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The largest response component in motor cortex reflects movement timing but not movement type

A neural signal reflecting movement timing

Matthew T. Kaufman^{1,2,5}, Jeffrey S. Seely⁶, David Sussillo^{1,2}, Stephen I. Ryu^{2,8}, Krishna V. Shenoy^{1,2,3,4,9} and Mark M. Churchland^{6,7}

¹Neurosciences Program, Stanford University, Stanford, CA 94305

²Department of Electrical Engineering, Stanford University, Stanford, CA 94305

³Department of Bioengineering, Stanford University, Stanford, CA 94305

⁴Department of Neurobiology, Stanford University, Stanford, CA 94305

⁵Cold Spring Harbor Laboratory, Cold Spring Harbor, NY 11724

⁶Department of Neuroscience, David Mahoney Center for Brain and Behavior Research, Kavli Institute for Brain Science, Columbia University Medical Center, New York, NY 10032

⁷Grossman Center for the Statistics of Mind, David Mahoney Center for Brain and Behavior Research, Kavli Institute for Brain Science, Columbia University Medical Center, New York, NY 10032

⁸Department of Neurosurgery, Palo Alto Medical Foundation, Palo Alto, CA 94301

⁹Howard Hughes Medical Institute at Stanford University

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Correspondence should be addressed to Mark Churchland, Kolb Research Annex, 40 Haven Avenue, New York, NY 10032-2652; mc3502@columbia.edu

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6 **Authors:** Matthew T. Kaufman^{1,2,5}, Jeffrey S. Seely⁶, David Sussillo^{1,2}, Stephen I.
7 Ryu^{2,8}, Krishna V. Shenoy^{1,2,3,4,9}, Mark M. Churchland^{6,7}

8
9 **Affiliations:** ¹ Neurosciences Program, ² Department of Electrical Engineering, ³
10 Department of Bioengineering, ⁴ Department of Neurobiology, Stanford University,
11 Stanford, CA 94305

12
13 ⁵ Cold Spring Harbor Laboratory, Cold Spring Harbor, NY 11724

14
15 ⁶ Department of Neuroscience, ⁷ Grossman Center for the Statistics of Mind, David
16 Mahoney Center for Brain and Behavior Research, Kavli Institute for Brain Science,
17 Columbia University Medical Center, New York, NY 10032

18
19 ⁸ Department of Neurosurgery, Palo Alto Medical Foundation, Palo Alto, CA 94301

20
21 ⁹ Howard Hughes Medical Institute at Stanford University

22
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24 performed the research; MTK analyzed the data; JSS contributed analytic tools; DS
25 performed the modeling; SIR implanted the monkeys' arrays; MTK and MMC wrote
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27
28 **Correspondence should be addressed to:** Prof. Mark Churchland, Kolb Research
29 Annex, 40 Haven Avenue, New York, NY 10032-2652; mc3502@columbia.edu

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58

59 **Abstract**

60 Neural activity in monkey motor cortex (M1) and dorsal premotor cortex (PMd) can
61 reflect a chosen movement well before that movement begins. The pattern of neural
62 activity then changes profoundly just before movement onset. We considered the
63 prediction, derived from formal considerations, that the transition from preparation
64 to movement might be accompanied by a large overall change in the neural state
65 that reflects when movement is made rather than which movement is made.
66 Specifically, we examined ‘components’ of the population response: time-varying
67 patterns of activity from which each neuron’s response is approximately composed.
68 Amid the response complexity of individual M1 and PMd neurons, we identified
69 robust response components that were ‘condition-invariant’: their magnitude and
70 time course were nearly identical regardless of reach direction or path. These
71 condition-invariant response components occupied dimensions orthogonal to those
72 occupied by the ‘tuned’ response components. The largest condition-invariant
73 component was much larger than any of the tuned components; *i.e.*, it explained
74 more of the structure in individual-neuron responses. This condition-invariant
75 response component underwent a rapid change before movement onset. The timing
76 of that change predicted most of the trial-by-trial variance in reaction time. Thus,
77 although individual M1 and PMd neurons essentially always reflected which
78 movement was made, the largest component of the population response reflected
79 movement timing rather than movement type.

80

81

82 **Significance**

83 The activity of neurons often conveys information about externally observable
84 variables, such as the location of a nearby object or the direction of a reach made to
85 that object. Yet neural signals can also relate to ‘internal’ factors: the thoughts and
86 computations that link perception to action. We characterized a neural signal that
87 occurs during the transition from preparing a reaching movement to actually
88 reaching. This neural signal conveys remarkably accurate information about when
89 the reach will occur, but carries essentially no information about what that reach
90 will be. The identity of the reach itself is carried by other signals. Thus, the brain
91 appears to employ distinct signals to convey what should be done and when it
92 should be done.

93

94

95

96 **Introduction**

97 The responses of individual neurons are often characterized in terms of
98 tuning: how the firing rate varies across different stimuli or behaviors (“conditions”).
99 Additionally, neural responses may contain untuned features which are shared
100 across many conditions, such as an abrupt rise in firing rate after the onset of any
101 stimulus. These untuned response features may appear non-specific, and thus of
102 secondary interest. However, there is evidence that response features can be
103 correlated across conditions yet still carry computationally-relevant information.
104 Neural activity in prefrontal cortex contains a large response component reflecting
105 the passage of time (Machens et al., 2010), and time-varying signals have also been
106 observed in premotor cortex during anticipation of an informative cue (Confais et al.,
107 2012). A related example is the time-encoding urgency signal observed during
108 decision-making, which is shared across neurons that encode different choices in
109 both the oculomotor system (Churchland et al., 2008; Hanks et al., 2011) and
110 premotor cortex (Thura et al., 2012). Here we investigate another possible ‘untuned’
111 signal in motor/premotor cortex: one that arises after the desired target is known,
112 at the time of the sudden transition from preparation to movement.

113 We were motivated by the observation that motor cortex neurons sometimes
114 display broadly tuned movement-period responses (Fortier et al., 1993; Crammond
115 and Kalaska, 2000) – *e.g.*, a rise in rate for all directions – such that tuning models
116 benefit from an omnidirectional term (Georgopoulos et al., 1986; Moran and
117 Schwartz, 1999). More generally, many studies identify significant proportions of
118 neurons with responses that are task-modulated yet not strongly selective for the

119 parameter being examined (Evarts, 1968; Weinrich et al., 1984; Hocherman and
120 Wise, 1991; Riehle et al., 1994; Messier and Kalaska, 2000). These findings argue
121 that there must be some aspect of neural responses – *i.e.*, some response
122 ‘component’ – that is at least moderately correlated across conditions. What are the
123 temporal properties of such a signal and is its timing predictive of behavior? Does
124 the signal make a small or large contribution to the overall population response? Is
125 the signal merely correlated across conditions (‘condition-correlated’)? Or might it
126 be nearly identical across conditions (‘condition-invariant’) and thus untuned in the
127 traditional sense?

128 These questions derive both from a general desire to fully characterize the
129 response during movement and from specific theoretical considerations. A
130 condition-invariant signal could, despite its seeming lack of specificity, be important
131 to the overall computation performed by the population. Presumably there is a large
132 change in computation just before movement onset, at the moment when the motor
133 system transitions from preparing to move while holding a posture (Kurtzer et al.,
134 2005) to generating the muscle activity that will drive the desired movement.
135 Consistent with the idea of a change in computation, neural tuning changes
136 suddenly and dramatically at a point ~150 ms before movement (Churchland et al.,
137 2010) so that a neuron’s ‘preference’ during movement can be quite unrelated to its
138 preference during preparation (Wise et al., 1986; Crammond and Kalaska, 2000;
139 Kaufman et al., 2010). A similar transition is observed at the population level:
140 population dynamics are relatively stable and attractor-like during preparation but
141 become strongly rotational just before movement onset (Churchland et al., 2012).

142 This sudden change in network properties is presumably driven by an appropriately
143 timed input (which could itself be the output of a computation that decides when to
144 move; Romo and Schultz, 1987; Thaler et al., 1988; Schurger et al., 2012; Murakami
145 and Mainen, 2015). One might initially expect a ‘triggering’ input to be tuned
146 (Johnson et al., 1999; Erlhagen and Schoner, 2002). Yet theoretical considerations
147 suggest that a simple, condition-invariant change in input is sufficient to trigger
148 large changes in network dynamics and tuning (Hennequin et al., 2014). In
149 particular, a recent neural network model of motor cortex (Sussillo et al., 2015)
150 employs a condition-invariant input to trigger a change in dynamics that initiates
151 movement. The model’s population-level responses resemble the empirical neural
152 responses, and from inspection both clearly show at least some features that are
153 invariant across conditions.

154 Critically, there are many ways in which activity patterns can be correlated
155 across conditions. Only a minority of such possibilities involve a truly condition-
156 invariant signal at the population level: that is, a signal that is nearly identical across
157 conditions. Is a condition-invariant signal present in motor cortex? On a trial-by-
158 trial basis, does it exhibit timing locked to target onset, the go cue, or movement
159 onset? Only the latter would be consistent with the role in movement triggering
160 suggested by the model of Sussillo et al. (2015).

161 We found that a condition-invariant signal was not only present but was the
162 largest aspect of the motor cortex response – considerably larger than any of the
163 condition-specific (tuned) response components. The condition-invariant signal
164 resembled the previously reported omnidirectional or ‘speed-tuned’ response

165 component (Georgopoulos et al., 1986; Moran and Schwartz, 1999), but was
166 essentially invariant with reach speed, distance and curvature. In addition, the
167 condition-invariant signal underwent a large and sudden change ~ 150 ms before
168 movement onset. The timing of this change was an excellent predictor of reaction
169 time on a trial-by-trial basis. Finally, the dimensions in neural state space that were
170 occupied by the condition-invariant signal were almost perfectly orthogonal to the
171 dimensions occupied by the condition-specific components. Overall, the profile,
172 timing, and population-level manifestation of the condition-invariant signal were
173 remarkably similar to the structure naturally produced by the model of Sussillo et al.
174 (2015). Our findings thus suggest a potential role for a large response component
175 that initially appears non-specific yet reflects movement timing very precisely.

176

177 **Materials and methods**

178 The key features of the task and analyses are described in the Results. Below
179 we detail all aspects of the apparatus, task, neural recordings, muscle recordings,
180 data preprocessing, analyses, and controls.

181

182 *Subjects and task*

183 Animal protocols were approved by the Stanford University Institutional
184 Animal Care and Use Committee. Experiments employed two adult male rhesus
185 monkeys (*Macaca mulatta*), J and N, performing a delayed-reach task on a
186 frontoparallel screen (Churchland et al., 2010; Churchland et al., 2012; Kaufman et
187 al., 2013). The monkey initially fixated a central spot with his eyes and touched it
188 with a cursor. The cursor was projected slightly above the right fingertip, which was
189 tracked optically. The task involved a large number of conditions – *i.e.*, different
190 target locations and reach paths – which was useful when attempting to identify
191 response components that are invariant across conditions. On 1/3 of trials (‘no-
192 barrier’ conditions) a lone target appeared within a frame around the workspace.
193 On another 1/3 of trials (‘maze’ conditions) a target and up to nine virtual barriers
194 appeared. The remaining 1/3 of trials (‘maze-with-distractor’ conditions) were
195 identical to the maze trials but included two distractor ‘targets’ that were
196 unreachable due to the barrier locations. The same set of target positions was used
197 for the no-barrier, maze, and maze-with-distractor conditions. When barriers were
198 present, the monkey had to perform a curved reach or the cursor would collide with
199 and “stick” to the barrier. This paradigm evoked both straight and curved reaches in

200 different directions and of varying speed and distance. Most datasets employed 27
201 conditions (9 of each type) while one (NAC) employed 108. No attempt was made to
202 produce a uniform arrangement of target locations or initial reach directions, but we
203 note that all datasets involved reaches that spanned the space of directions in two
204 dimensional space, and that results were consistent across the different datasets,
205 which typically employed different arrangements of targets and barriers. More
206 broadly, the large variety of conditions we employed provides a stringent test
207 regarding whether a signal is truly condition-invariant.

208 A randomized delay period separated target onset from a Go cue. During the
209 delay, targets jittered slightly (2-3 mm), indicating to the monkey that he could not
210 yet reach or saccade. The Go cue consisted of three simultaneous and salient cues:
211 the cessation of jitter, the targets changing from open to filled, and the central spot
212 disappearing. Juice reward was delivered if the monkey swiftly reached to the target
213 then held it for 450 ms (monkey J) or 700 ms (monkey N).

214

215 *Delay-period statistics*

216 The delay period lasted 0-1000 ms. Different datasets employed different
217 delay-period statistics depending on the analyses we wished to apply. Three
218 datasets (JC, NAC and NS) were collected with the primary goal of analyzing trials
219 with longer delays. Longer delays enabled examination of the transition between a
220 relatively stable plateau of preparatory activity and subsequent movement-related
221 activity. To this end, delays of 450-1000 ms were approximately twice as probable
222 as delays of 0-450 ms. Three further datasets (JAD1, JAD2, NAD) were recorded with

223 the goal of characterizing the single-trial relationship between neural activity and
224 RT. For these datasets, delay durations of 0, 100, 200, and 500 ms were intentionally
225 overrepresented. These dataset names end with “D”, indicating that this set of
226 discrete delays was overrepresented. This allowed key analyses to be restricted to a
227 set of trials with the same delay, removing the potential confound that RT can vary
228 with delay. For these datasets most trials (78%, 78%, 84% for datasets JAD1, JAD2,
229 NAD) used one of the discrete delays, with roughly equal probability. The remaining
230 trials had random delays from 0-1000 ms as above. Because these datasets were
231 each collected in a single day utilizing implanted multi-electrode arrays, monkeys
232 were not anticipating the overrepresented delay durations.

233 Most analyses focused on the transition from movement preparation to
234 movement and thus used only trials with delays >450 ms (datasets without discrete
235 delays) or delays = 500 ms (datasets with discrete delays). For analyses of the
236 single-trial relationship with RT we focused on datasets with discrete delay
237 durations. For simplicity of presentation, for these analyses only trials with no delay
238 (“zero delay”) or a 500 ms delay (“long delay”) are shown. All results were similar
239 for delays of 100 or 200 ms.

240

241 *Catch trials and trial counts*

242 Several types of unanalyzed catch trials ensured the task was performed as
243 desired. In particular, we presented novel mazes made by randomly removing
244 barriers from a standard maze (10-15% of all trials), or randomly placing the target
245 and two barriers (0-10% of all trials). These trials ensured that the monkey had to

246 solve each trial independently, as similar-looking mazes could have different
247 solutions.

248 Delay periods were randomly chosen on each trial. Conditions were
249 organized in pseudorandom blocks. The array datasets had 3352, 2340, 2622, and
250 3590 successful trials (datasets JAD1, JAD2, NAD, and NAC) from a single session.
251 For the “discrete delay” datasets (JAD1, JAD2, NAD) there were ~250-500 usable
252 trials for each of the four overrepresented delays. Usable trials excluded catch-trials,
253 failed trials (*e.g.*, if a barrier were struck), rare trials with an unusual velocity profile
254 that did not allow a reliable RT measurement, and trials with a very short RT (in
255 rare instances where the monkey ‘jumped the gun’) or an overly long RT (in rare
256 instances where the monkey was presumably distracted). Datasets that included
257 single-unit recordings (JC and NS) contained an average of 336 and 305 usable trials
258 per unit.

259

260 *Neural and muscle recordings*

261 For both monkeys, we first performed single-electrode recordings (datasets
262 JC and NS) using moveable tungsten microelectrodes (Frederick Haer, Bowdoinham,
263 ME) and a Plexon Multichannel Acquisition Processor (Plexon, Dallas, TX). These
264 recordings included the caudal portion of dorsal premotor cortex (PMd) and both
265 surface and sulcal M1. All units recorded with single electrodes were well-isolated
266 single neurons recorded from regions where microstimulation produced movement
267 of the arm (typically the upper arm and/or shoulder). Each monkey was then
268 implanted with two 96-electrode silicon arrays (Blackrock Microsystems, Salt Lake

269 City, UT), located in M1 and caudal PMd, as estimated from anatomical landmarks
270 and previous mapping with microstimulation. Spikes were sorted offline using
271 custom software (MKsort, <https://github.com/ripple-neuro/mksort>). For array
272 recordings, both single units and stable multi-unit isolations (typically two neurons
273 whose spikes could not be reliably separated) were analyzed. A strong condition-
274 invariant signal (see below) was present regardless of whether a dataset involved
275 pure single-unit isolations or a mixture of single-unit and multi-unit isolations. This
276 is unsurprising: dimensionality reduction techniques such as dPCA or PCA typically
277 produce nearly identical results regardless of whether isolations involve one unit or
278 a small number of units. These techniques are forgiving because the components
279 needed to compose the responses of a single neuron are the same components
280 needed to compose the summed response of more than one neuron. All neural
281 recordings were from the left hemisphere. Array recordings produced datasets JAD1,
282 JAD2, NAD, and NAC, and were included in dataset JC.

283 We analyzed all units where the firing rate range (over conditions and times)
284 was greater than the maximal s.e.m. (for all conditions and times). This signal-to-
285 noise (SNR) criterion does not insist on any particular form of response or tuning –
286 only that there be some response. For dataset JAD1, 116 of 123 units passed the SNR
287 criterion; for dataset JAD2, 136 of 171 units passed; for dataset JC, 186 of 278 units
288 passed; for dataset NAD, 172 of 188 units passed; for dataset NAC, 213 of 223 units
289 passed; for dataset NS, 118 of 118 units passed. Of these, 67, 28, 108, 62, 58, and
290 118 were considered single units (datasets JAD1, JAD2, JC, NAD, NAC, NS). For all

291 analyses, results were similar when data from PMd and M1 were analyzed
292 separately. These recordings were therefore pooled.

293 Data preprocessing involved three steps. First, spike trains were smoothed
294 with a Gaussian (28 ms s.d.). Second, the firing rate was averaged across trials of the
295 same type (excepting analyses of single trials, see below). We computed two
296 averages: one with data aligned to target onset and one with data aligned to
297 movement onset. Third, the firing rate of each neuron was normalized to prevent
298 analyses from being dominated by a few high-rate neurons; this is especially
299 important (Yu et al., 2009) when performing PCA-based analyses. To normalize
300 without over-amplifying the greater noise associated with low firing rates, we “soft
301 normalized”: for each neuron we normalized the firing rate by its range (across all
302 times and conditions) plus a constant, chosen to be 5 spikes/s. This choice follows
303 our previous work, and was made before performing analyses. Results were
304 extremely similar and sometimes stronger if we used a soft-normalization constant
305 of zero.

306 Electromyographic (EMG) recordings employed hook-wire electrodes (44
307 gauge with a 27 gauge cannula; Nicolet Biomedical, Madison, WI), inserted
308 percutaneously into the muscles of the right arm. Electrodes were inserted with the
309 monkey awake and calm, with one recording per session. For *monkey J*, recordings
310 were made sequentially from trapezius, latissimus dorsi, pectoralis, triceps brachii,
311 medial and lateral aspects of the biceps brachii, and anterior, medial, and posterior
312 aspects of the deltoid. The recording from the triceps was excluded because it was
313 not sufficiently modulated during the task. For *monkey N*, recordings were made

314 from proximal, middle, and distal aspects of the trapezius, latissimus dorsi,
315 pectoralis, triceps brachii, medial and lateral aspects of the biceps, and anterior,
316 medial, and posterior aspects of the deltoid. Two recordings were made for each
317 deltoid site. The recordings from the triceps and latissimus dorsi were excluded
318 because they were not sufficiently modulated during the task. Raw EMG signals
319 were band-pass filtered (150–500 Hz, four pole, 24 db/octave), differentiated,
320 rectified, smoothed with a Gaussian (15 ms SD), and averaged across trials
321 (Kaufman et al., 2013).

322

323 *Projections of neural data*

324 We identified response components by projecting the population response
325 onto dimensions of interest. We began with a matrix, R , of trial-averaged neural
326 responses (or EMG, for one analysis). Each of n columns contained the normalized
327 response of one neuron over time, with responses concatenated across conditions.
328 To project the data onto a given dimension we computed $\bar{x} = R\bar{w}$, where \bar{w} is a set
329 of weights specifying the dimension. The projection \bar{x} is therefore a weighted
330 average of neurons' firing rates. We refer to the projected activity pattern as a
331 'component' of the population response, because the activity of any given neuron
332 can be (approximately) composed of a weighted sum of multiple such components.
333 This use of the term 'component' follows the usage of Kobak et al. (2016) and others.
334 Note that this use of 'component' is not synonymous with 'principal component',
335 which refers to a component of the neural covariance matrix and thus corresponds
336 to a neural dimension.

337 A large literature concerns how to best find projections given different goals
338 and hypotheses. In this study the most important projection method employs
339 Demixed Principal Component Analysis (dPCA; Machens et al., 2010; Brendel et al.,
340 2011) to find the dimensions \bar{w} . This application of dPCA is detailed more
341 thoroughly in the next section.

342 We also employ a number of other projection methods, including standard
343 Principal Component Analysis (PCA), and simply computing the mean across
344 neurons (equivalent to setting all weights to $1/n$). Two analyses employ the jPCA
345 method (Churchland et al., 2012), and in one case we used a classifier trained via a
346 supervised algorithm. In every case it should be stressed that the projections shown
347 (*i.e.*, the response components) are simply linear weightings of the recorded neural
348 responses. The use of multiple methods is desirable because no single method can
349 capture all aspects of the response (*e.g.*, the mean captures some aspects of the
350 response and hides others).

351 All projection methods used here employ orthonormal dimensions. The
352 orthogonality of these dimensions does not impose orthogonality on aspects of the
353 neural response; it is simply a way of choosing a coordinate system. An orthonormal
354 basis makes interpretation simpler: among other benefits, it allows each component
355 to be independently quantified in terms of variance explained, making it harder to
356 unintentionally interpret weak structure as meaningful. In all cases, when a
357 percentage of variance is quoted, it is the fraction of the variance captured in the
358 low-dimensional space (10-12 dimensions).

359

360 *Identifying the condition-invariant signal via dPCA*

361 Many of our central analyses sought to determine whether there exist neural
362 dimensions that segregate condition-specific (“tuned”) components from condition-
363 invariant components of the population response. By “condition-specific” we mean
364 that different conditions (reach directions, curvatures, etc.) evoke different
365 responses when the population response is projected onto that dimension.
366 By “condition-invariant” we mean that the response varies with time but is similar
367 across conditions when projected onto that dimension. To address this question we
368 applied dPCA (Machens et al., 2010; Brendel et al., 2011), a variant of PCA. dPCA
369 leverages information normally discarded by PCA: each row of the data matrix R is
370 assigned labels. Here, those labels indicated the condition and time for which that
371 set of firing rates was recorded. dPCA then finds a matrix W that produces a
372 projection X of the data R , with $X = RW$. Each column of W is a dimension and each
373 column of X is a component of the population response. Like PCA, dPCA attempts to
374 find a projection that captures much of the variance in R , so that $R \approx XW^T$. Unlike
375 PCA, dPCA attempts to find W such that the resulting columns of X co-vary strongly
376 with one label or the other. In the present case, dPCA attempts to find W such that
377 some columns of X (some components) vary with time but not condition and other
378 columns vary across conditions but not with time. As will be discussed below, such
379 segregation is not necessarily possible: in general there will not exist a W with the
380 desired properties. Indeed, in the present study, dPCA always found components
381 that varied primarily with time (and not condition) but never found components
382 that varied primarily with condition and not time. We therefore divided the

383 components found by dPCA into two groups: condition-invariant (reflecting
384 primarily time) and condition-specific (reflecting both condition and time). We refer
385 to the group of condition-invariant components collectively as the condition-
386 invariant signal or 'CIS'.

387 As a technical note, dPCA (unlike PCA) requires that the number of
388 dimensions be specified in advance. Prior analyses indicate that 6-8 dimensions
389 capture much of the condition-specific structure of the data (Churchland et al.,
390 2010). We therefore wished that dPCA should capture a similar amount of
391 condition-specific structure, in addition to any condition-invariant structure that
392 might be present. We empirically picked the number of requested dimensions such
393 that dPCA returned eight condition-specific dimensions (defined as containing
394 <50% condition-invariant variance). In principle this might have necessitated
395 requesting exactly eight dimensions (if all structure were tuned) or many more than
396 eight (if little structure were tuned). In practice it was only necessary to request
397 modestly more than eight total dimensions. For example, for dataset JAD1 we
398 requested 10 total dimensions, which yielded two condition-invariant response
399 components and eight condition-specific response components. The choice of eight
400 condition-specific components is an arbitrary but reasonable cutoff. We always
401 found a strong condition-invariant signal regardless of the exact number of
402 dimensions requested.

403 dPCA identified dimensions (W) based on the population response from -200
404 to +400 ms relative to target onset and -300 to +600 ms relative to movement onset.
405 The data matrix being analyzed contained trial-averaged firing rates for long-delay

406 trials (trials with delay periods > 450 ms). For subsequent analyses of trial-to-trial
407 variability in reaction time, we projected data from individual trials, including zero-
408 delay trials, onto the same dimensions. The probabilistic-model version of dPCA was
409 used (from the Python code available online associated with Brendel et al., 2011).
410 We measured the marginal variances of each response component (Machens et al.,
411 2010; Brendel et al., 2011) which indicate how much of a component's variance was
412 condition-specific (activity varying with condition or with both time and condition)
413 versus condition-invariant (activity varying with time alone).

414 Because EMG responses were lower dimensional than neural responses, for
415 the EMG datasets dPCA was performed at an overall dimensionality that returned 3
416 condition-specific dimensions. The resulting 4-5 dimensions (monkey J, N)
417 accounted for 95-97% of the total variance in the EMG data. This reduced number of
418 dimensions did not produce the differences between neural and muscle data:
419 repeating the analysis on neural data using 4-5 dimensions yielded essentially
420 identical results to those obtained with more dimensions.

421

422 *Note regarding interpretation of the segregation produced via dPCA*

423 Below we describe a key interpretational point regarding the dPCA method.
424 The cost function optimized by dPCA attempts to find W such that each column of X
425 (each response component) varies with exactly one of the provided labels (time and
426 condition in this study) and not with the other(s). Yet as stated above, this
427 segregation is not in general possible. In the present case this has two implications.
428 First, it is not guaranteed that dPCA will be able to find components that vary with

429 condition but not with time; perhaps every component that strongly reflects
430 condition also reflects time (this was indeed true of our data). Second, it is similarly
431 not guaranteed that dPCA will be able to find components that vary with time but
432 not condition; it may be that every component that strongly reflects time also
433 reflects condition.

434 This last fact is worth stressing because many individual neurons exhibit
435 what we refer to as ‘condition-correlated’ structure: responses that are different
436 across conditions, yet display an increase (or decrease) in firing rate that has a
437 somewhat similar time course across conditions. Yet this structure at the single-
438 neuron level is not sufficient, in and of itself, to indicate condition-invariant
439 structure at the population level. Would dPCA, when applied to a population of such
440 neurons, inevitably find condition-invariant components? In short, it would not.
441 This can be demonstrated empirically (Results) or formally via construction, as
442 follows. Consider a simple case in which each neuron’s response r_n is a linear
443 combination of two independent components x_i (which will also be functions of
444 condition c and time t): $r_{n,c,t} = \sum_{i=1:2} w_{n,i} x_{i,c,t}$. Let both $x_{1,c,t}$ and $x_{2,c,t}$ be condition
445 specific, but suppose $x_{1,c,t}$ contains an overall correlation between conditions. Due
446 to the correlation of $x_{1,c,t}$ across conditions, the responses r will also have shared
447 response features across conditions. Nevertheless, it is not in general possible to
448 find a linear combination of the $r_{n,c,t}$ ’s that is condition-invariant. A linear
449 combination of the $r_{n,c,t}$ ’s is equivalent to a linear combination of $x_{1,c,t}$ and $x_{2,c,t}$.
450 Since these components are independent, finding a condition-invariant linear

451 combination is equivalent to solving the following system of $(C - 1)T$ equations,
452 where C is the number of conditions (here, 2), and T is the number of time points:

$$453 \quad \sum_{i=1:2} p_i x_{i,c,t} = \sum_{i=1:2} p_i x_{i,c+1,t}$$

454 for all times t and all pairs of conditions c and $c+1$ (this is a sufficient constraint to
455 ensure that all pairs of conditions are equal, since equality is transitive).

456 The number of free variables p_i is equal to the number of components D ,
457 which in this example is 2. In general, then, this system is not solvable if
458 $(C - 1)T > D$, which will be true for even modest numbers of times and conditions.

459 The presence of correlated structure within $x_{1,c,t}$ (and/or $x_{2,c,t}$) would not in
460 general change this fact. In practice, then, it would be rare for condition-correlated
461 responses to coincidentally produce a fully condition-invariant component. As one
462 example, choose $x_{1,c,t} = g_c \sin(t)$ and $x_{2,c,t} = h_c \sin(3t)$, with g_c and h_c being
463 positive scalars that vary with condition. Both $x_{1,c,t}$ and $x_{2,c,t}$ would be perfectly
464 condition correlated, yet no linear combination of $x_{1,c,t}$ and $x_{2,c,t}$ would be
465 condition-invariant.

466

467 *Control: producing synthetic PSTHs with matched spectral content*

468 To illustrate empirically that condition-invariant components are not found
469 in 'generic' data, we generated synthetic PSTHs with the same frequency content as
470 the original neurons. Each unit was matched with a corresponding synthetic PSTH.
471 The steps below were performed on the vector containing the trial-averaged firing
472 rate over time for one condition. We first preprocessed each vector by smoothing
473 lightly (10 ms s.d. Gaussian) to reduce the small discontinuity between target-

474 aligned and movement-aligned data, then multiplying by a Hann window. The
475 Fourier transform was performed, and the magnitude of the result was computed at
476 each frequency (*i.e.*, the square root of power spectral density). These curves were
477 averaged over conditions to give the overall power-by-frequency curve for that unit.
478 To construct a synthetic PSTH, for each condition we chose a random phase for each
479 frequency component, then took the inverse Fourier transform.

480

481 *Control: removing the CIS from the neural responses*

482 To ask whether condition-invariant components (collectively the condition-
483 invariant signal, CIS) might result from the rectification of firing rates at zero, we
484 removed the true condition-invariant components, re-rectified firing rates, then
485 applied dPCA. Specifically, we projected the population response onto the eight
486 condition-specific dimensions identified by dPCA, then transformed the data back to
487 the original n -dimensional space. This produced as many PSTHs as the original
488 neurons. We rescaled and re-centered each “neuron’s” response to restore its
489 original mean and range of firing rates. Finally, we set all negative firing rates to
490 zero. This resulted in a population of surrogate neurons that are responsive and
491 have positive firing rates, yet should have no ‘true’ CIS. Thus, a strong CIS in this
492 control population would indicate that rectified firing rates could create an
493 artifactual CIS.

494

495 *Control: adding a condition-correlated component*

496 We constructed additional surrogate data that resembled the empirical data
497 but lacked condition-invariant components. For each empirical condition-invariant
498 component, we constructed a new component with the same time course, but with
499 varying amplitude across conditions. That is, we created components that were
500 condition-correlated but not condition-invariant. These components were re-
501 centered to have a zero mean during the baseline period (before target/maze onset),
502 and then were added to the response of each neuron. Specifically, to each neuron's
503 response $r_{n,c,t}$ we added $w_{n,i}k_c x'_{i,t}$, where $w_{n,i}$ is the neuron's original weight for the i^{th}
504 condition-invariant component, $x'_{i,t}$ is the time course of the i^{th} new condition-
505 correlated component, and the coefficients k_c were chosen randomly from a unit-
506 variance Gaussian distribution. We rectified the resulting firing rates (setting all
507 negative rates to zero). These operations largely preserved the time course of each
508 neuron's across-condition mean (because the k_c 's were zero-mean). Because the
509 new components were condition-correlated, the responses of most neurons were
510 strongly condition-correlated. Yet because the original condition-invariant
511 components are now "contaminated" with condition-specific components of the
512 same time course, the surrogate population should have no separable condition-
513 invariant components.

514

515 *Identifying a speed-predicting dimension*

516 To identify a speed-predicting dimension, we began with the same neural
517 data matrix R used for PCA and dPCA. We then regressed the trial-averaged speed
518 profile for each condition against R : $\vec{s} = R\vec{w} + b$, where \vec{s} is the vector produced by

519 taking the speed profile for each condition and concatenating the conditions, b is the
520 bias (a constant offset), and \bar{w} specifies a set of weights. The speed profile was
521 advanced by 150 ms before regression to accommodate known lags.

522

523 *Trial-by-trial analysis*

524 To assess how well projections onto different dimensions predict trial-by-
525 trial movement onset we performed four steps: (1) we chose a potentially
526 informative weighted sum of neurons (“dimension of interest”); (2) we binned and
527 smoothed the spiking data on individual trials; (3) we projected the population
528 neural response from each trial onto the dimension of interest; and (4) for each trial
529 we found the time point at which that projection exceeded a criterion value. That
530 time, relative to the go cue, was the predicted RT. These steps are explained in more
531 detail below.

532 For the first step, we compared the performance of several different
533 techniques for finding the dimension of interest. Three of these techniques were
534 unsupervised: dimensions were identified based on the structure of the data
535 without exploiting prior knowledge of the RT. These three methods – the CIS₁
536 method, the PC₁ method, and the mean-over-all-neurons method – used dPCA, PCA,
537 and simple averaging, respectively. The CIS₁ dimension (producing the largest
538 condition-invariant component) and the PC₁ dimension (the largest principal
539 component) were found using the long-delay, trial-averaged data (as above). We
540 also employed a linear decoder of reach speed (see above) and a supervised
541 “classifier” method, described later.

542 For the second step, spikes were counted in 10 ms bins, from 60 ms before to
543 500 ms after the go cue. Each trial's spike counts were convolved with a 30 ms
544 Gaussian to produce a smooth spike rate. For the third step, we computed a
545 weighted sum of the neurons' spike rates. The weights depended on the dimension
546 of interest, found during step one. We refer to the result of this third step as $z(t,r)$,
547 the projection of the neural data as a function of time and trial.

548 For the final step, we wished to determine when $z(t,r)$ changed in advance of
549 movement onset. To estimate that time, for each trial we asked when $z(t,r)$ first
550 crossed a criterion value derived from the long-delay trials. To find that criterion
551 value, we took the median of $z(t,r)$ across trials, producing $\bar{z}(t)$. We set the criterion
552 value to be the midpoint of $\bar{z}(t)$: $[\max(\bar{z}(t)) + \min(\bar{z}(t))]/2$. The midpoint is an
553 arbitrary but reasonable choice to ensure robustness. For each trial, we found the
554 time at which the criterion value was crossed. Trials that never exceeded the
555 criterion value, or that exceeded it before the Go cue, were discarded from the
556 analysis. Such trials were uncommon, especially for the better prediction methods
557 (0-9%, depending on dataset and method).

558 The three methods described above – the CIS₁ method, the PC₁ method, and
559 the mean-over-all-neurons method – predict RT in an unsupervised manner. They
560 were compared with a supervised method that was allowed to use knowledge of
561 each trial's RT. This “classifier” method was based on logistic regression. Single-trial
562 data were first aligned to movement onset, then projected into the dPCA space
563 (including both condition-specific and condition-invariant dimensions). Data were
564 binned into a “pre-movement” time point (-360 to -150 ms relative to movement

565 onset) and a “movement” time point (-150 to +60 ms relative to movement onset).
566 The dividing point of 150 ms before movement onset was chosen to approximate
567 the delay between when neural firing rates begin to change and when the hand
568 begins to move. Logistic regression returned both a projection dimension and a
569 criterion value that best discriminated between the pre-movement and movement
570 data.

571 As with the other projection methods, the classifier produces a projection
572 vector \bar{w} with as many coefficients as dimensions of the data (in this case, the
573 number of components from dPCA). To characterize the classifier, we asked how
574 much each dPCA component contributed to this projection. Specifically, we took the
575 quantity $|\bar{w}_d| \cdot \sqrt{\text{var}[(RD)_d]}$, where $|\bar{w}_d|$ is the absolute value of the d^{th} element of \bar{w} ,
576 D is the dPCA projection matrix (called W in previous equations), $(RD)_d$ indicates the
577 d^{th} column of the matrix resulting from multiplying RD , and $\text{var}[]$ indicates taking
578 the variance. This tells us how strongly each of the response components (returned
579 by dPCA) contributed to the final classification.

580 Finally, we employed a semi-supervised method where RT was predicted as
581 the time when the decoded reach speed crossed a 50% threshold. Importantly, for
582 all the above methods training employed only the long-delay data. Trial-by-trial
583 prediction of RT for zero-delay data was entirely based on generalization. Analyses
584 were based on 385 / 465 trials for dataset JAD1 (long-delay / zero-delay), 249 / 264
585 trials for dataset JAD2, 260 / 427 trials for dataset NAD, and 2982 long-delay trials
586 for dataset NAC.

587

588 *Finding a rotational plane*

589 For some analyses we wished to identify planes (two dimensional
590 projections of the population response) containing rotational structure. We
591 performed dPCA and then applied jPCA (Churchland et al., 2012) to the condition-
592 specific components, using an epoch when neural activity is changing rapidly (-200
593 to +150 ms relative to movement onset). As a technical detail, the PCA step and
594 mean subtraction were disabled in the jPCA algorithm; dPCA served as a more
595 principled way of focusing jPCA on the strongly condition-specific dimensions.
596 Because both dPCA and jPCA produce linear projections, the final result is also a
597 linear projection of the data.

598

599

600 **Results**

601 *Behavior and neural recordings*

602 Two monkeys (J and N) performed a variant of the standard delayed-
603 reaching task: the “maze” task (Figure 1A-B; Churchland et al., 2010; Churchland et
604 al., 2012; Kaufman et al., 2013). The monkey touched and fixated a central spot on a
605 screen, then was presented with a target and, on most trials, a set of virtual barriers
606 (magenta rectangles). After a randomized delay period a go cue was presented, and
607 the monkey was required to reach to the target, curving around barriers if present.
608 We refer to each target/barrier configuration as a “condition.” Reaction times (RTs)
609 were brisk: medians of 296 ms (monkey J) and 304 ms (monkey N).

610 We analyzed six datasets. Three datasets (JAD1, JAD2, NAD) were collected
611 specifically for this study. For these, recordings were from a single session, made via
612 a pair of 96-electrode arrays, one in dorsal premotor cortex (PMd) and one in motor
613 cortex (M1). To ensure robustness, we also re-analyzed three datasets that have
614 been previously examined. One (NAC) was recorded using a pair of 96-electrode
615 arrays, one (NS) was recorded over many days using single electrodes, and one (JC)
616 combined one day of array recordings and many days of single-electrode recordings.
617 These latter two datasets allowed us to analyze large populations that contained
618 both surface PMd/M1 recordings and sulcal M1 recordings.

619 The firing rate versus time of a representative neuron is illustrated in Figure
620 1C (for ease of visualization, four of 27 conditions are shown). The neuron began
621 responding approximately 50 ms after target onset, and achieved different firing
622 rates depending on which reach the monkey was preparing (Tanji and Evarts, 1976;

623 Weinrich et al., 1984; Godschalk et al., 1985; Kurata, 1989; Riehle and Requin, 1989;
624 Snyder et al., 1997). Firing rates plateaued during the delay period, changing little
625 until after the Go cue. Approximately 150 ms before movement onset there was a
626 large transition in the response pattern: activity subsequently evolved in a
627 seemingly complex fashion, producing a series of peaks and valleys. Such features
628 were not due to sampling error but were very reliable (standard errors of the firing
629 rate were ~ 2 spikes/s, compared to the overall firing-rate range of ~ 45 spikes/s).
630 The pattern illustrated in Figure 1C was typical: most neurons showed a relatively
631 stable plateau of tuned preparatory activity followed by temporally complex
632 responses. The relevant transition occurred just before movement onset. The
633 response of this neuron across all 27 conditions is plotted in Figure 2A. Figure 2B
634 plots the response of another example neuron with complex multiphasic responses
635 that varied strongly across conditions.

636 The complexity and heterogeneity of responses makes it difficult to ascertain
637 whether there might exist an underlying signal that is shared across reaches of
638 different types. However, we did occasionally observe neurons where, following the
639 go cue, the response was similar across conditions: *i.e.*, an overall increase or
640 decrease in rate (Figure 2C,D). This observation is consistent with the utility of
641 including an omnidirectional component when fitting tuning curves (Georgopoulos
642 et al., 1986; Moran and Schwartz, 1999). More generally, the presence of such
643 neurons is consistent with many prior reports in which some reasonable percentage
644 of neurons were modulated by the task yet not strongly selective for the parameter
645 being tested: *e.g.*, left versus right reaches (Weinrich et al., 1984), three curvatures

646 (Hocherman and Wise, 1991), two or three distances (Riehle et al., 1994; Messier
647 and Kalaska, 2000) or two loads (Evarts, 1968). The present results underscore that
648 prior findings were not a trivial result of using a small number of conditions. We
649 employed 27 conditions (108 for dataset NAC) spanning different directions,
650 distances, and reach curvatures, yet still found neurons whose responses were
651 similar across all conditions. Nevertheless, we stress that while individual neurons
652 often showed related structure across conditions – *i.e.*, they were condition-
653 correlated – they essentially never showed fully condition-invariant responses. For
654 example, even the neuron in Figure 2C, which has unusually strong condition-
655 correlated structure, displayed peak firing rates that differed between conditions by
656 almost a factor of two.

657

658 *Population-level structure*

659 Given that single neurons can exhibit condition-correlated responses, some
660 underlying population-level component must be correlated across conditions. To
661 appreciate how this can happen, consider the standard model in which each
662 neuron’s response is a weighted sum of population-level components. The response
663 r of neuron n at time t for condition c is:

$$664 \quad r_{n,c,t} = \sum_i w_{n,i} x_{i,c,t} \quad (1)$$

665 where $x_{i,c,t}$ is the i^{th} response component (one element of the population state $\mathbf{x}_{c,t}$)
666 and $w_{n,i}$ determines the contribution of component i to the response of neuron n . A
667 component is “condition-correlated” if $\text{corr}(x_{i,c_j}, x_{i,c_k})$ is positive when averaged
668 across all choices of conditions c_j and c_k .

669 The possible presence of a condition-correlated component has been
670 considered in many contexts: *e.g.*, decision variables are often modeled as reflecting
671 evidence for a choice (which differs across conditions) plus a growing urgency to
672 make some choice (which is shared across conditions; Churchland et al., 2008;
673 Hanks et al., 2011; Thura et al., 2012; Thura and Cisek, 2014). In the case of reaching,
674 many models include a non-directional term reflecting hand speed (Georgopoulos et
675 al., 1986; Moran and Schwartz, 1999). Since speed is always positive, and is by
676 definition time-locked to movement onset, a component that reflects speed will be
677 strongly condition-correlated.

678 In general a condition-correlated component can vary strongly across
679 conditions; the temporal profile must be similar but the amplitude can vary. As a
680 special case, though, such a component may be nearly identical for every condition
681 and thus ‘condition-invariant’. That is, there might exist an i^{th} component where
682 $x_{i,c_j,t} \approx x_{i,c_k,t}$ for all choices of conditions c_j and c_k and times t . This more
683 constrained possibility is suggested by a recent model (Sussillo et al., 2015) where
684 the input that triggers movement generation produces population-level components
685 that are close to condition-invariant.

686 The presence of a condition-invariant component versus a merely condition-
687 correlated component can be determined only at the population level. To do so we
688 applied Demixed Principal Component Analysis (dPCA; Machens et al., 2010;
689 Brendel et al., 2011), a variant of PCA. Each component identified by dPCA is a
690 pattern of responses across conditions and times (*i.e.*, $x_{i,:}$ in equation 1) from which
691 the response of each neuron in the population is composed. dPCA exploits

692 knowledge discarded by traditional PCA: the response of a neuron is not simply a
693 vector of firing rates. Rather, each element of that vector is associated with a
694 particular condition and time. dPCA attempts to find components that vary strongly
695 with condition (but not time) or vary strongly with time (but not condition). In
696 practice dPCA never found components of the first type; all components that varied
697 with condition also varied with time. We term these components ‘condition-specific’.
698 However, dPCA consistently found components that varied with time but not
699 condition (*i.e.*, that were condition-invariant).

700 Indeed, for every dataset the largest component found by dPCA was close to
701 purely condition-invariant. Figure 3 quantifies the total variance captured by each
702 component (length of each bar) and the proportion of that variance that was
703 condition-invariant (*red*) versus condition-specific (*blue*). The largest component
704 (*top bar* in each panel) exhibited 89-98% condition-invariant variance across
705 datasets.

706 As a working definition, we term a component ‘condition-invariant’ if >50%
707 of the variance is condition-invariant. We term a component ‘condition-specific’ if
708 <50% of the variance is condition-invariant. Empirically components were either
709 strongly condition-invariant (much greater than 50% condition-invariant variance)
710 or strongly condition-specific (much less than 50% condition-invariant variance).
711 Each bar plot in Figure 3 thus groups condition-invariant components at *top* and
712 condition-specific components at *bottom*. All datasets contained multiple condition-
713 invariant components: respectively two, three, three, four, four, and four for
714 datasets JAD1, JAD2, JC, NAD, NAC, and NS. For a given dataset, we refer to the set of

715 condition-invariant components as the condition-invariant signal (CIS). We refer to
716 the largest condition-invariant component as CIS₁.

717

718 *Time course of the strongest condition-invariant component*

719 CIS₁, like all the components, is a linear combination of individual-neuron
720 responses; it is a 'proto-neural' response that is strongly reflected in single-neuron
721 PSTHs. The structure of CIS₁ can thus be plotted using the format typically used for a
722 single-neuron PSTH. Figure 3 does so for each dataset (colored traces below bar
723 plots).

724 CIS₁ displayed a large and rapid change before movement onset that was
725 similar across conditions. This pattern was present for all datasets. The sudden
726 change occurred ~150 ms before movement onset, corresponding to 50-100 ms
727 before the first change in EMG activity (not shown). The condition-invariance of the
728 signal can be visualized by noting that most individual traces (one per condition)
729 overlap. In particular, during the moments before movement onset, CIS₁ increases in
730 a similar way and to a similar degree for every condition. Modest differences
731 between conditions appeared primarily around the end of the movement and during
732 the subsequent hold period (for reference, movement duration was on average 400
733 ms). Thus, while CIS₁ was not identical across conditions, it was very close: on
734 average 94% of its structure was dependent on time but not condition.

735

736 *The condition-invariant signal is large*

737 For every dataset, CIS₁ captured the most variance of any single component.
738 That is, CIS₁ was the component that made the largest contribution to the response
739 structure of individual neurons. More generally, the set of condition-invariant
740 components (the CIS, *top* grouping of bars within each panel of Figure 3) together
741 captured 49-77% of the total variance captured by dPCA (respectively 49%, 49%,
742 62%, 67%, 77%, 75% for datasets JAD1, JAD2, JC, NAD, NAC, NS). Thus, not only is a
743 condition-invariant signal present, it typically comprises half or more of the data
744 variance.

745 While each condition-specific component captured much less variance than
746 CIS₁, there were relatively more condition-specific components (*bottom* groupings
747 of bars in Figure 3) whose combined variance was 23-51% of the total variance
748 captured by dPCA. These condition-specific components often contained
749 preparatory activity followed by multiphasic responses during the movement. We
750 return later to the structure captured by the condition-specific components.

751 We did not expect that such a large fraction of the structure in the data – half
752 or more – would be condition-invariant. Most prior work (including our own) has
753 concentrated on the tuned, condition-specific aspects of neural responses. This is
754 reasonable: the presence of a large condition-invariant response component is not
755 obvious at the single neuron level. Essentially all neurons had contributions from
756 condition-specific components and were therefore tuned for condition. Such tuning
757 is the typical focus of analysis in most studies. Yet the fact that the CIS is so large
758 argues that its properties should also be characterized.

759 While a few neurons (*e.g.*, Figure 2C,D) had an unusually large contribution
760 from the condition-invariant components, we found no evidence for separate
761 populations of condition-invariant and condition-specific neurons. Weights $w_{n,1}$
762 were continuously distributed, and could be positive (*e.g.*, for the neuron in Figure
763 2C) or negative (*e.g.*, for the neuron in Figure 2D). We also note that the average
764 $|w_{n,1}|$ was similar for neurons recorded in PMd and M1, indicating that the CIS is of
765 similar size in the two areas.

766

767 *Assessing demixing*

768 Importantly, dPCA cannot take condition-specific components and render
769 them into a condition-invariant component. This is true even if condition-specific
770 components are strongly condition-correlated (mathematical proof in Methods and
771 empirical controls described below). Thus, the degree to which the population
772 contains truly condition-invariant components can be assessed by the degree to
773 which dPCA “demixes” responses; that is, the degree to which projecting onto
774 orthogonal dimensions yields some response components that are close to purely
775 condition-invariant. Demixing will be successful only if such condition-invariant
776 structure is present in the data.

777 As noted above, demixing was successful for all datasets: most components
778 were either strongly condition-invariant or strongly condition-specific. The
779 condition-invariant components (*top* grouping of bars in each panel of Figure 3)
780 displayed 75-98% condition-invariant variance (mean 88%). The condition-specific
781 components (*bottom* grouping of bars) displayed 74-99% condition-specific

782 variance (mean 91%). As discussed above, the largest component – CIS₁ – was
783 always very close to purely condition-invariant (mean 94%). To put these findings
784 in context, we analyze below a set of model and surrogate populations.

785

786 *A CIS in a network model*

787 In addition to the six physiological datasets, we analyzed two model
788 populations. The models were recurrent neural networks trained (Sussillo and
789 Abbott, 2009; Martens and Sutskever, 2011) to generate the empirical patterns of
790 muscle activity for two monkeys (Sussillo et al., 2015). Model populations exhibited
791 a CIS (Figure 4) that closely resembled that of the neural populations. In particular,
792 there was a sudden change in CIS₁ shortly before movement onset that was almost
793 purely condition-invariant, with a small amount of condition-specific structure
794 appearing after that transition. Similar to the neural datasets, CIS₁ was the largest
795 component of the data and was overall very close (99% and 96%) to purely
796 condition-invariant. As with the physiological data, demixing was successful: the
797 model population response could be separated into components that were either
798 nearly condition-invariant (*top* grouping of bars in each panel of Figure 4) or
799 strongly condition-specific (*bottom* grouping of bars). The model datasets exhibited,
800 respectively, two and four condition-invariant components – similar to the range of
801 two to four seen for the empirical datasets.

802 As will be shown below, a CIS is not a general feature of any large complex
803 dataset. In the case of the model, the presence of a strong CIS is a consequence of the
804 network inputs (which include a condition-invariant trigger signal) and of the

805 “strategy,” found via optimization, by which the network solves the task. The
806 network was designed such that condition-specific preparatory inputs produce
807 networks states (one per condition) appropriate to seed subsequent movement-
808 period dynamics. Those movement-period dynamics are ‘turned on’ by a strong
809 triggering input that contains no condition-specific information. Because the
810 network was optimized to achieve smooth dynamics, non-linear interactions are
811 modest, and the triggering input produces a nearly condition-invariant signal in the
812 population response. Whether the neural data exhibit a CIS for similar reasons
813 remains unknown, but the temporal structure of the CIS is remarkably similar for
814 the model and for the data.

815

816 *Controls: comparison of dPCA and PCA*

817 One potential concern is that an algorithm such as dPCA might be able to
818 ‘successfully’ demix any high-dimensional data and find a condition-invariant
819 component. As discussed above (and shown formally in the Methods) it is not in
820 general mathematically possible to find a condition-invariant component if one is
821 not truly present. Yet in practice, for a finite number of conditions, random smooth
822 data will likely contain some (probably low variance) signal that may be roughly
823 condition-invariant. Is the empirical CIS larger than expected given this potential
824 concern? Is the CIS found simply because dPCA attempts to find it?

825 One way to address this concern is to compare the performance of dPCA with
826 that of PCA. PCA identifies dimensions that capture the most data variance possible.
827 If dPCA achieved spurious demixing by finding components with the desired

828 structure but little variance, then dPCA should capture much less variance than PCA.
829 In fact, the dimensions found via dPCA captured almost as much variance as the
830 dimensions found via PCA. Specifically, the set of dPCA dimensions captured 96-
831 99% as much variance as the same number of PCA dimensions. Furthermore, the
832 projections onto the first two PCA dimensions showed structure that was naturally
833 very close to condition-invariant. This was a simple consequence of the fact that the
834 first few dimensions found by dPCA and PCA were very similar: the first dimension
835 found via PCA formed an angle of only 5° on average with the first dimension found
836 by dPCA. This was true for both the neural and model data. Thus, dPCA simply
837 allows one to gain an ideal view of condition-invariant structure that is naturally
838 present in the data.

839

840 *Controls: demixing of real and surrogate data*

841 Despite the above control, one might remain concerned that perhaps any
842 generic data will tend to contain a condition-invariant component that would
843 become apparent when applying dPCA (or PCA). A related potential concern is that a
844 CIS might be found simply because firing rates are constrained to be positive. We
845 addressed these potential concerns by applying dPCA to various surrogate datasets.

846 First, for each empirical dataset we replaced each neuron's response with a
847 random set of responses that was matched with that neuron for frequency content
848 (Methods). Across 1,000 repetitions for each of the six datasets, dPCA never
849 identified a component with greater than 18% condition-invariant variance. In
850 contrast, the original data contained components with up to 98% condition-

851 invariant variance. This control thus demonstrates that ‘random’ data is very
852 unlikely to yield a strongly condition-invariant component, even when temporal
853 smoothness is matched to that of the empirical data. However, although the
854 randomized responses (not shown) are frequency-matched to the data, they do not
855 form realistic-looking PSTHs because the phases have been randomized (they are
856 essentially just filtered noise). The second and third controls below, in contrast, do
857 result in surrogate responses that look realistic at the level of PSTHs.

858 For the next control we produced surrogate datasets by removing the CIS
859 from each real neuron’s response and then applying a firing-rate threshold at zero
860 (Methods). The goal was to determine whether it was possible to produce an
861 artifactual CIS by constraining firing rates to be positive. None of these surrogate
862 populations exhibited a CIS. For example, for the original dataset JAD1, CIS_1
863 contained >90% condition-invariant variance (Figure 5A,D). The corresponding
864 control dataset (Figure 5B,E) had no CIS components; all components had <50%
865 condition-invariant variance. For each of the six surrogate datasets, the first
866 component found by dPCA had <21% condition-invariant structure (mean 6%), in
867 strong contrast to the data where the first component was always strongly
868 condition-invariant. Thus, if a population response does not contain a CIS, a CIS is
869 not created via the constraint that firing rates must be positive.

870 Finally, we wished to perform a control that could address both of the above
871 concerns while preserving the surface-level features of the original data as closely
872 as possible. To do so, we began with the original neural population (Figure 5A) and
873 added condition-correlated components (Methods). These condition-correlated

874 components had the same temporal profiles as the original condition-invariant
875 components, but the response had a different magnitude for each condition. The
876 surrogate population possessed single-neuron responses (Figure 5C) that looked
877 remarkably similar to the original responses, and exhibited changes in the average
878 across-condition firing rate that were almost identical to the original responses. Yet
879 the surrogate population lacked any CIS (Figure 5F). There were no components
880 with >50% condition-invariant variance for any of the surrogate populations, even
881 though these are prominent in all the empirical datasets.

882 In summary, the presence of a CIS requires very specific population-level
883 structure and does not arise as a simple consequence of single-neuron response
884 features. Of course, the presence of a CIS is fully consistent with prior work where
885 fits to single-neuron firing rates (*e.g.*, directional tuning curves) typically require a
886 non-directional component. However, a non-directional component would also be
887 required when fitting the surrogate responses in Figure 5B,C, which contain no CIS.
888 Thus, the presence of a CIS is consistent with, but not implied by, prior results at the
889 single-neuron level.

890

891 *Relationship of the CIS to reach speed and muscle activity*

892 For the model of Sussillo et al. (2015) the CIS plays an ‘internal role’: it
893 reflects the arrival of a trigger signal that recruits strong dynamics. Might the CIS in
894 the neural population play a similar internal role? Or might it be more readily
895 explained in terms of external factors: for example, some aspect of kinematics or
896 muscle activity that is invariant across conditions? In particular, tuning for reach

897 speed has been a natural and reasonable way to model non-directional aspects of
898 single-neuron responses (Moran and Schwartz, 1999). However, it is unlikely that
899 the population-level CIS directly reflects reach speed for three reasons. First, the CIS
900 had a rather different profile from reach speed, which was more sharply phasic
901 (lasting as little as ~200 ms depending on the condition) and returned to zero as the
902 movement ended (Figure 6, *red trace* and *blue trace* have very different temporal
903 profiles). Second, for the task used here reach speed is not condition-invariant: it
904 varies considerably (~2X) across the different distances and reach curvatures.
905 Finally, even the small variations that were present in the CIS across conditions did
906 not parallel variations in reach speed. For monkey J, peak speed and the peak
907 magnitude of CIS₁ were not significantly correlated (Figure 6A-B; overall $r=0.097$,
908 $p=0.63$ for JAD1; $r=-0.018$, $p=0.93$ for JAD2). For monkey N, they were anti-
909 correlated ($r=-0.502$, $p=0.008$ for NAD, $r=-0.364$, $p<0.001$ for NAC). Thus, the CIS
910 and reach speed bore little consistent relation. As a side note, the dissimilarity
911 between the CIS and hand speed does not imply that speed information could not be
912 decoded. Using regression, we could identify a dimension that predicted speed fairly
913 well (JAD1: $r=0.663$; JAD2: $r=0.743$; NAD: $r=0.833$; NAC: $r=0.720$), consistent with
914 prior results that have found strong correlations between neural responses and
915 reach speed (Moran and Schwartz, 1999). The projection onto this dimension,
916 however, captured much less variance (4-16% as much) than CIS₁.

917 A related possibility is that the CIS might reflect non-directional aspects of
918 muscle activity. We performed dPCA on EMG recordings made from 9-11 key arm
919 and shoulder muscles. The muscle populations did not exhibit a strong CIS. This can

920 be seen by comparing the first component found via dPCA of the neural data (Figure
921 7A-B) with the first component found via dPCA of the muscle data (Figure 7C-D).
922 The former is nearly condition-invariant while the latter is not. For each component
923 found via dPCA we measured the fraction of variance that was condition-invariant
924 (the 'purity' of condition-invariance) and the variance accounted for relative to the
925 condition-specific components (the 'strength' of that component). Unlike the neural
926 populations (Figure 7E, *green*) the muscle populations (*purple*) did not contain
927 condition-invariant components that were both relatively pure and reasonably
928 strong; there are no purple symbols in the upper right corner. Certainly the muscle
929 population response contained some non-directional aspects: there existed
930 components in which there was an overall change that was mostly of the same sign
931 across all conditions, resulting in a proportion of condition-invariant variance as
932 high as 0.5-0.75 (*purple symbols* at left). This variance is not negligible, as evidenced
933 by the fact that it could be further reduced via the control manipulations that were
934 applied to the neural population in Figure 5 (muscle version not shown). However,
935 the components in question captured relatively modest amounts of variance, and
936 were not nearly as pure as the components found for the neural populations. Thus,
937 the presence of condition-invariant structure in the neural population cannot be
938 secondary to features of the muscle activity: only the neural population contained
939 components that were both close to purely condition-invariant and captured a large
940 percentage of the overall variance.

941 The muscle responses further underscore that the presence or absence of a
942 CIS cannot be inferred from surface-level features. Individual muscle responses

943 closely resembled neural responses in many ways, and often showed overall rises in
944 activity across conditions. Thus, fits to muscle activity would benefit from a non-
945 directional component just as do fits to neural activity. Yet as a population, the
946 muscles showed only condition-correlated structure, and had little or no CIS.

947

948 *Trial-by-trial prediction of RT*

949 In all datasets the sudden change in the CIS occurred ~150 ms after the go
950 cue and ~150 ms before the onset of physical movement (50-100 ms before muscle
951 activity began to change). The change in the CIS might thus be a visuo-spatial
952 response locked to the go cue, consistent with the presence of other visuo-spatial
953 signals in premotor cortex (Crammond and Kalaska, 1994; Shen and Alexander,
954 1997). Alternatively, the change in the CIS could be locked to the transition from
955 preparation to movement, consistent with the model of Sussillo et al. (2015). These
956 two possibilities can be distinguished at the single-trial level. If the CIS reflects the
957 visual go cue, it would have no ability to predict the subsequent variable reaction
958 time (RT) between the go cue and movement onset. If the CIS reflects an internal
959 transition related to movement onset, the CIS should be strongly predictive of RT.

960 We were able to address the trial-by-trial timing of the CIS in three datasets
961 (JAD1, JAD2, and NAD) that were collected specifically for this purpose. These
962 datasets involved simultaneous recordings (116-213 units) from two chronically
963 implanted 96-electrode arrays, allowing single-trial estimates of the CIS. Critically,
964 for these datasets we employed a task structure that allowed examination of trial-
965 by-trial RT variability independent of delay-period duration. Over the course of

966 training and most experiments, monkeys experienced a continuous distribution of
967 delay-period durations from 0-1000 ms. It is well known that delay-period duration
968 has an impact on RT (Rosenbaum, 1980; Riehle and Requin, 1989; Churchland et al.,
969 2006b). To study RT variability independent of such effects, for these three datasets
970 we interleaved additional trials with a discrete set of delay durations: 0, 100, 200,
971 and 500 ms (Methods). This allowed us to examine the relationship between neural
972 and RT variability for sets of trials with a matched delay. Below we present data for
973 trials with zero delay and trials with a 'long' (500 ms) delay. Results were very
974 similar when we analyzed the sets of trials with 100 ms and 200 ms delays. For
975 comparison, we repeated these analyses of RT for dataset NAC (which did not
976 contain discrete delays) using all trials with delays longer than 150 ms. All results
977 were very similar across all four datasets.

978 CIS_1 was readily resolved on individual trials (Figure 8 shows data for JAD1
979 with analyses repeated in Figure 9 for NAD). The neural weights defining CIS_1 were
980 found using data from the long-delay trials. Example single-trial projections of the
981 long-delay data are shown in Figures 8B, 9B. These same weights successfully
982 generalized and revealed an essentially identical CIS_1 for the zero-delay trials
983 (Figures 8A, 9A). The latency of the rise time of CIS_1 , relative to the go cue, varied
984 from trial to trial. To estimate this latency we measured when CIS_1 crossed a
985 criterion value following the go cue (*gray line* in Figures 8A,B, 9A,B). We selected a
986 50% criterion that is simply a practical and robust criterion for estimating rise time
987 (and should not be interpreted as suggesting a physiological threshold). The
988 estimated rise time strongly predicted the subsequent RT on individual trials

989 (Figures 8C, 9C) for both long-delay (*blue*) and zero-delay (*red*) trials. This was true
990 across all analyzed datasets: the average correlation was $r = 0.805$ for long-delay
991 trials, and $r = 0.827$ for zero-delay trials.

992 The CIS strongly predicts RT on a single-trial basis, but does it do so more
993 accurately than other reasonable methods? The projection of the data onto the first
994 principal component of the data (PC_1) predicted RT almost as well as did CIS_1 . This
995 was especially true for monkey J (Figure 8D) and somewhat less so for monkey N
996 (Figure 9D) due to a tendency for the projection onto PC_1 to occasionally exceed the
997 criterion early. Given the ability of CIS_1 to predict RT, the similar success of the
998 projection onto PC_1 is unsurprising: as discussed above the dimensions containing
999 PC_1 and CIS_1 were closely aligned. Nonetheless, CIS_1 always predicted RT at least
1000 slightly better than the projection onto PC_1 , despite PC_1 capturing (by construction)
1001 slightly more variance. The average firing rate across all neurons (Figures 8E, 9E)
1002 predicted RT less well than did CIS_1 or the projection onto PC_1 . Finally, because RT
1003 was quantified based on measured hand speed, we considered the projection that
1004 best decoded hand speed (found via regression, see above). Decoded hand speed
1005 performed acceptably, but noticeably less well than CIS_1 (Figures 8F, 9F; across all
1006 analyzed datasets, mean $r = 0.666$ for long-delay, $r = 0.674$ for zero-delay). Thus,
1007 CIS_1 predicted RT better than did other reasonable unsupervised and semi-
1008 supervised methods.

1009 Might there exist another signal in the data that could considerably
1010 outperform CIS_1 ? To address this, we trained a classifier based on logistic regression
1011 (Methods) to distinguish neural data recorded before versus after the sudden

1012 transition in neural activity 150 ms before movement onset. The classifier – which
1013 has the advantage of being optimized using knowledge of RT – predicted RT for
1014 zero-delay trials slightly better than CIS₁ for one dataset (Figure 8G) and slightly
1015 worse for the other (Figure 9G; note that when assessing generalization a
1016 supervised method is not guaranteed to outperform an unsupervised method). We
1017 then asked which dimensions the classifier relied upon. The coefficients of the
1018 classifier (Figures 8H, 9H) revealed that the condition-invariant dimensions (*red*)
1019 were used more strongly than the condition-specific dimensions (*black*); 74% of the
1020 classifier was based on the CIS (79% for dataset NAD). Thus, the CIS is a particularly
1021 good predictor of RT, and it is difficult to improve on the performance it provides.
1022 Results were similar for the other two datasets (for dataset JAD2: 69% of classifier
1023 based on CIS; dataset NAC: 82% of classifier based on CIS). Thus, the timing of the
1024 CIS reflects the pending onset of movement, rather than the arrival of a visual signal.
1025 Had the latter been true, the CIS would have had no ability to predict RT when data
1026 are time-locked to the go cue as they were here.

1027

1028 *Neural and model population trajectories*

1029 We recently reported that the population response exhibits a strong ~2 Hz
1030 oscillatory component during movement, manifested as a rotation of the neural
1031 state (Churchland et al., 2012; Churchland and Cunningham, 2014). This oscillatory
1032 component is condition-specific: rotation amplitude and phase differ across reach
1033 directions, curvatures, speeds and distances. As expected given these prior results,
1034 we found that the eight-dimensional condition-specific space identified via dPCA

1035 contained components with strong rotational structure. This conveniently allows
1036 the population structure to be plotted as a neural trajectory in a state space, with
1037 one dimension capturing CIS₁ and two dimensions capturing the plane with the
1038 strongest rotations. The resulting three-dimensional projections captured 47% and
1039 45% (for datasets JAD1 and NAD respectively) of the total variance captured by
1040 dPCA. The three dimensional structure is best viewed in video format (Movies 1-4)
1041 but can also be appreciated via inspection of a set of two-dimensional projections
1042 (Figure 10A,C).

1043 Each trace in Figure 10 plots the neural trajectory for one condition. Traces
1044 are colored *gray* during baseline, *blue* during the delay period, then shaded from *red*
1045 to *green* across conditions (to aid visualization) during a ‘peri-movement period’: –
1046 200 to +150 ms relative to movement onset. The overall structure carved out by the
1047 trajectories is roughly conical; neural activity is at the narrow end of the cone
1048 during the delay period, translates along the long axis of the cone just before
1049 movement onset, then exhibits rotations at the wide end of the cone during
1050 movement. Rotations begin with (or just at the end of) the translation and continue
1051 after the translation is over, tracing out a rough disk. The top row plots projections
1052 in which the cone is seen end-on. Middle rows plot projections in which the cone is
1053 seen from the side (the rotational disk being viewed from the edge) and the bottom
1054 row plots a projection that illustrates (as best as possible in two dimensions) the full
1055 three dimensional structure.

1056 Consistent with the large literature demonstrating the existence of
1057 preparatory activity (Tanji and Evarts, 1976; Weinrich and Wise, 1982; Hocherman

1058 and Wise, 1991; Messier and Kalaska, 2000; Churchland et al., 2006a) condition
1059 specificity first develops during the delay period. For example, in the third row, *blue*
1060 traces spread out over a larger range of states than do *gray* traces. The subsequent
1061 rotations are also condition-specific. The CIS produces the long axis of the cone: a
1062 large translation of the neural state that is similar for every condition. This
1063 translation is almost perfectly orthogonal to the rotations. Such orthogonality is not
1064 a consequence of the analysis method: the axes are orthogonal by construction, but
1065 that in no way constrains the condition-invariant and condition-specific structure to
1066 be orthogonal. Indeed, demixing (as in Figure 3) is successful precisely because the
1067 condition-invariant and condition-specific response structure is orthogonal, as
1068 revealed directly in Figure 10. The other four datasets showed the same structure.

1069 A striking feature of the response structure is that condition-specific
1070 preparatory activity occurs in one region of state space, while condition-specific
1071 rotational structure during movement occurs in a different region of state space.
1072 Given the above results showing that the CIS predicts reaction time, a natural
1073 question is whether the transition from one region to another relates to the
1074 behavioral transition from preparing to move (while holding a steady posture) to
1075 actually moving. This is indeed how the network model of Sussillo et al. (2015)
1076 functions. Through optimization, that model adopted a strategy where an incoming
1077 ‘trigger signal’ produced a large translation, bringing the population state near a
1078 fixed point where local dynamics were rotational and produced the multiphasic
1079 patterns of muscle activity. That study noted the general similarity between neural
1080 and model data, as revealed via canonical correlation analysis, and the presence of a

1081 change in the overall mean firing rate. That overall change is a natural product of the
1082 CIS, which as documented above is present in both neural (Figure 3) and model
1083 (Figure 4) populations.

1084 To further compare, we projected the model population response (Figure
1085 10B,D) as we had the neural population response. Model and neural populations
1086 exhibited remarkably similar structure when viewed from all angles. Preparatory
1087 activity developed in one region of space, and the CIS then caused an overall
1088 translation to another region of space. The rotations of the neural state (at a little
1089 less than 2 Hz) began during that translation and continued to unfold after the
1090 translation was complete.

1091

1092 *Relative timing of the CIS and rotations*

1093 The above results suggest that the CIS may relate to the transition from
1094 relatively stable preparatory dynamics to strongly rotational movement-period
1095 dynamics. This hypothesis makes a specific prediction: the CIS should begin to
1096 change just as, or perhaps shortly before, the onset of rotational dynamics. The
1097 hypothesis would be falsified if the CIS began changing after rotations had already
1098 begun, or if the CIS began changing long before rotations began. To assess relative
1099 timing, we computed the ‘speed’ of the neural trajectory: the rate of change of the
1100 neural state. This was done separately for the CIS dimensions and the two
1101 dimensions with the strongest rotations (Figure 11). In all cases, for both the model
1102 and data, the peak speed in the CIS dimensions (*red*) slightly leads the peak speed in

1103 the rotational dimensions (*blue*). Thus, both the neural and model data showed the
1104 predicted effect.
1105

1106 **Discussion**

1107 We found that the largest component of the population response in M1/PMd
1108 is consistently condition-invariant: it changes in an almost identical fashion
1109 regardless of reach direction, curvature and distance. More generally, a small set of
1110 condition-invariant components (the condition-invariant signal, CIS) contained half
1111 or more of the population-level variance. Thus, although essentially all individual
1112 motor cortex neurons are ‘tuned,’ the population response is dominated by the CIS.
1113 This result could not be inferred from, but is consistent with, three prior findings.
1114 First, single neurons often exhibit an overall change in firing rate during movement
1115 (*e.g.*, with most conditions showing an increase in rate, or most conditions showing
1116 a decrease in rate; Fortier et al., 1993; Crammond and Kalaska, 2000). Second, a
1117 strong non-directional ensemble response is present in motor cortex (Moran and
1118 Schwartz, 1999; Churchland and Shenoy, 2007) such that fits are greatly aided by a
1119 non-directional term (Georgopoulos et al., 1986; Moran and Schwartz, 1999). Third,
1120 population summaries often show a rise in activity for both the ‘preferred’ and ‘anti-
1121 preferred’ direction around the time of the movement (*e.g.*, Bastian et al., 2003). Yet
1122 importantly, the presence of the CIS could not be directly inferred from the above
1123 findings; they are all equally consistent with structure that is condition-correlated
1124 but far from condition-invariant. For example, the surrogate data in Figure 5 show
1125 all three of the above features yet lack any condition-invariant component. In
1126 summary, the current data and analyses reveal something that could not be inferred
1127 previously: the data contain condition-invariant components that constitute a very
1128 large percentage of the overall structure of the neural responses.

1129

1130 *Temporal properties of the CIS*

1131 Although one might initially be tempted to view untuned response aspects as
1132 ‘non-specific,’ the CIS exhibits specific temporal structure. For all six neural datasets
1133 and both model datasets, there is a sudden change in the CIS ~150 ms before
1134 movement begins. The sudden change can be visualized on individual trials and is
1135 strongly predictive of trial-by-trial reaction time (RT). This strong relationship
1136 reflects the fact that the CIS is tied to movement onset (rather than the appearance
1137 of the go cue) and is large enough to be readily measured on single trials. The CIS
1138 also has a specific population-level structure that was consistent across datasets:
1139 the CIS is manifested as a large translation of the neural state from one region of
1140 neural state space (occupied when the monkey is preparing the movement) to
1141 another region (occupied just before and during overt movement).

1142 While neural responses are often interpreted in terms of their tuning for
1143 external factors, the CIS did not relate to any external factor we examined. The
1144 temporal profile of the CIS did not resemble that of hand speed, nor were condition-
1145 to-condition variations in hand speed paralleled by the (very small) condition-to-
1146 condition variations in the CIS. This is consistent with the noisiness associated with
1147 decoding pure hand speed in neural prosthetics (Golub et al., 2014), and suggests
1148 that the CIS could be useful for applications seeking to decode a rest vs. move signal
1149 (Velliste et al., 2014). The CIS also did not relate to any measureable aspect of
1150 muscle activity. Although muscles often exhibited overall changes in activity that
1151 were correlated across conditions, the muscle population exhibited little to no CIS.

1152 This again underscores that condition-correlated structure typically does not imply
1153 a CIS.

1154 Finally, the CIS did not simply reflect the visual arrival of the go cue. As
1155 indicated by the ability to predict RT, the CIS was instead related to the time of
1156 movement onset. Furthermore, the sudden change in the CIS occurred well after
1157 (~150 ms) the visual go cue. This contrasts with the very rapid (~60 ms latency)
1158 response of neurons in M1 and PMd to the onset of the target (Ames et al., 2014;
1159 also see Figure 2A,B,D). We also note that the visual go cue was far from condition-
1160 invariant: it involved salient changes in the appearance of the target(s), which had
1161 different visual locations across conditions. Thus, a natural interpretation is that the
1162 CIS relates to the go cue only indirectly, and reflects an internal transition from
1163 preparation to movement that follows the go cue with a long and variable latency.
1164 Still, we cannot rule out that the CIS is a long- and variable-latency visual response
1165 to the go cue, and that the reaction time inherits this variability. Addressing this
1166 possibility will require future experiments in which there is no sensory go cue.

1167 Future experiments will also be required to address whether the timing of
1168 the CIS relates in any way to the last moment when movement can be suppressed. A
1169 recent hypothesis is that reaction times are artificially long not because motor
1170 preparation is slow, but because 'triggering' is conservative (Haith et al., 2016)
1171 leaving time for the movement to be altered or suppressed (Riehle et al., 2006;
1172 Scangos and Stuphorn, 2010; Mirabella et al., 2011). The relatively long ~150 ms
1173 time between the go cue and the sudden change in the CIS, relative to the ~60 ms
1174 latency of the first 'preparatory' response, is consistent with this hypothesis.

1175

1176 *An internal role for the CIS?*

1177 The properties of the CIS suggest that it likely relates not to a representation
1178 of external factors, but to some internal process – perhaps the transition from
1179 preparatory neural dynamics to movement-related neural dynamics. It is becoming
1180 increasingly appreciated that many motor cortex signals may not relate cleanly to
1181 external parameters, and are more naturally explained in terms of their internal
1182 roles in computation (Reimer and Hatsopoulos, 2009; Chase and Schwartz, 2011;
1183 Shenoy et al., 2013; Churchland and Cunningham, 2014). The hypothesis that the CIS
1184 might relate to the transition from preparation to movement is further suggested by
1185 the finding that the network model of Sussillo et al. (2015) exhibits a very similar
1186 CIS – and similar overall population structure – to the neural data (Figures 4, 10). In
1187 the case of the model, the CIS is a consequence of the externally delivered trigger
1188 signal, and is in turn the cause of the change in neural dynamics that generates
1189 movement. The original analyses in Sussillo et al. did not focus on or attempt to
1190 isolate a CIS. Yet a condition-invariant translation is clearly present in one key
1191 analysis (Figure 6 of that study) and can be seen to bring the set of network states
1192 close to a fixed point with rotational dynamics. Whether this interpretation is also
1193 correct for the data is of course still uncertain, but the population response
1194 structure is remarkably similar for the model and data. This interpretation is also
1195 supported by both the overall timing of the CIS (it occurs just as, or even slightly
1196 before, the onset of rotational dynamics; Figure 11) and the remarkably strong

1197 correlation between the change in the CIS and the moment when movement begins
1198 (Figures 8, 9).

1199 Other, not-necessarily exclusive explanations are also likely. For example, the
1200 CIS could activate, suppress, or alter how the local circuit processes feedback (Cluff
1201 et al., 2015). Similarly, the CIS could relate to an overall modulation of downstream
1202 reflexes or to a disengagement of postural control (Kurtzer et al., 2005; Cluff and
1203 Scott, 2016). After all, the initiation of activity that drives movement must
1204 presumably be accompanied by cessation of the activity that held the hand in place
1205 during the delay period. This is true even of the model of Sussillo et al., which is
1206 involved in a rudimentary form of postural control during the delay period:
1207 producing a constant pattern of muscle activity. For that model, the CIS produces the
1208 transition away from the stable dynamics that maintain constant outputs, and
1209 towards oscillatory dynamics that produce the movement-driving patterns of
1210 muscle activity.

1211

1212 *What inputs might produce a CIS?*

1213 If motor cortex undergoes a large condition-invariant change prior to
1214 movement, what drives that change? What other area(s) might supply the relevant
1215 input? A number of candidate regions exist, including the basal ganglia (Romo et al.,
1216 1992; Hauber, 1998), superior colliculus (Werner, 1993; Philipp and Hoffmann,
1217 2014), parietal cortex (Scherberger and Andersen, 2007; Pesaran et al., 2008),
1218 supplementary motor area (Orgogozo and Larsen, 1979; Eccles, 1982; Romo and
1219 Schultz, 1992), the dentate nucleus of the cerebellum (Meyer-Lohmann et al., 1977),

1220 and, in rodents, secondary motor cortex (Murakami et al., 2014). Moreover, the
1221 origin of the CIS may depend on the task: movements elicited by a strong sensory
1222 cue may be generated differently from self-initiated movement (Kurata and Wise,
1223 1988) or movements that must be made very rapidly (Perfiliev et al., 2010). Along
1224 similar lines, reaction times can be remarkably short when the go cue is provided by
1225 a mechanical perturbation of the limb (Evarts and Tanji, 1976; Pruszynski et al.,
1226 2008). These short reaction times may be related to the finding that some neurons
1227 show a rapid perturbation-driven response that is invariant across perturbation
1228 directions (see Figure 3C of Herter et al., 2009). It is thus vital that future studies
1229 address whether a similar CIS is present in motor cortex across the many possible
1230 sensory cues and internal events that can be responsible for causing movement
1231 initiation.

1232

1233 *Summary*

1234 In summary, our results build upon the long-standing observation that
1235 responses are often correlated across conditions at the single-neuron level. Our
1236 results reveal that this general surface-level structure reflects a very particular kind
1237 of underlying structure: a large condition-invariant response component with
1238 timing closely tied to movement onset. This adds to a small but growing list of
1239 ‘untuned’ response aspects that might initially appear incidental, but may in fact
1240 play important computational roles.

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- 1433
1434

1435 **Figure legends**

1436

1437 **Figure 1.** Task and basic neural responses. **A-B.** Illustration of the maze task.

1438 Monkeys executed reaches that avoided any intervening barriers. The task was

1439 performed with a cursor presented just above the monkey's hand. White trace

1440 shows the path of the cursor on one trial. *Target*, target onset; *Go*, Go cue; *Move*,

1441 movement onset. **C.** PSTH for an example neuron for four (of 27) conditions. Each

1442 trace shows the trial-averaged firing rate for one reach condition (one unique maze)

1443 over time. Averaging was performed twice: locked to target onset (*left traces*) and

1444 movement onset (*right traces*). Only trials with a 500 ms delay were included. Inset:

1445 reach trajectories, colored the same as their corresponding neural traces. This

1446 neuron illustrates the transition between stable preparatory activity and rapidly

1447 changing movement-related activity. Scale bars indicate 200 ms and 10 spikes/s in

1448 panels B and C.

1449

1450 **Figure 2.** Responses of four example neurons. Format is as in Figure 1C, but

1451 responses are shown for all 27 conditions. **A.** Unit with complex responses. This

1452 neuron showed both an overall increase in firing rate across conditions and a strong

1453 oscillatory component that was condition-specific (unit JAD1-98, same as in Figure

1454 1C). Scale bars same as Figure 1C. Inset in upper left shows reach trajectories,

1455 colored the same as their corresponding neural traces. **B.** Another unit with complex

1456 condition-specific responses, recorded from the other monkey (unit NAD-165). **C.**

1457 Unit with responses that were strongly condition-correlated (unit JAD1-70). **D.** Unit

1458 where the initial response was condition-correlated: a decline across all conditions.
1459 Later activity is more condition-specific (unit JAD1-114).

1460

1461 **Figure 3.** Performance of demixing on the empirical data. **A.** Bars show the relative
1462 variance captured by each dPCA component for dataset JAD1. Each bar's horizontal
1463 extent indicates the total variance captured by that component. The red portion
1464 indicates condition-invariant variance, while the blue portion indicates condition-
1465 specific variance. Components are grouped according to whether they were overall
1466 condition-invariant (*top group*, >50% condition-invariant variance) or condition-
1467 specific (*bottom group*, >50% condition-specific variance). Traces show the
1468 projection onto the first dimension found by dPCA (CIS_1) versus time. Each trace
1469 corresponds to one condition. *Target*, target onset; *Move*, movement onset. Scale bar
1470 indicates 200 ms. **B-F.** Same as A, for the remaining datasets.

1471

1472 **Figure 4.** Same as Figure 3, but for the recurrent neural network models.

1473

1474 **Figure 5.** Demixing performance for one empirical dataset and two surrogate
1475 control datasets. **A.** PSTHs of three example units from dataset JAD1. Scale bars
1476 indicate 200 ms and 10 spikes/s. **B.** PSTHs for a surrogate dataset where we
1477 projected onto the condition-specific dimensions, then rectified so that all firing
1478 rates remained positive (*Methods*). This surrogate dataset explores the possibility
1479 that a CIS might appear merely due to firing rates being constrained to be positive.
1480 The three PSTHs correspond to the same units shown in A, after modification. **C.**

1481 PSTHs for a surrogate dataset where we added condition-correlated components.
1482 The condition-correlated components had the same temporal profile as the
1483 projections onto the condition-invariant dimensions found by dPCA but had a
1484 different amplitude for each condition (*Methods*). This surrogate dataset explores
1485 whether condition-correlated structure at the single-neuron level is sufficient to
1486 yield condition-invariant components at the population level. The three PSTHs
1487 correspond to the same units shown in A, after modification. **D-F**. Quantification of
1488 the CIS as in Figure 3. Panels correspond to examples above. Panel D is reproduced
1489 from Figure 3A for comparison.

1490

1491 **Figure 6.** Comparison of the CIS and hand speed. Hand speed (*blue*) and the first
1492 component of the CIS (*red*) are shown for four reach conditions. For hand speed,
1493 light traces show all trials, heavy trace shows mean over trials. CIS₁ is the mean over
1494 trials. Insets show the maze for that condition and a prototypical reach path. **A.** A
1495 straight reach with a fast speed profile. Maze ID25. **B.** A straight reach with a slow
1496 speed profile. Maze ID7. **C.** A curved reach with a long speed profile. Maze ID5. **D.** A
1497 curved reach with an unusual speed profile. Maze ID14. The CIS was similar across
1498 all four examples, despite differences in the speed profile. Dataset NAD.

1499

1500 **Figure 7.** Comparison of dPCA applied to neural and muscle populations. **A-B.**
1501 Demixing performance (bars) and the projection onto the first dimension found by
1502 dPCA (CIS₁) for neural datasets JAD1 and NAD. Each trace corresponds to one
1503 condition. These panels are reproduced from Figure 3A-B for comparison with the

1504 corresponding analysis of EMG. Dots indicate target onset and movement onset. The
1505 scale bar indicates 200 ms. **C-D**. Similar analysis as in A and B, but for the muscle
1506 populations recorded for monkeys J and N. Muscle activity was recorded for the
1507 same sets of conditions as for the neural data in A and B. **E**. To compare the
1508 prevalence of condition-invariant structure in the neural and muscle populations,
1509 we focused on nominally ‘condition-invariant’ components with >50% condition-
1510 invariant variance. There were many such components for the neural populations
1511 (*green*) and 1-2 such components for each of the muscle populations (*purple*). For
1512 each such component two measurements were taken: the fraction of the
1513 component’s variance that was condition-invariant (*vertical axis*) and the total
1514 variance captured. The latter was expressed in normalized terms: the variance
1515 captured by the k^{th} nominally “condition-invariant” component divided by the total
1516 variance captured by the k^{th} “condition-specific” component (*horizontal axis*). Only
1517 the neural datasets contained components that were both strongly condition-
1518 invariant (*high* on the vertical axis) and that captured relatively large amounts of
1519 variance (to the *right* on the horizontal axis). Heaviest symbols correspond to the
1520 first dimension found by dPCA for each dataset; higher-numbered dimensions are
1521 plotted as progressively lighter symbols. Dashed gray line highlights variance ratio
1522 of unity. *Circles*, monkey J datasets; *squares*, monkey N datasets.

1523

1524 **Figure 8.** Predicting reaction time (RT) using projections of the data for dataset
1525 JAD1. **A**. Each trace plots neural activity over time on a single zero-delay trial. Fifty
1526 trials selected randomly at intervals throughout the day are shown. Projections are

1527 CIS₁. Black trace plots the median across all trials. **B.** Same as in A but for trials with
1528 a 500 ms delay period (“long delay”). The criterion value (*gray line*) was chosen
1529 using long-delay trials. The same value was used for zero-delay trials (A). **C-G.**
1530 Correlation of behavioral RT with the time when the neural criterion value was
1531 crossed. For each panel, data are shown for both long-delay trials (*blue*) and zero-
1532 delay trials (*red*). Lines show linear regressions; dashed lines show 95% confidence
1533 bounds of the fit. Each panel in C-G gives the correlation for a different linear
1534 projection of the population response: CIS₁ (C), the projection onto the first PC (D),
1535 the mean over all neurons (E), the projection onto the dimension that best
1536 reconstructed speed according to a linear regression (F), and the projection onto the
1537 axis found by a logistic regression classifier (G). Trials where the neural data did not
1538 cross the criterion value were excluded. **H.** Coefficient influence for the classifier.
1539 Coefficients for condition-invariant dimensions shown in *red*, condition-specific
1540 dimensions in *black*.

1541

1542 **Figure 9.** Predicting reaction time using projections of the data for dataset NAD.
1543 Same format as Figure 8. Regarding panel H, note that for this dataset there were
1544 four CIS components.

1545

1546 **Figure 10.** Various projections that capture CIS₁ and rotations of the neural state
1547 during movement. Data were first projected onto three dimensions: the dimension
1548 that yielded CIS₁ and two condition-specific dimensions that captured strong
1549 rotational structure (*Methods*). Each panel plots a different view of the data

1550 projected onto those three dimensions. **A.** Four different views of the 3D projection
1551 for dataset JAD1. “Baseline” activity (before target onset) plotted in gray,
1552 preparatory activity plotted in blue, and activity after the Go cue plotted in green
1553 and red (colors chosen arbitrarily for each condition). **B.** Same for the neural
1554 network model trained to produce EMG recorded from monkey J. **C.** Same for
1555 dataset NAD. **D.** Same for the neural network model trained to produce EMG
1556 recorded from monkey N.

1557

1558 **Figure 11.** Comparison of the temporal profile of the trajectory of the CIS and the
1559 temporal profile of the condition-specific rotational patterns. The vertical axis plots
1560 ‘neural speed’: the rate of change of the neural state in the condition-invariant
1561 dimensions (*red*) and in the first jPCA plane (*blue*), which captures the strongest
1562 rotations. The rate of change was computed separately for each condition, then
1563 averaged across conditions. For each dataset that average was normalized by its
1564 maximum. For statistical power, results for the neural data were averaged across
1565 the three datasets for each monkey. *Move*, movement onset. Note that because the
1566 data have been smoothed and differentiated, the first moment when the state begins
1567 to change is shifted leftwards: the CIS appears to begin changing >200 ms before
1568 movement onset, when ~150 is a more accurate estimate (see Figure 3). Since both
1569 the condition-invariant dimensions and the jPC dimensions are processed in the
1570 same way, however, their relative timing can be compared.

1571

1572 **Movie legends**

1573

1574 **Movie 1.** Three-dimensional view of Figure 10A (dataset JAD1), rotating to display
1575 structure.

1576

1577 **Movie 2.** Three-dimensional view of Figure 10C (dataset NAD), rotating to display
1578 structure.

1579

1580 **Movie 3.** Three-dimensional view of Figure 10A bottom panel (dataset JAD1), with
1581 events unfolding over time. Video starts 300 ms before target onset, and ends 400
1582 ms after movement onset.

1583

1584 **Movie 4.** Three-dimensional view of Figure 10C bottom panel (dataset NAD), with
1585 events unfolding over time. Video starts 300 ms before target onset, and ends 600
1586 ms after movement onset.

1587

Figure 1

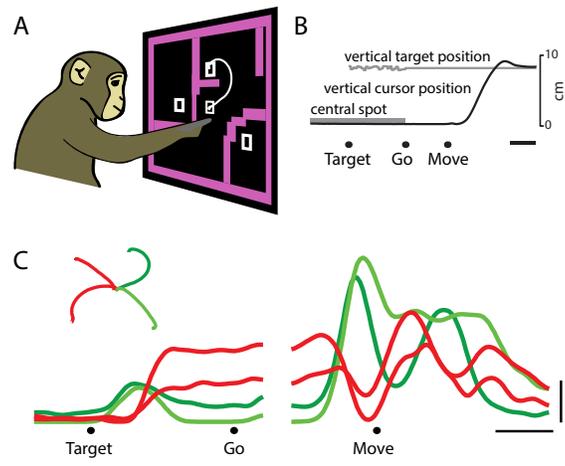


Figure 2

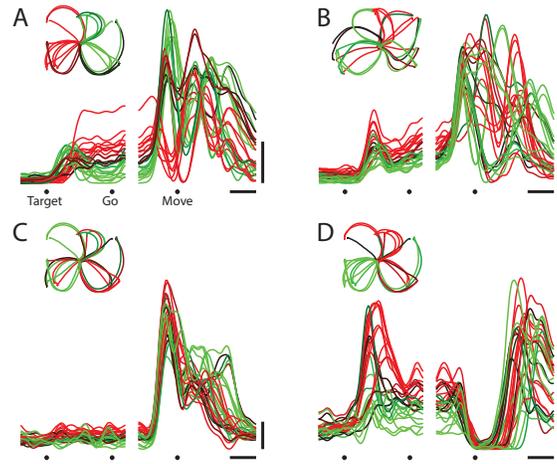


Figure 3

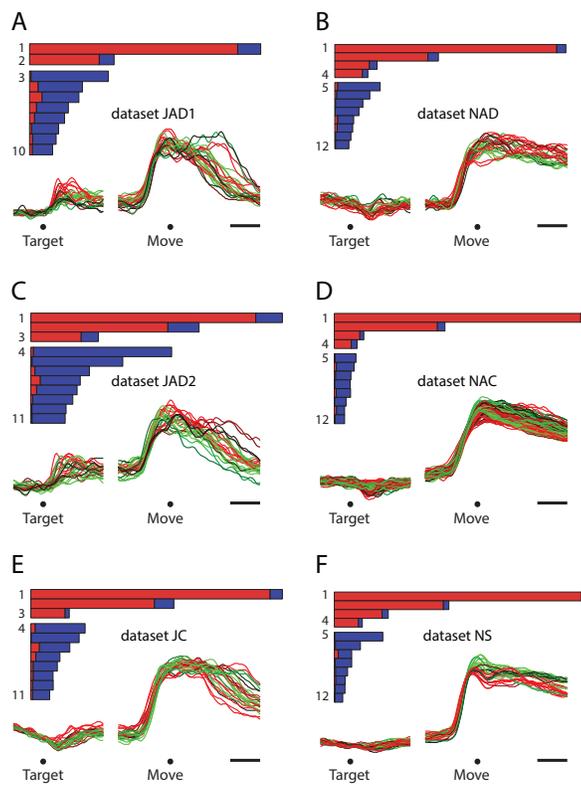


Figure 4

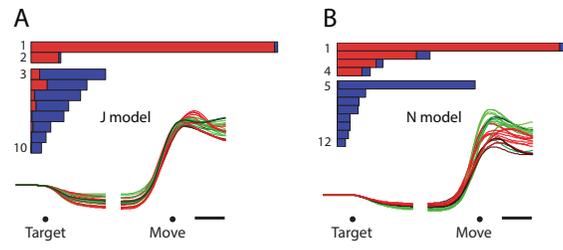


Figure 5

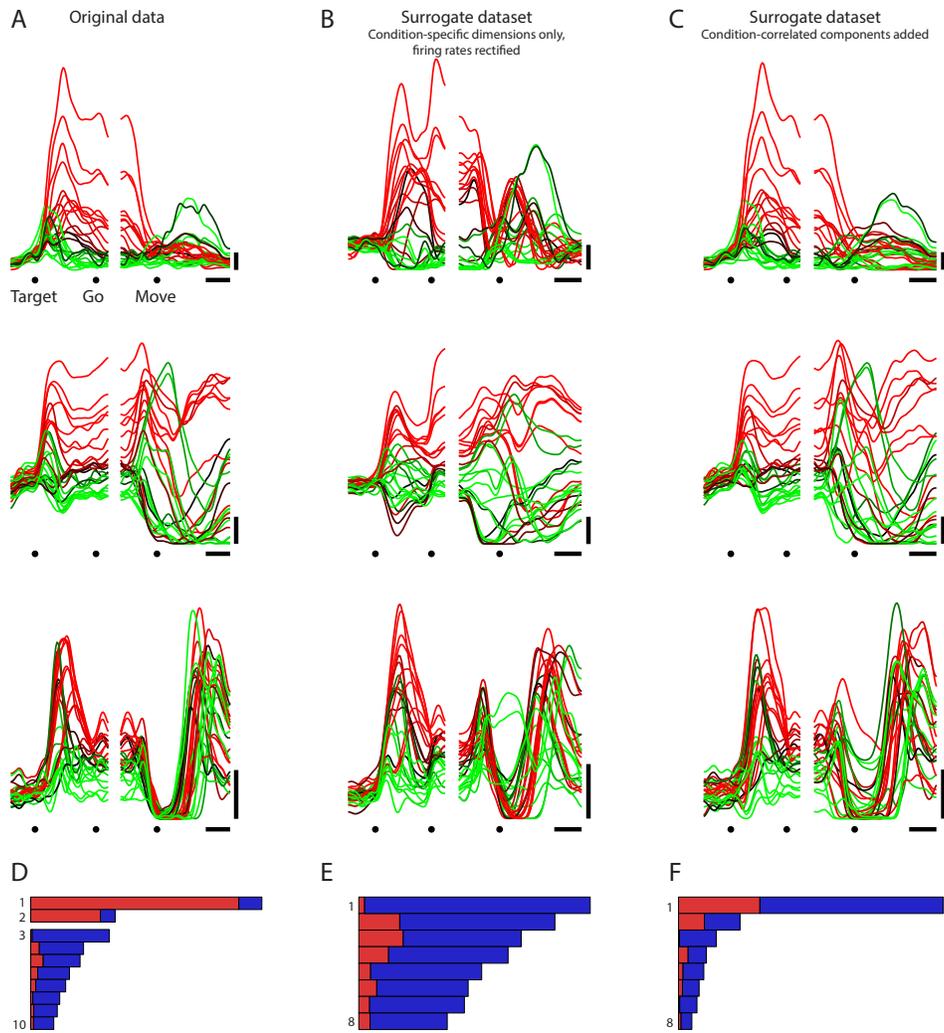


Figure 6

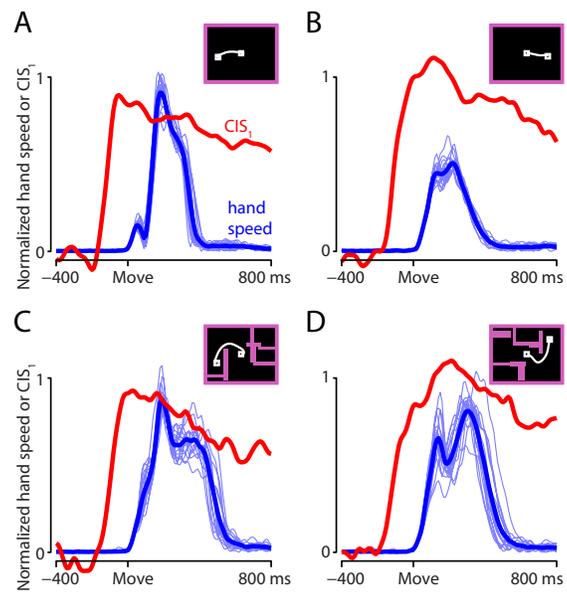


Figure 7

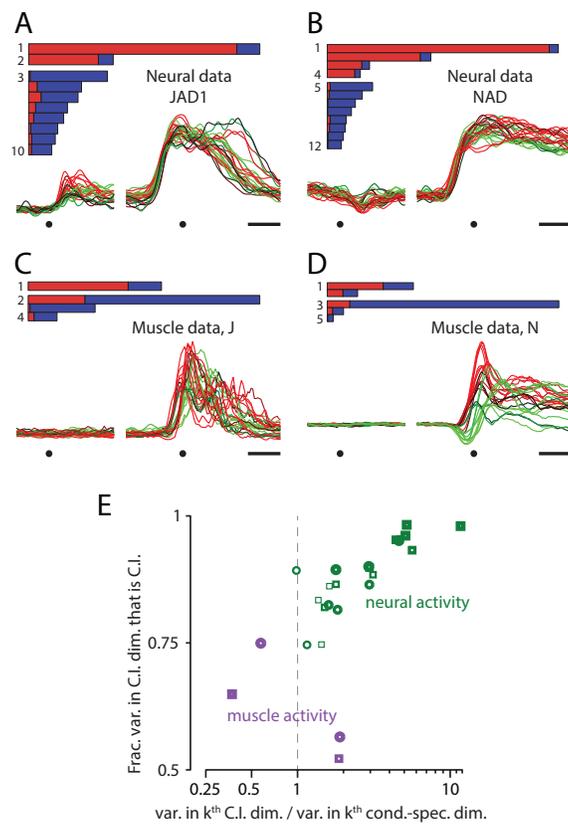


Figure 8

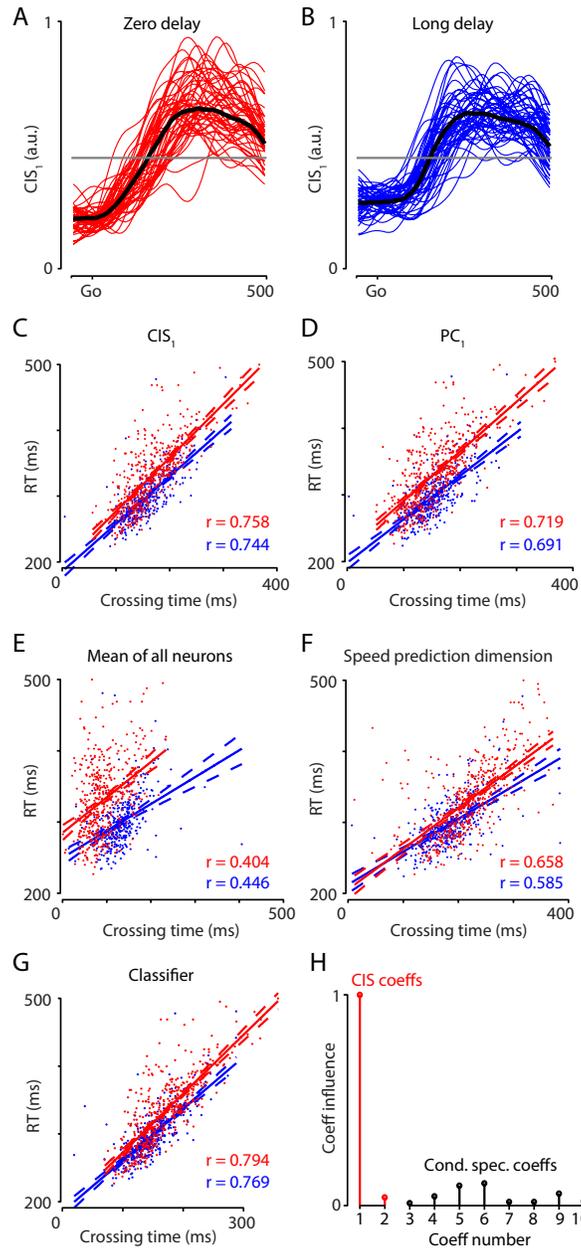


Figure 9

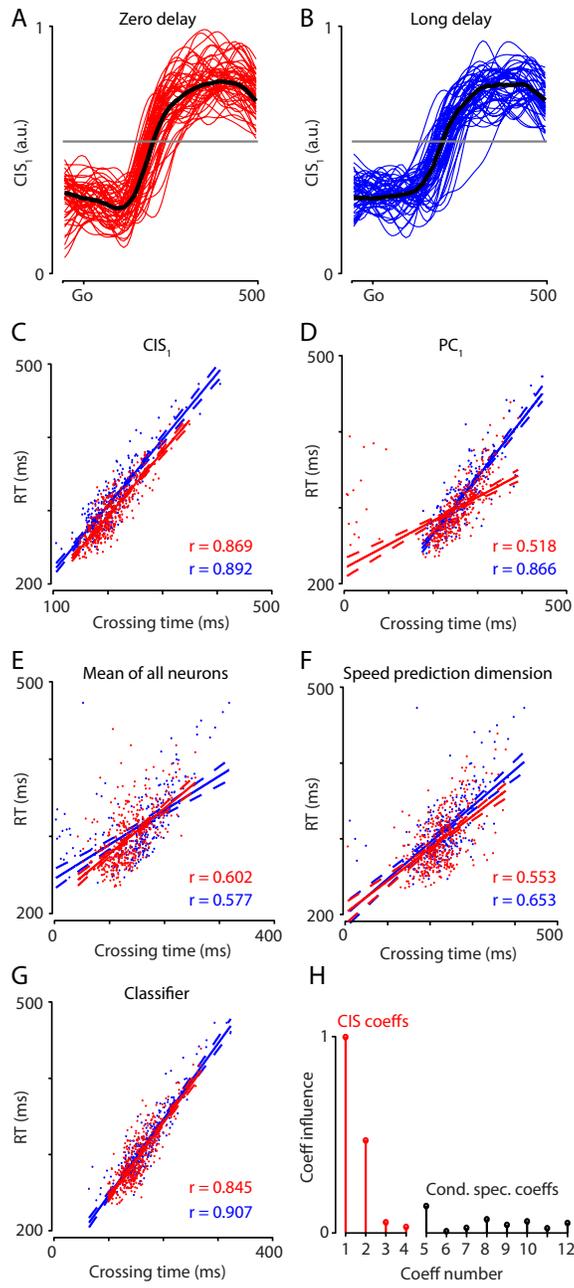


Figure 10

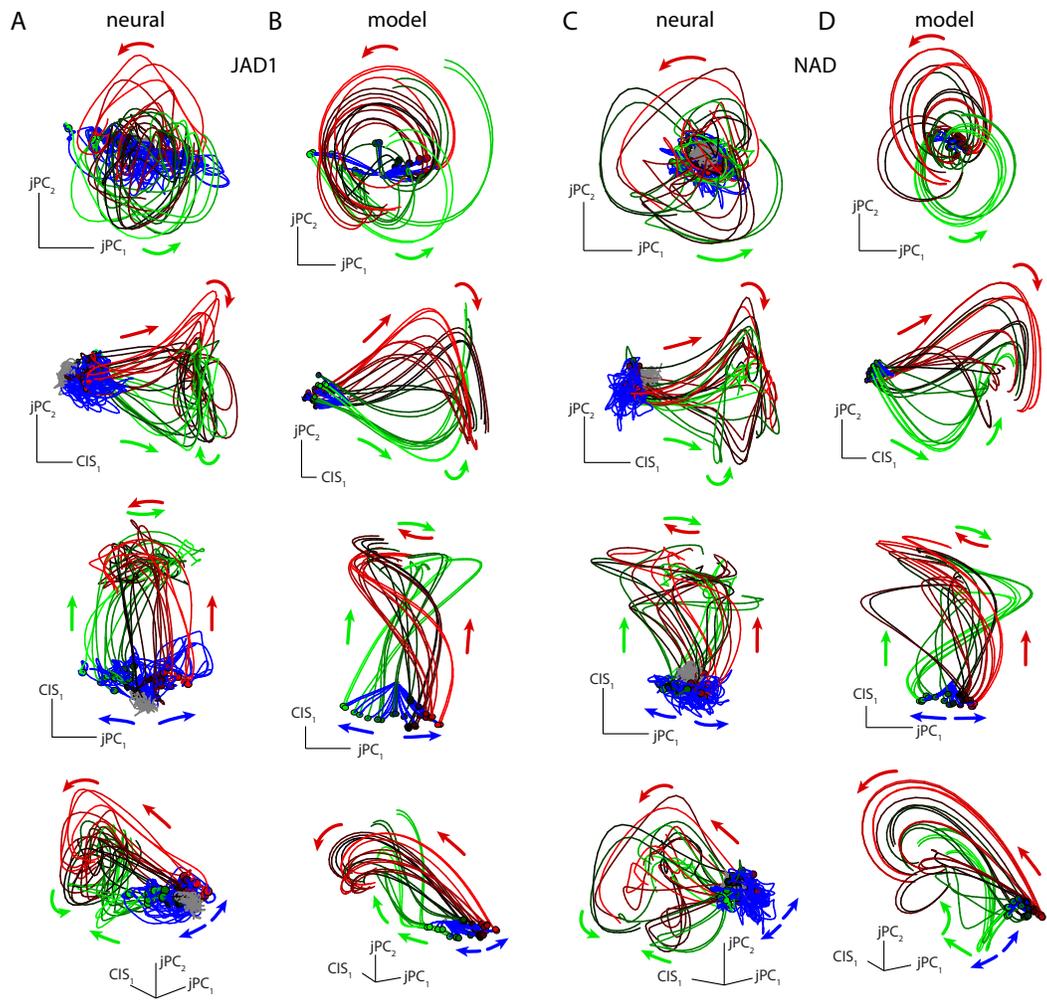
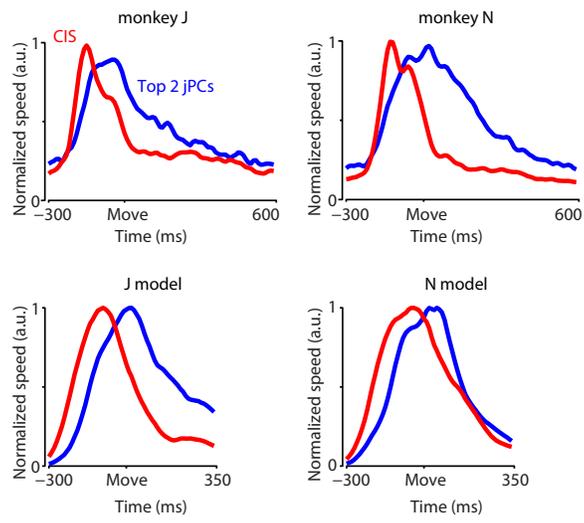
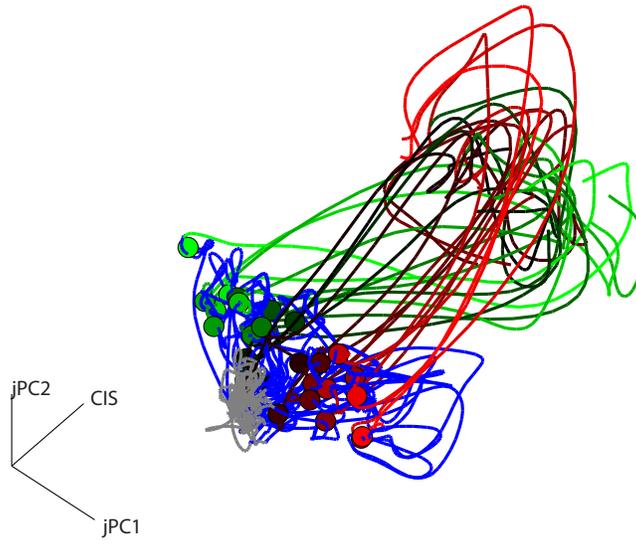


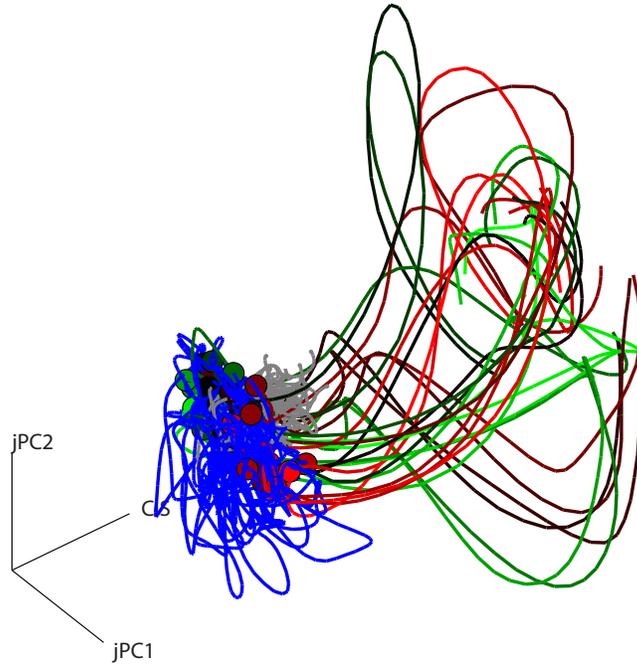
Figure 11



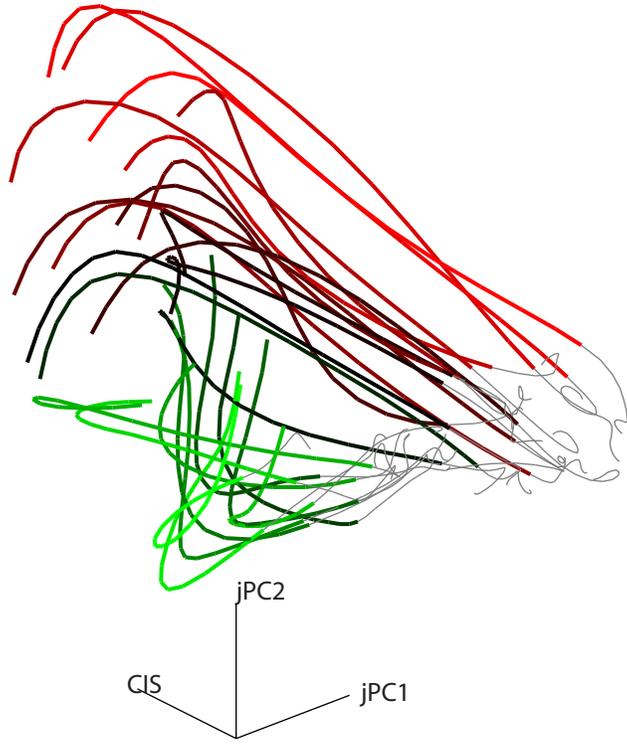
Movie 1 Still



Movie 2 Still



Movie 3 Still



Movie 4 Still

