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**Research Article: Negative Results / Sensory and Motor Systems**

**Preservation of essential odor-guided behaviors and odor-based reversal learning after targeting adult brain serotonin synthesis**

Olfaction in the absence of adult brain serotonin

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# 1      **Preservation of essential odor-guided behaviors and odor-** 2      **based reversal learning after targeting adult brain serotonin** 3      **synthesis**

4      **Abbreviated title:** Olfaction in the absence of adult brain serotonin

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35

36 **Abstract**

37 The neurotransmitter serotonin (5-HT) is considered a powerful modulator of sensory  
38 system organization and function in a wide range of animals. The olfactory system is  
39 innervated by midbrain 5-HT neurons into both its primary and secondary odor  
40 processing stages. Facilitated by this circuitry, 5-HT and its receptors modulate olfactory  
41 system function, including odor information input to the olfactory bulb. It is unknown,  
42 however, whether or not the olfactory system *requires* 5-HT for even its most basic  
43 behavioral functions. To address this question, we established a conditional genetic  
44 approach to specifically target adult brain *tryptophan hydroxylase 2 (Tph2)*, encoding  
45 the rate-limiting enzyme in brain 5-HT synthesis, and nearly eliminate 5-HT from the  
46 mouse forebrain. Using this novel model, we investigated the behavior of 5-HT-depleted  
47 mice during performance in an olfactory go/no-go task. Surprisingly, the near elimination  
48 of 5-HT from the forebrain, including the olfactory bulbs, had no detectable effect on the  
49 ability of mice to perform the odor-based task. *Tph2* targeted mice were not only able to  
50 learn the task, but also had similar levels of odor acuity as compared to control mice  
51 when performing a coarse odor discrimination. Both groups of mice spent similar  
52 amounts of time sampling odors during decision-making. Furthermore, odor reversal  
53 learning was identical between 5-HT-depleted and control mice. These results suggest  
54 that 5-HT neurotransmission is not necessary for the most essential aspects of  
55 olfaction, including odor learning, discrimination, and certain forms of cognitive flexibility.

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61 **Significance statement**

62 Modulation of sensory systems by neurotransmitters is considered critical for  
63 perception. The olfactory system is robustly innervated by 5-HT neurons into both its  
64 primary and secondary odor processing stages. Facilitated by this circuitry, 5-HT and its  
65 receptors modulate olfactory system function, including odor information input to the  
66 olfactory bulb. Here we asked whether the olfactory system needs 5-HT by using a  
67 conditional genetic approach to specifically target adult brain 5-HT synthesis and nearly  
68 eliminate 5-HT from the mouse forebrain. Our results suggest that 5-HT  
69 neurotransmission is not required for the most essential aspects of olfaction, including  
70 odor learning, odor discrimination, and odor-based cognitive flexibility. These findings  
71 raise questions about the importance and precise role of 5-HT modulation in olfactory  
72 system circuitry.

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89 **Introduction**

90 Our sensory systems must encode information under a wide range of dynamic  
91 contexts for survival. One way the brain handles this task is to modulate the activity  
92 within local sensory processing centers by means of extrinsic substances. In this  
93 manner, top-down centers that produce neuromodulators can differentially release  
94 these modulators in sensory centers depending upon the needs of the animal. Major  
95 questions remain, however, regarding what neuromodulators are necessary for normal  
96 sensory system function (for reviews see (Katz, 1999; Hurley et al., 2004; Bouret and  
97 Sara, 2005; Linster and Fontanini, 2014)).

98 The neurotransmitter serotonin (5-HT) is considered a powerful modulator of  
99 sensory system organization and function in a wide range of animals (Hen, 1992;  
100 Jacobs and Azmitia, 1992; Cases et al., 1996). The mammalian olfactory system is  
101 innervated by 5-HT neurons into both its primary and secondary odor processing stages  
102 (McLean and Shipley, 1987; Smith et al., 1993; Steinfeld et al., 2015; Suzuki et al.,  
103 2015). Midbrain 5-HT neurons located in the dorsal raphe nucleus (DRN) and median  
104 raphe nucleus (MRN) innervate the olfactory bulb where fibers are observed in several  
105 cell layers (McLean and Shipley, 1987; Steinfeld et al., 2015; Suzuki et al., 2015;  
106 Muzerelle et al., 2016), including surrounding the glomeruli which represent the first  
107 synaptic processing stage of odor information.

108 Facilitated by this circuitry, 5-HT and its receptors modulate olfactory system  
109 function (McLean et al., 1993; Aungst and Shipley, 2005; Hardy et al., 2005; Petzold et

110 al., 2009; Liu et al., 2011a; Schmidt and Strowbridge, 2014; Brill et al., 2016; Brunert et  
111 al., 2016; Kapoor et al., 2016; Lottem et al., 2016)(For review see (Linster and Cleland,  
112 2016)). For instance, electrical stimulation of the raphe nuclei modulates the level of  
113 odor information input into the olfactory bulb (Petzold et al., 2009). Additionally,  
114 optogenetic stimulation of raphe nuclei 5-HT neurons alters the representation of odors  
115 in several major populations of olfactory bulb neurons, including the primary output  
116 neurons (Brunert et al., 2016). Importantly, most of the above mentioned studies  
117 performed manipulations aimed at enhancing levels of synaptic 5-HT in the olfactory  
118 system. It is unknown, however, whether or not the olfactory system *requires* 5-HT for  
119 even its most basic behavioral functions.

120 In the present study, we investigated the behavior of mice with conditional  
121 depletions of adult brain 5-HT synthesis during their performance in an olfactory go/no-  
122 go task (Bodyak and Slotnick, 1999; Slotnick and Restrepo, 2001). To accomplish this,  
123 we used a recently established conditional approach (Whitney et al., 2016) to  
124 specifically target adult brain 5-HT synthesis, which nearly eliminates 5-HT from the  
125 entire mouse forebrain. Conditional targeting of adult brain 5-HT synthesis together with  
126 well-established operant methods to robustly assay olfactory psychophysics allowed to  
127 us test whether the adult olfactory system requires 5-HT for fundamental aspects of  
128 odor-guided operant behaviors, including odor learning and coarse odor discrimination.  
129 Our results suggest that adult brain 5-HT is not necessary for elementary function of the  
130 mammalian olfactory system.

131

## 132 **Materials and Methods**

### 133 Group design

134 Three different cohorts of *Tph2<sup>fl/fl</sup>* male mice (Kim et al., 2014) were utilized throughout  
135 this study (**Figure 1**). These cohorts provided opportunities to confirm the depletion of  
136 adult 5-HT using immunohistochemical, molecular, and chemical methods as well as to  
137 test the functional effects of 5-HT depletion using behavioral methods.

138

#### 139 Surgical procedures and animal care

140 All animal procedures were in accordance with the guidelines of the National Institutes  
141 of Health and were approved by the Institutional Animal Care and Use Committee at  
142 Case Western Reserve University. Young adult mice (~8 weeks of age) underwent a  
143 single survival intra-cranial surgical procedure to receive AAV as described in (Whitney  
144 et al., 2016). Following induction in Isoflurane anesthetic (3.0-3.5% in 1L/min O<sub>2</sub>), the  
145 mice were then mounted into a stereotaxic frame and anesthetic state maintained under  
146 Isoflurane. Core body temperature was maintained at 38°C with a heating pad. Upon  
147 confirmation of anesthesia depth, the head was shaved, cleaned with betadine and 70%  
148 EtOH, and a single injection of marcaine (S.C.) was administered within the site of the  
149 future wound margin. A single midline incision was made from ~3mm posterior of the  
150 nose along the midline to ~3mm posterior of lambda. Two holes (~1mm diameter) were  
151 drilled ±0.4mm from the midline of the skull (-4.15mm from bregma). A 10µL Gastight  
152 1701 Hamilton syringe (30 gauge needle with a 13° bevel, Hamilton Company) loaded  
153 with AAV (either AAV1.CMV.PI.Cre.rBG or AAV1.CMV.PI.EGFP.WPRE.bGH, Penn  
154 Vector Core, Philadelphia, PA) was lowered into the intended injection site (-4.0mm  
155 ventral) and 1 µL of AAV infused at a rate of 100nL/min. Following the first injection, the  
156 syringe was slowly raised out of the brain and the process was repeated at the second  
157 site. After the second injection, the craniotomies and skull were closed. Rimadyl

158 (Carprofen, 5mg/kg, s.c., Pfizer animal health) was administered daily for 3 days post-  
159 op. Food and water were available *ad libitum* except during behavioral recordings. All  
160 animals were returned to group housing the day of surgery on a 12:12hr (light:dark)  
161 schedule. Any mice exhibiting delayed recovery or signs of illness (lethargy, immobility,  
162 ungroomed fur) throughout any point of experimentation were immediately euthanized  
163 and not used for future data collection.

164

#### 165 Histology

166 As illustrated in **Figure 1**, a cohort of mice (cohort 1) not used in the behavioral testing  
167 was treated with AAV as described above and prepared for immunohistochemical  
168 staining for 5-HT and Tph2. Two weeks post-surgery, the mice were anesthetized with  
169 Avertin (0.5g tribromoethanol/39.5mL H<sub>2</sub>O, 0.02mL/g body weight) and perfused with  
170 cold phosphate buffered saline (PBS) for 2-5 minutes, followed by cold 4%  
171 paraformaldehyde in PBS for 20 minutes. The brains were removed and cryoprotected  
172 in 30% sucrose:PBS overnight. Next, frozen coronal sections through the olfactory bulb  
173 and piriform cortex were obtained on a sliding microtome at 20µm thickness and were  
174 placed in 0.3% sodium azide - Tris buffered saline at 4°C until staining. The remaining  
175 midbrain and hindbrain tissue was left in 30% sucrose:formalin until sectioning until 20  
176 µm frozen sections through all serotonergic nuclei were made on a sliding microtome.  
177 Similar sections from AAV-GFP- and AAV-Cre-injected mice were mounted on slides  
178 and vacuum-dried. They were then permeabilized in 0.3% Triton:PBS (PBS-T) and  
179 blocked in 5% normal goat serum in PBS-T. Prior to blocking, antigen retrieval was  
180 performed only on slides containing sections through the serotonergic nuclei due to



181 overfixation from formalin. Slides were placed in 10 mM sodium citrate, microwaved at  
182 low power for 10 minutes, cooled to room temperature, and washed 3x5 minutes in  
183 PBS. All slides were then incubated in primary antibody in blocking solution O/N at 4°C,  
184 washed 6x5 minutes in PBS-T, incubated in secondary antibody, and washed 6x5  
185 minutes in PBS-T. Coverslips were mounted with ProLong® Gold antifade mountant  
186 with DAPI (Molecular Probes - Life Technologies). Primary antibodies used were rabbit  
187 anti-Tph2 (1:500, Millipore) and rabbit anti-5-HT (1:500, ImmunoStar), and the  
188 secondary antibody used was goat anti-rabbit Alexa 594 (Invitrogen). Coronal brain  
189 sections containing regions of interest were selected based upon established  
190 boundaries (Paxinos and Franklin, 2000). Sections were imaged on a Zeiss LSM510  
191 confocal microscope or a Zeiss Axioskop II MotPlus. Digital inversion of the images in  
192 grayscale and brightness/contrast adjustments occurred in Adobe Photoshop equally for  
193 images within panels as noted (see **Figure 2**).

194

#### 195 HPLC

196 Tissues from mice in cohorts 2 and 3 were prepared for HPLC analysis following  
197 established protocols (Lerch-Haner et al., 2008). Mice were anesthetized with Avertin  
198 and perfused with 10 U/mL heparin (Sigma Aldrich) in cold PBS for approximately six  
199 minutes to clear the brain of blood and remove confounding peripheral 5-HT. Brains  
200 were immediately removed and placed on dry ice. When they were partially frozen, the  
201 brains were cut at bregma -2.92 mm to separate the forebrain and then again directly  
202 posterior to the olfactory bulbs. The forebrain and olfactory bulbs were immediately

203 frozen on dry ice. Samples were shipped to the Neurochemistry Core of the Vanderbilt  
204 Brain Institute for processing and HPLC analysis of 5-HT and 5-HIAA levels.

205

#### 206 qPCR

207 Mice from cohorts 2 and 3 were anesthetized with Avertin and perfused with 10 U/mL  
208 heparin (Sigma Aldrich) in cold PBS for approximately six minutes. Brains then were  
209 immediately removed and placed on dry ice. When they were partially frozen, the brains  
210 were cut at bregma -2.92 mm and -5.68 mm to isolate midbrain tissue, which contains  
211 the DRN. With the section in a petri dish on a cold plate, a 1.5mm tissue punch was  
212 made at midline, directly ventral to the third ventricle, to isolate the DRN. Tissue  
213 punches were lysed and homogenized using a 1mL dounce homogenizer, from which  
214 RNA was isolated using a PureLink® RNA Mini Kit (Ambion - Life Technologies). RNA  
215 was quantified using a NanoDrop 2000 (Thermo Scientific). 244 ng of RNA from each  
216 sample was used for reverse transcription to cDNA with a Transcriptor First Strand  
217 cDNA Synthesis Kit (Roche). *Tph2* and *Actb* levels were quantified by QPCR using  
218 TaqMan® Fast Advanced Master Mix with TaqMan® Gene Expression Assays for *Tph2*  
219 (Mm00557715\_m1) and *Actb* (Mm00607939\_s1) (Applied Biosystems – Life  
220 Technologies). The reactions were run in triplicate using a StepOnePlus™ system  
221 (Applied Biosystems) and relative expression values were calculated by StepOnePlus™  
222 Software with *Tph2* levels normalized to  $\beta$ -*actin* expression.

223

#### 224 Behavior

225 Mice used for olfactory go/no-go testing (cohorts 2 and 3, see **Figure 1**) were allowed  
226 three weeks to recover from surgery before any behavioral testing began. This duration  
227 also provided sufficient time for the AAV transduction and the AAV-Cre-mediated  
228 targeting of brain *Tph2* (see Results). Baseline bodyweights were then collected from all  
229 mice, and the mice were placed on a 24-hour water restriction schedule with water  
230 available every 24hrs in a small dish on their cage floor and/or in the context of  
231 behavioral task performance. We used a standard 80-85% body weight (from baseline  
232 weight) to ensure motivation in the operant water-motivated task (Slotnick and  
233 Restrepo, 2001). This level of weight loss is mild, and the mice appeared healthy (well-  
234 groomed fur, regular food intake) and active. After reaching 80-85% of baseline, mice  
235 were acclimated to the go/no-go operant boxes. Mice were single-housed for all  
236 behavioral procedures and all testing occurred during the light phase of the cycle  
237 (0900:1800 hours). All behavior was carried-out in a dimly-lit, well-ventilated room at 20-  
238 22°C.

239 We used three custom-built go/no-go operant chambers designed based upon  
240 the work of (Bodyak and Slotnick, 1999; Slotnick and Restrepo, 2001). The chambers  
241 were constructed out of ¼" thick ABS plastic (acrylonitrile butadiene styrene) and  
242 custom 3D printed (PLA, polylactic acid) nose-poke ports. The inner dimension of the  
243 chamber was 6x6" with 11" tall walls. On one wall was an operant plate consisting of  
244 two holes housing the two nose-poke ports (**Figure 3A**). The nose-poke ports were ¾"  
245 inner diameter and were positioned 2" apart (center to center) and each were 1.25"  
246 above the chamber floor (from floor to center of port). On another wall of the operant  
247 chamber was a hinged door allowing placement and removal of the mice. The

248 chambers were open-top with no ceiling to facilitate air circulation. The top of the odor  
249 port terminated into a flexible air hose (1" diameter) which was connected to a 12v  
250 computer fan, which drew air from within the chamber (through the poke poke) up  
251 through the fan. An infrared LED and infrared photodetector were placed ~2mm into  
252 each port to provide continuous measures of port entry (beam interruption). Finally, the  
253 chambers were each housed in a single wooden enclosure box each possessing an 8"  
254 wide 12v computer fan on one wall of the enclosure and a vent hole on the other to  
255 ensure air could freely circulate throughout the chamber and to facilitate odor  
256 elimination from within. All valves, computer hardware, and odor vials were housed  
257 outside of the enclosure boxes, which were positioned on a stainless steel rack.

258       Odor solenoid valves (Parker Hannifin, Cleveland, OH) and reward pinch valves  
259 (NResearch Inc., West Caldwell, NJ) were controlled by custom code written in Tucker-  
260 Davis Technologies software (Alachua, FL) which gated voltage through a relay driver  
261 module (LabJack Corp, Lakewood, CO). The status of the infrared nose-poke beams as  
262 well as valve activity (odor or reward) was relayed into a digital processor (Tucker-Davis  
263 Technologies, Alachua, FL) and then acquired to a computer at 3kHz sampling rate.

264       Mice were shaped in the go/no-go task across four phases (Bodyak and Slotnick,  
265 1999; Slotnick and Restrepo, 2001). In phase 1, upon nose-poke into the odor port (in  
266 absence of odor), the mice were allowed to withdraw and then nose-poke into the  
267 reward port in exchange for the water reward (~3 $\mu$ l). For the first 2 blocks of this, to  
268 facilitate task acquisition, the nose poke into the odor port automatically triggered water  
269 reward release, without the need for the animal to even poke within the reward port.  
270 Throughout phase 1, the duration required for the mouse to hold its nose in the port

(break the beam) was gradually increased from 200 to 600ms in 200ms increments. Thus by the end of Phase 1 the mouse must be holding its nose in the odor port for 600ms. Upon achieving  $\geq 85\%$  correct responses in two consecutive blocks of 20 trials (criterion performance), the mice were switched into phase 2 of training. In phase 2, the mice were required to nose-poke for 600ms and then sustain the poke for an additional 200ms during which time a CS+ odor was delivered (800ms total hold requirement). The CS+ odor (see details on odors below) was delivered in all trials the animal held its nose in the port for  $\geq 600$ ms. The mice were then gradually required to increase their hold duration to now remain with their noses in the port for 400ms of CS+ odor presentation (1000ms total hold requirement). Upon achieving criterion performance on phase 2, mice were transitioned to phase 3 wherein they had to detect the CS+ odor trials vs. blank stimulus trials. During both trials total hold duration was 1000ms (600ms, followed by 400ms stimulus). Withdrawal from the odor port and poking in the reward port during CS+ trials resulted in a water reward. Upon achieving criterion performance on phase 3, mice were transitioned to phase 4 and shaped on the odor discrimination task. In this, the mice were again required to nose poke in the odor port for a minimum of 1000ms (600ms, followed by 400ms stimulus). Withdrawal from the odor port and poking in the reward port during CS+ trials resulted in a water reward (hit). Delivery of CS+ but failure to poke in the reward port within 5 seconds was counted as an error (miss). Delivery of a CS- odor and failure to poke in the reward port within five seconds was counted as a correct reject. Finally, delivery of a CS-, followed by poking in the reward port within five seconds was counted as a false alarm. The % of correct responses (hits and correct rejects vs misses and false alarms) was determined for each block of 20 trials. During

294 phase 4, a minimum 5 sec inter-trial interval was enforced. Mice were not cued to nose  
295 poke but instead were able to self-initiate trials by nose poking beyond the boundaries  
296 of the inter-trial interval. The inter-trial interval could thus be reset following completion  
297 of new trials and/or due to 'short samples' wherein the animal failed to maintain nose  
298 poke for the required duration. All mice contributing complete phase 4 data in the study  
299 were required to complete the same number of blocks ( $100 \pm 5$ ) prior to going onto the  
300 reversal learning task. During the reversal task, the behavioral contingencies for the  
301 CS+ and CS- were switched so that the previous CS- is now a CS+ (rewarded) and *vice*  
302 *versa*.

303       Following reversal learning tests, the mice were required to perform in 6 blocks at  
304 or above criterion level (85%) prior to engagement in the odor discrimination self-  
305 regulation task wherein they were only mandated to nose poke for 600ms and continue  
306 to nose poke for 50ms of odor. In this overall testing structure, all mice needed to reach  
307 and demonstrate consistent criterion levels of behavior prior to being transitioned into  
308 the subsequent tasks.

309       Finally, after all olfactory testing, the mice were tested for water motivation on the  
310 subsequent day. For water motivation tests, mice were placed in the operant chambers  
311 for one hour wherein each nose poke into the odor port (in absence of odor)  
312 immediately triggered a reward to be released in the reward port on a fixed ratio 1  
313 schedule.

314       The operant boxes were cleaned thoroughly with water and 90% EtOH between  
315 all behavioral sessions and mice and allowed to dry. Mice were only tested once per  
316 day.

317

318 Stimulus presentation

319 Odors were presented through a custom air-dilution olfactometer (see description  
320 above) with independent PTFE stimulus lines up to the point of entry into the odor port.  
321 Odorants included ethyl butyrate, heptanal, isopentyl acetate, (-)-limonene (Sigma  
322 Aldrich, St. Louis, MO), each at their highest available purity (>97%) and each diluted to  
323 0.5 Torr vapor pressure in mineral oil. These odors elicit distinct patterns of main  
324 olfactory bulb activity (e.g., (Johnson et al., 2002), and thus they were selected for our  
325 assay of 'coarse' olfactory discrimination. Odors and a 'blank' stimulus (mineral oil) were  
326 presented at a rate of 1l/min. 2ml of liquid odor was aliquoted into 25ml glass  
327 headspace vials sealed with Teflon septa (Shamrock Glass, Seaford, DE) and air flow  
328 through the vials permitted with 18Ga s/s needles fit with PTFE (polytetrafluoroethylene)  
329 lure fittings which terminated into PTFE odor lines (1/16" i.d.; Clippard Minimatic,  
330 Cincinnati, OH). Not all animals were tested with all odors. One cohort of mice used for  
331 behavior was initially shaped on one odor pair, whereas the other behavioral cohort was  
332 shaped on a different odor pair. The experimenter was not blind to odor assignment, but  
333 all stimulus presentation was automated. Rewarded and unrewarded odors were  
334 pseudo-randomized within each block (10 trials of each CS+ and CS-). The  
335 concentrations of odorants were selected to be well-above detection thresholds for mice  
336 [21]. Odors were presented until the animal withdrew from the odor port or for a  
337 maximum duration of 2000 ms.

338

339 Data analysis

340 Behavioral data acquired from the operant chambers were extracted in custom code  
 341 written in Spike2 (Cambridge Electronic Design, Inc., Cambridge, England). Data were  
 342 extracted by a single experimenter prior to the unblinding of this experimenter to  
 343 treatment groups. All statistical tests were performed in StatView (SAS Institute, Inc.,  
 344 Cary, NC). Data were pooled across cohorts within measures, organized by treatment  
 345 group (AAV-GFP vs AAV-Cre), and confirmed normally distributed with a Kolmogorov-  
 346 Smirnov test. Statistical  $p$  values are 2-tailed unpaired  $t$ -tests unless otherwise  
 347 specified. Values are reported as mean  $\pm$  SEM unless otherwise indicated.

348

349

## 350 **Results**

### 351 *Conditional targeting of adult brain 5-HT synthesis*

352 Three cohorts of mice were used to validate adult brain-specific *Tph2* targeting  
 353 and to test the necessity of adult brain 5-HT in odor-guided learning and olfactory  
 354 perception (**Figure 1**). We utilized a recently established protocol (Whitney et al., 2016)  
 355 to specifically target adult brain 5-HT synthesis by stereotaxic injection of an adeno-  
 356 associated viral (AAV) Cre recombinase vector into mice (Kim et al., 2014) that have  
 357 loxP sites flanking the fifth exon of *tryptophan hydroxylase 2* (*Tph2<sup>fl/fl</sup>*), which encodes  
 358 the rate-limiting enzyme for the production of 5-HT (**Figure 2A**). In adult *Tph2<sup>fl/fl</sup>* mice,  
 359 AAV-Cre or AAV-GFP was injected into the midbrain where 5-HT neurons of the median  
 360 and dorsal raphe nuclei (MRN/DRN) are located and project to olfactory structures in  
 361 the forebrain (McLean and Shipley, 1987; Steinfeld et al., 2015).

362 In an independent cohort of *Tph2<sup>fl/fl</sup>* mice, we performed anti-5-HT and anti-Tph2  
 363 immunostaining to verify the targeting of *Tph2* and 5-HT depletion following injection of



364 either AAV-Cre or AAV-GFP into the mice at 6 weeks of age (cohort 1,  $n = 2$  and 4,  
365 respectively) (**Figure 2**). Analyses performed two weeks following injection of AAV-Cre  
366 into *Tph2<sup>fl/fl</sup>* mice indicated a near-complete loss of 5-HT and Tph2 immunoreactivity in  
367 the MRN and DRN, as compared to AAV-GFP-injected mice (**Figure 2B**). In contrast,  
368 and as an example of the precision of this approach, 5-HT and Tph2 immunoreactivity  
369 were preserved in the medullary raphe, which provide 5-HT innervation to the spinal  
370 cord (Bowker et al., 1981; Skagerberg and Björklund, 1985) (**Figure 2C**). While virally-  
371 mediated Cre expression is not restricted to 5-HT neurons, this targeting is specific to  
372 the 5-HT system as *Tph2* is only expressed in 5-HT-producing neurons (Walther et al.,  
373 2003). Importantly, 5-HT-immunopositive fibers were strikingly absent in major olfactory  
374 structures, including in all the cell layers of main olfactory bulb and piriform cortex  
375 (**Figure 2D**). Particularly in the olfactory bulb, the massive amounts of anti-5-HT fibers  
376 originating in the MRN and terminating in the glomerular layer (McLean and Shipley,  
377 1987; Steinfeld et al., 2015; Suzuki et al., 2015; Muzerelle et al., 2016), the first synaptic  
378 processing layer of odor information, were absent in AAV-Cre-injected *Tph2<sup>fl/fl</sup>* mice  
379 (**Figure 2D**). A rare and isolated 5-HT immunopositive fiber was observed in occasional  
380 AAV-Cre-treated *Tph2<sup>fl/fl</sup>* mouse brain sections, including in the olfactory bulb granule  
381 cell layer. While not shown here, 5-HT fibers were also absent in other olfactory  
382 structures, including the olfactory tubercle and anterior olfactory nucleus. Thus, as  
383 reported recently (Whitney et al., 2016), treatment of adult *Tph2<sup>fl/fl</sup>* mice with AAV-Cre  
384 achieves a near-complete loss of brain 5-HT, including, as shown here, in the olfactory  
385 bulb and piriform cortex.

386

387 *Learning and performance of adult Tph2 targeted mice in an olfactory go/no-go task*

388        Having verified a conditional approach for the near-complete elimination of 5-HT  
389 in the adult forebrain including olfactory structures, we next sought to investigate our  
390 main hypothesis that adult brain 5-HT is necessary for essential odor-guided behaviors.  
391 There are countless assays available to explore olfactory perceptual function in mice.  
392 We selected the well-established, nose-poke-based olfactory go/no-go task (Bodyak  
393 and Slotnick, 1999; Slotnick and Restrepo, 2001) based upon (Pfaffmann et al., 1958)  
394 (**Figure 3**). This operant task requires water-restricted mice to perform an instrumental  
395 water-motivated response to a conditioned odor and, throughout conditioning, the  
396 behavior of the animal is motivated by thirst versus odor-specific motivation. This is  
397 important since odor-specific motivation may alter odor investigatory dynamics  
398 (sniffing), a variable that may be compounded by 5-HT manipulations, which may  
399 modulate motivated behavior (Liu et al., 2014). In the go/no-go task, animals must learn  
400 to engage in the operant behavioral sequence of nose poking into an odor port to allow  
401 the possibility of receiving a 3 $\mu$ l drop of water from the neighboring reward port. Reward  
402 delivery in the reward port only occurs upon nose pokes into this port preceded by the  
403 conditioned rewarded odor (CS+), but not the conditioned unrewarded odor (CS-)  
404 (**Figure 3A**). CS+ and CS- odor trials occurred in a pseudo-random order throughout all  
405 testing sessions.

406        Training in the go/no-go task occurs over four phases (see Materials and  
407 Methods), and thus a potential initial hurdle in our investigation into the olfactory  
408 behavior of 5-HT depleted mice was shaping them to criterion performance ( $\geq 85\%$   
409 correct responses in 2 consecutive blocks of 20 trials). Indeed, 5-HT is considered a

410 potent modulator of odor learning in neonatal rats (McLean et al., 1993). As before, six-  
 411 week-old adult *Tph2<sup>fl/fl</sup>* mice (cohorts 2 & 3) were injected with AAV-GFP or AAV-Cre  
 412 into the midbrain DRN/MRN region. Mice were placed on a mild 24-hour water  
 413 restriction schedule at 23-29 days post-treatment to allow sufficient time for the near-  
 414 complete elimination of brain 5-HT levels before shaping on the task started (see  
 415 timeline in **Figure 1**). One AAV-Cre-treated mouse was eliminated from all data analysis  
 416 and statistical reports herein following both 5-HT HPLC and *Tph2* qPCR results that  
 417 revealed intact brain 5-HT and *Tph2* levels, likely due to imprecise AAV injection.

418 Impressively, all remaining mice in the AAV-GFP ( $n = 9$ ) and AAV-Cre ( $n = 8$ )  
 419 treatment groups were able to learn the go/no-go task (**Figure 4**). *Tph2*-targeted mice  
 420 required a similar number of training blocks as control mice to learn reward retrieval in  
 421 Phase 1 (**Figure 4A**) ( $t(15)=-0.655$ ,  $p=0.522$ ), sampling of the CS+ odor in Phase 2  
 422 (**Figure 4B**) ( $t(15)=-0.028$ ,  $p=0.978$ ), and odor detection of the CS+ odor from a “blank”  
 423 stimulus in Phase 3 (**Figure 4C**) ( $t(15)=-0.724$ ,  $p=0.480$ ). When learning to discriminate  
 424 the CS+ odor from a CS- odor in Phase 4, *Tph2*-targeted mice required significantly  
 425 more training blocks to reach performance criterion (**Figure 4D**) ( $t(15)=3.507$ ,  $p=0.003$ ).  
 426 However, this finding was largely attributable to longer learning latencies in just two of  
 427 the eight AAV-Cre mice which eventually exceeded the criterion threshold (**Figure 4D**,  
 428 downward arrowheads).

429 With both groups of mice having learned the go/no-go task, we next allowed  
 430 them to perform in the odor discrimination phase over multiple successive daily  
 431 sessions (range: 5 – 9 sessions) for a total of 2,000 trials each (100 blocks / mouse).  
 432 100 blocks of performance was selected as a behavioral milestone to allow a large

433 sampling of behavior from all animals and to ensure extensive, as well as equivalent,  
 434 task experience prior to engaging in the upcoming reversal learning paradigm. One  
 435 AAV-Cre- and one AAV-GFP-treated mouse were eliminated from this and further data  
 436 acquisition due to complications occurring within the two weeks of behavioral shaping,  
 437 which impaired performance in the task (see **Figure 1**). As shown in **Figure 4E**, the  
 438 remaining AAV-Cre mice achieved similar behavioral performance as AAV-GFP mice  
 439 on the odor discrimination task, whether the mandatory odor sampling time was fixed at  
 440 400ms ( $t(13)=-1.073$ ,  $p=0.306$ ) or was self-regulated in a less restricted task structure  
 441 (see Materials and Methods) performed across 15 blocks on subsequent sessions  
 442 ( $t(13)=-1.486$ ,  $p=0.161$ ). Similarly, over the course of the first 15 blocks of criterion  
 443 performance, AAV-Cre mice sampled odors, measured as the time from odor onset to  
 444 withdrawal from the sampling port, for similar durations compared to AAV-GFP mice  
 445 (**Figure 4F**), in both the original odor discrimination task ( $t(13)=0.577$ ,  $p=0.574$ ) and  
 446 when odor sampling was self-regulated ( $t(13)=1.358$ ,  $p=0.198$ ). Thus, while both groups  
 447 sampled odors for qualitatively less time in the self-regulated task compared to the  
 448 original fixed odor sampling sessions (**Figure 4F**, right vs left), AAV-Cre mice  
 449 maintained similar odor discrimination accuracy and odor sampling times to AAV-GFP  
 450 mice on both task structures.

451 In a separate session, we sought to confirm that the mice were indeed relying  
 452 upon olfactory cues to perform the go/no-go task. In this experiment, a subset of mice ( $n$   
 453 = 7) shaped to criterion performance on the CS+ vs. CS- Phase 4 odor discrimination  
 454 task were tested for their reliance upon the odors to make correct responses. Following  
 455 two blocks of CS+ vs. CS- odor discrimination (**Figure 4G**, shaded), the experimenter

456 disconnected the odor input lines to the odor ports of the operant chamber (**Figure 4G**,  
 457 unshaded). The reduced performance upon block three and proceeding into block four  
 458 falls within chance levels (<60% correct responses) in all mice. Upon block five, the  
 459 experimenter reconnected the odor lines and the performance of the mice gradually  
 460 returned (**Figure 4G**, shaded). This illustrates that the mice were indeed using the odors  
 461 to guide their behaviors, not other cues that may be associated with the operant  
 462 chamber function (valve clicks, air flow, etc).

463

464 *Gross motor control, water intake, body weight, and water motivation of *Tph2*-targeted*  
 465 *mice*

466 In order to identify any additional factors which may influence go/no-go task  
 467 performance in adult *Tph2*-targeted mice, we performed further measures on the mice  
 468 (cohorts 2 and 3) during either task engagement or following completion of all olfactory  
 469 testing. First, we measured gross motor control during task performance as assayed by  
 470 the average duration of withdrawal from the odor port until nose poke in the reward port  
 471 in phase 4 odor discrimination testing (**Figure 5Ai**) and during the self-regulation odor  
 472 discrimination testing (**Figure 5Aii**). These data revealed that *Tph2*-targeted mice  
 473 maintained coordinated performance (nose poking and movement between ports) in  
 474 both phase 4 ( $t(15)=1.809$ ,  $p=0.198$ ) and the self-regulation testing ( $t(13)=0.548$ ,  
 475  $p=0.593$ ) as compared to the AAV-GFP treated mice.

476 We also monitored body weights and fluid intake of the water restricted mice.  
 477 AAV-Cre- and AAV-GFP-treated mice began water restriction at statistically similar  
 478 baseline body weights (**Figure 5B**) ( $t(15)=-1.456$ ,  $p=0.165$ ). Importantly, all mice were

479 maintained at statistically similar body weights throughout go/no-go task performance  
 480 (**Figure 5C**) ( $F(t(15))=-0.043, p=0.966$ ). Further, we measured the amount of water  
 481 provided to the mice each day following behavioral testing each day in order to maintain  
 482 appropriate body weights (as shown in **Figure 5C**). Each day, the experimenter  
 483 provided 'supplemental' water to the water-restricted mice (that in addition to what they  
 484 received upon making correct decisions). AAV-Cre-injected mice required more water  
 485 than AAV-GFP-injected mice to maintain 80-85% body weight levels throughout the  
 486 duration of experimentation (**Figure 5D**) ( $t(15)=3.913, p=0.0014$ ). Pilot experiments with  
 487 a cohort of mice (not included in **Figure 1**) in which we provided AAV-Cre-injected mice  
 488 with the same levels of water as AAV-GFP-injected mice revealed excessive weight  
 489 loss in AAV-Cre-injected mice (data not shown) and therefore we established the  
 490 paradigm of providing AAV-Cre treated mice with additional supplemental water each  
 491 day in order to ensure mice in both treatment groups fell within a similar operational  
 492 definition for thirst.

493 Finally, on a separate day (see **Figure 1**), mice were placed in the operant  
 494 chambers for a test of water motivation lasting one hour. In this task, each nose poke  
 495 into the odor port (in absence of odor) immediately triggered a reward to be released in  
 496 the reward port, and, thus, this task allowed free access to water and quantification of  
 497 water motivation. AAV-Cre-treated mice completed trial blocks with similar latencies  
 498 (**Figure 5Ei**) ( $t(13)=-1.762, p=0.102$ ) and completed a similar number of blocks (**Figure**  
 499 **5Eii**) ( $t(13)=1.76, p=0.102$ ) compared to AAV-GFP-treated mice. Together, these data  
 500 from the above control measures suggests that 'non-olfactory' behaviors of *Tph2*-  
 501 targeted mice during engagement in the go/no-go test are not confounded by

502 differences in the ability of these mice to execute coordinated motor behaviors nor by  
 503 altered levels of water motivation.

504

#### 505 *Preserved higher-order odor-guided behaviors in Tph2-targeted mice*

506 We next sought to explore the ability of *Tph2*-targeted mice to engage in 'higher-  
 507 order' olfactory-based behaviors. Reversal learning is a cognitive ability that often is  
 508 explored in the context of learning and memory studies. Being reliant upon the basic  
 509 function of odor detection and identification, odor reversal learning, wherein the  
 510 behavioral contingencies between the CS+ and CS- odors are switched so that the  
 511 previously unrewarded odor is rewarded and *vice versa*, is a commonly employed  
 512 paradigm (Macrides et al., 1982; Schoenbaum et al., 1999; Sokolic and McGregor,  
 513 2007). 5-HT is considered in some contexts to be essential for odor learning (McLean et  
 514 al., 1993) and cognitive flexibility (Lapiz-Bluhm et al., 2009; Coccaro et al., 2011). Thus,  
 515 while not specifically assaying olfactory perception, we next investigated reversal  
 516 learning to explore if this function of olfactory sensory-dependent cognitive flexibility is  
 517 impaired in 5-HT-depleted mice.

518 We reversed the CS+ and CS- behavioral contingencies and monitored the  
 519 responses of both AAV-GFP ( $n = 8$ ) and AAV-Cre mice ( $n = 7$ ) over successive daily  
 520 sessions (**Figure 6A**, all mice from cohorts 2 and 3). As was the case for the initial  
 521 learning of the go/no-go task (**Figures 4A-D**), both groups of mice displayed similar  
 522 learning curves to acquire the reversal (**Figure 6A**), with inter-animal variability present  
 523 in both groups. To reduce bin noise, learning curves were plotted and analyzed from  
 524 sliding block window averages over 3 successive blocks. AAV-GFP- and AAV-Cre-

525 injected mice required a similar number of sliding blocks to acquire the reversal to  
 526 criterion level (**Figure 6B**) ( $t(13)=1.067$ ,  $p=0.305$ ). Thus, at least in this context, mice  
 527 maintain cognitive flexibility in the absence of adult brain 5-HT.

528

529 *Confirmation of 5-HT synthesis deficiency in mice with perseverant olfactory behaviors*  
 530 *and evidence that residual brain 5-HT does not contribute to go/no-go behavior.*

531 We next verified loss of *Tph2* expression in the DRN of AAV-Cre injected *Tph2<sup>fl/fl</sup>*  
 532 mice used for the go/no-go testing (cohorts 2 and 3,  $n = 9$  AAV-GFP and  $n = 8$  AAV-  
 533 Cre). Analysis of these mice demonstrated that this targeting approach resulted in a  
 534 98.01% decrease in *Tph2* mRNA in the DRN ( $t(15)=11.254$ ,  $p<0.0001$ ); AAV-Cre vs.  
 535 AAV-GFP mice) (**Figure 7A**). Further, the brain of each mouse was collected to confirm  
 536 the depletion of 5-HT ( $n = 9$  AAV-GFP and  $n = 8$  AAV-Cre). HPLC analysis confirmed  
 537 robust depletions of 5-HT and in its main metabolite, 5-hydroxyindoleacetic acid (5-  
 538 HIAA) in both olfactory bulb (5-HT, ( $t(15)=12.588$ ,  $p<0.0001$ ); 5-HIAA, ( $t(15)=12.519$ ,  
 539  $p<0.0001$ )) and forebrain (5-HT, ( $t(15)=19.847$ ,  $p<0.0001$ ); 5-HIAA, ( $t(15)=6.043$ ,  
 540  $p<0.0001$ )) (**Figures 7B & C**).

541 As we have presented (**Figures 2 & 7**), this approach yielded near-complete  
 542 eliminations of forebrain and olfactory bulb 5-HT. While highly significant reductions in  
 543 5-HT were present in AAV-Cre-injected mice compared to AAV GFP mice across all  
 544 animals in the olfactory bulb and forebrain, respectively, some 5-HT remained. Does the  
 545 residual 5-HT, that is, the minor amount found in the olfactory bulbs or forebrain  
 546 following AAV-Cre injection, contribute to odor discrimination as assayed here? To test  
 547 this, we compared, within AAV-Cre-injected animals, the HPLC-determined



548 concentration of residual 5-HT in both olfactory bulb and forebrain homogenates (same  
549 data as in **Figure 7B**) to that of each animal's percent correct responses (computed  
550 across 2,000 trials [same data as in **Figure 4E**]). Again, supporting the notion that the  
551 olfactory system does not need 5-HT for its basic function, no relationship was observed  
552 between residual 5-HT in either the olfactory bulb (Pearson's  $r = 0.321$ ,  $p = 0.483$ ,  $n = 7$ )  
553 or forebrain (Pearson's  $r = 0.0964$ ,  $p = 0.837$ ,  $n = 7$ ) and the percent of correct  
554 responses.

555

556

## 557 **Discussion**

558 In the present study, we sought to address the longstanding question: Do  
559 mammals *need* 5-HT for olfaction? More specifically, without adult brain 5-HT, do  
560 animals possess deficits in the most basic elements of olfactory-guided behaviors? The  
561 olfactory system is innervated by numerous neuromodulatory systems. These systems,  
562 including dopamine, acetylcholine, and, as explored herein, 5-HT, are hypothesized to  
563 provide critical refinements to the function of the olfactory system within the olfactory  
564 bulb, as well as in secondary and tertiary olfactory processing stages (for review see  
565 (Linster and Fontanini, 2014)). For instance, multiple lines of elegant work have  
566 revealed that cholinergic modulation within the olfactory bulb and downstream piriform  
567 cortex impacts fine odor discrimination, short-term odor memory, odor-based rule  
568 learning, and odor habituation (e.g., (Ravel et al., 1992; Saar et al., 2001; Fletcher and  
569 Wilson, 2002; Linster and Cleland, 2002; Mandairon et al., 2006; Chaudhury et al.,  
570 2009)). Despite intense interest in understanding serotonergic modulation of olfactory

571 sensory input, surprisingly few studies have addressed the necessity of 5-HT in  
572 olfactory-guided behaviors in the intact animal. In one study, the serotonergic  
573 neurotoxin 5, 7-dihydroxytryptamine (5,7-DHT) was used to destroy 5-HT axonal inputs  
574 to the rat olfactory bulb (Moriizumi et al., 1994). The authors reported that 5,7-DHT  
575 treated rats, trained to avoid cycloheximide in drinking water, became 'anosmic' after  
576 neurotoxin treatment (Moriizumi et al., 1994). However, the behavioral assay was not  
577 specific for olfaction and the reported behavioral impairment did not occur until several  
578 weeks after depletion of 5-HT immunoreactivity in the bulb. Moreover, the timing of  
579 anosmia was correlated with glomerular atrophy that also occurred a few weeks after  
580 5,7-DHT treatment suggesting that the behavioral deficits were not the result of 5-HT  
581 deficiency but rather long term toxic effects of 5,7-DHT on olfactory structures. A  
582 different group reported that 5,7-DHT based denervation of centrifugal raphe input to  
583 the rat neonatal olfactory bulb is required for odor learning and memory, concluding a  
584 functional role of 5-HT in this context (McLean et al., 1993). However, in *Lmx1b*  
585 deficient mice, in which 5-HT neurons fail to differentiate and 5-HT synthesis is  
586 genetically blocked permanently at embryonic day 10.5, olfactory function remains intact  
587 (Liu et al., 2011b). The selective serotonin-reuptake inhibitor, fluoxetine, rescued deficits  
588 in olfactory acuity brought about in mice chronically treated with corticosterone (CORT)  
589 but impaired olfaction when chronically administered to CORT-free mice (Siopi et al.,  
590 2016). Thus, the significance of 5-HT, *itself*, specifically in the adult brain for odor-  
591 guided behaviors and also olfactory perception is unclear. This void in part comes from  
592 a lack of methods to specifically target adult brain 5-HT synthesis.

593 In this study, we used a sensitive olfactory go/no-go test and conditionally-  
594 targeted Tph2 with AAV-Cre injections in the adult brain to specifically block 5-HT  
595 synthesis thus avoiding potential off-target effects of pharmacological approaches. The  
596 olfactory go/no-go test is a sensitive assay of olfactory function, and qualitatively mice in  
597 our task learned and performed within ranges reported by other groups (Bodyak and  
598 Slotnick, 1999; Kelliher et al., 2003; Abraham et al., 2004; Lin et al., 2004; Pho et al.,  
599 2005; Wesson et al., 2006). Although the targeting of Tph2 caused a nearly complete  
600 elimination of 5-HT synthesis, this deficiency does not result in loss of 5-HT neuron cell  
601 bodies as evidenced by their intact expression of aromatic amino acid decarboxylase  
602 (Whitney et al., 2016). The conditional genetic approach left intact early 5-HT signaling  
603 and thus did not interfere with 5-HT's effects on sensory system organization and  
604 development (Cases et al., 1996; Gaspar et al., 2003; Toda et al., 2013). Further, this  
605 approach avoids potential but presently unknown compensatory mechanisms (Whitney  
606 et al., 2016) that might occur in response to 5-HT deficiency in the developing nervous  
607 system. The blockade of adult 5-HT synthesis did result in a significant and robust  
608 phenotype of altered water motivation (**Figure 5**), and has been shown to impact activity  
609 levels and circadian rhythms (Whitney et al., 2016). However, in view of the profound  
610 effects of serotonergic function on olfactory circuitry the present results were  
611 unexpected as they indicate that adult brain 5-HT is not necessary for odor learning,  
612 coarse odor discrimination, or normal coordinated odor-guided behavior (sampling  
613 durations, **Figure 4F**). Further, we found that Tph2-targeted mice were able to  
614 successfully complete a reversal learning task (**Figure 6**). This finding was also  
615 surprising as a significant number of studies employing a range of approaches to alter

616 5-HT function and then assess behavioral outcomes in rodents, non-human primates,  
617 and humans, have repeatedly suggested that reduced brain 5-HT levels hinders  
618 reversal learning (Murphy et al., 2002; Masaki et al., 2006; Bari and Robbins, 2013;  
619 Izquierdo et al., 2016). A potential explanation for our discordant findings is that the rat,  
620 not the mouse, has been the typical rodent species used to probe 5-HT's role in  
621 reversal learning. In addition, because perturbation of different 5-HT receptor subtypes,  
622 which presumably influence distinct circuitry, produces opposing effects on reversal  
623 learning (Furr et al., 2012; Nilsson et al., 2012), perhaps a near-complete absence of  
624 forebrain 5-HT has a net counterbalancing effect on reversal learning. A similar  
625 explanation may account for the lack of effect of adult brain Tph2 targeting on olfaction.  
626 Yet, with respect to reversal learning outcomes this explanation does not account for  
627 the discrepancy between our findings and earlier ones in which 5-HT was also broadly  
628 depleted from the forebrain and therefore would have been expected to impact 5-HT  
629 signaling through more than one 5-HT receptor subtype. A conspicuous difference  
630 between our study and earlier ones, however, is the method used to deplete brain 5-HT.  
631 In contrast to our viral/genetic approach, previous studies have employed  
632 pharmacological or dietary means to reduce brain 5-HT (Clarke et al., 2004, 2005,  
633 2007; Masaki et al., 2006; Lapiz-Bluhm et al., 2009; Bari et al., 2010; Izquierdo et al.,  
634 2012, 2016). Although off-target pharmacological effects are not potentially inherent in  
635 our Tph2 targeting approach we cannot rule out the possibility that the severe deficiency  
636 of brain 5-HT in Tph2 conditional knockout mice lasting several weeks before behavioral  
637 testing may have triggered presently unknown secondary effects, such as homeostatic  
638 alterations in the function of other transmitter systems, that compensate for the absence

639 of 5-HT modulation of olfactory synaptic circuitry. Further parallel investigations of  
640 cognitive flexibility with adult *Tph2*-targeted mice and traditional methods of 5-HT  
641 depletion are needed to resolve the mechanistic basis of previously reported effects,  
642 which our results suggest, are not directly due to altered 5-HT levels.

643       Our findings beg the question as to what role 5-HT plays in olfaction? Why do the  
644 essential olfactory structures receive massive 5-HT innervation if not for even adjusting  
645 the most essential functioning of the system? One possibility is that 5-HT is only needed  
646 for the more nuanced aspects of odor-guided behavior, including the discrimination of  
647 structurally similar odorants (Cleland et al., 2002; Uchida and Mainen, 2003; Abraham  
648 et al., 2004), figure-background segregation (Barnes et al., 2008; Chapuis and Wilson,  
649 2011), or intensity perception (Wojcik and Sirotin, 2014). For instance, a mouse may  
650 need 5-HT to discern the difference of odor in a sexually receptive female mouse versus  
651 an unreceptive one, yet may not need 5-HT to recognize the scent difference between a  
652 mouse and a predator. It is also possible that the influence of 5-HT would only manifest  
653 in cases of changes in behavioral state, including startle, arousal, or hunger.  
654 Nevertheless, our results that the essential functions of the mammalian olfactory system  
655 can persevere despite a near-complete absence of adult brain 5-HT are striking and  
656 suggest need for a refinement of models wherein 5-HT serves a major role in the  
657 olfactory system. Instead, we may benefit from recognizing a far-more limited role of 5-  
658 HT in olfactory system function and perception. Further, this work provides a foundation  
659 to probe for compensatory synaptic mechanisms whereby our olfactory system may  
660 engage in informing behaviors, including those involving transmitter co-release  
661 (Trudeau, 2004; Zhou et al., 2005; Barker et al., 2016).

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## 962 Figure Legends

963 **Figure 1. Experimental timeline for all mouse cohorts.** Three separate cohorts of

964 *Tph2<sup>fl/fl</sup>* male mice were used. **(A)** One cohort (cohort 1) was used solely for

965 immunohistochemistry. Two other cohorts (cohorts 2 and 3) **(B)** were used for olfactory

966 go/no-go behavioral experiments, and following the behavioral data collection, perfused

967 for subsequent qPCR and HPLC analyses of *post-mortem* brain tissue (see Materials

968 and Methods). Two mice from the behavioral group (cohorts 2 and 3) were

969 euthanized/died during the late stages of task acquisition upon displaying signs of

970 illness (indicated by stars).

972 **Figure 2. Targeting of *Tph2* in the brains of adult mice.** (A) Schematic of wild-type  
 973 *Tph2* allele (top), floxed-allele with exon V flanked by *loxP* sites (middle), and targeted  
 974 allele after Cre-mediated deletion of exon V (bottom). (B) Representative images of  
 975 *Tph2<sup>fl/fl</sup>* mice confirming near-complete loss of 5-HT and Tph2 immunoreactivity within  
 976 the MRN and DRN (median and dorsal raphe nucleus) of AAV-Cre-treated mice. (C)  
 977 Representative images of *Tph2<sup>fl/fl</sup>* mice illustrating the preservation of 5-HT- and Tph2-  
 978 immunostained neurons in the medullary raphe, including the raphe magnus (RMg) and  
 979 raphe pallidus (RPa) in AAV-Cre-treated mice. The raphe magnus does not send many  
 980 fibers into the forebrain and/or olfactory structures specifically, but instead largely  
 981 projects into the spinal cord (Bowker et al., 1981; Skagerberg and Björklund, 1985). (D)  
 982 Representative images of *Tph2<sup>fl/fl</sup>* mice confirming near-complete loss of 5-HT  
 983 immunoreactivity within both the main olfactory bulb and piriform cortex in AAV-Cre-  
 984 treated mice. Images are from stacks of 1.2µm thick confocal images. Dashed lines in  
 985 olfactory bulb images represent borders of glomeruli identified with nuclear counterstain  
 986 (not shown) and in piriform lines represent the border of the lateral olfactory track (lot)  
 987 form layer i of the piriform. Images are from coronal sections, 20µm thick. Images have  
 988 been converted to monochrome and inverted. Images in (B) and (C) were equally  
 989 applied contrast/brightness adjustments to optimally display immunostained cell bodies.  
 990 Images in (D) were similarly equally applied contrast/brightness adjustments to  
 991 optimally display immunostained fibers.

992  
 993 **Figure 3. Go/no-go olfactory task design and stimulus control.** (A) Outline of the  
 994 go/no-go task structure as described in detail in Materials and Methods. In the final  
 995 stage of the task, mice nose-poke in the right port (600ms hold duration required),  
 996 receive either CS+ or CS- (400msec minimum hold [50msec for self-regulation  
 997 paradigm]; 2sec maximum hold), and retrieve a reward in the left port (S+ trial) or  
 998 withhold their response (S- trial). Green shaded circle denotes the presentation of a  
 999 conditioned rewarded (CS+) odor. Red shaded circle denotes the presentation of a  
 1000 conditioned unrewarded (CS-) odor. Blue water-drop icon symbolizes brief 3µL water  
 1001 reward delivery. (B) Averaged voltage trace from a photoionization detector (PID) to  
 1002 illustrate the rapid odor stimulus dynamics as controlled by the go/no-go olfactometers.



15 trials of the odorant, heptanal (see Materials and Methods for intensity used and flow rate), were delivered by each olfactometer while the PID sampling port was positioned in the center of the odor sampling port. Data are normalized to the maximum value acquired by each olfactometers averaged PID output (over the 15 trials) and plotted as the average across all three olfactometers. Time 0 equals odorant valve onset. Time points of 50% ( $T_{50}$ ) and 90% rise times ( $T_{90}$ ) are indicated. Grey-shaded area indicates SEM. While these dynamics may vary slightly across odors, this measure illustrates the precision and stability of the odor presentation methods employed.

**Figure 4. Adult *Tph2* targeted mice learn the olfactory go/no-go task and display similar levels of odor acuity and odor sampling durations. (A-D)** Learning curves (left) and total number of blocks required to complete each phase (right) across Phases 1-4.  $**p < 0.005$ . **(E)** Average block percent correct performance during the odor discrimination task when odor sampling time was fixed (left, 2000 trials/mouse) and self-regulated (right, 300 trials/mouse). **(F)** Average sampling durations (nose poking during odor on) for CS+ and CS- odors during Phase 4 odor discrimination (blocks  $\geq 85\%$ , 300 trials/mouse (left), and during self-regulation of odor discrimination (blocks  $\geq 85\%$ , 300 trials/mouse) (right). **(G)** Odor-removal control experiment to demonstrate the reliance of the mice upon the odor stimuli to engage in the go/no-go task. Data = mean  $\pm$  SEM of all mice / block. Dots = individual mouse data.

**Figure 5. Gross motor performance, body weights, water intake, and water motivation of AAV-GFP- and AAV-Cre-treated *Tph2*<sup>fl/fl</sup> mice during the go/no-go task (A)** Average duration of withdrawal from odor port to nose poke in the reward port during **(Ai)** Phase 4 odor discrimination (15 blocks  $\geq 85\%$ , 150 CS+ trials/mouse) and **(Aii)** the self-regulation odor discrimination testing (15 blocks  $\geq 85\%$ , 150 CS+ trials/mouse). **(B)** Baseline body weights of mice used in olfactory go/no-go testing prior to water deprivation. **(C)** Mean body weights of all mice (averaged across all days of behavioral testing (range: 21-24 days) expressed as % of weight (during water restriction) as a function of their baseline weight (A). **(D)** The mean of supplemental water for each mouse across all testing days. **(E)** Water motivation test results. **(Ei)**

1034 Histograms of the average block duration (11-30 blocks/mouse) and **(Eii)** the number of  
1035 blocks completed in a single one-hour session of the water motivation test. Data = mean  
1036 +/- SEM of all mice. Dots = individual mouse data.  $^{**}p<0.005$ .

1037

1038 **Figure 6. Adult brain 5-HT synthesis is not required for olfactory reversal**  
1039 **learning. (A)** Learning curves during odor-pair reversal, plotted with a three-block  
1040 average sliding window until each mouse reached or surpassed 85% correct responses.  
1041 **(B)** Average number of sliding blocks to reach  $\geq 85\%$  correct. Circles indicate values for  
1042 individual mice.

1043

1044 **Figure 7. Confirmation of Tph2 targeting and 5-HT depletion in cohorts used for**  
1045 **behavior experiments. (A)** qPCR results displaying a significant reduction in *Tph2*  
1046 expression, relative to *Actb*, in the DRN region of *Tph2<sup>fl/fl</sup>* mice injected with AAV-Cre ( $n$   
1047 = 8) as compared to untreated controls ( $n$  = 9).  $^{***}p<0.0001$ . Data are from mice in  
1048 cohorts 2 and 3. HPLC-quantified levels of 5-HT **(B)** and 5-HIAA **(C)** in the olfactory  
1049 bulbs and forebrain of the same mice used for go/no-go behavior (cohorts 2 and 3).  
1050 Tissue were collected immediately following the completion of the last behavioral  
1051 measure (water motivation, Figure 5E). Data = mean  $\pm$  SEM, individual points =  
1052 individual mice.  $^{***}p<0.0001$ .

1053

Figure 1

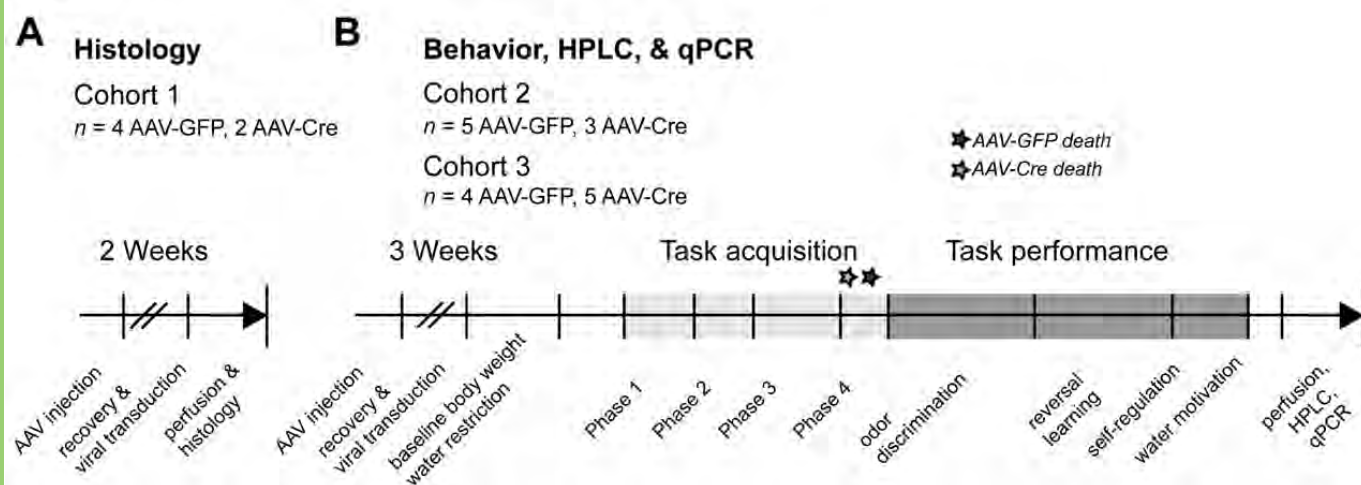


Figure 2

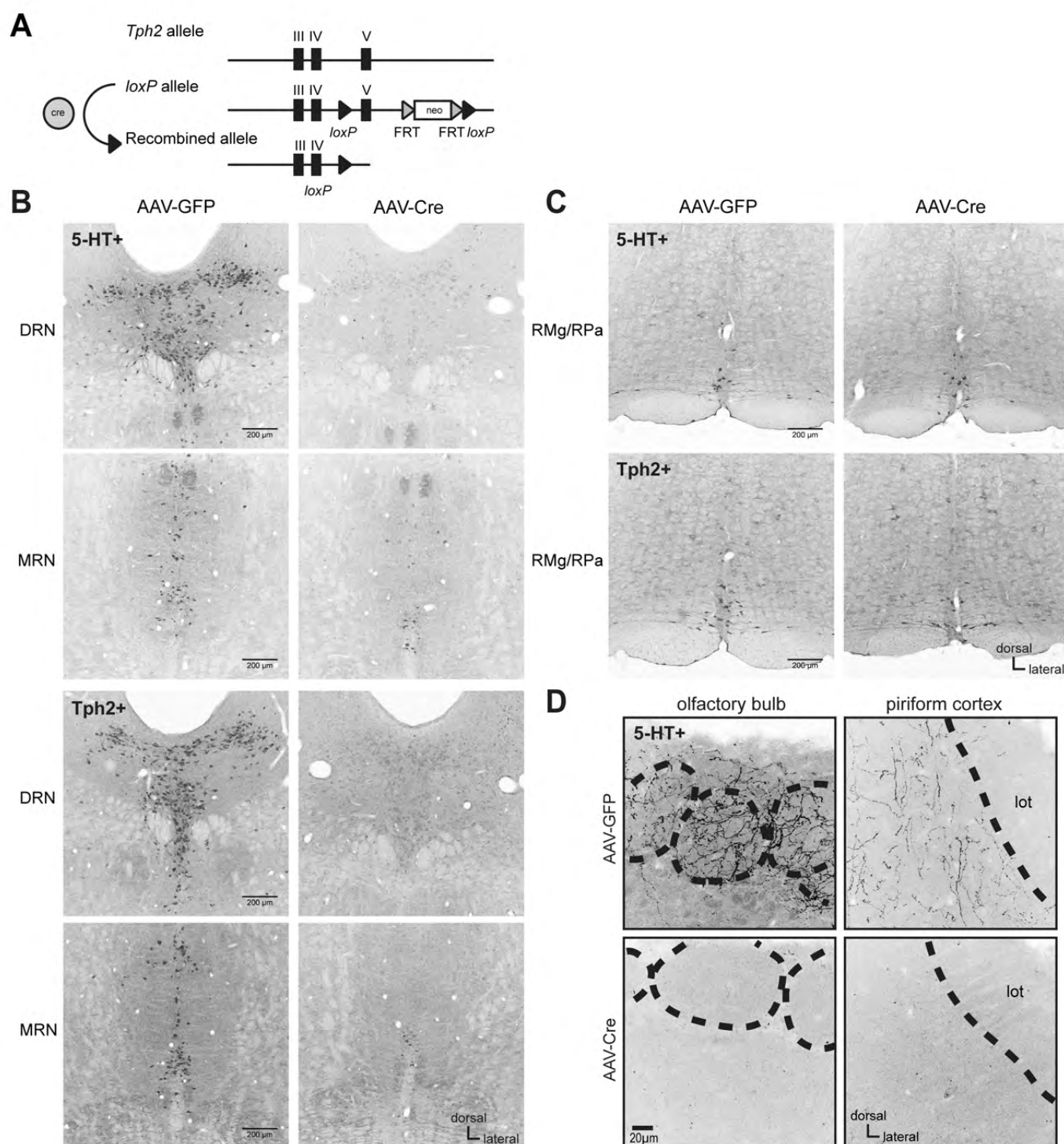


Figure 3

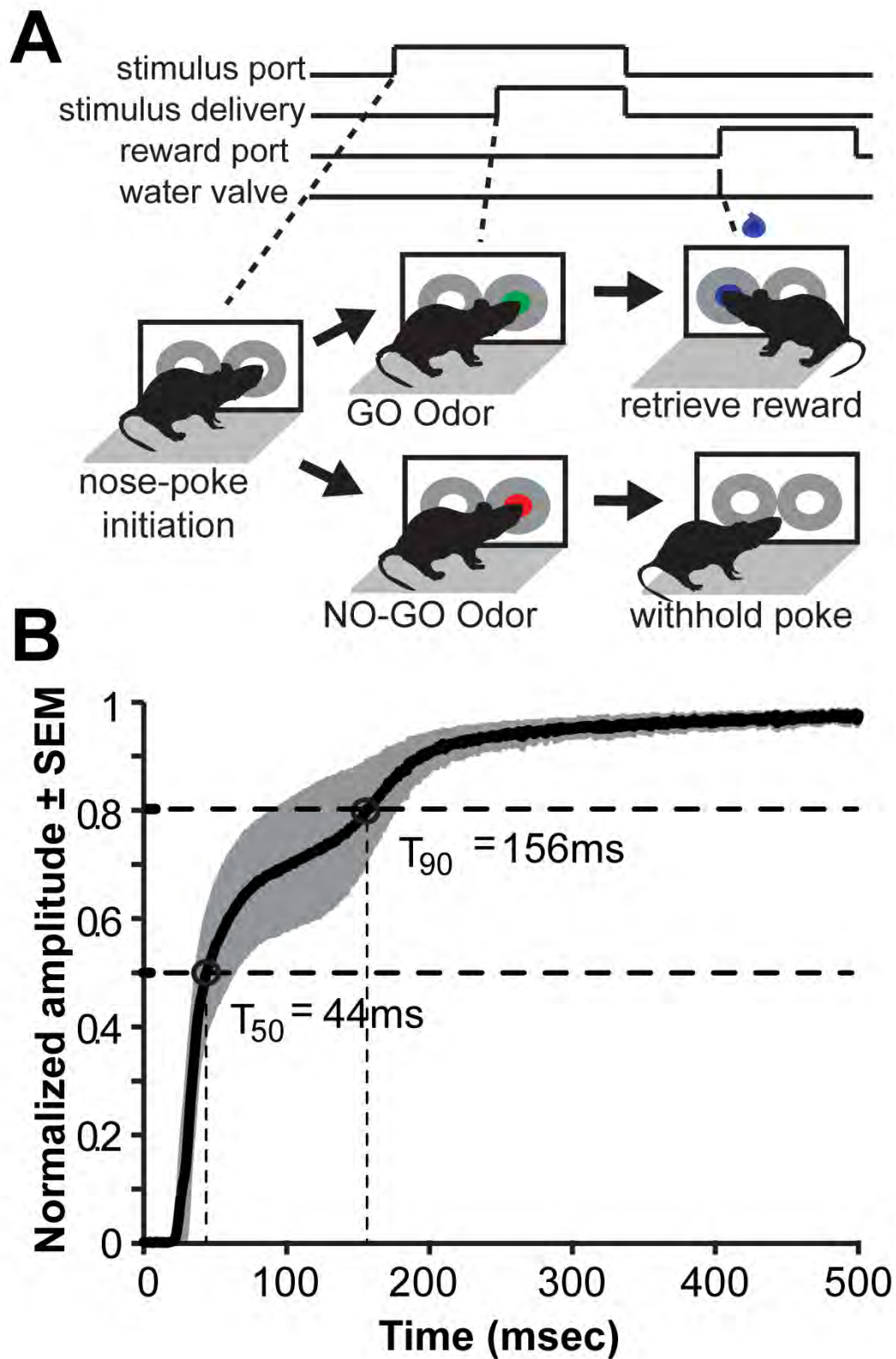




Figure 4

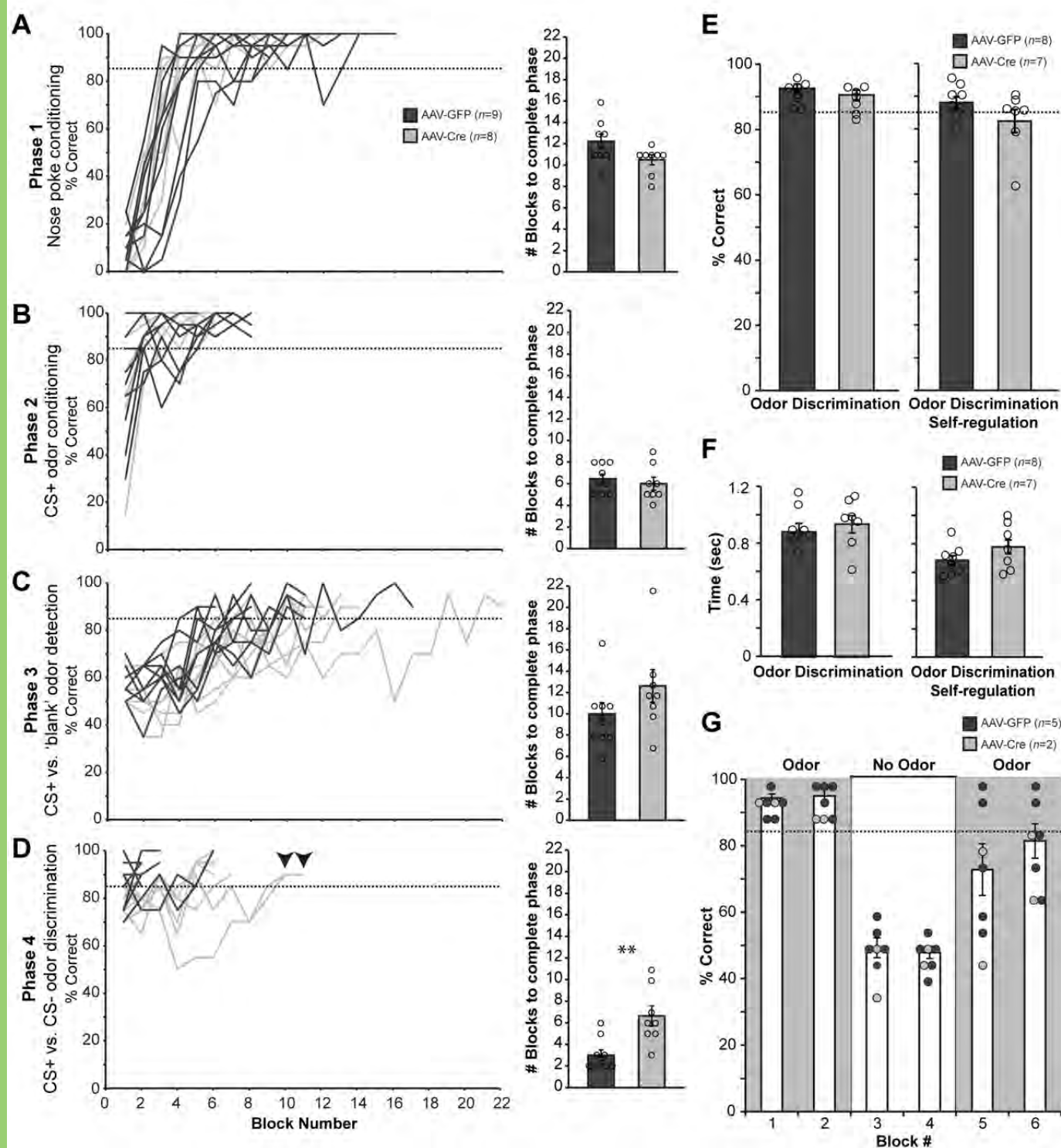


Figure 5

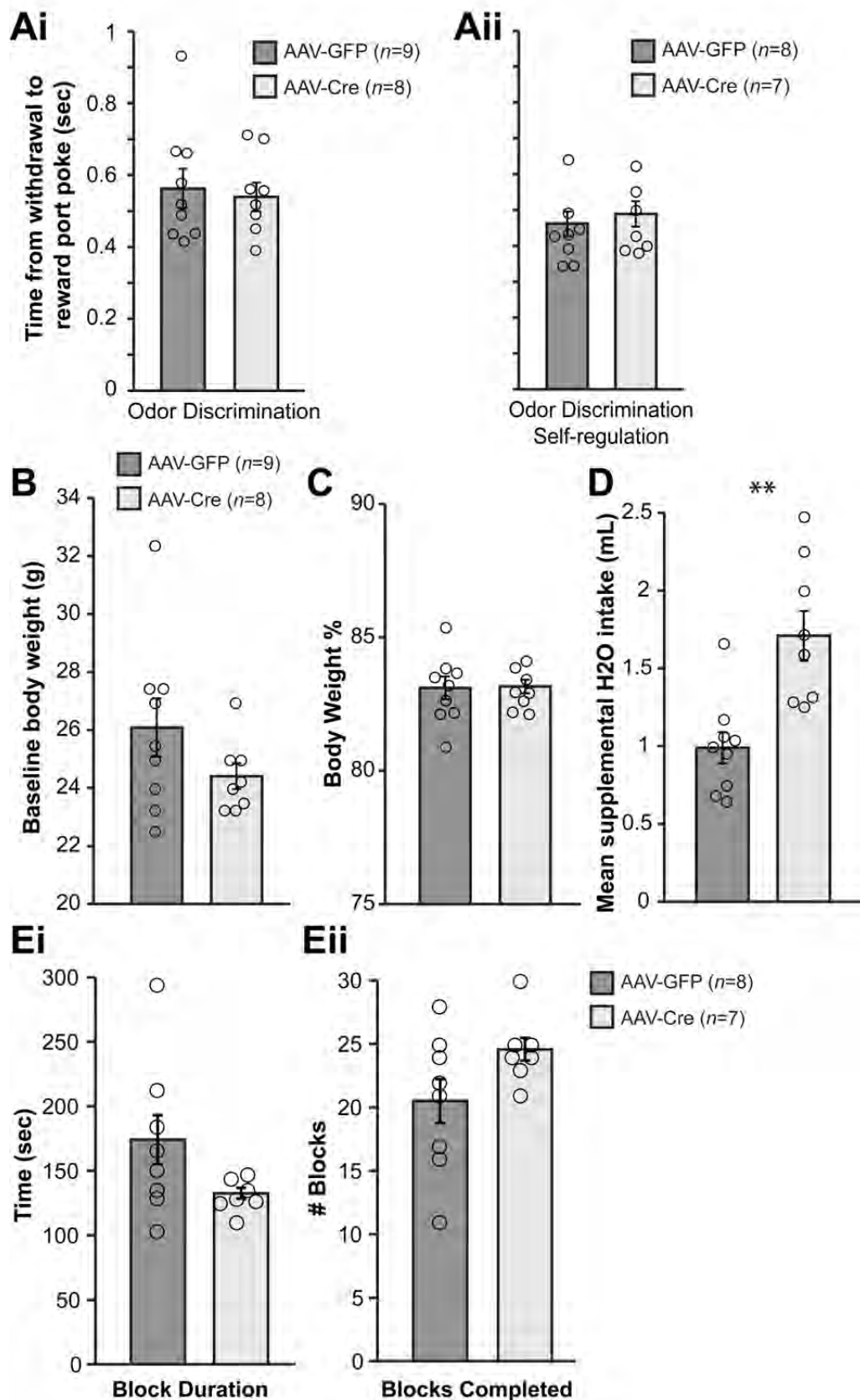


Figure 6

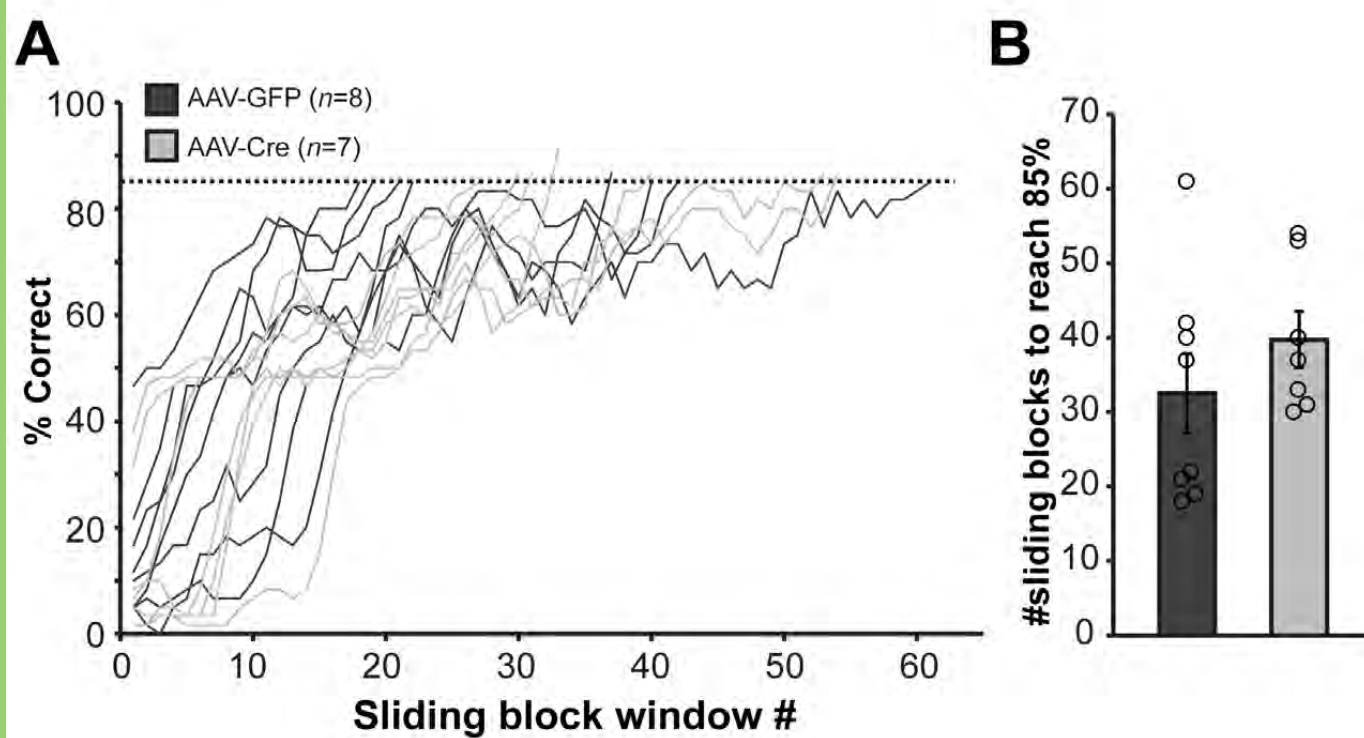
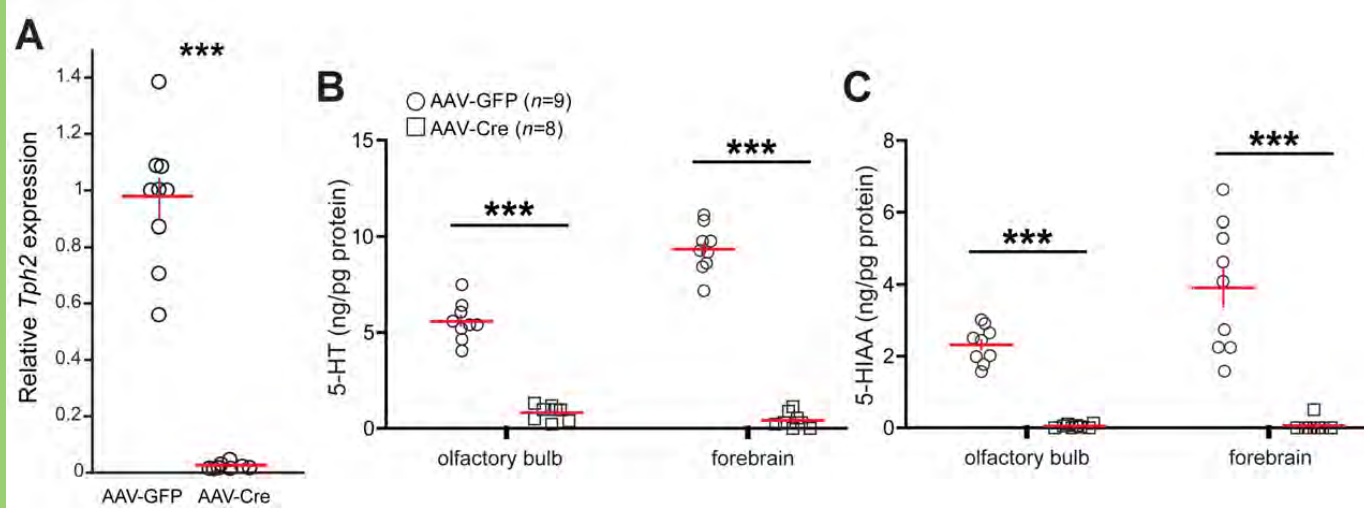




Figure 7





- ✓ odor learning
- ✓ coarse odor discrimination
- ✓ odor reversal learning