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Viral tracing confirms paranigral ventral tegmental area dopaminergic inputs to the interpeduncular nucleus where dopamine release encodes motivated exploration

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1 2 3 4	Viral tracing confirms paranigral ventral tegmental area dopaminergic inputs to the interpeduncular nucleus where dopamine release encodes motivated exploration
5 6	Interpeduncular nucleus dopamine release in motivated exploration
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Abstract

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Midbrain dopaminergic (DAergic) neurons of the ventral tegmental area (VTA) are engaged by rewarding stimuli and encode reward prediction error to update goal-directed learning. However, recent data indicate VTA DAergic neurons are functionally heterogeneous with emerging roles in aversive signaling, salience, and novelty, based in part on anatomical location and projection, highlighting a need to functionally characterize the repertoire of VTA DAergic efferents in motivated behavior. Previous work identifying a mesointerpeduncular circuit consisting of VTA DAergic neurons projecting to the interpeduncular nucleus (IPN), a midbrain area implicated in aversion, anxiety-like behavior, and familiarity, has recently come into question. To verify the existence of this circuit, we combined presynaptic targeted and retrograde viral tracing in the dopamine transporter (DAT)-Cre mouse line. Consistent with previous reports, synaptic tracing revealed axon terminals from the VTA innervate the caudal IPN; whereas, retrograde tracing revealed DAergic VTA neurons, predominantly in the paranigral region, project to the nucleus accumbens shell, as well as the IPN. To test if functional DAergic neurotransmission exist in the IPN we expressed the genetically encoded DA sensor, dLight 1.2, in the IPN of C57Bl/6J mice and measured IPN DA signals in vivo during social and anxiety-like behavior using fiber photometry. We observed an increase in IPN DA signal during social investigation of a novel but not familiar conspecific and during exploration of the anxiogenic open arm of the elevated plus maze. Together, these data confirm VTA DAergic neuron projections to the IPN and implicate this circuit in encoding perceived motivated exploration.

Significance Statement

Ventral tegmental area (VTA) dopamine (DA) neurons respond to reward but can also be engaged by
aversive stimuli highlighting the need to functionally characterize VTA projections to understand how
DA signaling underlies motivated behavior. Previous studies identified VTA DA neurons that project to

the interpeduncular nucleus (IPN) where they modulate anxiety and novelty preference. In mice, the existence of IPN-projecting VTA DA neurons was confirmed using viral tracing. Expressing a genetically encoded DA sensor in the IPN and monitoring DA revealed that IPN DA is increased in response to novel and anxiogenic stimuli. These data verify that a small population of DA neurons in the VTA project to the IPN where they are engaged during motivated exploration.

Introduction

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The modulatory neurotransmitter dopamine (DA) plays critical roles in reward, learning, motivation and action selection (Arber and Costa, 2022; Berridge and Robinson, 1998; Floresco, 2015; Schultz et al., 1997). Despite decades of intense research, the precise regulation, and the circuitry architecture of DAergic neurotransmission still remains unclear (Berke, 2018). Growing evidence demonstrate that midbrain DAergic systems are integrated by a spectrum of molecularly, anatomically and functionally distinct neuron subtypes. In addition, single-cell gene expression profiling (Phillips et al., 2022; Poulin et al., 2020; Tiklová et al., 2019), together with projection specificity functional mapping, support the hypothesis that heterogeneous DA neuronal clusters can influence individual behavioral readouts (Lammel et al., 2014; Morales and Margolis, 2017; Poulin et al., 2018). Midbrain DA neurons in the ventral tegmental area (VTA) respond to reward (Mirenowicz and Schultz, 1996), reward-predictive cues (Flagel et al., 2010), associative learning (Saunders et al., 2018), as well as salient stimuli, such as novel social investigations (Gunaydin et al., 2014; Solié et al., 2021). In addition, some VTA DA neurons are engaged by aversive stimuli (Matsumoto and Hikosaka, 2009) or during anxiety and fear-related behaviors (Zweifel et al., 2011). Most VTA DA neurons send abundant projection-specific outputs to the ventral striatum nucleus accumbens region (NAc), where they regulate reward-related and aversive processing (de Jong et al., 2019; Lammel et al., 2012), encode saliency (Kutlu et al., 2021) or promote social behaviors (Gunaydin et al., 2014), but whether the same neurons send

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functional projections to additional areas and how they control emotional and motivational behaviors is not fully understood. Medial and ventral adjacent to the VTA resides the interpeduncular nucleus (IPN) of the midbrain. The IPN receives excitatory inputs from the epithalamic medial habenula (mHb) and sends efferent projections to midbrain and hindbrain structures including the raphe, tegmentum and pontine nucleus (Groenewegen et al., 1986; Lima et al., 2017). IPN neurons are predominantly GABAergic, although IPN glutamatergic and serotonergic neurons have also been reported (Quina et al., 2017; Sherafat et al., 2020). Anatomically, the IPN has been subdivided into 3 unpaired and 4 paired subnuclei: the median, unpaired subnuclei include the apical (IPA), rostral (IPR) and central (IPC) nuclei, whereas the paired subnuclei involve the dorsolateral (IPDL), dorsomedial (IPDM), lateral (IPL) and intermediate (IPI) subnuclei (Hemmendinger and Moore, 1984). The cytoarchitecture, molecular profiling and functional connectivity of distinct IPN neuronal clusters is largely unknown. Increasing attention has focused on the mHb-IPN axis over the last two decades, as it highly expresses a unique combination of nicotinic acetylcholine receptor (nAChR) subunits, α5, α3 and β4, encoded within the CHRNA5-A3-B4 gene cluster (Improgo et al., 2010), extensively associated with nicotine dependence in human genetic studies (Berrettini et al., 2008; Bierut et al., 2008). Numerous investigations in rodents have corroborated the role of the mHb-IPN circuit as key regulator of nicotine intake (Fowler et al., 2011; Frahm et al., 2011) and of nicotine withdrawal, including both physical and affective aspects (Antolin-Fontes et al., 2015; Casserly et al., 2020; Görlich et al., 2013; Klenowski et al., 2021; Salas et al., 2009; Zhao-Shea et al., 2015, 2013). Emerging evidence further implicates this axis in regulating fearrelated memories as well as baseline anxiety-like behaviors (Molas et al., 2017a; Seigneur et al., 2018; Soria-Gómez et al., 2015; Yamaguchi et al., 2013; J. Zhang et al., 2016). Recent data described a mesointerpeduncular pathway consisting of VTA DAergic neurons that innervate the IPN (Zhao-Shea et al., 2015), a circuit that mediates anxiety-like behavior through unique

IPN microcircuitry (DeGroot et al., 2020) and that controls the motivational component of familiar social investigations (Molas et al., 2017b). Such crosstalk between two adjacent midbrain structures with apparent opposing roles in regulating behavior (Wills et al., 2022) could have important implications for balancing motivational and affective behaviors. However, a recent study excluded the existence of an anatomic connection from the VTA to the IPN (Nasirova et al., 2021). Thus, a comprehensive analysis clarifying VTA DAergic neuron connections to the IPN and elucidating internal signals that trigger DA release in this brain area, would provide valuable insight into VTA DA neuron architecture, as well as intrinsic midbrain DA circuitry function.

Materials and Methods

Animals

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All animal experiments were conducted in accordance with the guidelines for care and use of laboratory animals provided by the National Research Council, and with approved animal protocols from the Institutional Animal Care and Use Committee of the Institution. C57BL/6J (Stock #000664, https://www.jax.org/strain/000664) and DAT-Cre (Stock #006660, https://www.jax.org/strain/006660) mice were obtained from the Jackson Laboratory and bred in the institution animal facility. Cre lines were crossed with C57BL/6J mice and only heterozygous animals were used for the experiments. Mice of both sexes were used in all experiments. For social experiments, juvenile stimuli always consisted of C57Bl/6J mice (4-7 weeks old). Mice were group housed with a maximum of five per cage and were kept on a standard 12 h light/dark cycle (light on at 7 A.M.) with *ad libitum* access to food and water. Following viral brain injections and recovery overnight, mice for behavior experiments were transferred to the reverse light/dark cycle room (light on at 7 P.M.) for 3 weeks prior to the fiber brain implantations or further experiments. Mice were single house at least one week before behavior testing which were conducted during the dark cycle (8 A.M. to 5 P.M.).

Viral preparations

- 134 Biosensors, optogenetic and control plasmids packaged into viral particles were purchased from Addgene.
- For tracing experiments we used pAAV.hSyn.mCherry (#114472-AAV2, 2.6 x 10¹³ GC/ml,
- 136 https://www.addgene.org/114472/), pAAV.hSyn.DIO.EGFP (#50457-AAVrg, 1.4 x 10¹³ GC/ml,
- https://www.addgene.org/50457/), pAAV-hSyn-Flex-mGFP-2A-Synaptophysin-mRuby (#71760-AAV1,
- 138 7.0 x 10¹¹ GC/ml, https://www.addgene.org/71760/) and pAAV-hSynapsin1-Flex-axon-GCaMP6s
- 139 (#112010-AAV5, 2.2 x 10¹³ GC/ml, https://www.addgene.org/112010/). For fiber photometry
- 140 experiments we used pAAV.hSyn.dLight1.2 (#111068-AAV5, 8.7 x 10¹² GC/ml,

https://www.addgene.org/111068/). Viral injections were performed on 6 weeks old mice and between 4
 to 6 weeks were allowed for transgene expression.

Stereotaxic surgeries

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Briefly, mice (6 weeks old) were deeply anaesthetized with a mixture of 100 mg/kg ketamine and 10 mg/kg xylazine (VEDCO) by intraperitoneal (IP) injection. Ophthalmic ointment was applied to maintain eye lubrication. The skin of skull was shaved and disinfected with iodine. Mice were then placed on a heating pad and in a stereotaxic frame (Stoelting Co.) and the skull was exposed by making a small incision with a scalpel blade. Using bregma and lambda as landmarks, the skull was leveled along the coronal and sagittal planes. A 0.4-mm drill was used for craniotomies at the target Bregma coordinates. Microinjections were made by using a gas-tight 33G Hamilton 10 μl neurosyringe (1701RN, Hamilton) and a microsyringe pump (Stoelting Co.). The following coordinates (in mm, Bregma anterioposterior (AP), mediolateral (ML) and dorsoventral (DV)) were used for nucleus accumbens, AP 1.0, ML +/- 0.5, DV -4.0; VTA, AP -3.51, ML +/- 0.2, DV -4.2; IPN, AP -3.51, ML -1, DV -4.81 and 12° angle. Viral volumes for injections were 300 nl, delivered at a constant flow rate of 30 nl/min. After injection, the needle was left unmoved for 10 min before being slowly retracted. The incision was then closed and held together with Vetbond. After 3 weeks recovery from virus injection, mice underwent surgery as described above for implantation of optic fibers. Optic fiber (200 µm core diameter; 0.48 N.A., Doric Lenses) was placed targeting the IPN (AP -3.8, ML -1, DV -4.61, 12.5°) and was held in place with adhesive luting cement (C&B metabond, Parkell Inc.) followed by dental cement (Cerebond, PlasticsOne). Mice were allowed to recover for 5 to 7 days in the reverse light/dark cycle room before behavior tests. Injection sites and viral expression were confirmed for all animals by experimenters blinded to behavioral outcome as previously described (Molas et al., 2017b). Animals showing no viral or off-target site viral expression or incorrect optic fiber placement (< 10%) were excluded from analysis.

Fiber photometry and data analysis

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Florescent signals from biosensors were recorded with a Doric Instruments Fiber Photometry System. An LED driver was used to deliver excitation light from LEDs at 465 nm (~8.5 mW output) and at 405 nm (~5 mW output), which was used as an isosbestic wavelength for the indicator (Doric Instruments). The light was reflected into a 200 µm 0.48 N.A. optic fiber patch cord via the Dual Fluorescence Minicube (Doric Instruments). Emissions were detected with a femtowatt photoreceiver (Model 2151, Newport) and were amplified by transimpedance amplification to give an output voltage readout. Sampling (12 kHz) and lock-in demodulation of the fluorescence signals were controlled by Doric Neuroscience Studio software with a decimation factor of 50. A Doric behavior camera was connected to the Doric Neuroscience Studio software using USB 3.0 Vision interface to synchronize the photometry recordings with time-locked behavioral tracking systems. All mice were habituated to the patch cord plugged to the optic fiber implant for 10 min in their home cages prior to the start of the experiment. For social novelty tests, recordings began with the animal in the home cage for 1 min and then was placed by the experimenter to the center of the behavioral apparatus. Behavioral events were tallied from the videos in a blinded fashion and analysis was done using the time-locked photometry recording. Fiber photometry data analysis was performed using custom-written Matlab scripts. A lowpass filter (3Hz) was applied to the demodulated fluorescence signals before the 405 nm channel was scaled to the 465 nm by applying a least mean squares linear regression. Scaled signals were used to calculate the $\Delta F/F_0$ where $\Delta F/F_0 = (465 \text{ nm signal} - \text{fitted } 405 \text{ nm signal})/\text{fitted } 405 \text{ nm signal}$. Z-scores were calculated using as baseline the average $\Delta F/F_0$ values from the -1.0 s prior to the onset of each behavioral event (considered as time zero, t=0). For random sampling, two sets of 10 start timestamps were randomly generated, one set within the first 5 to 149.99s and the other within 150-294.99 s of the 5 min recording trace. For the 20 random timestamps, $\Delta F/F_0$ were extracted from -1 to 3 s and the z-scores of each event estimated using as baseline the -1.0 s prior to the timestamp.

Behavioral assays

- Animals were acclimated to the testing room for 30 min before any experimental assay, and all testing
- was performed under dim red-light conditions.
- 192 Social behavior

- 193 Social behavior experiments were performed in wild-type C57BL/6J mice expressing the dLight1.2
- 194 biosensor in the IPN. Both in male and female mice were used, which interacted with a same-sex
- 195 C57BL/6J juvenile conspecific. Animals were tested in a plexiglass apparatus (42 x 64 x 30 cm) containing
- 196 two plastic grid cylinders (25 cm x 10 cm diameter) located at opposite corners of a rectangular maze.
- 197 Subject mice were first habituated to the apparatus and the empty cylinders for a 5-min period. Following
- habituation, a juvenile unfamiliar C57BL/6J conspecific (4-7 weeks of age) was placed inside one of the
- 199 two cylinders (counterbalanced), reducing social investigations led by the subject animals. The subject
- 200 mouse was then positioned in the central zone and allowed to freely explore the social and non-social
- 201 cylinders for 5 min. This testing phase was repeated 24h, on day 2, using the same juvenile conspecific
- 202 located in the same compartment which became familiar. The apparatus and cylinders were cleaned with
- 203 Micro-90 solution (International Products Corporation) to eliminate olfactory traces after each session.
- 204 All sessions were video recorded and synchronized to activity dynamics. Exploration of the social and
- 205 non-social cylinders in videos of the trials were labeled frame by frame by experimenters blind to group
- 206 conditions. Onset of each behavioral exploratory event (considered as t=0) was defined whenever the
- 207 subject mouse directed its nose towards the cylinders at a distance < 2 cm and initiated a sniffing
- 208 investigation. Sitting or resting next to the cylinder or objects was not considered exploration.
- 209 Elevated plus maze
- The elevated plus maze (EPM) apparatus consisted of a central junction (5×5 cm), four arms elevated 45
- 211 cm above the floor with each arm positioned at 90° relative to the adjacent arms. Two closed-arms were
- enclosed by high walls ($30 \times 5 \times 15$ cm) and the open-arms were exposed ($30 \times 5 \times 0.25$ cm). A 60W red

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fluorescent light was positioned 100 cm above the maze and was used as illumination source. Both male and female C57BL/6J mice expressing the DA biosensor dLight1.2 in the IPN were used. The optic fiber implant was connected to the recording patch cord, and then mice were placed on the junction part of the maze facing one of the open arms. All mice were given 5 min of free exploration while their behavior was video-recorded and synchronized to the dLight1.2 signals via the Doric instruments fiber photometry system, as described above.

Immunostaining and microscopy

Mice were euthanized by i.p injection of sodium pentobarbital (200 mg/kg) and transcardially perfused with ice cold 0.1 M phosphate buffer saline (PBS, pH 7.4) followed by 10 ml of cold 4% (W/V) paraformaldehyde (PFA) in 0.1 M PBS. Brains were post-fixed in 4% PFA for 2 h and then submerged in 30% sucrose. Brains were sliced to coronal sections (25 µm) by using a freezing microtome (HM430, Thermo Fisher Scientific). For virus expression and fiber implants verification, after washes in 0.1 M PBS, sections were mounted, air-dried and coverslipped with Vectashield mounting medium (Vector Laboratories). Slices were imaged using a fluorescence microscope (Zeiss, Carl Zeiss MicroImmagine, Inc.) connected to computer-associated image analyzer software (Axiovision Rel., 4.6.1). For immunohistochemical staining, brain sections were permeabilized with 0.2% Triton X-100 in 0.1 M PBS for 5 min, blocked with 2% BSA in 0.1 M PBS for 30 min and then incubated overnight with the corresponding primary antibodies in 2% BSA at 4°C. Primary antibodies used: mouse anti-TH 1:500 https://www.emdmillipore.com/US/en/product/Anti-Tyrosine-Hydroxylase-(Millipore, MAB318, Antibody-clone-LNC1,MM NF-MAB318), guinea pig anti-synaptophysin 1:300 (alomone labs, AGP-144, https://www.alomone.com/p/guinea-pig-anti-synaptophysin-antibody/ANR-013-GP). Slices were subsequently washed in 0.1 M PBS, blocked with 2% donkey (or goat) serum (Sigma) for 30 min and then incubated in secondary antibodies for 1 h (1:800; Life Technologies; donkey anti-mouse 647 (A31571), goat anti-guinea pig 594 (A11076)). After washes in 0.1 M PBS, sections were mounted, air-dried and

coverslipped with Vectashield medium with DAPI (Vector Laboratories). Images were obtained by a Zeiss LSM 700 confocal microscope at 10x or at 10x with a 1.5 zoom. Images were analyzed using ImageJ Fiji to create a zoomed-in inset (the red line square on the images, with a 1.5 or 2 zoom factor). The ImageJ JAcoP method was used for co-localization analysis between VTA^{DA}→IPN fibers expressing AxonGCaMP and synaptophysin staining. Briefly, each image threshold was set automatically for analysis before Manders' coefficient was applied to obtain the fraction of synaptophysin (red) overlapping with VTA^{DA}→IPN terminals (green) and vice versa. For quantification of fluorescently labeled axons from VTADA neurons innervating the IPN, the Digital Enhancement of Fibers with Noise Elimination (DEFiNE) method (Powell 2019), available for download was applied et al., https://figshare.com/s/1be5a1e77c4d4431769a. Axons were quantified in confocal images that were not processed through the clean images function, but each input image was a single-channel maximum intensity projection. Quantification was performed in ROIs (0.3 mm x 0.4 mm) randomly allocated within the anterior (Bregma -3.4mm) and posterior (Bregma -3.8mm) IPN.

Statistical analysis

Statistical analyses for fiber photometry were done using parametric tests on z-scored data after testing for normality. One-way or two-way repeated measures (RM) ANOVA with Dunnett's multiple comparisons tests or Bonferroni post-hoc tests were conducted for the analyses involving the comparison of group means as indicated. Z-scores are presented as mean \pm SEM of all events for transitions between open (included junction) and closed arms and for social approach behaviors. Comparisons of z-scores were made using the calculated average for each animal. All analyses were performed using Prism 9 (Graphpad, San Diego, CA). Statistical significance was accepted at P < 0.05.

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Code Accessibility

- 260 The code used for fiber photometry data analysis is freely available on GitHub
- 261 (https://github.com/TapperLab/TapperLab)
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Results

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We used a genetic strategy to target putative DA neuron subtypes and rigorously investigate DAergic projections from the VTA to the neighboring IPN. To this aim, we specifically selected a knock-in genetic mouse line that expresses Cre recombinase under the transcriptional control of the endogenous dopamine transporter (DAT) promoter. In this mouse line, Cre recombinase expression is driven from the 3' untranslated region (3' UTR) of the endogenous DAT gene by means of an internal ribosome entry sequence (IRES), to reduce interference with DAT function (Bäckman et al., 2006). Some neurons in the IPN express tyrosine hydroxylase (Th) mRNA, which can lead to recombination in Th-IRES-Cre mice, although these neurons have low/undetectable TH protein in the adult brain (Poulin et al., 2018). These Th⁺ IPN neurons are not related to midbrain DA neurons, as they are not derived from the midbrain floor plane, and they lack the expression of typical DAergic neuronal markers such as DAT, NURR1, FOXA2 or PITX3 (Poulin et al., 2018), thereby using DAT-Cre mice restricts and minimizes expression to midbrain DA neurons. Previous work expressed a Cre-dependent virus in the VTA of DAT-Cre animals and detected neuronal projections innervating mainly the caudal part of the IPN (cIPN) (DeGroot et al., 2020; Molas et al., 2017b). To verify that VTA^{DA}→IPN projections are indeed axonal terminals and not simply DA dendritic elements extending into the IPN, we injected AAVs containing the hSyn.Flex.mGFP.2A.synaptophysin.mRuby construct into the VTA of DAT-Cre mice (Fig. 1A). Following Cre recombination, synaptophysin fused to the mRuby red fluorophore is selectively transported into the axonal compartments of the transfected neurons (Fig. 1A)(S. Zhang et al., 2016). TH immunostaining demonstrated efficient recombination restricted to DA neurons in the midbrain (Fig. 1B). Furthermore, via circuit-mapping, abundant axon terminals were detected in the nucleus accumbens (NAc) region, the principal output target of VTA^{DA} neurons (Fig. 1C). These VTA^{DA}→NAc axon terminals intensely expressed synaptophysin-mRuby fused protein (Fig. 1C), altogether validating the viral-mediated genetic strategy. To delineate the VTA^{DA} >IPN circuit, we used a group of 6 mice, with

comparable results. All injected animals reliably exhibited VTADA synaptophysin-mRuby axon terminals 287 innervating the IPR region of the cIPN (Fig. 1 D). Additional VTADA axonal varicosities were also 288 detected targeting the cIPN IPDM/IPDL subregion (Fig. 1D), consistent with previous data (DeGroot et 289 290 al., 2020; Molas et al., 2017b). 291 To reassure that VTA DA neurons send neuronal projections innervating the neighboring IPN and that 292 these are active presynaptic axons, DAT-Cre mice received an injection of Cre-dependent AxonGCaMP 293 in the VTA expressed via AAV5-mediated gene delivery (Fig. 2A). This genetically encoded calcium 294 indicator is uniformly enriched in axons, allowing for structure-specific labeling of presynaptic terminals (Broussard et al., 2018). Similarly, as described above, presynaptic terminals from VTADA neuronal inputs 295 296 were observed in the IPR and IPDM regions of the cIPN (Fig. 2B-D). Moreover, immunostaining against synaptophysin protein revealed robust co-localization between the GFP+ (AxonGCaMP) and 297 synaptophysin (Fig. 2B-D), confirming active presynaptic structures. 298 Distinct VTADA projection populations regulate reward associations and motivation via specific NAc 299 inputs (Heymann et al., 2020). To elucidate the projection-specificity of VTADA that innervate the cIPN. 300 DAT-Cre mice received a co-injection of AAV2-hsyn-mCherry (localization marker) together with 301 302 AAVrg-hsyn-DIO-eGFP into the NAc region (Fig. 3A). Imaging of the target injection site confirmed 303 viral-mediated gene delivery restricted mainly in the shell area of the NAc (Fig. 3B). In addition, to verify 304 the retro-labeled neurons detected in the VTA were positive for DAergic markers, brain slices of the 305 injected animals were immuno-stained against TH protein. All the experimental animals (n = 6 mice) 306 exhibited abundant terminal projections from retro-labeled VTA NAc projecting neurons that innervated the IPR region of the cIPN (Fig. 3C). The cell bodies from VTA→IPN projecting neurons mostly localized 307 308 in the paranigral (PN) area of the VTA (Fig. 3C) and were indeed DAergic, as shown by co-localization 309 with TH staining (Fig. 3C). For visualization enhancement and quantification of the fluorescently labeled axons we used the DEFiNE method. Axonal fibers innervating the IPN from retro-labeled VTA^{DA}→NAc 310

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projecting neurons were highly enriched in posterior regions of the IPN (cIPN) as compared to anterior IPN Bregma (Fig. 4A-B). Similarly, quantification of axonal fibers originating from direct infusion of the synaptophysin-mRuby construct in VTADA neurons revealed increased axon terminals at more posterior IPN Bregma compared to anterior (Fig.4C-D). Noticeably, in anterior IPN Bregma, the number of axonal fibers was higher when VTADA neurons were directly transfected with the synaptophysin-mRuby construct as opposed to retro-labeled VTA^{DA}→NAc projecting neurons (Fig. 4E). In contrast, these two viral-mediated VTA^{DA} neuron labeling strategies resulted in similar number of axonal fibers at posterior IPN Bregma (Fig. 4F). Previous work suggested that the VTADA TPN circuit is engaged during anxiety-like behaviors (DeGroot et al., 2020) and when mice encounter unfamiliar conspecifics (Molas et al., 2017b). Although DA signals have been detected in acute mouse IPN slices (DeGroot et al., 2020), the real-time dynamics of in vivo IPN DAergic neurotransmission have never been reported. To this aim, here we recorded IPN DA dynamics in freely behaving mice using the genetically encoded DA sensor dLight1.2 (Patriarchi et al., 2018). Fluctuations in IPN DA signals were recorded during the 3-chamber sociability task, when mice encountered a new juvenile conspecific (Fig. 5A and Methods). On the following day, subject mice were presented to the same juvenile conspecific in the same location, which became familiar (Fig. 5A and Methods). To this aim, we virally expressed dLight1.2 in the IPN of C57BL/6J mice, enabling ultrafast optical DA recordings, and three weeks post-viral transduction we implanted an optic fiber targeting the injection site (Fig. 5B). IPN DA dynamics were time-locked to when animals approached and initiated a sniffing investigation of conspecific stimuli (Fig. 5C). Demodulated fluorescence signals were obtained from the 465 and 405 nm channels in a 5 min trial (Fig. 5D). The 405 nm channel was scaled to the 465 nm by applying a least mean squares linear regression (Fig. 5E). Scaled signals were used to calculate the $\Delta F/F_0$ where $\Delta F/F_0 = (465 \text{ nm signal} - \text{fitted } 405 \text{ nm signal})/\text{fitted } 405 \text{ nm signal (Fig. 5F)}$. On day 1 of

the sociability test, sniffing investigation of a novel conspecific significantly increased the release of DA

in the IPN (Fig. 5G-I). However, IPN DA signals rapidly habituated on the next session, as the conspecific
became familiar (Fig. 5J-L). Random sampling IPN DA signals without being time-locked to social
sniffing investigations did not result in apparent changes in activity neither when mice interacted with a
novel conspecific (Fig. 5M-O) nor when this became familiar (Fig. 5P-R).
To further investigate IPN DA signals trigger by additional behaviors, we recorded IPN DA dynamics
in mice tested in the elevated plus maze (EPM)(Fig. 6A), a well-established paradigm to measure anxiety-
like behaviors in rodents (Walf and Frye, 2007). As mice investigated the open arms of the EPM, the
release of DA in the IPN significantly increased (Fig. 6B-E). Conversely, the transition from the open to
the closed EPM compartments led to reductions in IPN DA signals (Fig. 6B, F-H). Time-locked IPN DA
signals when mice entered the open arms were higher as compared to when entering the closed arms of
the EPM or to non-time locked random sampling signals (Fig. 6I-K). All the recorded animals were
verified for correct viral expression and fiber placement within the cIPN (Fig. 7)

Discussion

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DA dysfunction has been implicated in numerous brain diseases, including addiction, depression, schizophrenia, Parkinson's disease, and anxiety disorders (Horga and Abi-Dargham, 2019; Nestler and Lüscher, 2019; Ruitenberg et al., 2021; Taylor et al., 2021; Zalachoras et al., 2022). A comprehensive understanding of the circuit architecture and the functional mapping of DA neurons is imperative to gain insights into inherent regulation of DA neurotransmission in health and disease. The present study confirms the existence of a mesointerpeduncular pathway that connects the VTA with the IPN, thereby modulating behavioral states with implications in overall midbrain DA circuitry function. Viral mediated circuit tracing replicated previous findings (DeGroot et al., 2020; Molas et al., 2017b; Zhao-Shea et al., 2015), validating anatomical connections between VTA DAergic neurons and the IPN. The current work used the DAT-Cre knock-in mouse line, in which Cre mimics the expression pattern of the plasma membrane dopamine transporter (Bäckman et al., 2006; Lammel et al., 2015) and therefore, demonstrates higher specificity targeting putative midbrain DA neurons (Poulin et al., 2018). VTA DA axons preferentially innervated the IPR region of the cIPN, as previously reported. These axon terminals were detected in most injected animals across multiple experimental cohorts and appeared to be more obvious in those mice where viral expression extended to the PN region of the VTA. In addition, synaptically targeted markers localized in terminal projections from the VTA^{DA} IPN circuit, whereby protein immunostaining revealed active presynaptic terminals rather than passing fibers. Interestingly, the cIPN is highly enriched in neurons expressing the D1 receptor (Molas et al., 2017b), but also in serotonergic cell bodies (Groenewegen et al., 1986). Serotonergic IPN neurons innervate the ventral hippocampus (vHipp) to mediate active stress coping and natural reward (Sherafat et al., 2020). Considering that cIPN neurons can amplify VTA^{DA} signals through a microcircuit that spans to additional IPN subregions (DeGroot et al., 2020), if some of these cIPN neurons comprise the serotonergic

IPN→vHipp pathway, then the VTA^{DA} signal would amplify to more distant regions to control motivational and affective behaviors.

Anatomical and functional connectivity of midbrain DA neurons has been broadly investigated across animal species (Morales and Margolis, 2017; Swanson, 1982). Numerous studies identified the source of synaptic input to DA neurons (Beier et al., 2015; Lammel et al., 2012; Watabe-Uchida et al., 2012), as well as output targets (Heymann et al., 2020; Lammel et al., 2011, 2008; Poulin et al., 2018). While consistent data indicate the NAc is the major target of VTA DA neurons, additional structures such as the amygdala, cortex, hippocampus, ventral pallidum, septum, periaqueductal grey, bed nucleus of stria terminalis, olfactory tubercle and locus coeruleus, among others, also receive DAergic inputs from the VTA. Noticeably, most of the circuit tracing studies traditionally focus on those regions with highest abundance of DA terminal projections, neglecting target specific sites that receive sparse DAergic inputs. For instance, VTA neurons send local, topographically organized axonal connections that innervate the VTA itself (Adell and Artigas, 2004; Aransay et al., 2015; Ferreira et al., 2008), which overall have received less attention. Of note, Aransay et al, also reported VTA innervation to the IPN, although less frequent (Aransay et al., 2015), nevertheless supporting a direct anatomical link between the VTA and IPN.

The anatomical location of DA neuron synaptic output can be a critical factor determining its intrinsic properties and behavioral outcomes (de Jong et al., 2019; Lammel et al., 2011). Our data show that a subpopulation of NAc shell-projecting VTA DA neurons in the PN region may preferentially project into the IPN to innervate cIPN, as reported previously (DeGroot et al., 2020). Emerging evidence suggest that subpopulations of VTA DAergic neurons can innervate more than one brain structure (Aransay et al., 2015). Specifically, medial shell NAc-projecting DA neurons send significant collaterals outside the striatum, including the septum and ventral pallidum, indicating that this DA subpopulation is capable of simultaneously influencing neural activity in multiple brain regions (Beier et al., 2015). Since the same

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DA neurons presumably innervate the IPN, the data together position the IPN as an integral member within specific VTA DAergic sub-circuitries. Our photometry results demonstrate that innate DA signals in the IPN are triggered with motivated exploration, when mice investigate novel conspecific individuals and when they explore the anxiogenic arms of the EPM. These results affirm that social interactions bear rewarding aspects and recruit neural circuits of motivation (Chevallier et al., 2012), including DAergic systems (Bariselli et al., 2018; Gunaydin et al., 2014; Hung et al., 2017; Solié et al., 2021). Given the NAc shell represents a storage site for social memories (Okuyama et al., 2016), one possibility could be that innate IPN signals contribute to social novelty and familiarity responses, supporting previous findings (Molas et al., 2017b). On the other hand, NAc shell-projecting VTA DA neurons are recruited by aversive stimuli and cues that predict them (de Jong et al., 2019). Increased IPN DA signals with the exploration of anxiogenic environments would result from activation of a neural network that strengthens responses to aversive stimuli to modulate anxiety-like behavior. A recent study excluded the existence of an anatomic connection from the VTA to the IPN (Nasirova et al., 2021). One possible explanation for the discrepancy in the results may be that most of the viralmediated circuit tracing in the study of Nasirova et al., was done in a Cre mouse line that only targets IPN neurons expressing the α5 nAChR subunit. Although neurons in the IPN are highly enriched in α5*nAChRs (Ables et al., 2017), some subpopulations do not express the α5-encoding gene. Thus, limiting IPN circuit tracing to an α5-expressing neuronal subtype does not accurately reflect total IPN connectivity. In addition, for the viral-mediated retrograde tracing analysis, the authors selected IPN brain slices with a maximum IPN caudal bregma coordinate of -3.6 mm according to the Paxinos atlas (Paxinos and Franklin, 2001) (Nasirova et al., 2021). As mentioned above, VTA DA neurons that project to the IPN localize more caudal, at coordinates -3.63 to -4.03 mm from bregma, which were likely missed in the analysis.

Noticeably, previous work using rabies tracing from overall IPN neurons did detect sparse cell bodies

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localized in caudal VTA (Lima et al., 2017). Nasirova et al., utilized the Allen Connectivity Atlas to reinforce their negative data. However, the few Allen examples performed in the Slc6a3-Cre (DAT-Cre) line lack viral expression transfecting caudal VTA PN neurons, thereby precluding the detection of any putative VTADA innervation to the IPN, Additionally, Nasirova et al. included examples of VTA Cremediated anterograde tracing in DAT-Cre mice, but, for this experiment, the authors used a non-validated Cre-dependent synaptically-targeted GFP marker, which presented strong labelling of cell bodies in the medial mamillary nucleus and also the IPN itself (Nasirova et al., 2021), two brain regions lacking DA neurons, thus raising questions regarding the specificity of the virus and therefore the validity of the results. Surprisingly, the paper of Nasirova et al (2021) failed to cite, consider, or discuss DeGroot et al (2020), which used a multidisciplinary approach and specifically demonstrated: 1) DA detection in IPN slices using a genetically encoded DA sensor, 2) optogenetic activation of VTA DA IPN inputs elicits a post-synaptic response that is blocked by a D1 receptor antagonist, 3) retrograde Cre-dependent AAVeGFP injection into the medial nucleus accumbens shell labels VTA neurons that clearly project into the IPN of DAT-Cre mice (a result that was repeated here with the addition of TH staining to label DAergic neurons), and 4) optogenetic activation or silencing the DAergic IPN input decreases and increases anxiety-like behavior, respectively. In summary, the present study was able to confirm the existence of a mesointerpeduncular pathway that connects the VTA with the IPN, replicating previous findings (Aransay et al., 2015; DeGroot et al., 2020; Molas et al., 2017b; Zhao-Shea et al., 2015). These results may significantly influence the prevailing models of intrinsic midbrain DA circuitry as well as of IPN function. Considering that VTA DAergic neurons also send projections to the mHb (Beier et al., 2015; Phillipson and Pycock, 1982), the data together suggests a complex direct dopaminergic modulation of the habenulointerpeduncular tract that may have strong impact on reward-related, aversive/affective motivated behaviors. Finally, beyond the VTA-IPN axis, and bearing in mind that activation of small subsets of neuronal ensembles can lead to selective widespread activation of neural networks with concomitant behavioral outcome (Dalgleish et al., 2020; Marshel et al., 2019), the present work emphasizes the need of investigating sparse, functionally relevant neglected circuits that may serve as signal amplification to computationally process motivational information.

Figure Legends

Fig 1. VTA DA neurons send axonal projections to the IPN

A, Schematics depicting Cre-dependent recombination of the construct pAAV-hsyn-Flex-mGFP-2A-synaptophysin-mRuby in DAT-Cre mice and the viral injection strategy used. Dendritic arbors from a Cre⁺ transfected neuron display exclusive mGFP green fluorescence, whereas mRuby red fluorescence predominantly localizes in axon terminals. **B**, *Top*, representative image of viral injection in the VTA of DAT-Cre mice, showing mGFP (green) and mRuby (red) expression in DA neurons immunolabeled with TH staining (magenta). Nuclei are counterstained with DAPI (blue). *Bottom*, magnified view of the inset region from the top image. White arrows show mGFP in dendritic arborizations and mRuby in axonal projections from VTA^{DA} transfected neurons (Scale bars 100 μm). **C**, Representative image showing mGFP and mRuby expression in efferents innervating the NAc from VTA^{DA} transfected neurons. (Scale bars 100 μm). **D**, Illustrative drawing of the different interpeduncular (IP) subnuclei: apical (IPA), central (IPC), dorsolateral (IPDL), dorsomedial (IPDM), intermediate (IPI), lateral (IPL) and rostral (IPR). IF, interfascicular nucleus; ml, medial lemniscus; PN, paranigral nucleus; VTA, ventral tegmental area. All cases # 1 to 6 (3 males, 3 females) show virally transfected neurons in the VTA co-labeled with TH staining (scale bars 100 μm). Inset magnified views (red squares, 2x zoom in) demonstrate VTA^{DA} axon terminals (mRuby+) innervating the IPR and also the IPDM/IPDL regions.

463 Fig 2. DAergic projections from the VTA to the IPN are presynaptic terminals.

A, Schematic of viral-injection strategy in the VTA of DAT-Cre mice. **B**, Example of image showing eGFP (AxonGCaMP) co-labeled with synaptophysin staining (red) in IPN. White arrows indicate presynaptic puncta co-localization. *Inset*, magnified view of co-localization between eGFP and the synaptophysin marker (scale bar 100 μm). **C**, Quantification of the co-localization coefficient between eGFP and synaptophysin staining from single plane confocal images containing the cIPN (n = 6 mice, 4 males, 2 females). **D**, *Top*, AxonGCaMP expression in the VTA of DAT-Cre mice (eGFP, green), synaptophysin immunostaining (red) and co-localization of the two channels (merge) in brain slices containing the cIPN (scale bar 100 μm); *Bottom*, enlarged view of the IPR region from the top images (gray square). White arrows denote VTA^{DA} eGFP+ presynaptic projections in the IPR co-localized with synaptophysin puncta (scale bar 100 μm).

Fig 3. VTADA neurons from the PN send projections to the IPN.

A, Schematic of viral strategy used. DAT-Cre mice were injected with a viral mixture of AAV-hSyn-DIO-eGFP (retrograde) and AAV2-hSyn-mCherry (location marker) (1:1) into the NAc. **B**, Representative image showing the virus injection site targeting the NAc shell area (AcbSh) (scale bar 100 μm). **C**, Example of injected animals, cases # 1 to 6 (4 males, 2 females), all showing retro-labelled eGFP+ neurons in the VTA co-labeled with TH staining. For each case: *top*, TH immunostaining (magenta), retro-labelled eGFP+ neurons from the NAc (green) and overlay of the two channels (merge) in brain slices containing the cIPN (scale bar 100 μm). *Insets* in the *right* represent a magnified view enclosing the PN and IPR in the merged channel (red square, 2x zoom in); *bottom*, enlarged view of the PN and IPR region from the top images with a *right inset* image of the merge channel demonstrating AcbSh-projecting neurons in the PN region are DAergic (TH+) and also send efferents to the IPR in the cIPN (red square, 2x zoom in) (scale bar 100 μm).

Fig 4. DEFiNE quantification of fluorescently labeled axons from VTADA neurons to the IPN.

A, Viral injection schematics (*left panel*) and representative images of axonal fibers innervating the IPN from retro-labelled eGFP+ AcbSh-projecting VTA^{DA} neurons after DEFiNE processing at anterior (-3.40 mm) and more posterior (-3.80 mm) IPN Bregma (*right panel*). **B,** DEFiNE quantification of the retro-labelled AcbSh-VTA^{DA} axonal fibers innervating the anterior and posterior IPN represented as total pixel count (n = 6 mice, Unpaired two-tailed t-test ($t(t_{10}) = 4.546$, p = 0.0011)). **C,** Schematic of pAAV-hsyn-Flex-mGFP-2A-synaptophysin-mRuby viral strategy used in DAT-Cre mice for labelling VTA^{DA} neurons (*left panel*) with representative images of their axonal fibers innervating the IPN after DEFiNE processing at anterior (-3.40 mm) and more posterior (-3.80 mm) Bregma (*right panel*). **D,** DEFiNE quantification of the VTA^{DA} axonal fibers innervating the anterior and posterior IPN represented as total pixel count (n = 6 mice, Unpaired two-tailed t-test ($t(t_{10}) = 3.438$, p = 0.0064)). **E,** Comparison of axonal fibers in the anterior IPN (Bregma -3.40 mm) quantified with the DEFiNE method when VTA DA neurons are directly transfected with the pAAV-hsyn-Flex-mGFP-2A-synaptophysin-mRuby construct vs retro-labelled eGFP+ AcbSh-projecting VTA^{DA} neurons (Unpaired two-tailed t-test ($t(t_{10}) = 3.114$, p = 0.011)). **F,** Same comparison as (E) at IPN Bregma -3.80 mm (Unpaired two-tailed t-test ($t(t_{10}) = 0.184$, p = 0.8577)).

Fig 5. Novel social encounters trigger IPN DA signals

A, Schematic of the experimental approach used to measure IPN DA activity during interactions with novel and familiar social stimuli. Subject mice were exposed to the same juvenile C57BL/6 conspecific on day 1 (novel) and 2 (familiar) while IPN DA signals were recorded using the dLight biosensor. B, Schematic of AAV-dLight viral injection strategy in the IPN of C57BL/6 mice (left panel) and representative pictograph of DA sensor dLight1.2 (green) expression with optic probe location targeting the cIPN (right panel) (scale bar 100 μm). C, Illustration of a social sniffing investigation. D, Example of raw signals (Volts) corresponding to the 465 and 405 nm channels recording during a 5 min interaction with a new social stimulus. E, The 405 nm channel is scaled to the 465 nm by applying a least mean

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squares linear regression. F, Scaled signals are used to calculate the $\Delta F/F_0$ where $\Delta F/F_0 = (465 \text{ nm signal})$ - fitted 405 nm signal)/fitted 405 nm signal. G, ΔF/F₀ values time-locked to IPN DA signals relative to the initiation of a social sniffing investigation (red line) on Day 1, when mice interact with a novel conspecific. H, Heatmap representations (top) and z-score values (bottom) of the time-locked IPN DA signals relative to social novelty explorations. I, average z-score per second compared to the baseline signal from 1s prior to the onset of each social sniffing event (pre-onset, gray). Statistical comparisons were made using an average z-score per animal (n = 10mice, 6 males, 4 females). Significant increases in IPN DA activity were observed 2~3 s post-onset of novel social sniffing investigations. One-way repeated measures (RM) ANOVA ($F_{(3,39)}$ =21.80, P<0.0001). Dunnett's multiple comparisons test ** p<0.01, *** p < 0.001. J, $\Delta F/F_0$ values time-locked to IPN DA signals relative to the time initiating a social sniffing investigation (red line) on Day 2, when mice interact with a familiar conspecific. K, Heatmap representations (top), z-score values (bottom) of time-locked IPN DA signals relative to familiar social explorations. L, Average z-score per second compared to the 1s baseline signal demonstrate no significant change during familiar social sniffing investigations. One-way RM ANOVA ($F_{(3,39)}$ =0.7103, P=0.517). **M**, Example of IPN DA $\Delta F/F_0$ values time-locked to novel social investigations as compared to $\Delta F/F_0$ values obtained with random sampling across the 5 min recording session. N, Z-score values of (M). O, Mean z-score values of the baseline and the 3 s novel social investigation event for the true signal as compared to random sampling signal. Two-way RM ANOVA, significant time x z-score interaction $F_{(1,29)}=19.13$, p=0.0001, Bonferroni post-hoc, ****p<0.0001. P, Example of IPN DA $\Delta F/F_0$ values timelocked to familiar social investigations as compared to $\Delta F/F_0$ values obtained with random sampling across the 5 min recording session. Q, Z-score values of (P). R, Mean z-score values of the baseline and the 3 s familiar social investigation event for the true signal as compared to random sampling signal. All data represent mean \pm SEM.

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Fig 6. IPN DA signals are engaged with exploration of anxiogenic environments

A, Schematic depicting fiber photometry recordings of IPN DA signals using the dLight1.2 biosensor in the EPM test. B, Representative trace of IPN dLight1.2. fluorescence signals (dF/F0) when mice explored the open arms (green) versus the closed arms (red) of the EPM. C, ΔF/F₀ values time-locked to IPN DA signals relative to the transition from the closed to the open arms of the EPM. **D**, Heatmap representations (top) and z-score values (bottom) of time-locked IPN DA signals relative to the transition from the closed to open arms of the EPM (gray line). E, Average z-score per second compared to the baseline signal from 1s prior to the exploration of the open arms. Statistical comparisons were made using an average z-score per animal (n = 17 mice, 9 males, 8 females) that was calculated from all events. Significant increase in IPN DA activity was observed 1~3s post-onset of open arm investigations. One-way RM ANOVA $(F_{(3.67)}=18.15, P<0.0001)$. Dunnett's multiple comparisons test ** p<0.01, *** p<0.001. F, $\Delta F/F_0$ values time-locked to IPN DA signals relative to the transition from the open to the closed arms of the EPM. G, Heatmap representations (top) and z-score values (bottom) of time-locked IPN DA signals relative to the transition from the open to the closed arms of the EPM (gray line). H, Average z-score per second compared to the baseline signal from 1s prior to the exploration of the closed arms. Significant decrease in IPN DA activity was observed 1~3s post-onset of closed arm investigations. One-way RM ANOVA $(F_{(3.67)}=7.617, P=0.0042)$ Dunnett's multiple comparisons test * p<0.05, *** p<0.001. I, Example of IPN DA $\Delta F/F_0$ values time-locked to the transition to the open or closed arms of the EPM as compared to $\Delta F/F_0$ values obtained with random sampling across the 5 min recording session. J, Z-score values of (I). K, Mean z-score values of the baseline and the 3 s open and closed EPM arm exploratory event for the true signal as compared to random sampling signal. Two-way RM ANOVA, significant time x z-score interaction $F_{(2,36)}$ =4.14, p=0.024, p=0.0001, Bonferroni post-hoc, **p<0.001, ***p<0.001. All data represent mean \pm SEM.

556 Fig 7. Distribution of fiber placement within the cIPN

- 557 Schematics and representative images of dLight1.2 biosensor expression in the IPN of C57BL/6 mice with
- examples of fiber placements distributed along the cIPN (Bregma -3.51 to -4.04 mm). Scale bar $100 \mu m$.

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Figure	Data structure	Type of test	value	Significance	95% confidence
4B	normal distribution	unpaired two-tailed t-test	t(10) =4.546	p=0.0011	1005 to 2937
4D	normal distribution	unpaired two-tailed t-test	t(10) =3.438	p=0.0064	472.4 to 2213
4E	normal distribution	unpaired two-tailed t-test	t(10) =3.114	p=0.011	88.7 to 535
1F	normal distribution	unpaired two-tailed t-test	t(10) =0.184	p=0.8577	-1530 to 1297
51	normal distribution	One-way repeated measures(RM) ANOVA	F(3,39)=21.8	p<0.0001	
		Dunnett's multiple comparisons test		-1-0 s vs. 1-2s p<0.001	-0.467 to -0.225
				-1-0 s vs. 2-3s p<0.01	-0.7073 to -0.2226
5L	normal distribution	One-way repeated measures(RM) ANOVA	F(3,39)=0.7103	p=0.517	
50	normal distribution	two-way repeated measures(RM) ANOVA	interaction F(1,29)=19.13	p=0.0001	-0.6159 to -0.1622
		Bonferroni multiple comparisons test		event signal vs.random p<0.0001	
5R	normal distribution	two-way repeated measures(RM) ANOVA	interaction F(1,26)=1.423	p=0.2436	
6E	normal distribution	One-way repeated measures(RM) ANOVA	F(3,67)=18.15	p<0.0001	
		Dunnett's multiple comparisons test		-1-0 vs. 0-1 p=0.0007	-0.4559 to -0.1324
				-1-0 vs. 1-2 p=0.0042	-0.6817 to -0.1301
				-1-0 vs. 2-3 p=0.0001	-0.958 to -0.3466
5H	normal distribution	One-way repeated measures(RM) ANOVA	F(3,67)=7.617	p=0.0042	
		Dunnett's multiple comparisons test		-1-0 vs. 0-1 p=0.0005	0.1783 to 0.5891
				-1-0 vs. 1-2 p=0.0152	0.0677 to 0.6580
				-1-0 vs. 2-3 p=0.032	0.029 to 0.6929
5K	normal distribution	two-way repeated measures(RM) ANOVA	interaction F(2,36)=4.136	p=0.0242	-0.54 to 0.2074
		Bonferroni multiple comparisons test		signal open vs. close p=0.0008	
				signal open vs. random p=0.0047	













