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## **Neural coding of perceived odor intensity**

Coding of odor intensity

**Yevgeniy B. Sirotin<sup>1,\*</sup>, Roman Shusterman<sup>2,3,\*</sup> and Dmitry Rinberg<sup>2,4</sup>**

<sup>1</sup>*The Rockefeller University, New York, NY, 10065, USA*

<sup>2</sup>*Janelia Farm Research Campus, Howard Hughes Medical Institute, Ashburn, VA, 20147, USA*

<sup>3</sup>*Sagol Department of Neurobiology, University of Haifa, Haifa, 34905 Israel*

<sup>4</sup>*NYU Neuroscience Institute, New York University Langone Medical Center, New York, NY, 10016, USA*

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<sup>†</sup>Equal Contributions

Corresponding author: Dmitry Rinberg NYU Neuroscience Institute, New York University Langone Medical Center, New York, NY, 10016, USA. [rinberg@nyu.edu](mailto:rinberg@nyu.edu)

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**Author names and affiliations:** Yevgeniy B. Sirotin<sup>1+</sup>, Roman Shusterman<sup>2,3+</sup>, Dmitry Rinberg<sup>2,4\*</sup>

<sup>1</sup>The Rockefeller University, New York, NY, 10065, USA

<sup>2</sup>Janelia Farm Research Campus, Howard Hughes Medical Institute, Ashburn, VA, 20147, USA

<sup>3</sup>Sagol Department of Neurobiology, University of Haifa, Haifa, 34905 Israel

<sup>4</sup>NYU Neuroscience Institute, New York University Langone Medical Center, New York, NY, 10016, USA

<sup>+</sup>Equal Contributions

**Corresponding author:** Dmitry Rinberg NYU Neuroscience Institute, New York University Langone Medical Center, New York, NY, 10016, USA; *[rinberg@nyu.edu](mailto:rinberg@nyu.edu)*

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31 **Abstract**

32 Stimulus intensity is a fundamental perceptual feature in all sensory systems. In olfaction,  
33 perceived odor intensity depends on at least two variables, odor concentration and duration of the  
34 odor exposure, or adaptation. To examine how neural activity at early stages of the olfactory  
35 system represents features relevant to intensity perception, we studied responses of mitral/tufted  
36 cells (MTCs) while manipulating odor concentration and exposure duration. Temporal profiles of  
37 MTC responses to odors changed both as a function of concentration and with adaptation.  
38 However, despite the complexity of these responses, adaptation and concentration dependencies  
39 behaved similarly. These similarities were visualized by principal component analysis of average  
40 population responses and quantified by discriminant analysis in a trial-by-trial manner. The  
41 qualitative functional dependencies of neuronal responses paralleled psychophysics results in  
42 humans. We suggest that temporal patterns of MTC responses in the olfactory bulb contribute to  
43 an internal perceptual variable - odor intensity.

#### 44 **Significance Statement**

45 Establishing a link between perception and neural activity is one of the major goals of systems  
46 neuroscience. Yet, tracking perceptual variables in animal models where one can perform neural  
47 recording remains a challenge. Here we demonstrate a consistency between human perception of  
48 odor intensity and activity of mitral/tufted cells (MTCs) recorded in the olfactory bulb of awake  
49 mice as a function of two physical variables: odor concentration and the duration of odor  
50 exposure. Human perception of odor intensity decreased sharply after just one sniff of odor.  
51 Consistently, sniff-locked MTC odor responses changed abruptly after the first sniff so as to  
52 mimic responses to lower odor concentrations. We suggest that early processing stages may  
53 already contribute to an odor intensity percept.

54

55

## 56 **Introduction**

57 One of the major aims of systems neuroscience is to link neural activity at different stages of  
58 information processing with specific aspects of perception. Strong links with perception have  
59 been established in the visual and somatosensory systems (Britten et al., 1992; Johnson et al.,  
60 2002; Romo et al., 2002), however, such perceptual links are dramatically absent in olfaction  
61 (but see (Wilson and Stevenson, 2006)). Despite this, the olfactory system has become an  
62 established model for studying neural coding due to its relatively simple, accessible, and  
63 evolutionarily conserved organization (Hopfield, 1995; Laurent, 2002; Kepecs et al., 2006;  
64 Wilson and Mainen, 2006).

65 Perhaps the most basic perceptual axis for all senses is stimulus intensity. Intensity is a  
66 perceptual variable that facilitates comparisons of different objects within a single modality as  
67 well as across modalities (Over and Mackintosh, 1969; Marks, 1978; Wojcik and Sirotin, 2014).  
68 In olfaction, intensity is a common feature of all odors (Beck et al., 1954; Engen, 1964) and the  
69 perceptual organization of intensity is conserved across the mammalian species (rats and  
70 humans). Intensity is related to odor concentration as a power function (Cain, 1969; 1970;  
71 Moskowitz et al., 1976; Wojcik and Sirotin, 2014) and intensity discrimination performance is  
72 scale invariant (Stone, 1963; Stone and Bosley, 1965; Wojcik and Sirotin, 2014). Even the  
73 relationship between intensity and the physicochemical properties of odors appears conserved  
74 across species (Edwards and Jurs, 1989; Wojcik and Sirotin, 2014). The conservation of the  
75 perceptual properties of intensity in olfaction likely reflects the highly conserved neural  
76 processing mechanisms of olfactory systems across species. While it has been shown that  
77 neuronal activity in the piriform cortex, entorhinal cortex (Rolls et al., 2003), and amygdala  
78 (Anderson et al., 2003) correlate with intensity perception, how neural activity at specific stages  
79 in olfactory processing contributes to this perceptual variable is unclear. In rats and humans, odor  
80 intensity grows systematically with concentration and rapidly decreases with adaptation (Engen,  
81 1964; Ekman et al., 1967; Cain, 1970; Pryor et al., 1970; Steinmetz et al., 1970; Wojcik and  
82 Sirotin, 2014; Cain et al., 1969). Thus, perceived intensity for a given odor is a function of at  
83 least two variables: the physical odor concentration and the sampling duration. Therefore, in  
84 order for a neuronal response to underlie odor intensity coding, it should change consistently

85 with concentration and sampling duration. In the current work we will exploit this consistency in  
86 order to reveal the relationship between neuronal responses and a perceptual variable.

87 Mitral/tufted cells (MTCs), in the olfactory bulb have been a subject of multiple studies due to  
88 their central role in the processing of olfactory information. MTCs are the only cells that transmit  
89 information from the bulb to higher brain areas. They receive primary input from individual  
90 glomeruli, and their processing is affected by other glomeruli via lateral interactions. In awake  
91 animals, where the dynamics of these cell is very different from that in anesthetized state  
92 (Rinberg, 2006; Kato et al., 2012), olfactory information is encoded by MTCs activity at sub-  
93 sniff times scale (Cury and Uchida, 2010; Shusterman et al., 2011). Moreover, recent work  
94 demonstrated that these fine temporal patterns can be read by higher brain areas (Smear et al.,  
95 2011; 2013), thus establishing connection between coding properties of MTC and their role in  
96 behavior. Our knowledge about concentration and adaption dependencies of these cells is mostly  
97 based on recordings from anesthetized animals (Chalansonnet and Chaput, 1998; Wilson, 1998)  
98 but see (Patterson et al., 2013). Here we explore both concentration and adaptation dependencies  
99 of MTCs in awake mice and their potential role in forming the intensity percept.

100 In mammals, the flow of odor to the olfactory epithelium is controlled by the breathing/sniffing  
101 rhythm (Kepecs et al., 2006). This rhythm sets the natural time scale of odor processing to the  
102 duration of a single inhalation/exhalation ('sniff') cycle. Based on experiments in rodents, the  
103 structure and the temporal scale of information encoding in the olfactory system (Cury and  
104 Uchida, 2010; Shusterman et al., 2011) is consistent with behavioral results that 1-2 sniffs are  
105 sufficient for olfactory decision making (Uchida and Mainen, 2003; Abraham et al., 2004;  
106 Rinberg et al., 2006). Here we compare concentration and adaptation dependencies of MTCs  
107 with human odor intensity perception, both measured on sniff based time scales.

108

## 109 **Methods**

### 110 **Neural recording**

111 *Animals.* Data were collected in four C57BL/6J mice. Mice were 6–8 weeks old at the beginning  
112 of behavioral training and were maintained on a 12-h light/dark cycle (lights on at 8:00 p.m.) in  
113 isolated cages in a temperature- and humidity-controlled animal facility. All animal care and

114 experimental procedures were in strict accordance with a protocol approved by the Authors  
115 Institutional Animal Care and Use Committee.

116 *Electrophysiology.* MTC spiking activity was recorded using 32-channel Si-probes  
117 (NeuroNexus, model: a4x8-5mm-150-200-312 (H32)). Cells were recorded in both ventral and  
118 dorsal mitral cell layers. The identity of MTCs was established on the basis of criteria formulated  
119 in previous work (Rinberg, 2006). The data were acquired using a 32-channel data acquisition  
120 system (Digital Lynx, NeuraLynx) with widely open broadband filters (0.1–9,000 Hz) and  
121 sampling frequency of 32.556 kHz.

122 *Sniff recording.* To monitor the sniff signal, we implanted a thin 7-mm-long stainless cannula  
123 (gauge 23, Small Parts capillary tubing) in the nasal cavity. The cannula was capped between  
124 experimental recordings. During experiments, the cannula was connected to a pressure sensor  
125 with polyethylene tubing (801000, A-M Systems). The pressure was measured with a pressure  
126 sensor (MPX5050, Freescale Semiconductor) and homemade preamplifier circuit. The signal  
127 from the preamplifier was recorded together with electrophysiological data on one of the data  
128 acquisition channels. The sniff monitor was calibrated against a known flow as described in  
129 (Shusterman et al., 2011). The lag between the pressure zero crossing and airflow velocity zero  
130 crossing was below 1 ms.

131 *Surgery.* Mice were anesthetized using isoflurane gas anesthesia. The horizontal bar for head  
132 fixation, pressure cannula and electrode chamber were implanted during a single surgery. To  
133 implant the sniffing cannula, a small hole was drilled in the nasal bone, into which the cannula  
134 was inserted and affixed with glue and stabilized with dental cement. To implant the electrode  
135 chamber, a small craniotomy ( $\sim 1 \text{ mm}^2$ ) was done above the left or right olfactory bulb. After the  
136 insertion of the Si-probe, the electrode chamber was fixed by dental cement to the skull,  
137 posterior to the olfactory bulb. The reference electrode was implanted in the cerebellum. The  
138 mice were given at least 5 days after a surgery for recovery.

139 *Behavioral procedure and training.* After recovery, the mice were placed in the head-fixation  
140 setup. The first few sessions were brief (10–20 min) and served to acclimate the animals to head  
141 fixation in the setup. Mice typically remained mostly quiescent after 1–2 sessions of head  
142 fixation, after which odor sessions started. We delivered 1 of 4 odors at three concentrations in  
143 pseudo-random sequence with an average inter-stimulus interval of 7 s and stimulus duration of



144 at least 2sec. One session usually lasted for ~1.5 to 3 hours and contained 600-1200 trials (50 to  
145 100 trials per stimulus).

146 *Odor delivery.* For stimulus delivery we used a nine-odor air dilution olfactometer. The airflow  
147 through the selected odorant vial was diluted ten times by the main airflow stream and  
148 homogenized in a long thin capillary before reaching the final valve. It took approximately 500–  
149 1,000 ms to prepare the homogenized mixture and equilibrate the concentration. A steady stream  
150 of 1,000 ml min<sup>-1</sup> of clean air was flowing to the odor port at all times except during stimulus  
151 delivery, when the flow from the olfactometer was directed to the odor port. After sufficient  
152 mixing and equilibration time, the final valve (four-way Teflon valve, NResearch) switched the  
153 odor flow to the odor port, and diverted the clean airflow to the exhaust. All flows and line  
154 impedances were tuned to minimize the pressure shock resulting from line switching and  
155 minimize the time of odor concentration stabilization after opening the final valve. Temporal  
156 odor concentration profile was checked by mini-PID (Aurora Scientific). The concentration  
157 reached a steady state ~40 ms after final valve opening.

158 Odor delivery was triggered on the end of the inhalation phase of the sniff cycle, which was  
159 detected by positive-going zero crossings of the pressure signal. This prevents odor from being  
160 delivered at random times during inhalation, which would confound our analysis. Furthermore,  
161 as no odor enters the nose during exhalation phase, this allows enough time for the odor stimulus  
162 to reach a steady state of concentration by the time the animal begins inhaling.

163 We used multiple odorants obtained from Sigma-Aldrich. The odorants were stored in liquid  
164 phase (diluted 1:5 in mineral oil) in dark vials. The odorant concentration delivered to the animal  
165 was reduced an additional tenfold by air dilution. The following odorants were used:  
166 acetophenone, amyl acetate, benzaldehyde, butyric acid, decanol, ethyl acetate, ethyl tiglate, 1-  
167 hexanol, hexanoic acid, hexanal, 2-hexanone, hexyl acetate, R-limonene, isopropyl tiglate,  
168 methyl benzoate, methyl salicylate, 1-octanol and 2-undecanone.

169 All of the analysis discussed below was performed in Matlab (MathWorks).

170 *Spike extraction.* Acquired electrophysiological data were filtered and spike sorted using a  
171 WaterShed software package written by Alexei Koulakov.

172 *Temporal warping.* Sniffing recordings were down-sampled to 1 kHz, and filtered in the range of  
 173 0.5–20 Hz. Initially, the times of inhalation onset and offset were detected by negative and  
 174 positive zero-crossings, respectively. Often the positive zero-crossing at the end of inhalation  
 175 phase was not well defined, owing to the very shallow slope of the signal. To more reliably  
 176 estimate the offset of the inhalation phase, we fit a parabola to the minima of the pressure signal  
 177 following the onset of the inhalation (Shusterman et al., 2011). Inhalation offset was defined as  
 178 the second zero crossing of the parabola. We defined two intervals: the first is from inhalation  
 179 onset to inhalation offset and the second is the rest of the sniffing cycle, from the inhalation  
 180 offset to the next inhalation onset. For the whole session, we estimated an average duration for  
 181 both intervals. Each interval of the sniffing data, together with correspondent spiking data, was  
 182 stretched or compressed to make its duration equal to the duration of the average interval. For  
 183 analysis, we used only sniffs of typical duration (between 200 and 500 ms), which constitute  
 184 ~80% of all sniffs. Analysis of odor responses was restricted to the first 200 ms of response  
 185 following sniff onset in warped time coordinates.

186 *Odor responses.* We compared the distributions of the neuronal activity with and without odors.  
 187 Neuronal activity without odor was sampled from sniffs preceding odor delivery across all trials.  
 188 Neuronal activity for a given odor was sampled from the first sniff after stimulus onset for the  
 189 trials containing a correspondent odor delivery. Units were considered responsive if their spike  
 190 probability statistically differed from the distribution of baseline responses (randomly  
 191 subsampled) in at least one 10 ms bin relative to inhalation onset ( $p < 0.005$ ) or if their average  
 192 spike rate over the sniff cycle differed significantly from baseline ( $p < 0.05$ ). Responses were  
 193 considered initially excitatory (inhibitory) if the earliest statistically significant deviation of the  
 194 response after sniff onset for the highest odor concentration was an increase (decrease) in spike  
 195 rate or, if no single bin was statistically significant, and a mean firing rate increased (decreased)  
 196 following odor onset (Fig. 1A). Sharp responses were defined using previously established  
 197 criteria (Shusterman et al., 2011).

198 *Quantifying response parameters for individual unit-odor pairs.* To examine how responses of  
 199 individual unit-odor pairs changed with odor concentration and adaptation, we constructed PSTH  
 200 traces for different odor concentrations and for different sniffs following odor onset. We filtered  
 201 the response using a 10 ms sliding boxcar window with a 1 ms step. For a given sniff, we  
 202 defined the following parameters: average firing rate ( $FR$ ) – the mean firing rate during the sniff;

203 peak amplitude ( $A$ ) – the peak of the PSTH for the sniff; peak latency ( $L$ ) – the time, relative to  
 204 sniff onset, of the PSTH peak. Figure 1C plots the distribution of latency and amplitude on the  
 205 first sniff for all significant responses.

206 To examine how response timing changed with concentration, we measured the relative latency  
 207 from the lag in cross-correlation functions between PSTHs for first sniff responses to the high  
 208 concentration and for the lower concentration,  $\Delta L_{0.3-1.0}^1$ . This enabled us to use a common  
 209 measure for both positive and negative responses as well as for responses without a well-defined  
 210 peak. For sharp responses, the relative latency was strongly correlated with the difference in  
 211 peak latency for the two concentrations (Fig. 2). Positive values of  $\Delta L_{0.3-1.0}^1$  correspond to  
 212 delayed responses at lower concentrations. To examine changes in amplitude,  $\Delta A$  and firing  
 213 rates,  $\Delta FR$ , we subtracted values for the high concentration from values for the lower  
 214 concentration to obtain  $\Delta A_{0.3-1.0}^1 = A_{0.3}^1 - A_{1.0}^1$  and  $\Delta FR_{0.3-1.0}^1 = FR_{0.3}^1 - FR_{1.0}^1$ .

215 For adaptation, we performed similar analyses, but with responses to the high concentration on  
 216 the seventh sniff replacing responses for the lower concentration on the first sniff. Thus, positive  
 217 values of  $\Delta L_{1.0}^{7-1}$  correspond to delayed responses following adaptation. We also computed  
 218 changes in amplitude and firing rate as:  $\Delta A_{1.0}^{7-1} = A_{1.0}^7 - A_{1.0}^1$  and  $\Delta FR_{1.0}^{7-1} = FR_{1.0}^7 - FR_{1.0}^1$ .

219 To determine if response changes following odor dilution were correlated with response changes  
 220 following adaptation over the population of recorded unit-odor pairs, we computed Spearman  
 221 cross correlations between  $\Delta L$ ,  $\Delta A$ , and  $\Delta FR$  values obtained for changes in concentration and  
 222 with adaptation. Calculations were made separately for excitatory, inhibitory, and sharp  
 223 responses.

224 *Population response vectors.* To examine patterns of neuronal activity, for every cell  $k$  ( $k =$   
 225  $1, \dots, M_{unit}$ ), at trial  $i$  ( $i = 1, \dots, N$ , where  $N=50-100$ ), sniff  $s$  ( $s = -1, 1, \dots, 7$ ), and time bin  $t$   
 226 ( $t = 1, \dots, T$ ), we defined the response as a number of spikes in a given bin:  $S_{s,i}^k(t)$ . We  
 227 constructed the following vectors:

228 average firing rate of cell  $k$  at sniff  $s$  trial  $i$ :

$$\bar{r}_{s,i}^k = \frac{1}{T} \sum_{t=1}^T S_{s,i}^k(t),$$

229 average firing rate across trials:

$$\bar{R}_s^k = \frac{1}{N} \sum_{i=1}^N \bar{r}_{s,i}^k,$$

230 temporal pattern of cell  $k$  at sniff  $s$  at trial  $i$  (spike count at a given bin minus average firing  
231 rate):

$$r_{s,i}^k(t) = S_{s,i}^k(t) - \bar{r}_{s,i}^k,$$

232 and trial averaged temporal pattern:

$$R_s^k(t) = \frac{1}{N} \sum_{i=1}^N r_{s,i}^k(t).$$

233 *Principal component analysis.* To examine the principal sources of variability in our data set, we  
234 performed principal components analysis (PCA) on population response vectors (PRVs) for cell-  
235 odor pairs recorded across 3 odor concentrations of odor ( $M=49$  cell-odor pairs). The firing rate  
236 PRV for each concentration and each sniff (total 21 vectors) consists of firing rates of individual  
237 cell-odor pairs:  $\mathbf{R}_s = [\bar{R}_s^1, \bar{R}_s^2, \dots, \bar{R}_s^M]$ , while temporal PRV is composed of concatenated of trial  
238 averaged PSTHs (200 ms per sniff, binned at 10 ms:  $T=20$  time-points per cell) for each sniff and  
239 each concentration for all cell odor pairs:  $\mathbf{T}_s = [R_s^1(1), R_s^1(2), \dots, R_s^1(T), R_s^2(1) \dots R_s^M(T)]$ . PCA  
240 was performed using the *svd.m* function in MATLAB. The first three principal components  
241 accounted for the bulk of the variance in the responses. Reduced population vectors were  
242 created by reconstructing the population vector using only the first three PCs. To visualize  
243 changes across vectors, we projected each response onto the first three principal components  
244 from the analysis (Fig. 5).

245 To examine the robustness of PCA solution, we split single trial responses for each unit-odor-  
246 concentration combination into 10 non-overlapping sets and created 10 sets of PRVs from the  
247 resulting PSTHs. We then projected these PRVs into the space of the 3 PCs generated from  
248 average PRVs and computed standard deviation ovals within the space of the first and second  
249 and second and third PC (Fig 4, small markers, shaded ovals).

250 *Classifier analysis.* To classify single trial population vectors for each sniff  $s$ , and each  
251 concentration,  $c \in \{0, 0.1, 0.3, 1.0\}$ , we constructed a Euclidean distance classifier that classified

252 each vector  $\mathbf{r}_{s,c,i} = [r_{s,i}^1(1), r_{s,i}^1(2), \dots, r_{s,i}^M(T)]_c$  as belonging to the group with an average  
 253 population vector  $\mathbf{R}_{s,c} = [R_s^1(1), R_s^1(2), \dots, R_s^M(T)]_c$  for a given sniff and concentration: that was  
 254 closest to it in the full neural response space according to:

$$D(\mathbf{r}_{s,c,i}, \mathbf{R}_{s,c}) = \left[ \sum_{k=1}^M \sum_{t=1}^T (r_{s,c,i}^k(t) - R_{s,c}^k(t))^2 \right]^{\frac{1}{2}}$$

255 Single trial population vectors were created by randomly selecting a single trial response pattern  
 256 for each unit out of a pool of recorded single trial responses. This procedure was repeated 250  
 257 times for different single trial population vectors. The selected single trial responses were  
 258 excluded from trial averaged vectors. Figure 5 shows classification between trial averaged and  
 259 single trial vectors on the same sniff:  $\mathbf{r}_{s,c,i} \rightarrow \{\mathbf{R}_{s,0}, \mathbf{R}_{s,0.1}, \mathbf{R}_{s,0.3}, \mathbf{R}_{s,1.0}\}$ . Figure 6 shows  
 260 classification between single trial vectors on different sniffs and trial averaged vectors on the  
 261 first sniff:  $\mathbf{r}_{s,c,i} \rightarrow \{\mathbf{R}_{1,0}, \mathbf{R}_{1,0.1}, \mathbf{R}_{1,0.3}, \mathbf{R}_{1,1.0}\}$ . The trial averaged vector for the blank response  
 262  $\mathbf{R}_{s,0} = \langle \mathbf{R}_{-1,c} \rangle$  was included all classifications.

## 263 Human psychophysics

264 *Subjects.* Subjects were screened using a comprehensive questionnaire to establish that they had  
 265 normal olfactory function. Volunteers completed three visits to become acquainted with  
 266 performing computer-controlled olfactory tasks and then 4-8 visits on which perceptual data was  
 267 collected. Three volunteers (2 males, 1 female; ages 24-31) participated in the study. All  
 268 experiments were approved by the Institutional Review Board.

269 *Odor delivery.* Experiments were conducted using a custom-built air dilution olfactometer  
 270 modeled after (Bodyak and Slotnick, 1999). Briefly output of an air compressor (Easy Air,  
 271 Precision Medical) was charcoal filtered (Vacu-Guard 150/Activ. Carbon, Whatman) and split  
 272 into three pressure regulated lines. One of the lines labeled ‘clean’ carried 20 L/min of filtered  
 273 air directly to the subject. Flow in the other two lines was digitally controlled by two mass flow  
 274 controllers (Alicat Scientific) that regulated their combined flow to 2 L/min. These connected  
 275 into upstream and downstream teflon manifolds of the olfactometer. Air flowing into the  
 276 upstream manifold could be directed to one of eight vials containing pure odorant by solenoid  
 277 pinch valves (BioChem Valve; Neptune Research). Odorized air was then combined with clean

278 air in the upstream manifold. Odor concentration could be controlled by changing the ratio of  
 279 odorized to clean air (odorized air flow 0-0.3 L/min, clean air flow 2-1.7 L/min). To minimize  
 280 effects of odor absorption, all tubing (1-2 mm ID) after the odor vial was made of Teflon. Air  
 281 from the olfactometer was combined with the 20 L/min clean air stream using an additional  
 282 custom Teflon manifold that terminated in a Teflon coated mask shaped to fit the human nose  
 283 (Nasal Ranger). The exhaust port of the mask was routed to a pair of mass flow sensors  
 284 (AWM720P1, Honeywell) that measured inhalations and exhalations (typical peak flow rates of  
 285 50 L/min). Stable odor output and fast kinetics of the olfactometer were confirmed frequently  
 286 using a photoionization detector (mini-PID, Aurora Scientific). The olfactometer (solenoid  
 287 opening; changes in odor flow rate) was controlled by a custom-made circuitry and software  
 288 powered by a PC running MATLAB (MathWorks) interfacing with an Arduino Mega 1280  
 289 microcontroller.

290 *Task.* Volunteers sat facing a gray computer screen with their nose inside the odor port and hands  
 291 placed on the number pad of a keyboard. Initiation of a trial was queued by two brief beeps and a  
 292 message on the computer screen instructing them to prepare for sniffing. Volunteers were then  
 293 instructed to make a series of inhalations and exhalations queued by tones (2 sec duration). The  
 294 first inhalation in the series had no odor and served to entrain the subjects' breathing. Subjects  
 295 then inhaled an adapting odor concentration (60 ml/min saturated vapor delivered in 22 L/min  
 296 air) for 0-3 inhalations. After the adaptation period, flow rate of the odor was changed to one of  
 297 six test values (0, 15, 30, 60, 120, and 300 ml/min). After making one inhalation of the test  
 298 concentration, subjects were instructed to rate its perceived intensity on a scale of 0-9. Each trial  
 299 was separated by a 30 second inter-trial-interval to reduce the effect of trial-to-trial adaptation.

300 To calibrate volunteers' perceptual scale, they performed several test runs where they were  
 301 presented with the full range of odor concentrations used in the study without adaptation. They  
 302 were asked to assign 9 for the highest concentration and 0 for no odor. The relative ratings of the  
 303 intermediate concentrations were at the discretion of the volunteers.

304 All manipulations were repeated for two odors: isoamyl acetate and  $\alpha$ -pinene. One volunteer did  
 305 not adapt to  $\alpha$ -pinene, possibly due to lower overall perceived intensity of this odor and was  
 306 excluded from analysis of that odor. In each session volunteers performed 5 repetitions for each  
 307 stimulus condition used (4 adaptation durations x 6 concentrations = 24 conditions) resulting in

308 120 trials per session (total duration = 1.5 hours). To obtain stable estimates of perceived  
 309 intensity, subjects repeated the experiment 2-5 times, resulting in N = 10-50 intensity ratings for  
 310 each stimulus condition.

311 *Data Analysis.* Data for each trial consisted of sniffing traces and numerical perceived intensity  
 312 ratings. For each subject, we pooled perceived intensity estimates across all sessions and took the  
 313 mean of perceived intensity for each condition. Average perceived intensity across volunteers  
 314 was then computed as the mean of average perceived intensity estimates for each volunteer.

315 We estimated the relationship between perceived intensity and concentration without adaptation  
 316 by fitting Hill equations of the form (Chastrette et al., 1998):

$$I = \frac{I_m C^n}{C_{ip}^n + C^n}$$

317 where  $I$  is the perceived intensity,  $C$  is the concentration,  $n$  is the hill coefficient,  $I_m$  is the  
 318 maximum intensity rating,  $C_{50}$  is the concentration at the inflection point. The fits were  
 319 performed independently for each subject.

320 Effective concentration was calculated independently for each subject by finding the  
 321 concentration that best matches the perceived intensity of the stimulus after adaptation from the  
 322 fitted Hill equation.

323

## 324 **Results**

325 Our data set comprises recordings from putative MTCs (total 134 units, 47 single units, 87 multi-  
 326 units) and breathing/sniffing signals from four awake head-fixed mice, passively sampling one of  
 327 a few presented odors at 2 or 3 different concentrations (total 209 unit-odor pairs, and 548 unit-  
 328 odor-concentration combinations). Based on our previous work, in order to analyze the odor  
 329 responses at sniffs of different durations, we applied the sniff-warping technique, by stretching  
 330 or compressing the temporal intervals corresponding to inhalation and the rest of the sniff cycle  
 331 to their mean values (Shusterman et al., 2011). We generated sniff-warped traces of activity (see  
 332 methods) for each unit, each odor, and each concentration, of the odor stimuli (peri-sniff-time-  
 333 histograms; PSTH; Fig. 1A).



### 334 **MTC responses change with concentration**

335 To quantify response changes as function of odor concentration we first grouped responses into  
 336 339 unit-odor-concentration sets (concentration-response sets, CRSs). Each CRS consists of  
 337 responses to two presented concentrations with a 3x fold concentration difference (two CRSs for  
 338 each unit-odor pair if 3 concentrations were presented, and one CRS if 2 concentrations were  
 339 presented). The CRSs were divided into initially excitatory (86, 25%), initially inhibitory (89,  
 340 26%) responses (henceforth excitatory and inhibitory) and sharp responses (29, 9%), a subset of  
 341 responses, which exhibit large rapid changes in firing rate (Shusterman et al., 2011) (Fig. 1 A, B;  
 342 see Methods). Each CRS was assigned one of the three response types (excitatory, inhibitory,  
 343 and sharp) based on the response at the highest concentration measured on the first sniff. For  
 344 each type we characterized the responses and response changes with concentration by estimating  
 345 their latencies, amplitudes and average firing rates (see methods).

346 In contrast to recordings in the anesthetized state, in awake mice the spontaneous MTC firing  
 347 rate is relatively high (19-[12,24] Hz, here and further: median-[25-75% inter quartile  
 348 range(IQR)]), which precludes estimation of latency by the timing of the first spike in response  
 349 to a stimulus (Cang and Isaacson, 2003; Margrie and Schaefer, 2003). Thus we estimated  
 350 absolute response latency as the timing of the maximum/minimum of PSTH for the  
 351 excitatory/inhibitory responses.

352 *Excitatory responses:* Over the population of all presented concentrations of all odors, excitatory  
 353 responses tiled the sniff cycle: their peak latencies on the first sniff varied from 52 ms to 270 ms  
 354 after inhalation onset (Fig.1C). The peak amplitudes of the responses (48-[33,67] Hz) were not  
 355 distributed uniformly across the sniff cycle, with responses in the highest quartile ( $\geq 67$  Hz,  $n =$   
 356 38 responses) coming earlier (median latency, 90 ms) relative to responses falling into lowest  
 357 quartile ( $\leq 33$  Hz,  $n = 33$ ) - 137 ms ( $p < 0.001$ , Wilcoxon rank sum test for equal medians).  
 358 Responses in the highest quartile were predominantly sharp (25 of 38), but none of the lowest  
 359 quartile responses were sharp.

360 We next examined how the latency, amplitude, and firing rates of responses changed with odor  
 361 concentration (Fig.1C and Methods). For the population of 86 excitatory CRSs, reducing odor  
 362 concentration decreased peak amplitudes by 7.8 Hz (median,  $p < 0.001$ ), and decreased net firing  
 363 rates by 1.2 Hz (median,  $p < 0.001$ ). Responses to lower concentrations were delayed by a



relative latency of 2.9 ms (median,  $p < 0.001$  Wilcoxon signed rank test for zero median) compared to high concentration responses. This relative latency shift was estimated from the time shift of the peak of the cross correlation function between the responses at the two concentrations. This method was used to avoid errors in estimation of differences in PSTH latency and to create a measure that can be used for both excitatory and inhibitory (see below) responses. Latency changes were particularly apparent for sharp responses, which had median delays of 7.5 ms. For sharp responses, direct estimation of the latency change yielded 9.4-[0.4, 19.4] ms. (Fig. 2). These latency changes are smaller than previously reported for first spike latency in anesthetized animals (50 msec shift for a 10-fold dilution (Cang and Isaacson, 2003)), but this could be due to different measures of response latency change (relative or absolute latency vs. time of first spike) and different sniffing patterns in awake and anesthetized states.

*Inhibitory responses:* For inhibitory responses, decreasing odor concentration increased the firing rates at the peak of the inhibitory response ( $p < 0.001$ , median increase = 0.2 Hz) and increased the overall firing rate ( $p < 0.001$ , median increase = 2.4 Hz). However, for inhibitory responses, decreasing concentration did not significantly alter relative response latency. These results are consistent with previous data showing enhanced responses of inhibitory cells in the olfactory bulb with increased odor concentration, which may account for the greater inhibition at higher concentrations observed here (Cang and Isaacson, 2003).

*Early and late responses:* Early and late odor responses may play different role in concentration coding because they may be generated by different cell classes (Fukunaga et al., 2012). To test this hypothesis, we divided response distributions into early (<100 ms after inhalation onset) and late (>100 ms after inhalation onset; Fig. 1D). Only excitatory responses (but not sharp excitatory) had statistically significant differences between early and late response distributions. For early excitatory responses, the mean latency change was larger than for late: 7.3 ms vs 1.5 ms ( $p = 0.01$ ) and the mean firing rate change was smaller: 0.51 Hz vs 1.38 Hz.

### **Adaptation mimics the effect of decreased concentration on fine temporal responses of MTCs**

We next compared changes of MTC responses resulting from adaptation following repeated odor sampling. For this analysis, we created adaptation response sets (ARSs), in which we paired the higher concentration response from each CRS on the first sniff to responses of the same MTC on

the seventh sniff of the same concentration. We then analyzed these ARSs in the same manner as the above CRS analysis.

*Excitatory responses:* Adaptation significantly reduced the amplitude of excitatory responses and increased the relative response latency in a manner similar to a decrease in concentration (Fig. 3). For sharp responses, adaptation decreased the amplitude of peak responses by 12.6 Hz (median,  $p < 0.001$ ), which was associated with a significant reduction in overall firing rates by 4.2 Hz (median,  $p < 0.001$ ). Adaptation also delayed excitatory responses by 4.1 ms (median,  $p < 0.001$ ). Again, latency changes were most pronounced for sharp responses with median delays of 15.3 ms estimated using cross correlation and 23.9 ms by direct comparison of latencies.

Importantly, excitatory response changes induced by adaptation were correlated to changes observed with odor dilution (Fig. 4). We found a significant correlation between the relative latency ( $\rho = 0.45$ ,  $p < 0.001$ ) and changes in the amplitude ( $\rho = 0.31$ ,  $p = 0.004$ ) of odor responses, as well as the average firing rate ( $\rho = 0.25$ ,  $p = 0.022$ ).

*Inhibitory responses:* For inhibitory responses, adaptation increased the peak firing rate by 0.25 Hz (median,  $p < 0.001$ ) and the overall firing rate by 1.3 Hz (median,  $p = 0.002$ ). Adaptation also tended to delay inhibitory responses by 0.8 ms (median,  $p = 0.018$ ). For inhibitory responses, changes in response timing and amplitude, but not spike rate were significantly correlated between adaptation and concentration (Fig. 4).

*Early and late responses:* As for concentration dependencies, we compared changes in response adaptation for early and late responses (defined above; Fig. 3C). For excitatory responses (but not sharp excitatory) there were significant differences in the adaptation induced mean response latency change (11.5 ms vs 2.6 ms, early vs late,  $p = 0.04$ ) and in the mean amplitude change (25.6 Hz vs 11.4 Hz,  $p < 0.001$ ). For inhibitory responses early and late responses differed by the change in amplitude (0.0 Hz vs 2.2 Hz,  $p < 0.001$ ), and firing rate (-0.7 Hz vs -4.7 Hz,  $p < 0.001$ ). No significant differences were observed between early and late sharp responses.

#### **Total spike count unlikely to explain intensity coding**

Whereas the temporal activity patterns changed in suggestively similar ways with odor dilution and adaptation, similarity in total spike count (a gross measure of neural activity) was much less

compelling. We first counted the total number of spikes in the first sniff observed for different concentrations across all units on a single trial. While some units either increased or decreased their spike counts with concentration, there was little change in total spike count over the full population. Increasing odor concentration tended to decrease the average net spike count:  $4.4 \pm 0.3$  spikes per sniff cycle pre odor to  $3.9 \pm 0.3$  spikes for the highest concentration but this change was not statistically reliable ( $p=0.09$ ; Fig. 5A). Adaptation increased spike count, but again not reliably (Fig. 5B). Thus, it is doubtful that the total level of activity is a reliable code for odor intensity (Chalansonnet and Chaput, 1998; Stopfer et al., 2003).

#### **Adaptation and concentration move population response vectors along a common trajectory in PC space**

Odor intensity is likely encoded by the spatiotemporal pattern of activity across many cells in the olfactory bulb (Stopfer et al., 2003; Bathellier et al., 2008). Prior studies have suggested that the temporal pattern of MTC activity is consistent with odor based perceptual decisions (Cury and Uchida, 2010). We reasoned that, to be consistent with perception, changes in response patterns from the first sniff to subsequent sniffs should resemble changes observed with odor dilution. To examine how intensity is represented by the temporal activity profile our population of MTCs, we combined our population of unit-odor pairs into population response vectors (PRVs) by concatenating the recorded unit responses. We made separate PRVs for different concentrations and different sniff numbers. To track population response trajectories along a larger concentration range, we used only sessions where odors were presented at 3 different concentrations: 0.1, 0.3, and 1.0 relative to maximal concentration (67 concentration response sets, one set for each unit-odor pair; see methods). Thus we have 22 different PRVs: 21 vectors for 3 concentrations and 7 consecutive sniffs, and 1 vector for non-odorized sniff. Each coordinate of these vectors is a deviation of the single trial spike rate from the average spike rate across sniff for one out of 67 unit-odor pairs and one out of 20 time bins during a sniff cycle (total  $67 \times 20 = 1340$  coordinates). We then examined how PRVs changes with concentration and with adaptation.

To identify the most meaningful dimensions of the response patterns across concentration and sniff number, we reduced the dimensionality of these 22 1340-dimensional vectors using principal components analysis (PCA). We visualized responses on each sniff and concentration

453 by plotting the responses in the space of the first three principal components (PCs), which  
 454 accounted for 70% of the total variance (Fig. 6A-B).

455 Changes in PRVs with concentration and adaptation were consistent with a representation of  
 456 odor intensity. PRVs moved smoothly with concentration, creating a curved trajectory away  
 457 from baseline pre odor responses in PC space. Both concentration and adaptation moved PRVs  
 458 along roughly the same trajectory in the space of the first two PCs (Fig. 6A). In this way,  
 459 responses after adaptation aligned with responses for lower concentrations on the first sniff.

460 Interestingly, after adaptation the distance between PRVs for different concentration became  
 461 smaller while response variability remained similar (Fig. 6A). This suggests that individual  
 462 concentrations should be more difficult to identify following adaptation.

463 The consistency with intensity was not observed for population responses composed only of the  
 464 average firing rates. (Fig. 6C-D). We performed PCA for response vectors where each coordinate  
 465 was the average firing rate over a sniff cycle for one out of 67 unit-odor pairs. Increasing  
 466 concentration moved these vectors away from baseline in PC space. Adaptation moved firing  
 467 rate PRVs in a direction different from concentration decrease. Thus, though both concentration  
 468 and adaptation changed the pattern of firing rates, their effects on the response were not  
 469 consistent and therefore not obviously related to intensity coding.

470 Visual inspection of PCA results provides qualitative intuition for two hypotheses: it predicts  
 471 that 1) adaptation increases errors in concentration discrimination, and 2) adaptation decreases  
 472 encoded odor concentration. To test these hypotheses quantitatively, we performed single trial  
 473 discriminant analysis of MTC population responses. In addition we examine the perceptual  
 474 implications of the above hypotheses by measuring the effect adaptation and concentration  
 475 change on human intensity perception.

#### 476 **Single trial discriminant analysis**

477 Animals make decisions based on odor information available in a single trial. We estimated how  
 478 much information is carried by spatiotemporal pattern of MTC activity in single trial in the first  
 479 and subsequent sniffs using discriminant analysis (see Methods). As for PCA, we used sessions  
 480 in which three different concentrations were presented (67 unit-odor pairs). We considered all  
 481 unit-odor pairs independent and equivalent to different cell responses to one odor.

482 *Adaptation increases errors in identifying odor concentrations.*

483 On the first sniff a single responsive MTC can, on average, identify the presented concentration  
 484 level (0.0, 0.1, 0.3, or 1.0) at 31% accuracy, which is slightly higher than chance (25%).  
 485 However, identification accuracy quickly increased as more units were included in the analysis,  
 486 reaching 92% for the maximal number of recorded unit-odor pairs (n=67) (Fig. 7A). With a single  
 487 unit, the average probabilities of misidentifying a given concentration as 3x or even 10x different  
 488 were nearly equal. Increasing the number of units in the analysis abolished errors to 10x and, the  
 489 analysis using maximal number of units nearly abolished errors to 3x concentration differences.  
 490 This means that most classification errors are made to adjacent concentrations in a manner  
 491 consistent with a graded code for concentration. To capture this effect, we estimated the  
 492 concentration identification noise,  $\sigma$ , as the width of a Gaussian fit to our classification results as  
 493 a function of concentration difference (in log units),  $\Delta \log(C)$ :  $p = p_1 \exp\left(-(\Delta \log_{10}(C))^2 / \sigma^2\right)$   
 494 (Fig. 7A). For a single MTC, the average concentration identification noise was equal to 1.63  
 495 (corresponding to a 43-fold concentration difference), decreasing to just 0.3 log units (2-fold) for  
 496 our full population of responses (Fig. 7A inset).

497 We next examined classifier performance after adaptation using our full population of responses.  
 498 Correct identification performance decreased from 92% on the first sniff to 68-78% on  
 499 subsequent sniffs (Fig. 7B), while identification noise increased from 0.3 to 0.4 log units (2.6-  
 500 fold concentration difference; t-test,  $p = 0.048$ ; Fig. 7B, inset). As for the first sniff,  
 501 misidentification errors on later sniffs occurred between similar concentrations.

502 Thus, although concentration information was still largely intact after adaptation, odor  
 503 concentrations were harder to distinguish as suggested earlier by PCA.

504 *Adaptation reduces coded odor concentration.*

505 Our PCA analysis suggested that responses to odors following adaptation should become more  
 506 similar to lower odor concentrations. Using discriminant analysis we classified responses on  
 507 consecutive sniffs for a given concentration based on their similarity to the average responses on  
 508 the first sniff at different concentrations (Fig. 8) As predicted, responses on later sniffs were  
 509 preferentially matched to lower, but rarely to higher concentrations. For each presented  
 510 concentration on each sniff we estimated the ‘effective’ concentration, as the best matching

concentration on the first sniff. To do this we computed the sum of the presented concentrations weighted by the match probability between a given sniff-concentration response and concentration responses on the first sniff (0.1, 0.3, 1.0) and also baseline (conc. = 0). This measure of effective concentration decreased abruptly after the first sniff and quickly reached a steady state corresponding to a 3 to 10-fold lower concentration (Fig. 8).

#### **Adaptation reduces perceived intensity ratings, increasing rating noise**

To further develop our understanding of the relationship between adaptation and concentration changes we performed psychophysical experiments with human subjects. We asked human volunteers to rate the perceived intensity of odors across sniffs. We measured perceived intensity of odors across several consecutive inhalations in three subjects (Fig. 9). Volunteers were asked to rate a panel of odor concentrations presented either on the first sniff or after several sniffs of an adapting concentration. In general agreement with prior work (Moncrieff, 1957; Engen, 1964; Cain, 1970; Stone et al., 1972), average intensity ratings followed a non-linear relationship with odor concentration well described by the Hill equation (Chastrette et al., 1998) (odor isoamyl acetate; Fig. 9A). We quantified trial-to-trial variability of perceived intensity ratings as a function of the presented concentration. To do this, we computed rating noise as the ratio of the standard deviation of intensity ratings relative to their mean (Fig 9D). Rating noise decreased significantly with odor concentration. For isoamyl acetate, rating noise decreased on average across subjects from  $0.59 \pm 0.16$  (mean  $\pm$  standard deviation) at the lowest concentration to  $0.07 \pm 0.02$  at the highest.

Prolonged exposure to a constant odor source decreased mean intensity ratings ( $33 \pm 0.02\%$  decrease for isoamyl acetate). Converting from intensity to concentration units using the fitted Hill equation showed that these lower ratings corresponded to a roughly 2-fold dilution of the odor (methods, Fig. 9C). Whereas the mean of the perceived intensity ratings decreased with adaptation, the rating noise increased from  $0.34 \pm 0.02$  to  $0.52 \pm 0.10$ . We observed similar effects across different odors (see Methods). These results of human psychophysics experiments are consistent with observations made from MTC responses: namely that the effective concentration of odors quickly decreases after the first sniff with an associated increase in identification noise.



## 539 Discussion

540 Here we investigated the neural representation of odor intensity in the olfactory bulb of awake  
541 mice. We find that MTC odor responses change similarly with decreasing concentration as with  
542 repeated sampling of a constant odor source. We used our recorded population of MTCs to  
543 decode odor concentration using classifier analysis. On the first sniff, MTCs reliably identified  
544 the presented odor concentration to within a factor of 2, but identification noise increased on  
545 later sniffs. Using first sniff responses to classify concentrations on later sniffs resulted in poor  
546 performance because responses on later sniffs were systematically misclassified as lower  
547 concentrations. These neural results are consistent with changes in perceived odor intensity  
548 across sniffs reported by human volunteers. Repeated sampling of a constant odor source caused  
549 a decline of perceived intensity ratings and an associated increase in rating noise. Our data  
550 suggest that responses of neurons in the olfactory bulb are consistent with the perceptual feature  
551 of odor intensity.

### 552 A representation of odor intensity on each sniff

553 Rodents and humans can make decisions based on a single sniff of odor (Laing, 1986; Uchida and  
554 Mainen, 2003; Kepecs et al., 2006). This implies that animals' olfactory percept is regenerated,  
555 or at least refreshed, on each sniff by the incoming pattern of MTC activity. Thus, a constant  
556 odor source does not present a static input into the olfactory system but is converted, by sniffing,  
557 into discrete samples. Consistent with prior studies, we find that the pattern of MTC activity on  
558 each individual sniff carries a robust code for odor concentration (Gross-Isseroff and Lancet,  
559 1988; Chalansonnet and Chaput, 1998; Bathellier et al., 2008; Zhou and Belluscio, 2012;  
560 Patterson et al., 2013). This code has been shown to change significantly with repeated sampling,  
561 reducing the ability of classifiers to correctly identify the presented concentration when using the  
562 first sniff as a response template (Bathellier et al., 2008; Patterson et al., 2013).

563 We find that reduced classification accuracy observed across sniffs is not due to random drifts in  
564 the neural response over time, but rather systematic decreases in the coded odor concentration.  
565 Over the population of recorded MTCs, the peak response amplitude, response latency, and  
566 firing rate changed from the first to subsequent sniffs. Further, these response changes were  
567 significantly correlated with how responses change with odor dilution. Principal component  
568 analysis of MTC population responses illustrated that concentration and adaptation have similar

trajectories in PC space, with responses after adaptation becoming systematically more similar to responses to lower concentrations. Finally whereas our classifier analysis quantitatively confirmed prior findings of reduced classification accuracy between sniffs, this effect was dominated by classification errors to 3x to 10x lower odor concentrations. These data suggest that each sniff of a constant odor source generates a new odor percept with perceived intensity falling immediately after the first sniff.

Though most of the variability in MTC responses could be attributed to changes in odor intensity, responses after adaptation were also significantly different from any of the responses on the first sniff. These differences were clearly captured by the third principal component in our PC analysis (Fig. 4B), suggesting that perceptual properties other than intensity (e.g. odor quality) change in different ways with adaptation as compared to concentration.

#### **Early and late responses do not show difference in intensity coding.**

Prior work proposed that responses of Tufted and Mitral cells have different concentration dependence (Fukunaga et al., 2012). In the anesthetized state, odor responses of Tufted cells peaked early after inhalation onset and had very small latency shifts with concentration, whereas the Mitral cells responded late and had a much greater shifts in latency with concentration. Our recordings in the awake state did not show a similar relationship between early and late responses and their latency shifts with concentration, and do not allow us to differentiate cell types. Therefore, our data cannot determine whether Mitral and Tufted cells participate differently in intensity coding.

#### **Possible mechanisms for similar MTC responses changes for adaptation and concentration decrease.**

At the receptor level, adaptation and concentration have different effects. Increasing odor concentration usually leads to recruitment of a larger number of olfactory receptor neurons (ORNs) (Bozza et al., 2004; Grosmaitre, 2006). Prior work shows that increasing odor concentration can increase ORN spike counts and reduce response latency (Duchamp-Viret et al., 2000). Strong peripheral adaptation at the level of OSNs was reported for high concentrations of odor, while responses to lower odor concentration were mainly unaltered (Lecoq et al., 2009). This effect may explain change in perception of odor identity for high concentrations and may be unrelated to perception of odor intensity. Investigations in humans attempted to relate receptor



activity to perception of intensity using electro-olfactograms (EOGs), a measurement reflecting mass action of olfactory receptors. Despite good correlation between changes of EOG amplitudes with concentration and changes in perceived intensity with concentration (Lapid et al., 2009), the two measures were dissociated by adaptation. While perceived intensity was greatly reduced by repeated odor sampling, EOG amplitudes remained virtually unchanged (Hummel et al., 1996). This casts doubt on receptor based explanations of perceived intensity based on pooled receptor response magnitudes. Alternatively, feedback within OB or from higher olfactory areas may alter odor representation after the first sniff of odor (Patterson et al., 2013), which could mimic the concentration decrease. In addition, granule cells, which show little response modulation by respiration in awake state (Czakoff, 2014), may be a good candidate for suppressing and delaying responses across sniffs.

#### **Responses following adaptation are compressed along the intensity axis**

Our finding of a 3x to 10x drop in the odor concentration coded by populations of MTCs in mice is strikingly similar to the decrease in perceived odor intensity measured in rats (Wojcik and Sirotin, 2014) and humans ((Engen, 1964; Ekman et al., 1967; Cain, 1970; Pryor et al., 1970; Steinmetz et al., 1970; Wojcik and Sirotin, 2014; Cain et al., n.d.); and data herein). Wojcik and Sirotin found that the relative perceived intensity of an odor following adaptation falls by a factor 3x to 10x following a brief 300 ms exposure depending on odor type. This adaptation period corresponds to roughly two sniffs. Even a single sniff of odor in human volunteers was sufficient to decrease the perceived odor intensity by a factor of 2x. Thus, decreases in perceived intensity are generally consistent with changes in concentration coding at the level of MTCs.

In addition to a decrease in the coded odor concentration, classifier analysis of MTC responses showed that later sniffs were associated with a greater number of errors (identification noise) compared to the first sniff. There are two possible explanations of this result: an increase in the variability of intensity responses on later sniffs or constant variability but with adapted responses closer together along the intensity axis. Our PCA showed that responses after adaptation moved closer to lower concentration responses, but were not significantly more variable. Perceptual data from human volunteers showed that the across-trial variability in intensity ratings was constant across the full range of mean rated intensity. This caused intensity rating noise to increase with decreasing stimulus intensity. Decreases in intensity with adaptation were also

629 accompanied by increased rating noise. This finding is consistent with responses following  
 630 adaptation being compressed along the intensity axis while the noise in the represented  
 631 concentration remains fixed.

### 632 **Which features of the neural response carry intensity information?**

633 Although our results demonstrate that neural responses of MTCs are broadly consistent with a  
 634 representation of odor intensity, all examined features of MTC activity changed in similar ways  
 635 with concentration and adaptation. Of the examined features, the relationship was weakest for  
 636 changes in mean firing rate across the sniff cycle and PCA of the firing rate pattern across MTCs  
 637 did not show any systematic links between response changes with concentration and adaptation.  
 638 However, because any of the examined neural features can likely be read out behaviorally and  
 639 may influence perception (Smear et al., 2013), it is difficult to assign any one a causal role. Prior  
 640 works have suggested a number of ways in which odor intensity may be represented in the  
 641 olfactory bulb (Koulakov et al., 2007; Schaefer and Margrie, 2007; Zhou and Belluscio, 2012).  
 642 In the future, these plausible theories can be tested using targeted trial-by-trial perturbations of  
 643 neural activity combined with perception in the same animals.

### 644 **Comparing olfactory perception across species**

645 Despite dramatic phylogenetic differences, general principles of olfactory structure and coding  
 646 appear conserved among mammals, fish, and insects. In all species, axons from olfactory  
 647 sensory neurons are pooled into glomeruli where they synapse onto principal neurons (MTCs in  
 648 mammals and fish, PN in insects) embedded in an inhibitory network. In all systems examined,  
 649 these principal neurons respond to odors with spatiotemporal activity patterns (Laurent, 2002)  
 650 that refine the odor representation before sending it to higher brain areas (Mori, 1999; Friedrich  
 651 and Laurent, 2001). Because of such structural and functional homology across phyla, it is likely  
 652 that neural mechanisms of odor coding are also conserved.

653 In this study we compared perceptual adaptation in humans with MTC odor responses in awake  
 654 mice. Despite significant differences in sampling behavior (0.25 Hz sniffing in humans; 3 Hz  
 655 sniffing in mice), the magnitude and even the fast kinetics of adaptation appear comparable  
 656 across species (Smith et al., 2010; Wojcik and Sirotin, 2014). We monitored neural data across  
 657 seven sniffs of odor by mice, which correspond to over two seconds of odor exposure, similar to  
 658 one human inhalation. Odor adaptation in olfactory sensory neurons can be long-lasting (Zufall

659 and Leinders-Zufall, 2000; Patterson et al., 2013). Thus the drop in perceived intensity of on the  
660 second inhalation of odor in our volunteers may indeed be mediated by neural mechanisms  
661 similar in quality to the observed changes in mouse MTC responses. We suggest that the insight  
662 gained from measuring human perception can serve as a synergistic tool for understanding neural  
663 representations and coding in olfaction (Zelano and Sobel, 2005) just as these comparisons have  
664 been useful in understanding other sensory modalities (Mountcastle et al., 1963; Johnson et al.,  
665 2002).

666 Relating olfactory perception to neural responses can help elucidate how and where odor  
667 percepts are represented in the olfactory system. In other systems, this approach led to  
668 significant insight into the representation of perceptual features (Mountcastle et al., 1963; Britten  
669 et al., 1996; Hernández et al., 2000; Yoshioka et al., 2001; Liu et al., 2012). One idea that has  
670 been put forth is that any candidate neural code for a specific perceptual feature must show  
671 consistency with perception (Johnson et al., 2002). Here we demonstrate that the sniff triggered  
672 temporal pattern of neural responses in the olfactory bulb changes in a similar manner with odor  
673 dilution and adaptation, showing qualitative consistency with the perceptual phenomenon of  
674 adaptation. It may be useful to apply this approach to investigating links between other  
675 perceptual and neurophysiological phenomena, such as olfactory afterimages (Patterson et al.,  
676 2013) or masking (Cain, 1975).

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829 **Figure Legends**

830

831 **Figure 1. MTC responses change with odor concentration.** **A.** Sniff warped raster and PSTH  
 832 plots of sharp excitatory (I-cyan), excitatory (II-brown), and inhibitory (III-green) responses of  
 833 individual MTCs for 3-fold and 10-fold changes in odor concentration (shown as color shades).  
 834 Top: Schematic sniff waveform. Gray shading: inhalation. Gray trace: activity of the MTC  
 835 during blank sniffs. Vertical dashed lines indicate the beginning and end of inhalation interval.  
 836 **B.** Distribution of different response types observed in the data. **C.** Scatter plot comparing  
 837 amplitude and latency of sharp, excitatory and inhibitory responses (color notations as in B).  
 838 Boxplots show marginal response distributions: circle is median, thick line is inter-quartile range  
 839 (IQR: 25-75% interval), thin lines on either side extend to 1.5\*IQR beyond the 25% and 75%  
 840 quartiles or the farthest data point, whichever is smaller. **D.** Normalized distributions of changes  
 841 of latencies (left column), amplitude (central column) and firing rate (right column) with 3-fold  
 842 concentration change across cells for different response types (color notations as in B). Colored  
 843 asterisks denote significance of test for zero median (\* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p <$   
 844  $0.001$ ; Wilcoxon rank sum test). In black solid and dashed lines shown distributions for the  
 845 response latencies for early ( $<100$  ms) and late ( $>100$  ms) responses correspondingly. Black  
 846 asterisks denote significance of test between two distributions. Arrow marks position of the  
 847 median.

848

849 **Figure 2. A.** Latency of the first spike estimated using distributions of inter-spike intervals  
 850 (Shusterman et al., 2011) for responses identified as sharp pooled across all odors and  
 851 concentrations versus the latency of the peak PSTH for the same response. **B.** Difference in  
 852 absolute PSTH latency between sharp responses to high and 3x lower concentrations versus the  
 853 relative latency estimated using cross correlation (see methods).

854

855 **Figure 3. MTC responses change with repeated sampling.** **A.** Sniff warped raster and PSTH  
 856 plots of sharp excitatory (I), excitatory (II), and inhibitory (III) responses of single MTCs during  
 857 1st, 4th and 7th sniff cycle (shown as color shades). Schematic of sniff waveform is shown above

the plots. Gray shading and vertical dashed lines delineate inhalation period. Gray trace: activity of the M/T cell during unodorized sniffs. **B.** Scatter plot comparing amplitude and latency of excitatory, sharp, and inhibitory responses on the seventh sniff following odor onset. Boxplots show marginal response distributions as in Fig. 1C. Color conventions as in Fig 1. **C.** Colored lines are normalized distributions of changes in latency, amplitude and firing rate of sharp, excitatory and inhibitory responses with adaptation (difference between 1st and 7th sniff). Black solid and dashed lines are the same distributions for early and late responses. Notations are same as in Fig. 1D.

**Figure 4. Correlated changes in response features for concentration and adaptation.** From left to right, plots show changes in the latency, amplitude, and mean firing rate. Points are individual response sets. Response types indicated by color as in Fig. 1. Box plots show distributions of response changes across cells for concentration and adaptation. Conventions as in Fig. 1. Reported r-values are Spearman correlation coefficients computed independently for the three response types. Black arrows mark positions of the three example cells in Fig. 1 and Fig. 2.

**Figure 5. Spike count unlikely to code odor intensity.** **A.** Average number of spikes observed on a single sniff for each unit as a function of odor concentration. **B.** Average number of spikes per sniff per cell observed on each sniff for the three tested concentrations and baseline (black = baseline, green = 0.1, red = 0.3; blue = 1.0). Error bars are standard deviation across trials.

**Figure 6. Principal component analysis of the population vector changes with concentration and adaptation.** **A-B.** The full temporal population vectors plotted in the space of the first and second (**A**) and second and third (**B**) principal components. Large symbols: average PC projection of all first (black) and seventh (gray) sniffs. Small symbols: projection of 10 independent subsets of the full data set (shaded ovals: standard deviation). Blank is cross symbol, concentration 0.1, 0.3, and 1.0 are circles, squares, and triangles. Black lines connect first sniffs of different concentrations. Gray lines connect 1st and 7th sniff of the same

887 concentration. Numbers denote presented concentrations. **C-D.** Same as **A-B**, but for average  
888 firing rate population vector.

889

890 **Figure 7. Adaptation increases concentration identification error.** **A.** Results of classification  
891 analysis for concentration discrimination between 4 levels (0.0, 0.1, 0.3, 1.0): average probability  
892 of classification (empty circles) of temporal patterns of MTCs at the first sniff as a function of  
893 concentration mismatch between actual concentration and classified concentration (1  
894 corresponds to correct classification, 3(10) is classification mismatch for 1(2) steps concentration  
895 differences of 3 fold) for different number of cells (shading from lightest to darkest corresponds  
896 to: 1, 2, 4, 8, 16, 32, and 67 cells). Solid lines are Gaussian fits of classification probability:  
897  $p = p_1 \exp(-(\Delta \log_{10}(C))^2 / \sigma^2)$ , where  $p_1$  is a probability of correct classification,  $\sigma$  is  
898 concentration classification error in  $\log_{10}$  units. Insert: Concentration classification error as a  
899 function of number of cells included in classification. Vertical dashed line: 3-fold concentration  
900 difference. **B.** Classification performance for all 67 cells for different sniffs following odor onset  
901 (black: sniff 1, gray: sniffs 2-7). Inset: Concentration classification error for sniff 1 (black) vs.  
902 later sniffs (gray). Dashed line: median for sniffs 2+.

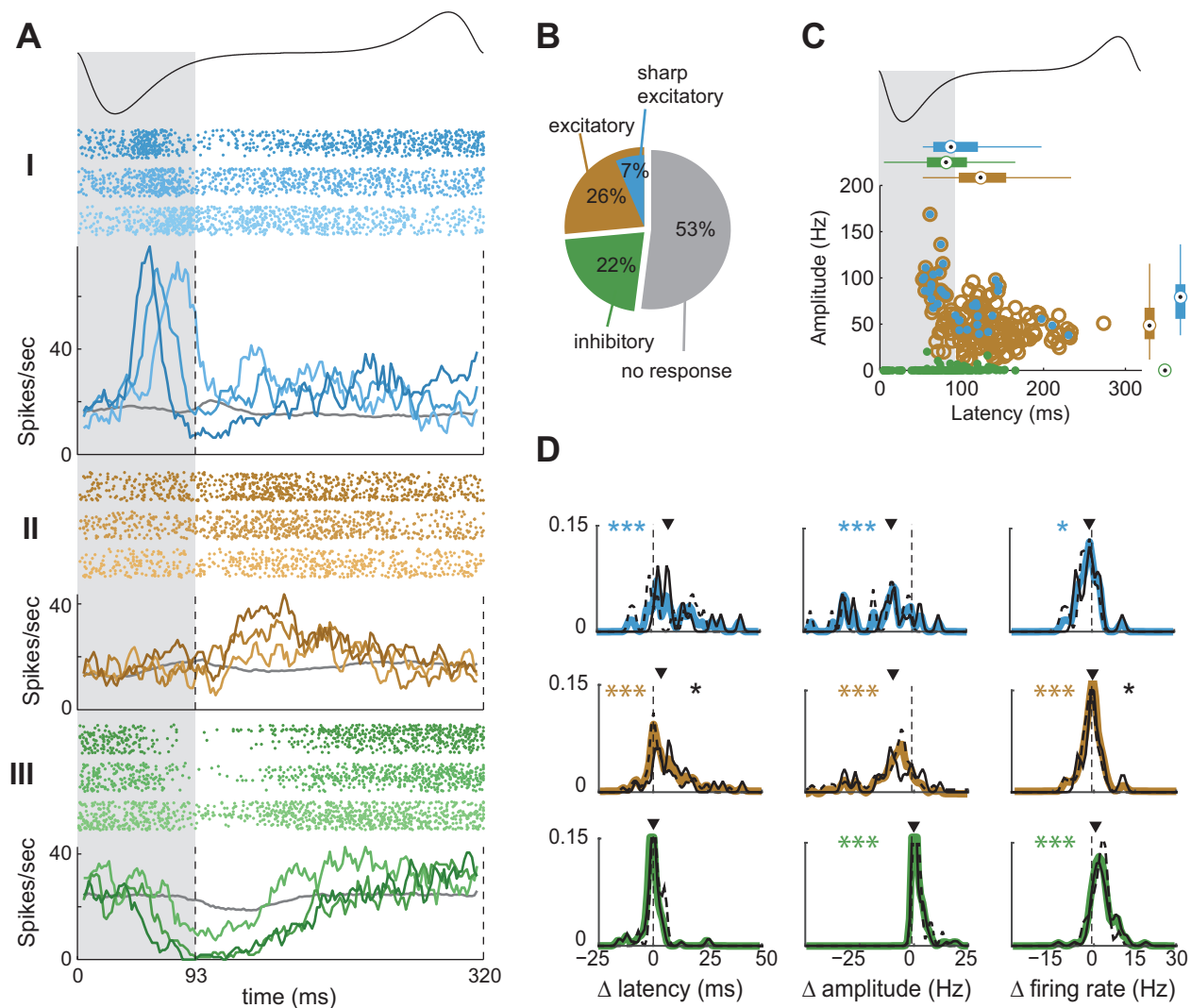
903

904 **Figure 8. Adaptation decreases the encoded odor concentration.** Single trial responses were  
905 classified based on their Euclidean distance to the average responses to the three concentrations  
906 presented on the first sniff and the average blank response. **A.** Schematics of the classification  
907 process for three concentrations (left – 0.1, middle – 0.3, right – 1.0). Responses on a given sniff  
908 and concentration (examples are shown in boxes) are classified against responses on the first  
909 sniff. The arrows from sniff #5 (shaded box) illustrate match probabilities between this sniff and  
910 responses on the first sniff. **B.** For each concentration (left to right), gray scale plots show  
911 classifier match probability (see bar on right) for responses on a given sniff (x-axis) with the  
912 average concentration responses on the first sniff (y-axis). **C.** Equivalent concentration for each  
913 sniff calculated as the average match probability weighted by concentration (circles), and  
914 distributions of classification results: thin line is 10-90% interval and thick lines 25-75% interval.

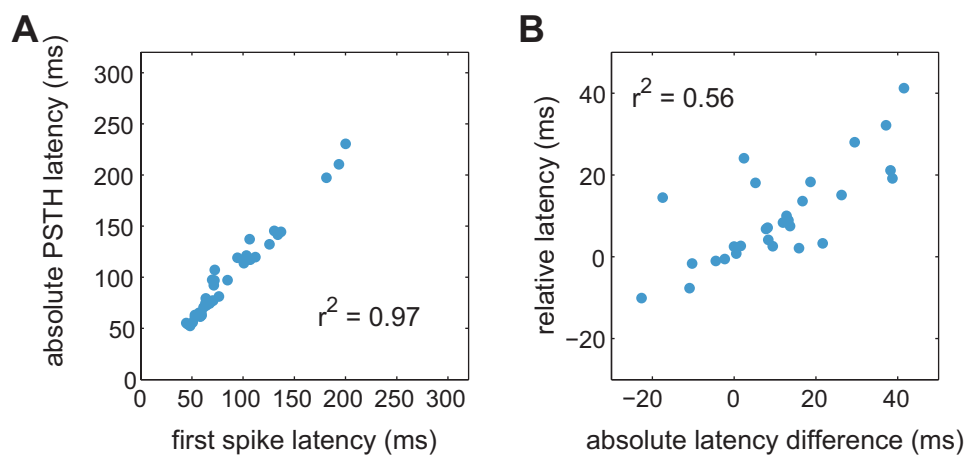
915

916 **Figure 9. Effect of adaptation on perceived odor intensity.** **A.** Average intensity ratings for  
917 different concentrations of the odor pinene obtained on the first sniff (black) and after adaptation  
918 (gray). Curve denotes average Hill equation fit between concentration and perceived intensity.  
919 Concentration has been normalized such that concentration = 1 corresponds to 60 ml/min  
920 saturated vapor diluted in a typical 2 second inhalation, peak flow rate 50 L/min (minimum  
921 0.12% saturated vapor). Inset shows rating noise (rating standard deviation / mean). **B.** Perceived  
922 intensity of the odor stimulus with concentration = 1 across sniffs from a constant odor source.  
923 **C.** Equivalent concentration computed as the concentration with the same intensity rating on the  
924 first sniff extrapolated from the Hill equation fit for individual subjects (schematized by dashed  
925 gray lines). Error bars are standard deviation across subjects included in the analysis. **D.** Rating  
926 noise as a function of presented odor concentration for pinene (dashed) and isoamyl acetate  
927 (solid).

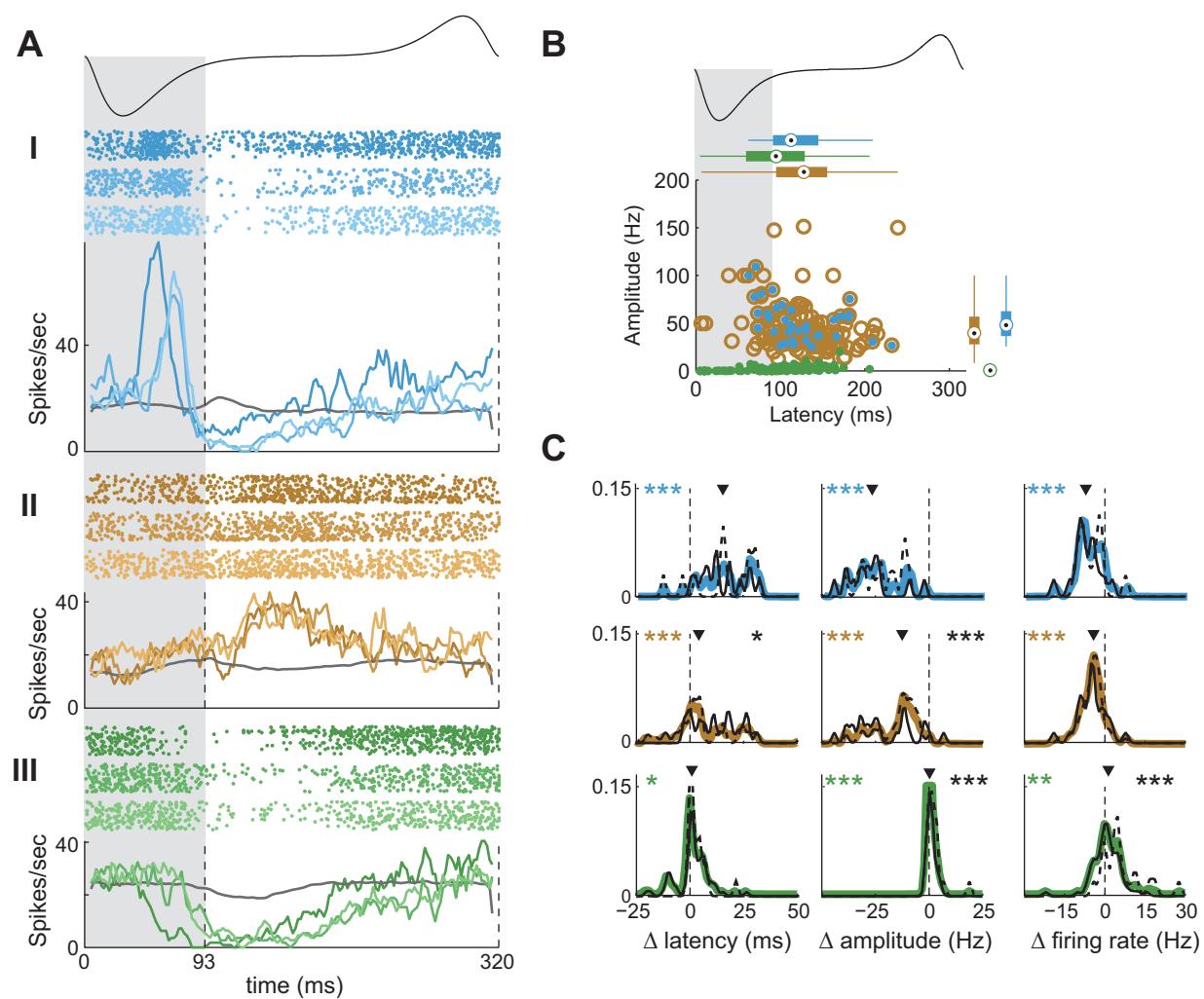
**Figure 1.**



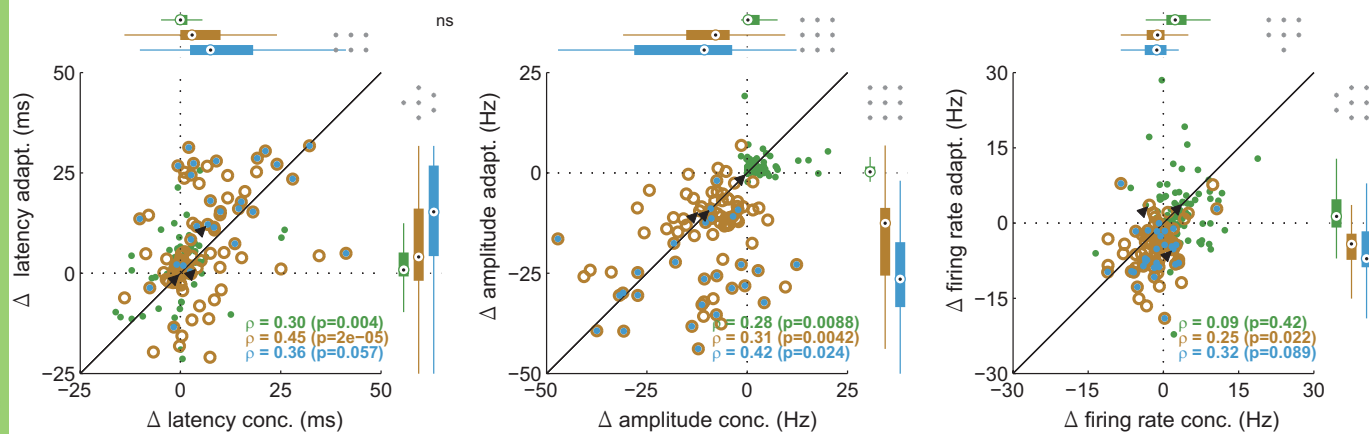
**Figure 2.**



**Figure 3.**



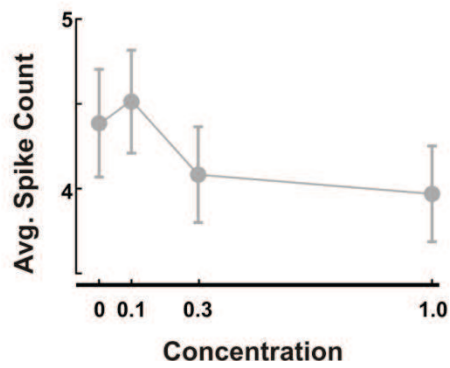
**Figure 4.**



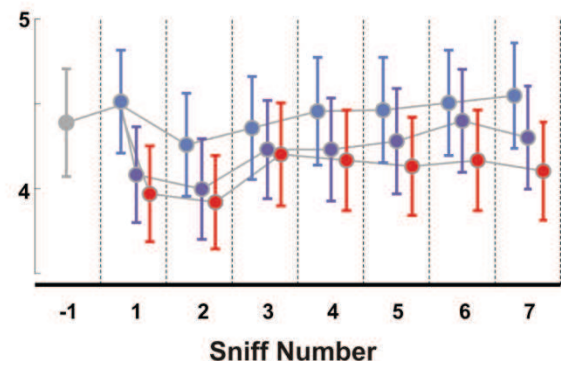


**Figure 5**

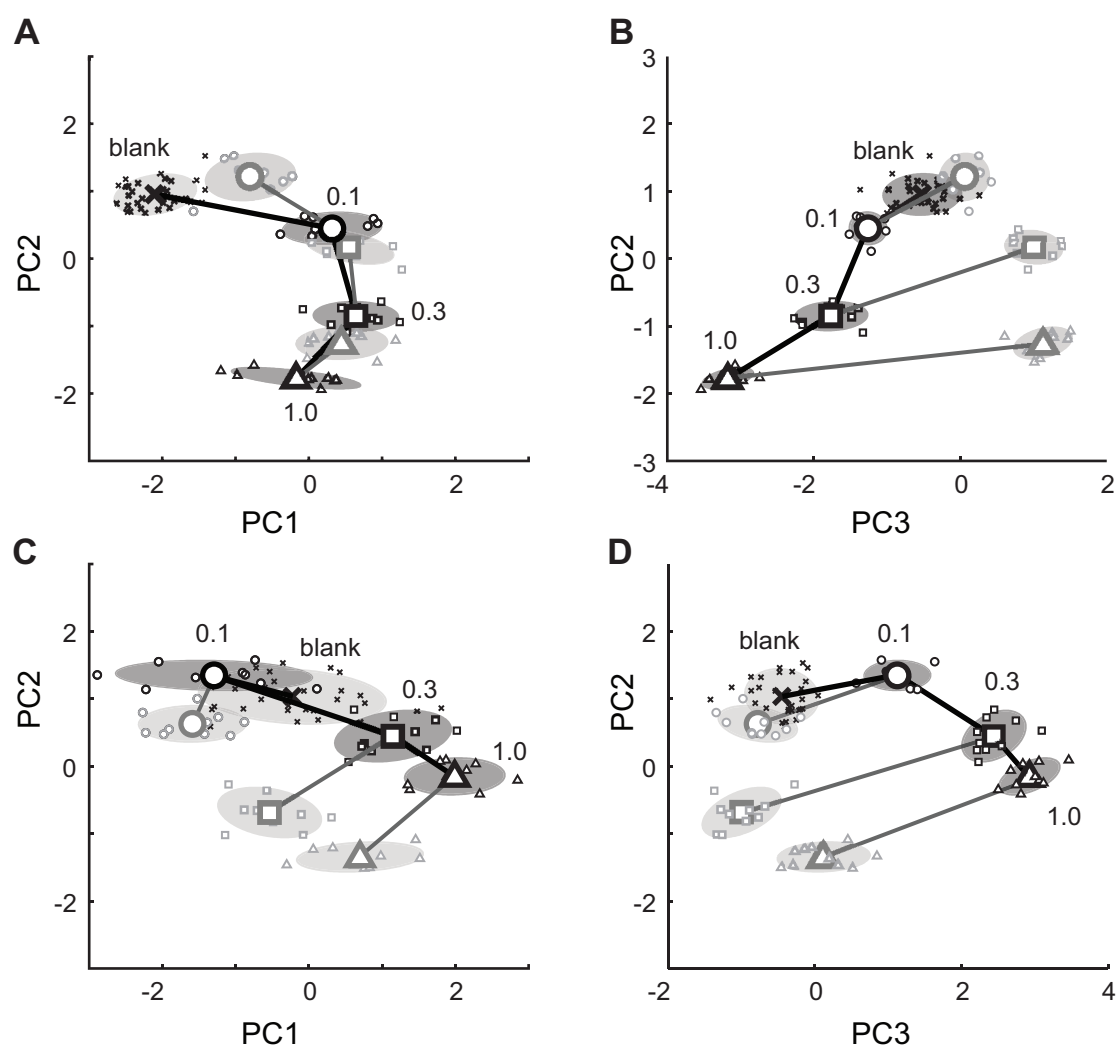
**a**



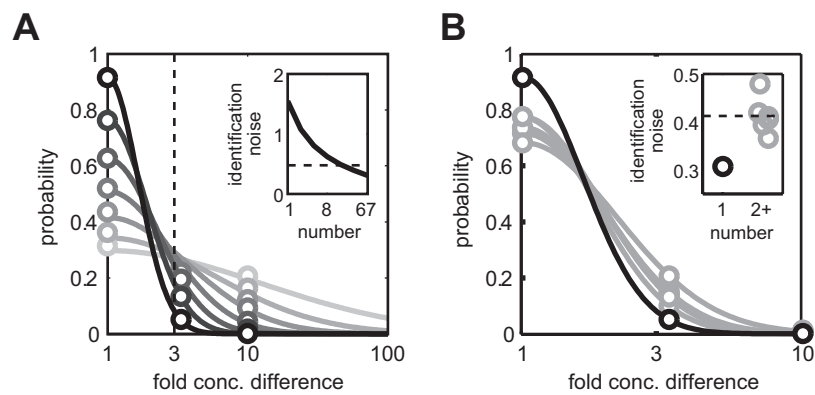
**b**



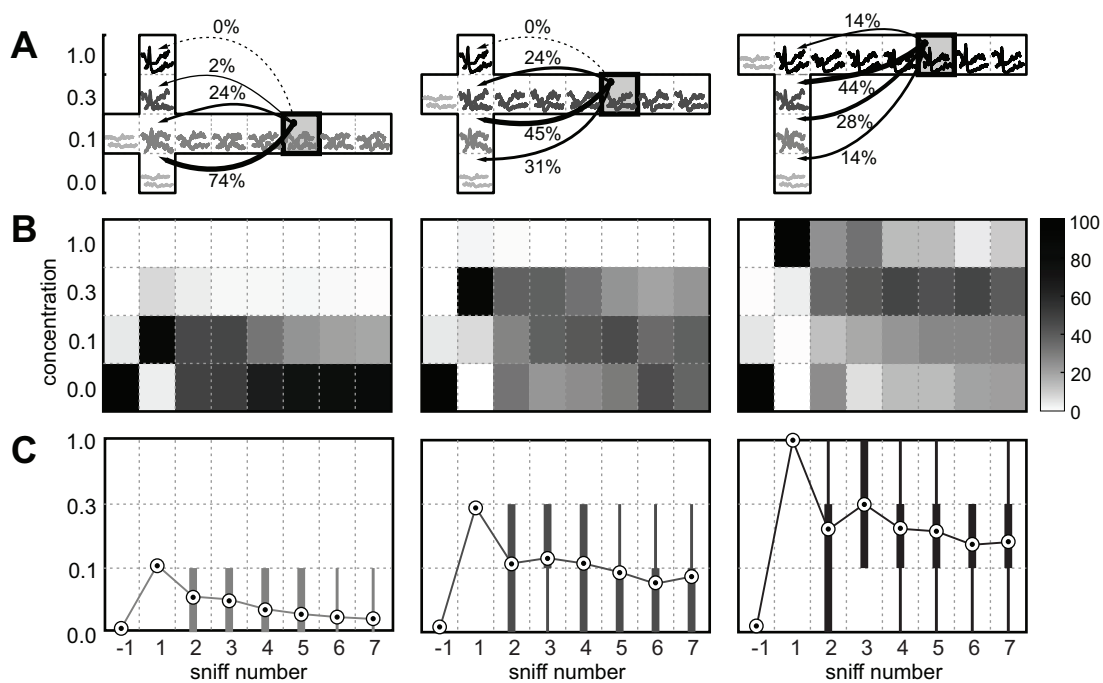
**Figure 6.**



**Figure 6.**



**Figure 8.**



**Figure 9.**

