks, presented in a random order across participants. In each 146 block, one of the five piano tones was presented more frequently (420 times, occurrence 147 probability = 60 %; standard tone) than the other four (70 times each, occurrence probability 148 = 10%; deviant tones). Each piano tone served as standard tone in one block and as deviant tone in all other blocks. As the EEG analyses of the original study, we focused on the blocks 149 150 with standard tones of 440 Hz (block A) and of 264 Hz (block C). In these blocks, deviation 151 magnitude increased or decreased unambiguously. Therefore, it was possible to test the 152 effect of deviation magnitude on the EEG signal. Table 2 provides an overview of the study design. Presentation of the stimuli was pseudorandomized in each block. To establish a stable memory trace (Näätänen and Winkler, 1999), the first 15 tones were standards. For the remaining trials, deviants were always followed by at least one standard tone, and at least two different deviants were inserted before the same deviant could appear again. The interstimulus interval between the tones was fixed to 550 ms. The entire EEG recording lasted around 45 minutes.

159

160 EEG Recording and Preprocessing

EEG data was recorded with a sampling rate of 1000 Hz and an online band-pass filter of 0.1 - 100 Hz using a BrainAmp amplifier (Brainproducts, Munich, Germany). Thirty-two silver/silver-chloride electrodes were placed according to a subset of the 10/10 system, and an electrode on the tip of the nose was used as the reference. Electrode impedance was kept below 10 kΩ by applying an electrically conductive gel.

166 Preprocessing of the EEG data was conducted with the BrainVision Analyzer software package (Version 2.1, https://www.brainproducts.com/, RRID:SCR 002356). Data were 167 168 filtered offline with a band-pass filter of 1 - 20 Hz (48 dB/octave) and a notch filter of 50 Hz. 169 Eye movement artifacts (eye blinks and saccades) were corrected using an independent 170 component analysis (ICA; Jung, et al., 2000), and noisy channels were interpolated. 171 Remaining artifacts were removed using an automatic raw data inspection algorithm when a 172 voltage gradient criterion of 50 μ V/ms, an amplitude criterion of ±100 μ V, or a low activity 173 criterion of 0.5 μ V/ 100 ms was exceeded. After preprocessing, the EEG signal was divided 174 into segments of 500 ms (-100 to 400 ms from stimulus onset). These segments were baseline corrected (-100 to 0 ms) and averaged to ERPs. To compute difference waves, the 175 176 ERPs evoked by the five standard tones were subtracted from the ERPs evoked by the physically identical deviants presented in the two blocks of interest (block A and block C).
The grand averages of the difference waves for each deviant over all participants are shown
in Figure 1. In Figure 2, the grand averages are presented separately for each group.

We extracted peak values of the resulting difference waves for the MMN and P3a from a pooling of nine frontal and central electrodes (F3, Fz, F4, FC3, FCz, FC4, C3, Cz, C4). In the original study, both ERP components elicited maximal amplitudes over these electrodes, and a similar voltage distribution could be observed in the data of the current replication study (see Figure 3; The topographical maps were created using code from the R package *EEGutils* (Craddock, 2018)). Peaks were selected using an automatic peak detection algorithm and verified by visual inspections.

187

188 Statistical Analyses

All statistical analyses were carried out in R (version 3.4.3; https://www.r-project.org, RRID:SCR_001905). To compare the groups in terms of demographics and musical experience, we applied Welch's *t*-tests. Effect sizes for *t*-tests are given in Cohen's *d* (Cohen, 192 1988).

For statistical analyses of the peak amplitudes and latencies, we replicated the null hypothesis statistical testing (NHST) of the original paper (replication analyses) and additionally performed Bayes factor analyses (exploratory Bayesian analyses).

196 In the replication analyses, a two-way mixed analysis of variance (ANOVA) with two 197 levels of Group (AP and RP) and four levels of Deviation (four deviants) was computed 198 separately for each ERP component and each block of interest using the R package *ez* 199 (version 4.4.0; https://cran.r-project.org/web/packages/ez/index.html). *P*-values and

200 degrees of freedom were adjusted using Greenhouse-Geisser correction when Mauchly's 201 test revealed non-sphericity. For the ANOVAs, generalized eta-squared (η^2_G) is reported as 202 the effect size estimate (Bakeman, 2005). Additionally, we report Cohen's d for the main 203 effect of Group (Cohen, 1988). As in the original study, results with *p*-values less than or 204 equal to 0.05 are termed significant.

205

206 Bayes Factors

207 Using NHST provides direct comparability with the original study. However, because 208 NHST only allows to reject the null hypothesis (H0), but not the alternative (H1), non-209 significant results cannot differentiate between insensitive data and evidence in favor of H0. 210 To decide whether a replication was successful or not, a quantification of null results is 211 especially useful. Contrary to NHST, Bayes factors allow such conclusions on whether the 212 evidence supports H0, the evidence supports H1, or the evidence is ambiguous (e.g. Dienes, 2014, 2011; Lee and Wagenmakers, 2013; Rouder et al., 2009). Bayes factors express the 213 214 ratio between the likelihood of the data under one hypothesis (e.g. H0) relative to another hypothesis (e.g. H1). A Bayes factor BF01 of 10 (or the inverse $\frac{1}{BF_{01}}$ = BF10 = 0.1) can be 215 216 directly interpreted as the data being 10 times more likely to occur under H0 compared to 217 H1. As a consequence, Bayes factors are well suited to interpret non-significant results 218 (Dienes, 2014) and to quantify the success of a replication (Anderson and Maxwell, 2016; 219 Verhagen and Wagenmakers, 2014).

220 We calculated Bayes factors using the default Cauchy priors (scaling factor *r* = 0.707) 221 as implemented in the *BayesFactor* package in R (version 0.9.12-4.2; <u>https://cran.r-</u> 222 project.org/web/packages/BayesFactor/index.html) with 100000 iterations. Priors were not based on the effect sizes reported in the original study because small samples often result in inflated effect size estimates (Button et al., 2013; Halsey et al., 2015; Ioannidis, 2008). However, to ensure the robustness of our results, we additionally tested a range of priors (i.e., r = 0.50, r = 1.00, r = 1.20), and the results supported the same main conclusions.

Paralleling the replication analyses, we performed Bayesian ANOVAs (BANOVA; Rouder et al., 2017) on the peak amplitudes and latencies separately for each ERP component in each block. Bayes factors of interaction effects were assessed by comparing the full model (Group + Deviation + Group * Deviation + Subject) to the model without the interaction effect (Group + Deviation + Subject).

To facilitate interpretation, we report BF_{10} when Bayes factors favored the alternative hypothesis and $BF_{01}(\frac{1}{BF_{10}})$ when Bayes factors favored the null hypothesis. Following Jeffreys' (1961; edited by Lee and Wagenmakers, 2013) terminology, a Bayes factor between 1 and 3 is considered anecdotal evidence, between 3 and 10 moderate evidence, between 10 and 30 strong evidence, between 30 and 100 very strong evidence, and above 100 extreme evidence for the respective hypothesis.

238

239 Results

240 Demographics and Behavioral Data

Welch's *t*-tests did not reveal any significant group differences in age ($t_{(100.58)} = 1.39$, p= .17, d = 0.27), intelligence ($t_{(101.99)} = -1.43$, p = .15, d = 0.28), age of onset of musical training ($t_{(100.89)} = -1.16$, p = .25, d = 0.23), and cumulative musical training hours over the lifespan ($t_{(99.49)} = 1.41$, p = .16, d = 0.27). However, the two groups differed in musical aptitude ($t_{(99.41)}$ 245 = 2.23, p = .028, d = 0.44), and AP musicians performed significantly better in the pitch-246 labeling test ($t_{(101.75)}$ = 13.77, p < .001, d = 2.70; see Figure 4).

247

248 Electrophysiological Data: Replication Analyses

The analyses of the MMN amplitudes and latencies showed similar results as in the 249 250 original study. The original study reported main effects of Deviation for MMN amplitudes 251 and latencies, but only in block A. In the present study, we found a significant main effect of 252 Deviation on MMN amplitudes in both block A ($F_{(2.90, 296.15)}$ = 45.60, p < .001, η^2_G = 0.21) and block C ($F_{(2.92, 297.71)}$ = 4.28, p = .006, η^2_G = 0.03). However, the generalized eta-squared 253 indicated that the effect in block C was small and comparable to the one obtained in the 254 original study (n_{G}^{2} = 0.04). Additionally, as visible in Figure 1 and Figure 5, the amplitudes did 255 256 not consistently get larger with increasing deviation magnitude in block C. As in the original 257 study, the analysis did not reveal any significant effects of Group (block A: $F_{(1, 102)} = 0.45$, p =.51, $\eta_G^2 = 0.002$, d = 0.08; block C: $F_{(1,102)} = 1.52$, p = .22, $\eta_G^2 = 0.005$, d = 0.14) or significant 258 interactions for MMN amplitudes (block A: $F_{(2.90, 296.15)} = 0.52$, p = .66, $\eta^2_G = 0.003$; block C: 259 $F_{(2.92, 297.71)} = 1.87, p = .14, \eta^2_G = 0.01).$ 260

A similar pattern was found for MMN latencies. There was a significant main effect of Deviation in block A ($F_{(2.52, 256.66)} = 4.99$, p = .004, $\eta^2_G = 0.03$) and block C ($F_{(2.86, 291.60)} = 7.60$, p< .001, $\eta^2_G = 0.04$), but effect sizes were small. The main effects of Group (block A: $F_{(1, 102)} =$ 0.01, p = .94, $\eta^2_G < 0.001$, d = 0.008; block C: $F_{(1,102)} = 0.42$, p = .52, $\eta^2_G = 0.002$, d = 0.08) and the interactions (block A: $F_{(2.52, 256.66)} = 0.78$, p = .48, $\eta^2_G = 0.005$; block C: $F_{(2.86, 291.60)} = 0.80$, p= .49, $\eta^2_G = 0.004$) did not reach significance. 267 The main result reported in the original study were reduced P3a amplitudes in AP 268 musicians compared to non-AP musicians. P3a latencies were not evaluated in the original 269 study but are reported here for completeness. In line with the original study, the replication 270 analyses showed a significant main effect of Deviation on P3a amplitudes in block A $(F_{(2.63,268,46)} = 55.02, p < .001, \eta^2_G = 0.25)$, but not in block C $(F_{(2.87,292,91)} = 1.39, p = .25, \eta^2_G = 0.25)$ 271 272 0.007). However, contrary to the original study, we did not find any significant main effects of Group (block A: $F_{(1, 102)} = 0.08$, p = .78, $\eta^2_G = 0.002$, d = 0.03; block C: $F_{(1, 102)} = 1.19$, p = .28, 273 $\eta_{G}^{2} = 0.006$, d = 0.15) or interaction effects (block A: $F_{(2.63, 268.46)} = 0.92$, p = .42, $\eta_{G}^{2} = 0.005$; 274 block C: $F_{(2.87, 292.91)} = 1.14$, p = .33, $n_{G}^{2} = 0.005$) for P3a amplitudes (see Figure 5). 275

The analysis of P3a latencies also revealed a significant main effect of Deviation in block A ($F_{(2.22, 226.56)} = 5.58$, p = .003, $\eta^2_G = 0.04$), but no significant main effect of Group ($F_{(1, 102)} = 0.09$, p = .77, $\eta^2_G < 0.001$, d = 0.03) and no interaction ($F_{(2.22, 226.56)} = 0.50$, p = .63, $\eta^2_G = 0.003$). In block C, there was no significant main effect (Deviation: $F_{(2.87, 292.44)} = 1.58$, p = .20, $\eta^2_G = 0.009$; Group: $F_{(1, 102)} = 0.05$, p = .82, $\eta^2_G < 0.001$, d = 0.03) or interaction ($F_{(2.87, 292.44)} = 1.58$, p = .20, 0.43, p = .72, $\eta^2_G = 0.002$).

282

283 Electrophysiological Data: Exploratory Bayesian Analyses

284 Replication analyses of MMN and P3a amplitudes yielded non-significant results for 285 all group comparisons. To better distinguish between insensitive evidence, evidence for the 286 alternative hypothesis, and evidence for the null hypothesis, we computed Bayes factors.

For MMN amplitudes, the Bayes factors mostly mirrored the results from the replication analyses. In block A, we obtained extreme evidence for an effect of Deviation $(BF_{10} = 7.32 * 10^{21})$, moderate evidence for the absence of an effect of Group $(BF_{01} = 5.93)$ and strong evidence for the absence of an interaction effect ($BF_{01} = 21.52$). In block C, evidence for an effect of Deviation was less strong than in block A ($BF_{10} = 3.25$). Further, Bayes factors showed moderate evidence that there was no group difference ($BF_{01} = 3.70$) and no interaction ($BF_{01} = 3.92$).

As in the replication analyses, results for the MMN latencies were similar to those obtained for MMN amplitudes. Bayes factors provided evidence for the existence of a difference between deviants in block A ($BF_{10} = 9.36$) and block C ($BF_{10} = 242.91$), but not for differences between groups (block A: $BF_{01} = 7.17$; block C: $BF_{01} = 5.10$) or for an effect of interaction (block A: $BF_{01} = 15.28$; block C: $BF_{01} = 15.77$).

The replication analyses of P3a amplitudes revealed a significant effect of Deviation in block A. All other effects did not reach significance. Bayes factors strongly supported the existence of a difference between deviants in block A ($BF_{10} = 2.06 * 10^{26}$), but not in block C ($BF_{01} = 15.86$). In terms of group differences, there was moderate evidence for the null hypothesis in both block A ($BF_{01} = 7.32$) and block C ($BF_{01} = 3.14$). Bayes factors also strongly favored the null hypothesis regarding the interaction (block A: $BF_{01} = 13.40$; block C: $BF_{01} =$ 10.40).

For P3a latencies, there was strong evidence for an effect of Deviation in block A (BF₁₀ = 26.64). For all other effects, Bayes factors provided support for the null hypothesis in both block A (Group: BF₀₁ = 7.29; interaction: BF₀₁ = 22.07) and block C (Deviation: BF₀₁ = 15.86; Group: BF₀₁ = 6.30; interaction: BF₀₁ = 10.40).

310

311 Electrophysiological Data: Exploratory Subgroup Analyses

312 The sample of the present study differed from the sample of the original study in 313 three main ways: First, our sample was guite evenly balanced in terms of gender while the 314 original study investigated predominantly female subjects. This might have influenced the 315 results as females have previously been shown to have larger P3a amplitudes than males 316 (visual paradigm: Conroy and Polich, 2007). Second, there was no overlap between the two 317 groups in the pitch-labeling scores in the original study, but there is an overlap in our 318 sample. Third, there was a small but significant difference in musical aptitude (AMMA) 319 between groups in the present study.

320 Since all these sample differences could account for the differences in the results, we conducted additional subgroup analyses for the P3a amplitude. One subgroup analysis was 321 322 performed on just the female participants of our study ($n_{AP} = 27$, $n_{non-AP} = 24$). A second 323 subgroup analysis was performed on the third of the participants with the lowest pitch-324 labeling scores (< 31.79%, n = 35) and the third of the participants with the highest pitch-325 labeling scores (> 72.83 %, n = 35). This allowed us to check whether the absence of the AP 326 effect on the P3a was due to the more heterogenous groups in the present study. A third 327 subgroup analysis corresponded as closely as possible to the original study in terms of pitch-328 labeling scores and sample size: only participants with scores < 10 % (n = 9) and > 93 % (n = 329 15) entered this analysis. Finally, we also performed an analysis of covariance (ANCOVA) 330 with the AMMA score as covariate to test whether the between-group difference in musical 331 aptitude influenced the result.

For the subgroup of females only, analysis of the P3a amplitude revealed an effect of Deviation in block A ($F_{(2.75, 134.94)} = 21.83$, p < .001, $\eta^2_G = 0.23$, BF₁₀ = 1.13 * 10¹⁰) but no effect of Group ($F_{(1, 49)} = 0.20$, p = .66, $\eta^2_G = 0.001$, d = 0.063, BF₀₁ = 4.95) or an interaction effect

335 $(F_{(2.75, 134.94)} = 0.35, p = .77, \eta_G^2 = 0.004, BF_{01} = 12.72)$. No significant effect was found in block 336 C (Group: $F_{(1, 49)} = 0.29, p = .59, \eta_G^2 = 0.003, d = 0.11, BF_{01} = 3.43$; Deviation: $F_{(2.89, 141.73)} =$ 337 0.68, $p = .56, \eta_G^2 = 0.007, BF_{01} = 17.61$, Interaction: $F_{(2.89, 141.73)} = 0.35, p = .78, \eta_G^2 = 0.003$, 338 BF_{01} = 12.74).

Similarly, the analysis with the lowest and highest performing third of participants showed an effect of Deviation in block A ($F_{(2.63, 178.59)} = 38.39$, p < .001, $\eta^2_G = 0.27$, BF₁₀ = 9.96 * 10¹⁷) but no effect of Group ($F_{(1, 68)} = 0.04$, p = .83, $\eta^2_G < 0.001$, d = 0.09, BF₀₁ = 5.18) or an interaction effect ($F_{(2.63, 178.59)} = 0.38$, p = .74, $\eta^2_G = 0.003$, BF₀₁ = 18.79). Again no significant effects were observed in block C (Group: $F_{(1, 68)} = 2.72$, p = .11, $\eta^2_G = 0.02$, d = 0.35, BF₁₀ = 1.50; Deviation: $F_{(2.78, 188.84)} = 0.93$, p = .42, $\eta^2_G = 0.007$, BF₀₁ = 18.74, Interaction: $F_{(2.78, 188.84)} =$ 2.42, p = .072, $\eta^2_G = 0.02$, BF₀₁ = 2.88).

Likewise, with even more extreme groups (< 10 % and > 93 % pitch-labeling performance), there was an effect of Deviation in block A ($F_{(2.54, 55.91)} = 24.34$, p < .001, $\eta^2_G =$ 0.44, BF₁₀ = 5.97 * 10⁹) but no other effect in block A (Group: $F_{(1, 22)} = 0.03$, p = .86, $\eta^2_G <$ 0.001, d = 0.03, BF₀₁ = 3.62; Interaction: $F_{(2.54, 55.91)} = 0.64$, p = .57, $\eta^2_G = 0.02$, BF₀₁ = 4.61) or block C (Group: $F_{(1, 22)} = 2.68$, p = .12, $\eta^2_G = 0.06$, d = 0.55, BF₀₁ = 1.03; Deviation: $F_{(2.67, 58.74)} =$ 1.22, p = .31, $\eta^2_G = 0.02$, BF₀₁ = 4.61, Interaction: $F_{(2.67, 58.74)} = 0.91$, p = .43, $\eta^2_G = 0.02$, BF₀₁ = 2.94).

The ANCOVA with the AMMA score as covariate on the full sample revealed similar results: an effect of Deviation in block A ($F_{(2.63, 268.46)} = 55.02$, p < .001, $\eta^2_G = 0.25$) and no other effects neither in block A (Group: $F_{(1, 102)} = 0.04$, p = .85, $\eta^2_G < 0.001$; Interaction: $F_{(2.63, 268.46)} = 0.92$, p = .42, $\eta^2_G = 0.01$) nor in block C (Group: $F_{(1, 102)} = 1.95$, p = .17, $\eta^2_G = 0.009$; Deviation: $F_{(2.87, 292.91)} = 1.39$, p = .25, $\eta^2_G = 0.007$, Interaction: $F_{(2.87, 292.91)} = 1.14$, p = .33, $\eta^2_G = 0.006$). We also performed an ANCOVA on the subgroup of participants with comparable sample size and pitch-labeling scores as in the original study. Again, we found an effect of Deviation in block A ($F_{(2.54, 55.91)} = 24.34$, p < .001, $\eta^2_G = 0.44$) but no other effects in either block A (Group: $F_{(1, 22)} = 0.04$, p = .85, $\eta^2_G < 0.001$; Interaction: $F_{(2.54, 55.91)} = 0.64$, p = .57, $\eta^2_G =$ 0.02) or block C (Group: $F_{(1, 22)} = 3.81$, p = .064, $\eta^2_G = 0.08$; Deviation: $F_{(2.67, 58.74)} = 1.22$, p = .31, $\eta^2_G = 0.03$; Interaction: $F_{(2.67, 58.74)} = 0.91$, p = .43, $\eta^2_G = 0.02$).

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366 Discussion

367 In the present study, we attempted to replicate Rogenmoser et al.'s (2015) finding of 368 electrophysiological group differences between AP and non-AP musicians during passive 369 listening. Rogenmoser et al. (2015) investigated the automatic nature of AP by recording EEG 370 during a passive auditory oddball paradigm. By analyzing MMN and P3a, they intended to assess the contribution of both pre-attentive (as reflected by the MMN) and more cognitive 371 372 processes (as reflected by the P3a) in AP. To compare the tone processing between AP and non-AP musicians under different deviation conditions, they applied a paradigm with 373 374 multiple tuned and mistuned deviants. In line with previous research (Tervaniemi et al., 375 1993, Matsuda et al., 2013: condition with tuned tones), they did not find any significant 376 group differences in the MMN. In contrast, Rogenmoser et al. (2015) observed smaller P3a 377 amplitudes in AP musicians. This group difference was only found in conditions in which the 378 deviation magnitude was larger than one semitone (264 Hz deviant in block A and all 379 deviants in block C), suggesting that AP musicians process between-pitch but not within-380 pitch categories differentially than non-AP musicians. Because the P3a has been associated with an early reallocation of attention (Escera et al., 1998; Friedman et al., 2001; Kujala et 381 382 al., 2007; Polich, 2007), the smaller amplitudes in AP musicians were interpreted as an indication for more efficient cognitive tone processing in AP. The authors concluded that the
"P3a component turned out to be a specific marker for AP" (Rogenmoser et al., 2015).

In the current direct replication study, we found no significant group differences in the MMN, confirming the results of the original study. However, and most critically, there were also no significant group differences in the P3a. Additional Bayes factor analyses revealed that the data is more likely under the null hypothesis, implying that AP and non-AP musicians' tone processing, as indicated by MMN and P3a peak amplitudes and latencies, does not differ during passive listening. Thus, our results challenge the view of cognitive facilitation in AP during passive listening.

392 In passive auditory oddball paradigms, the MMN typically occurs in response to a 393 change (deviation) in auditory stimulation within a sequence of repeated stimuli (standard 394 tone). The main generator of the MMN is located in the auditory cortex (for a review, see 395 Näätänen et al., 2007), where the repeated presentation of a stimulus potentially causes the 396 formation of a short-term memory trace (Näätänen and Winkler, 1999). The MMN is 397 generated when a new auditory input differs from the representation in this sensory 398 memory trace. Because this mismatch detection process does not require that the stimuli 399 are attended, it is thought to be automatic (e.g. Paavilainen et al., 2007; Sussman et al., 400 2003). Accordingly, the MMN is considered an objective measure of auditory discrimination 401 accuracy (Näätänen et al., 2007). Consistent with this view, it has been shown that the 402 amplitude of the MMN increases when discrimination performance improves through 403 training (Atienza et al., 2002; Menning et al., 2000; Näätänen et al., 1993). The MMN 404 amplitude also correlates more generally with behavioral discrimination accuracy (Näätänen 405 et al., 1993; Novak et al., 1990). Similarly, the MMN is also influenced by the deviation 406 magnitude, with larger — and therefore more salient — deviations evoking larger eNeuro Accepted Manuscript

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407 amplitudes and shorter latencies (e.g., Berti et al., 2004; Novitski et al., 2004; Sams et al.,
408 1985).

409 The original study reported an effect of deviation magnitude for block A but not for 410 block C. The authors provided a possible explanation that in block C, all deviants were 411 clustered around an extreme deviation level, with a distance between eight and nine 412 semitones from the standard tone. Consequently, all deviants were probably equally easy to 413 detect. In accordance with the original study, our results showed larger MMN amplitudes 414 and shorter MMN latencies for larger deviations in block A. In block C, the effect also 415 reached significance, but like in the original study, amplitudes did not unambiguously 416 increase with deviation magnitude (compare Figure 3), suggesting a context effect in this 417 specific block.

418 More importantly, we also replicated the result of non-significant group differences 419 between the AP and non-AP musicians in MMN measures. The Bayes factor analysis 420 additionally provided support for the null hypothesis. Thus, our data was more likely under 421 the hypothesis that there were no differences in the MMN amplitudes and latencies 422 between the two groups than under the H₁. Our results are not only consistent with the 423 original study but also with other previous research. Using tuned and mistuned pure tones 424 and piano tones, Tervaniemi et al. (1993) did not find group differences between AP and 425 non-AP musicians in MMN amplitudes and latencies. In Matsuda et al.'s (2013) study, MMN amplitudes of AP and non-AP musicians did also not differ for tuned tones, but AP musicians 426 427 showed larger MMN amplitudes for mistuned tones. However, this effect might have been 428 influenced by the fact that their AP musicians were musically more experienced than the 429 non-AP musicians. Previous research has shown that musical experience can increase MMN eNeuro Accepted Manuscript

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amplitudes (Koelsch et al., 1999; Putkinen et al., 2014), specifically in response to mistuned
tones (Tervaniemi et al., 2014).

Because the MMN is associated with a passive discrimination process, Tervaniemi et al. (1993) concluded from their results that "pitch naming and discrimination are based on different brain mechanisms". This coincides with results from behavioral studies showing that pitch-labeling accuracy is not correlated with behavioral pitch-discrimination accuracy (Fujisaki and Kashino, 2002; Sergeant, 1969). Thus, evidence from both behavioral and electrophysiological data suggests that AP does not simply rely on refined pitch discrimination.

439 In passive auditory oddball paradigms, the MMN is often followed by the P3a, a 440 subcomponent of the P300. Both components have been proposed to play a role in the 441 reallocation of attention to unattended stimuli (Escera et al., 2000; Kujala et al., 2007; 442 Näätänen, 1990), with the processes underlying MMN probably initiating the attention switching and the P3a directly reflecting it. The P3a is affected by the magnitude of deviation 443 444 in similar ways as the MMN (Berti et al., 2004). As for the MMN, the original study found 445 such a deviation modulation only in block A, probably again due to the more extreme 446 deviation levels in block C. The present study successfully replicated these results. In block A, 447 P3a amplitudes increased and P3a latencies decreased with increasing deviation, and as in 448 the original study, no similar effect was observed in block C. Future studies should more systematically investigate this dependence on specific contexts. 449

Even though the modulation of the MMN and P3a as a function of deviation magnitude is an interesting aspect of general pitch processing, the main finding of the original study was the reduced P3a amplitudes in AP musicians. This result was compared to findings from the parietal P3b, another subcomponent of the P300, which is elicited in active 454 oddball paradigms and often called P300 in these studies. The P3b has been linked to 455 working memory updating (for a review, see Kok, 2001; Polich, 2007) and has been 456 investigated more thoroughly in AP research than the P3a. The first study to detect 457 differences in ERPs during pitch processing reported the absence of a P3b in individuals with 458 AP (Klein et al., 1984). This was regarded as an indication that individuals with AP did not need to update their auditory working memory during the task because their pitch 459 460 representations are permanent. Subsequently, some studies replicated the absence or 461 diminution of P3b amplitudes in AP (Crummer et al., 1994; Hantz et al., 1992; Wayman et al., 462 1992), but others did not (Hantz et al., 1995; Hirose et al., 2002). . This inconsistency was 463 shown to be caused by differential pitch-processing strategies (RP or AP) employed by the 464 participants based on the specific task instructions, the task difficulty, and the individual 465 level of AP (Bischoff Renninger et al., 2003).

466 Individual differences in listening strategies could explain why we did not replicate the effect of AP on the P3a. However, this seems rather unlikely as the use of top-down 467 468 strategies was controlled with the help of a distractor task (watching a silent film) in both the 469 original and the replication study. Given how unreliable the effect of AP on ERPs is even in 470 active tasks, we believe it is more plausible that the differences in passive pitch processing 471 are too subtle to be reliably detectable with ERP peak measures. Alternatively, it could also 472 be speculated that the pitch labeling is only initiated when actively attending the auditory 473 stimuli or when performing a labeling-related task (e.g. bimodal Stroop task; Akiva-Kabiri 474 and Henik, 2012). Compelling evidence for an automatic pitch-labeling process comes from 475 behavioral studies, in which the auditory stimuli had to be attended to solve the task. For 476 instance, individuals with AP performed poorer in auditory Stroop tasks when they heard 477 sung tone names and were instructed to repeat the syllable while ignoring the pitch it was

478 sung in (Itoh et al., 2005; Miyazaki, 2004; Schulze et al., 2013). AP also hindered 479 performance in a relative pitch task, in which participants had to compare a visual notation 480 with the auditory presentation of a melody (Miyazaki and Rakowski, 2002). Further evidence 481 for the automaticity of pitch labeling was provided by neuroscientific studies that observed 482 differential electrophysiological or hemodynamic responses in AP musicians during attentive listening (Itoh et al., 2005; Zatorre et al., 1998). Contrary to these studies, in the present 483 484 study, participants were instructed to focus their attention on a silent film and to ignore the 485 auditory stimuli altogether. AP musicians can label tones fast and effortlessly, but they may 486 not necessarily do so under all circumstances. Apart from the specific task, also other 487 situational factors like stress and fatigue might influence pitch-labeling performance and 488 pitch-labeling automaticity. Additionally, it is also possible that there are considerable 489 interindividual differences in the level of automaticity of AP per se. Future studies will 490 hopefully uncover the role of such influences on this extraordinary ability and its neural 491 underpinnings in more detail.

492 Even though this study could not demonstrate a cognitive facilitation in AP during 493 passive listening, we believe our results do not challenge existing cognitive theories of AP, like the two-component model (Levitin, 1994). The two-component model focuses on the 494 495 use of long-term pitch memory representations and their association with labels in AP. This 496 mechanism in turn poses less demands on working memory in some tasks than using relative 497 pitch (e.g. Itoh et al., 2005; Klein et al., 1984; Schulze et al., 2009). In contrast to these 498 mnemonic processes, the P3a in passive auditory oddball paradigms is mostly associated 499 with attentional processes, which are not explicitly postulated as part of AP by the two-500 component model. Further research should be undertaken to determine the influence of 501 attention on pitch processing in AP.

502	We attempted a direct replication of the original study, still there are some
503	mentionable differences between the original and the replication study that might have
504	influenced the results. While questionnaires on musical experience and the pitch-labeling
505	test were assessed with paper-pencil in the original study, we used online questionnaires
506	and an online pitch-labeling test in the present study. Because our participants underwent
507	an extensive test protocol in the context of the larger AP project spanning several days
508	during which they participated in various (f)MRI and EEG experiments, we tried to keep the
509	travel burden for them as low as possible by providing the opportunity to work on several
510	tests at home. For our statistical analyses, we used the software R instead of SPSS , and we
511	performed Welch's t-tests instead of Student's t-test because they are more robust for
512	groups with unequal sample sizes (Delacre et al., 2017; Ruxton, 2006). For ANOVAs, we
513	reported generalized eta-squared instead of partial eta-squared as recommended by
514	Bakeman (2005). Like in the original study, groups were defined based on self-report.
515	Contrary to the original study, in our replication study, the non-AP musicians performed
516	above chance in the pitch-labeling test. Accordingly, it could be argued that the groups were
517	less homogenous than in the original study and that this is the reason for the unsuccessful
518	replication. However, because trials in the pitch-labeling test lasted 15 s instead of 5 s
519	participants probably had enough time to employ RP strategies in our test. It can be
520	expected that highly-trained musicians perform above chance levels when given the
521	opportunity to use RP strategies. For the same reason, it is possible that the pitch-labeling
522	performance of AP musicians was also overestimated. The longer maximal trial duration was
523	due to the online implementation of the pitch-labeling test. In a pilot study, we tested a
524	version with the original trial duration of 5 s, which turned out to be very demanding and
525	difficult to solve even for AP musicians because of the multiple-choice format with 36

526	answer options. We would recommend future studies to measure reaction times in pitch-
527	labeling tests to be able to better disentangle the effortless and fast AP strategy from the
528	slower RP strategy, or to apply a pitch-labeling test that impedes the usage of RP strategies
529	(e.g. as suggested in Wengenroth et al., 2014). Yet, it still remains unclear which is the best
530	way to objectively identify AP ability and if it is even possible to do so, a question that has
531	been asked frequently and was also discussed in an early influential review on AP (Takeuchi
532	and Hulse, 1993). The authors addressed several methods to quantify AP, ranging from
533	producing tones to different variants of pitch-labeling tests. Up to date, the pitch-labeling
534	tests applied in AP research differ considerably in procedure (e.g. trial duration, answer
535	registration, sine tones/instrumental tones), the number of used tones, and the presentation
536	technique (e.g. online vs. lab). Most importantly, no specific cut-off has been established to
537	distinguish AP from non-AP possessors. Thus, in the present study, the pitch-labeling test
538	only served as a validation tool. For group assignment, we relied on self-report since only the
539	participants themselves can judge whether they possess the ability to employ AP strategies.
540	In addition, as demonstrated in the exploratory subgroup analyses, the conclusions of the
541	results remained the same even when just considering participants with the lowest and
542	highest pitch-labeling scores, suggesting that this sample difference between studies did not
543	cause the absence of the AP effect. Similarly, conclusions about the P3a amplitude did not
544	change when just looking at the female participants. Thus, even though the original study
545	was less balanced in terms of gender than the present study, the absence of an effect of AP
546	on the P3a amplitude in the present study does not seem to be caused by gender
547	distribution differences between studies. Also, according to current scientific understanding
548	gender differences in neuroscientific cognitive studies are most often due to small sample
549	sizes and should only be interpreted when the influence of hormonal levels was controlled

for (Jäncke, 2018). It should also be mentioned that in the present study, the AP and non-AP musicians showed a statistically significant – albeit small in absolute terms (< 3 points out of 80 possible points) – difference in musical aptitude (AMMA). However, scores are comparable to those reported in the original study, and additional covariance analyses with the AMMA score as covariate showed the same results as the replication analyses.

555 Finally, it is important to note that a single replication study can never conclusively 556 confirm or disconfirm previous findings. Nevertheless, our results cast reasonable doubt that 557 there is cognitive facilitation in AP during passive tone processing as indicated by the P3a. 558 The more so since our sample was four times the size of the original study, and Bayes factors 559 analyses provided evidence that the proposed effect does not exist. Although it is possible 560 that additional factors we did not control for moderated the effect, we reduced such 561 moderators to a minimum by doing a direct replication. Thus, if an effect of AP on the P3a 562 really exists, its true effect size is probably much smaller than reported in the original study 563 as it is not reliably detectable in a large sample, and its generalizability might be limited.

564 Considering the large effect size obtained in the original study, the results of the 565 current study demonstrate that only through replications a better estimate of the true effect 566 can be obtained. We believe replications are desirable in science in general and particularly 567 in research fields that are prone to false-positive results and to overestimations of effect 568 sizes due to small samples. Neuroscientific studies often use small samples because of the 569 high financial costs and time-consuming data acquisition and analysis. Collaborative efforts 570 between multiple research groups are suggested as a means to recruit larger sample sizes.

571 In summary, our direct replication of Rogenmoser et al. (2015) successfully replicated 572 the non-significant results for group differences in the MMN. In contrast, we did not 573 replicate the finding of smaller P3a amplitudes in AP musicians. Taken together, our study

does not support electrophysiological differences between AP and non-AP musicians during passive listening. It is conceivable that the different pitch-processing modes of AP and RP can only be reliably distinguished either with more sensitive measures or in more attentionengaging tasks. In more general terms, the results of the present study underline both the importance of replications and of larger sample sizes in neuroscientific research.

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764 within-subject confidence intervals. Darker colors illustrate larger deviation magnitudes. In

block A (standard tone 440 Hz), amplitudes increase with larger deviation magnitude. In
block C (standard tone 264 Hz), no such clear relationship can be observed.

Figure 2. Grand averages of the difference waves (deviant minus standard) for absolute pitch (AP, in red) and non-absolute pitch (non-AP, in blue) musicians. Deviation magnitude increases from top to bottom. The lines represent the group means, the shaded areas represent the 95 % between-subject confidence interval.

Figure 3. Voltage distributions over the scalp for the Mismatch Negativity (MMN) and P3a for each group and each deviant in block A (standard tone 440 Hz) and block C (standard tone 264 Hz). Topographies are shown at the timepoint of the peak according to the Grand Average of the specific deviation condition and group. Deviation magnitude increases from left to right. Both MMN and P3a are maximally expressed at fronto-central electrode sites. AP = absolute pitch, non-AP = non-absolute pitch.

Figure 4. Performance in the pitch-labeling test for absolute pitch (AP) and relative pitch (RP) musicians. Octave errors were treated as correct answers, resulting in a chance level of 8.33 % (dashed line). AP musicians are depicted in red, non-AP musicians in blue. AP musicians performed significantly better than non-AP musicians ($t_{(101.75)} = 13.77$, p < .001, d =2.70).

Figure 5. MMN and P3a amplitudes of musicians with absolute pitch (AP) and without absolute pitch (non-AP) for all deviation conditions in block A (standard tone 440 Hz) and block C (standard tone 264 Hz). Deviants are ordered from left to right according to increasing deviation magnitude. Amplitudes of AP musicians are shown in red, amplitudes of non-AP musicians are shown in blue.

Table 1. Demographics and musical experience. Continuous measures are given as
 mean (standard deviations in parentheses). MWT-B, Mehrfachwahl-Wortschatz Intelligenztest; AMMA, Advanced Measures of Music Audiation. ^a Raw scores, ^b Units are
 given in 1 x 104

792 Table 2. Study design. Deviant tones are listed from left to right according to793 increasing deviation magnitude.

794

795 Tables

Table 1.

Demographics and Musical Experience

	Absolute	Pitch	Non-Absolute Pitch		
	Musicians		Musicians		
(<i>n</i> =			(<i>n</i> = 5		
<u></u>			(
Sex					
Female	27		24		
Male	27		26		
Age (years)	26.67	(5.49)	25.30	(4.51)	
Handedness					
Right-handed	47		45		
Left-handed	4		4		
Both-handed	3		1		
Intelligence (MWT-B) ^a	27.69	(5.10)	29.06	(4.68)	
Age of Onset of Musical Training	5.93	(2.39)	6.48	(2.46)	
(years)					
Lifetime Cumulative Training (hours) ^b	1.66	(1.22)	1.36	(0.96)	
Musical Aptitude (AMMA) ^a	66.11	(6.31)	63.22	(6.86)	
Pitch-labeling Test (%)	76.41	(19.55)	24.31	(19.01)	

Continuous measures are given as mean (standard deviations in parentheses). MWT-B, Mehrfachwahl-Wortschatz-Intelligenztest; AMMA, Advanced Measures of Music Audiation.

^a Raw scores

 $^{\rm b}$ Units are given in 1 x 10^4

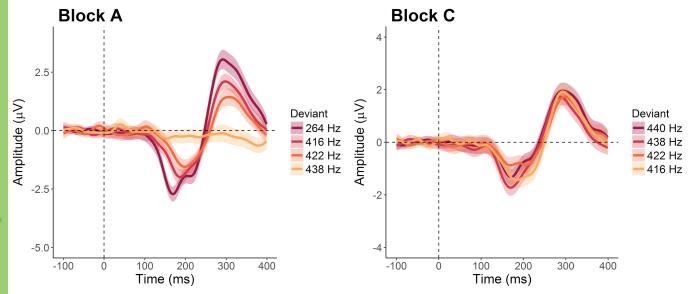
Table 2.

Study Design

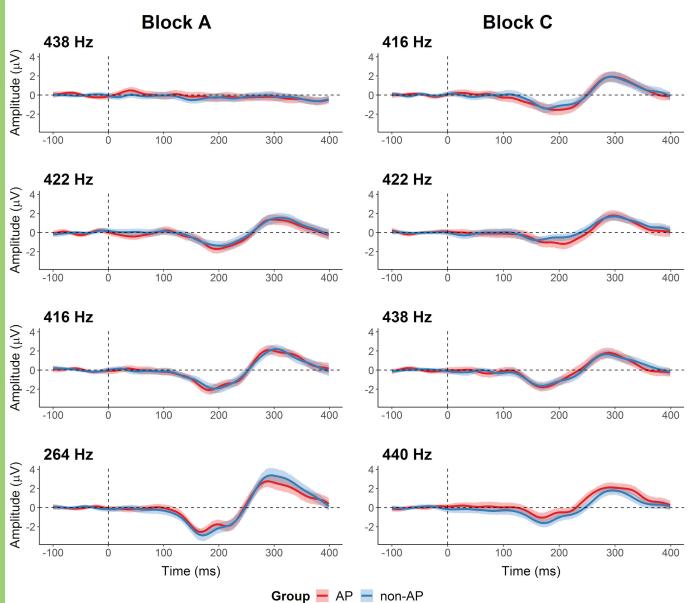
	Standard Tone	Deviant Tones				
Block A	440 Hz	438 Hz	422 Hz	416 Hz	264 Hz	
Block C	264 Hz	416 Hz	422 Hz	438 Hz	440 Hz	

Deviant tones are listed from left to right according to increasing deviation magnitude.









Block A 438 Hz 422 Hz 416 Hz 264 Hz MMN MMN РЗа РЗа MMN P3a РЗа MMN AP non-AP **Block C** 438 Hz 422 Hz 416 Hz 264 Hz MMN P3a MMN P3a MMN P3a MMN P3a AP non-AP Amplitude 0 -2 2 -1 1

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