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## Adult-born neurons in the hippocampus are essential for social memory maintenance

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5  
6   **Authors and affiliations:** Elise C Cope<sup>1</sup>, Renée C Waters<sup>1</sup>, Emma J Diethorn<sup>1</sup>, Kristen A  
7   Pagliai<sup>1</sup>, Carla G Dias<sup>1</sup>, Mumeko Tsuda<sup>2</sup>, Heather A Cameron<sup>2</sup>, Elizabeth Gould<sup>1\*</sup>

8  
9   <sup>1</sup>Princeton Neuroscience Institute and Department of Psychology, Princeton University,  
10   Princeton, NJ 08544 and <sup>2</sup>National Institute of Mental Health, National Institutes of Health,  
11   Bethesda, Maryland 20892

12  
13   **Author contributions:** E.C.C., M.T., H.A.C. and E.G. designed research; E.C.C, R.C.W, M.T.,  
14   H.A.C. and E.G wrote the paper; E.C.C., R.C.W., E. J. D., K.A.P., and C.G.D. performed  
15   research. E.C.C. and R.C.W analyzed data.

16  
17   Correspondence should be addressed to Elizabeth Gould, Princeton Neuroscience Institute and  
18   Department of Psychology, Princeton University, Princeton, NJ 08540. E-mail:  
19   gould@princeton.edu.

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44 **Abstract**

45 Throughout adulthood, the dentate gyrus continues to produce new granule cells, which  
46 integrate into the hippocampal circuitry. New neurons have been linked to several known  
47 functions of the hippocampus, including learning and memory, anxiety and stress regulation,  
48 and social behavior. We explored whether transgenic reduction of adult-born neurons in mice  
49 would impair social memory and the formation of social dominance hierarchies. We utilized a  
50 conditional transgenic mouse strain (TK mice) that selectively reduces adult neurogenesis by  
51 treatment with the antiviral drug valganciclovir (VGCV). TK mice treated with VGCV were unable  
52 to recognize conspecifics as familiar 24 hr after initial exposure. We then explored whether  
53 reducing new neurons completely impaired their ability to acquire or retrieve a social memory  
54 and found that TK mice treated with VGCV were able to perform at control levels when the time  
55 between exposure (acquisition) and re-exposure (retrieval) was brief. We then explored whether  
56 adult-born neurons are involved in dominance hierarchy formation by analyzing their home cage  
57 behavior as well as their performance in the tube test, a social hierarchy test, and did not find  
58 any consistent alterations in behavior between control and TK mice treated with VGCV. These  
59 data suggest that adult neurogenesis is essential for social memory maintenance, but not for  
60 acquisition nor retrieval over a short time frame, with no effect on social dominance hierarchy.  
61 Future work is needed to explore whether the influence of new neurons on social memory is  
62 mediated through connections with the CA2, an area involved in social recognition.

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67 **Significance Statement**

68 Adult hippocampal neurogenesis has been implicated in behaviors linked to the hippocampus,  
69 including social behavior. We utilized a conditional transgenic mouse line to reduce adult-born  
70 neurons and explored social memory and social dominance hierarchy formation. We found that  
71 mice with reduced numbers of new neurons were unable to recognize conspecifics as familiar  
72 with a long delay after initial exposure but were able to recognize them as familiar with a short  
73 delay. We did not observe changes in social dominance as measured by home cage behavior  
74 or tube test performance in mice with reduced numbers of new neurons. These data confirm  
75 and extend previous reports to show that adult-born neurons are essential for maintenance but  
76 not for acquisition or short-term retrieval of social memories, nor for social dominance.

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87 **Introduction**

88 New granule cells are continuously added to the rodent hippocampus throughout life  
89 (Jessberger and Gage, 2014; Kuhn et al., 2018). These new neurons form connections with  
90 inhibitory interneurons in the hilus, dentate gyrus, and the CA3 (Drew et al., 2016; Restivo et al.,  
91 2015) as well as with excitatory pyramidal cells in the CA3 and CA2 (Llorens-Martin et al., 2015;  
92 Toni et al., 2008). Accumulating evidence suggests that the CA2 region is involved in social  
93 recognition memory (Hitti and Siegelbaum, 2014; Stevenson and Caldwell, 2014). Studies have  
94 shown that lesions and optogenetic silencing of CA2 neurons impair, while optogenetic  
95 activation of these neurons improves, social recognition, indicating that these neurons are  
96 essential for encoding social information into memories (Hitti and Siegelbaum, 2014; Stevenson  
97 and Caldwell, 2014; Smith et al., 2016). Additional studies have shown that the CA2 region  
98 plays a role in social aggression (Pagani et al., 2015; Leroy et al., 2018). Since new neurons  
99 form connections with CA2 neurons (Llorens-Martin et al., 2015), their elimination may impair  
100 social behaviors linked to this region.

101 Adult neurogenesis has been implicated in many of the known cognitive functions of the  
102 hippocampus, including spatial memory, contextual fear memory, and pattern discrimination  
103 behavior (reviewed by Cope and Gould, 2019). There are also reports suggesting that adult-  
104 born neurons are important for social behavior, including social recognition memory (Garett et  
105 al., 2015; Monteiro et al., 2014; Pereira-Caizeta et al., 2017; Pereira-Caizeta et al., 2018) and  
106 social dominance (Opendak et al., 2016; Kozorovitskiy et al., 2004; Smagin et al., 2015). Using  
107 antimitotic drugs and gamma irradiation, a report has found that mice with reduced adult  
108 neurogenesis have impairments in 24 hr social recognition memory (Pereira-Caizeta et al.,  
109 2018). Studies using environmental enrichment to enhance adult neurogenesis have found  
110 social recognition memory persistence for up to 7 days and this effect is dependent on adult  
111 neurogenesis (Monteiro et al., 2014; Pereira-Caizeta et al., 2017). However, these studies found

112 behavioral effects earlier than the time frame required for new neurons to be functionally  
113 incorporated into the hippocampal circuitry and thus be relevant for behavior. Using a  
114 conditional doublecortin transgenic line to reduce immature neuron numbers by activation of  
115 diphtheria toxin, Garret et al (2015) showed that reduced adult neurogenesis impaired social  
116 recognition memory when a 2 hr interval between novel and familiar mouse exposure was used.  
117 We sought to replicate and extend these findings using a different transgenic model of adult  
118 neurogenesis reduction and examining whether mice lacking new neurons are completely  
119 incapable of social recognition memory even when the interval between exposure to the same  
120 conspecific is very short.

121 Reports have shown that social experiences can have both positive and negative effects on  
122 adult neurogenesis, depending on the context. For instance, negative social experiences such  
123 as social defeat stress, social isolation, and subordinate social rank position are associated with  
124 reduced levels of adult hippocampal neurogenesis (Lu et al., 2003; Lagace et al., 2010; Van  
125 Bokhoven et al., 2011; Opendak et al., 2016; Kozorovitskiy et al., 2004). Conversely, rewarding  
126 social experiences such as sexual experience, dominant social rank position and positive  
127 fighting experience are associated with higher levels of adult neurogenesis (Gasper and Gould,  
128 2013; Opendak et al., 2016; Kozorovitskiy et al., 2004; Smagin et al., 2015). Yet, no work has  
129 explored whether reduced adult neurogenesis alters agonistic behaviors or dominance  
130 hierarchy formation.

131 We tested the hypothesis that reducing adult neurogenesis impairs social memory and social  
132 hierarchy formation. We utilized mice that express herpes simplex virus thymidine kinase (TK)  
133 under the control of the GFAP promoter. With treatment of the antiviral drug valganciclovir  
134 (VGCV), adult neurogenesis can be inhibited in TK mice. We found that mice with reduced  
135 numbers of adult-born neurons had no change in sociability but lacked social recognition when  
136 tested 24 hr after exposure to a previously encountered conspecific. We then reduced the delay

137 between the first and second exposure from 24 hr to 30 min and found that both TK and control  
138 mice treated with VGCV exhibited social recognition behavior that was similar to TK and control  
139 mice without VGCV. We next explored whether adult neurogenesis is important for social  
140 dominance hierarchy by examining home cage behavior and the non-aggressive tube test  
141 paradigm. We did not observe any significant changes in social dominance or agonistic  
142 behavior after VGCV treatment. Our data suggest that adult-born neurons are required for  
143 maintaining, and potentially retrieving, social recognition memories over longer time periods, but  
144 not for the acquisition or retrieval of such memories over shorter time frames.

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#### 146 **Materials and Methods**

#### 147 **Animals, experimental design, and VGCV treatment**

148 All animal procedures were performed in accordance with the Princeton University animal care  
149 committee's regulations and were in accordance with the guidelines of the National Research  
150 Council's Guide for the Care and Use of Laboratory Animals. Transgenic mice expressing  
151 herpes-simplex virus-thymidine kinase (TK) under the GFAP promoter were provided from the  
152 [Author Lab]. Heterozygous GFAP-TK mice were generated at [Author University] by crossing  
153 CD1 male mice with heterozygous GFAP-TK female mice. At PND15, mice were genotyped  
154 using Transnetyx. Both male and female CD1 and GFAP-TK mice were used for this study ( $n =$   
155 24 for each genotype with roughly equal numbers of mice of each sex). All mice were housed 4  
156 per cage in Optimice cages on a reverse 12:12 light:dark cycle. For social memory testing, all  
157 mice were housed 4 per cage by sex. For home cage and tube test behavior, all mice were  
158 housed 4 per cage by genotype and sex. Littermates were housed together when possible.  
159 Individual animals within a cage were identified by unique brown hair dye markings. Beginning  
160 at ~6-7 weeks of age, home cage behavior and social memory were assessed as described

161 below (Figure 1). After this, mice of both genotypes were fed VGCV mixed in powdered chow  
162 (227 mg VGCV/kg chow) 5 days a week, alternating with standard pellet chow for 2 days. Social  
163 memory, home cage behavior, and social hierarchy in the tube test were then re-tested/tested  
164 after 6 weeks of VGCV treatment. This time-frame was chosen because it takes ~4-6 weeks  
165 after their production for new neurons to become functionally incorporated into the hippocampal  
166 circuitry and contribute to behavior (Denny et al., 2012; Kee et al., 2007). VGCV treatment  
167 continued throughout the rest of behavioral testing until perfusion.

168 **Social memory testing**

169 To assess social memory, the direct social interaction test was conducted in an open-field box  
170 (23 x 25 x 25 cm) in low light (10-20 lux) and during the active cycle for mice (dark). Each  
171 mouse underwent social memory testing 3 times (once prior to VGCV treatment and twice after  
172 6 weeks of VGCV treatment). This test consists of 3 trials separated by either 24 hr or 30 min.  
173 Mice were first habituated to the behavior testing room for at least 30 min prior to testing. Mice  
174 were then habituated to the box for 5 min on the initial day of testing before each bout of  
175 behavioral testing. In trial 1, the test mouse and a never-before encountered mouse (novel  
176 mouse 1) were placed together in the open field box and allowed to interact for 5 min. Sex-  
177 matched young adult CD1 mice were used as novel mice. After this interaction period, the test  
178 mouse was returned to their home cage for either 24 hr or 30 min and then placed back into the  
179 open field arena with the mouse previously encountered in trial 1 (trial 2, familiar mouse A) and  
180 then a new, novel mouse from a different cage than the first novel mouse (trial 3, novel mouse  
181 2). The time the test mouse spent interacting with the encountered mouse was measured from  
182 video recordings for each trial. Social interaction was defined as anogenital or nose-to-nose  
183 sniffing, following, or allogrooming that was initiated by the test mouse. In some instances,  
184 fighting was observed between the test mouse and novel conspecific. If this occurred, the trial  
185 was ended and the animal was not included in the behavior analyses. The excluded mice were:

186 1 CD1 male mouse in the 24 hr delay after VGCV treatment study and 3 male mice from each  
187 genotype from the 30 min delay after VGCV treatment study.

188 **Home cage behavior testing**

189 Home cage behavior was recorded under red light for 3 hr starting at the beginning of the dark  
190 phase. Mouse behavior was observed from video recordings of the session. Videos were  
191 scanned for occurrences of agonistic behaviors, behaviors that involved physical conflict  
192 between animals. These behaviors include but are not limited to chasing, biting, mounting,  
193 fighting, freezing, escape behavior, and defensive behavior. All videos were watched in their  
194 entirety at 2-30x speed to identify if this behavior was present. For cages where agonistic  
195 behavior was observed, behavior was analyzed for 20 min after the first occurrence of an  
196 agonistic act of any mouse. The numbers of instances that an animal exhibited offensive  
197 behavior and elicited defensive behavior were recorded.

198 **Social hierarchy tube testing**

199 The tube test was used to measure social hierarchy as previously described (Fan et al., 2019).  
200 The tube test consisted of 3 phases: habituation to a tube, training to pass through a tube, and  
201 social hierarchy testing. Mice were habituated to a short (7.6 cm in length) clear tube (2.3 cm in  
202 diameter) in their home cage for 3 days prior to training. Training and testing were completed in  
203 low light and during the active cycle for mice (dark). Mice were habituated to the behavior room  
204 30 min prior to testing. During training, mice were placed at the entrance of a clear tube (30 cm  
205 in length and 2.3 cm in diameter) and then trained to pass through it. Each mouse passed  
206 through the tube 10 times, 5 times through each side. To prevent mice from retreating from the  
207 tube, a plastic stick was used to guide the mouse forward if necessary. Following 2 days of  
208 training, social hierarchy testing began. On each day of testing, mice were first trained to walk  
209 through an empty tube 2 times, once from each side. Mice were then tested against each cage

210 mate in pairs using a randomized round-robin design. Mice were paired such that each mouse  
211 encountered every other mouse within that cage only once per day. Two cage mates were  
212 placed at opposite ends of the tubes simultaneously and were released once they met in the  
213 middle. The trial was completed when one of the opponents retreated out of the tube. Each  
214 cage mate pairing had a loser and a winner, with the loser counted as the first animal with all 4  
215 paws retreated out of the tube and the winner counted as the animal passing forward through  
216 the tube. If neither mouse retreated within a 2 min period, mice were retested against each  
217 other after a brief rest in their home cage. Testing continued for 8 consecutive days and social  
218 rank for each animal was determined based on the number of wins for each day. The tube was  
219 cleaned after every mouse with 5% bleach in water followed by 70% ethanol.

220 **Histology**

221 Mice were anesthetized with Euthasol and then transcardially perfused with 4%  
222 paraformaldehyde (PFA) in phosphate buffered saline (PBS) for immunolabeling with PSA-  
223 NCAM to detect immature neurons in the dentate gyrus. In the dentate gyrus, PSA-NCAM  
224 appears to label the same population of cells as doublecortin, a known marker of immature  
225 neurons (Nacher et al., 2001; Spampinato et al., 2012). Extracted brains were post-fixed for 48  
226 hr in 4% PFA and then cryoprotected in 30% sucrose for an additional 48 hr. Unilateral coronal  
227 sections (40  $\mu$ m) were collected throughout the entire rostrocaudal extent of the hippocampus  
228 using a cryostat (Leica CM3050S). Sections were pre-blocked in PBS containing 0.3% Triton X-  
229 100 and 3% normal donkey serum for 1.5 hr at room temperature. Sections were then incubated  
230 in pre-block solution containing antibodies rat anti-PSA-NCAM (1:400, BD Pharminogen) for 24  
231 hr at 4°C. Washed sections were then incubated for 1.5 hr at room temperature in secondary  
232 antibodies consisting of goat anti-rat Alexa Fluor 568 (1:250; Invitrogen). Washed sections were  
233 counterstained with Hoechst 33342 (1:5,000, Molecular Probes), mounted onto slides, and  
234 coverslipped with Vectashield (Thermo Fisher). Slides were coded until completion of the data

235 analysis. Cell densities for immature neurons (PSA-NCAM) were analyzed on 3  
236 neuroanatomically-matched sections of the dorsal dentate gyrus (Franklin and Paxinos, 2008)  
237 using a BX-60 Olympus microscope. The number of PSA-NCAM+ cells was determined using a  
238 100X oil objective. The granule cell layer area was outlined at low power (4X objective) using  
239 Stereo Investigator software (MBF Bioscience). Cell densities were then determined for each  
240 animal by taking the total number of PSA-NCAM+ cells and dividing it by the volume of the  
241 granule cell layer (area multiplied by 40 for thickness of cut section). Representative sections  
242 were collected using a 20X objective on Leica TCS SP8 confocal.

243 **Statistical analyses**

244 All behavioral and histological analyses were performed by an experimenter who was blind to  
245 the experimental group. Data from the social memory experiments were first analyzed by three-  
246 way ANOVA (sex x genotype x trial). No significant interactions were observed in these  
247 analyses (Table 1), so the male and female data were combined and social investigation times  
248 were analyzed using a repeated measures two-way ANOVA (genotype x trial) followed by  
249 Bonferroni post hoc comparisons. Difference scores were analyzed using unpaired or paired  
250 Student's t-tests where appropriate. Because home cage agonistic behaviors were not normally  
251 distributed (as determined by Shapiro-Wilk test) and had unequal variances (as determined by  
252 Levene's test), home cage agonistic behaviors were analyzed using an unpaired Mann-Whitney  
253 test or a paired Wilcoxon test where appropriate. For this measure as well, no statistical  
254 differences of genotype were observed for either sex (Table 1), so the male and female data  
255 were combined. Pearson's correlation coefficient test was used to analyze the association  
256 between home cage agonistic behaviors and performance in the tube test. Cell densities were  
257 analyzed by unpaired student's t-test. All data sets are expressed as the mean  $\pm$  SEM and  
258 statistical significance was set at  $p < 0.05$  with 95% confidence. GraphPad Prism 8.2.0  
259 (GraphPad Software) was used for statistical analyses and graph preparations.

260

261 **Results**

262 **Mice expressing the GFAP-TK transgene have normal social memory behavior**

263 To assess whether the GFAP-TK transgene alters social memory, we first measured social  
264 memory using a 3-trial paradigm in CD1 and TK mice prior to receiving VGCV (Figure 2A). Mice  
265 were exposed to a novel mouse in trial 1 (novel 1, day 1) and then re-exposed to the same  
266 mouse in trial 2 (day 2). In trial 3, the mice are exposed to a new, novel mouse (novel 2, day 3).  
267 Social memory is measured by the subject mouse's reduced sniffing time with the previously  
268 encountered mouse in trial 2 and the increased sniffing time with a new novel mouse (novel 2)  
269 in trial 3. Repeated measures two-way ANOVA showed that time spent sniffing the stimulus  
270 mouse changed over the testing period (2-way ANOVA; effect of trial:  $F_{(1,932, 83,08)} = 49.5, p <$   
271 0.0001; effect of genotype:  $F_{(1,43)} = 0.07489, p = 0.7857$ ; trial X genotype:  $F_{(2,86)} = 0.2034, p =$   
272 0.8164) (Figure 2B). Post hoc comparisons revealed that CD1 and TK mice had normal social  
273 memory such that they decreased their interaction times with a familiar mouse (CD1,  $p <$   
274 0.0001; TK,  $p < 0.0001$ ) and increased their interaction times with a novel mouse (novel 2),  
275 although this did not quite reach significance for CD1 mice (CD1,  $p = 0.0634$ ; TK,  $p = 0.0361$ ).  
276 There was no difference in time sniffing between genotypes on any trial (novel,  $p > 0.9999$ ;  
277 familiar,  $p > 0.9999$ ; novel,  $p > 0.9999$ ). Furthermore, the sniffing time difference scores were  
278 similar between genotypes (novel 1 minus familiar,  $t_{(43)} = 0.3590, p = 0.7213$ , familiar minus  
279 novel 2,  $t_{(43)} = 0.6909, p = 0.4933$ ) (Figure 2C).

280 **Pharmacogenetic reduction of adult neurogenesis impairs 24 hr social memory**

281 To investigate whether adult-born neurons are important for social memory, we tested social  
282 memory in CD1 and TK mice after 6 weeks of VGCV treatment using the previously described  
283 3-trial behavioral paradigm (Figure 3A). Repeated measures two-way ANOVA of time sniffing

284 showed an effect of trial and an interaction between trial and genotype (effect of trial:  $F_{(1.905, 85.72)}$   
285 = 8.528,  $p = 0.0005$ , effect of genotype:  $F_{(1,45)} = 0.03717$ ,  $p = 0.8480$ ; trial X genotype:  $F_{(2,90)} =$   
286 7.185,  $p = 0.0013$ ) (Figure 3B). Post hoc comparisons revealed that CD1 mice treated with  
287 VGCV have normal social memory (novel 1 vs. familiar,  $p = 0.0002$ ; familiar vs. novel 2,  $p =$   
288 0.0093), whereas TK mice treated with VGCV had reduced social memory such that they did  
289 not decrease their investigation times with a previously encountered mouse ( $p = 0.6463$ ) nor  
290 increase their interaction times with a novel mouse (novel 2) ( $p = 0.5948$ ). Compared to CD1  
291 mice, the sniffing time difference scores were significantly reduced in TK mice (novel 1 minus  
292 familiar,  $t_{(45)} = 3.009$ ,  $p = 0.00429$ , familiar minus novel 2,  $t_{(45)} = 3.575$ ,  $p = 0.00085$ ) (Figure 3C).

293 **Pharmacogenetic reduction of adult neurogenesis does not alter short-term social  
294 memory acquisition or retrieval**

295 We explored the possible role of adult-born neurons in social memory (e.g. acquisition,  
296 maintenance, or retrieval) by testing CD1 and TK mice treated with VGCV using the 3-trial  
297 paradigm, but with a shorter delay between trials (30 min) (Figure 4A). Repeated measures two-  
298 way ANOVA of time sniffing showed an effect of trial and an effect of genotype (effect of trial:  
299  $F_{(1.645, 64.16)} = 20.28$ ,  $p < 0.0001$ , effect of genotype:  $F_{(1,39)} = 5.925$ ,  $p = 0.0196$ ; trial X genotype:  
300  $F_{(2, 78)} = 2.006$ ,  $p = 0.1414$ ) (Figure 4B). Post hoc comparisons revealed that CD1 and TK mice  
301 treated with VGCV had normal social memory with a shorter delay between trials such that they  
302 decreased their interaction times with a familiar mouse (CD1,  $p < 0.0001$ ; TK,  $p < 0.0001$ ) and  
303 increased their interaction times with a novel mouse (novel 2), although this did not reach  
304 significance for the TK mice (CD1,  $p = 0.0273$ ; TK,  $p = 0.0610$ ). It is worth noting however that  
305 CD1 mice had lower time sniffing on trial 1 (novel 1,  $p = 0.0293$ ) and trial 2 (familiar,  $p = 0.0420$ )  
306 compared to TK mice. The difference scores were similar between genotypes in novel 1 minus  
307 familiar ( $t_{(39)} = 1.4166$ ,  $p = 0.1645$ ) and familiar minus novel 2 ( $t_{(39)} = 0.9011$ ,  $p = 0.3730$ ) (Figure  
308 4C).

309 We then compared both groups' performance on the 30 min delay paradigm to their  
310 performance on the 24 hr delay paradigm. The sniffing time difference scores of CD1 mice with  
311 a 30 min compared to a 24 hr delay were similar between groups (novel 1 minus familiar,  $t_{(38)} =$   
312 0.129,  $p = 0.898$ , familiar minus novel 2,  $t_{(38)} = 0.761$ ,  $p = 0.451$ ) (Extended Data Figure 4-1A).  
313 Compared to the 30 min delay paradigm, TK mice treated with VGCV showed an effect in the  
314 24 hr delay paradigm. The sniffing time difference scores were significantly altered between the  
315 24 hr and 30 min delay paradigms in TK mice (novel 1 minus familiar,  $t_{(40)} = 3.705$ ,  $p = 0.00639$ ,  
316 familiar minus novel 2,  $t_{(40)} = 2.309$ ,  $p = 0.0262$ ) (Extended Data Figure 4-1B).

317 **Pharmacogenetic reduction of adult neurogenesis does not alter agonistic behavior or**  
318 **social hierarchy**

319 To assess whether reducing the number of adult-born neurons alters social hierarchy, we  
320 examined home cage behavior before and after treatment with VGCV. Before VGCV, there  
321 were no agonistic acts (e.g., mounting, chasing, biting, fighting) observed in any of the 5 CD1  
322 cages and in 4 of the 5 TK cages. The number of agonistic acts did not differ between  
323 genotypes ( $U_{(38)} = 190$ ,  $p > 0.9999$ ) (Figure 5A). Following 6 weeks of VGCV treatment, we then  
324 measured home cage behavior again and identified agonistic acts in 2 of the 5 CD1 cages and  
325 2 of the 5 TK cages. The number of agonistic acts did not differ between CD1 or TK mice after  
326 VGCV treatment ( $U_{(38)} = 175.5$ ,  $p = 0.293$ ) (Figure 5A), nor was there a significant difference  
327 when comparing before or after VGCV treatment in either genotype (CD1:  $W_{(38)} = 15$ ,  $p =$   
328 0.0625; TK:  $W_{(38)} = 6$ ,  $p = 0.250$ ).

329 The slight increase in agonistic acts between the pre-VGCV and post-VGCV monitoring times in  
330 CD1 mice may have been due to the increased age of the mice. Because we only observed  
331 minimal agonistic acts during the home cage recordings, we were unable to assess social  
332 dominance within the home cage. We then explored social hierarchy within a home cage using

333 the non-aggressive tube test paradigm (Figure 5B). We determined an animal's rank position  
334 based on the number of wins of each mouse against its 3 cage mates over an 8-day period, with  
335 the highest rank position being 3 wins per day (example from a single CD1 cage, Figure 5C). 2  
336 of 5 CD1 cages and 3 of 5 TK cages had 4 consecutive days where animals remained in the  
337 same rank position, suggesting that they exhibited stable hierarchies (Extended Data Figure 5-  
338 1). However, in all cages but 1 TK cage with continued tube test testing, we observed changes  
339 in animal's rank position. We examined the average wins of animals belonging to each rank  
340 group from the previous day across testing days and did not find any overt differences between  
341 CD1 and TK mice (Figure 5D). We also explored the relationship between agonistic behavior in  
342 the home cage and wins in the tube test. Animals with higher levels of agonistic behavior in the  
343 home cage did not consistently correspond to a greater number of wins in the tube test. No  
344 significant correlation was observed between tube test wins (cumulative wins over the 8-day  
345 testing period) and agonistic acts in the home cage after VGCV treatment (CD1 + VGCV:  $r =$   
346 0.1132,  $p = 0.6347$ ; TK + VGCV:  $r = -0.2966$ ,  $p = 0.2041$ ).

347 **VGCV reduces the number of adult-born neurons in the dentate gyrus of TK mice**

348 After perfusion, we verified that VGCV was effective in reducing adult neurogenesis in the  
349 dentate gyrus of TK mice compared to CD1 mice. Examination of immature neuronal marker  
350 PSA-NCAM revealed that TK mice treated with VGCV showed a robust decrease in the density  
351 of immature  
  
352 neurons in the dorsal dentate gyrus ( $t_{(22)} = 11.01$ ,  $p < 0.0001$ ) (Figure 6A,B). There was no  
353 difference in the volume of the dentate gyrus between CD1 or TK mice treated with VGCV ( $t_{(22)}$   
354 = 2.454,  $p = 0.808$ ). It should be noted that because the design used in this study was within-  
355 subjects, we were not able to quantify immature neurons prior to VGCV treatment in TK mice.  
356 Previous findings suggest that TK mice do not differ in the number of new neurons in the

357 dentate gyrus from their CD1 littermates prior to VGCV treatment (Snyder et al., 2011; Briones  
358 et al., unpubl observations).

359 **Discussion**

360 We investigated social memory and social dominance hierarchy in transgenic mice with reduced  
361 adult neurogenesis. Unlike mice with normal levels of adult neurogenesis, we found that TK  
362 mice treated with VGCV investigated familiar mice as if they were novel 24 hr after the initial  
363 exposure. By contrast, mice with reduced adult neurogenesis were able to recognize novel  
364 conspecifics as familiar when the delay between initial exposure and the second exposure was  
365 brief (30 min). This suggests that new neurons are not necessary for the acquisition and  
366 retrieval of short-term social memories, but are involved in maintaining, and potentially  
367 retrieving, social memories over longer periods of time. We did not observe any changes in  
368 mice with reduced adult neurogenesis compared to controls in either agonistic behaviors or  
369 social dominance in a home cage or by tube test assessment. Collectively, these findings  
370 suggest that adult-born neurons are essential for aspects of social memory that last beyond a  
371 30 min interval.

372 Some studies suggest that social dominance status influences adult neurogenesis in the  
373 dentate gyrus. Studies using a “visible burrow system” in rats, a spatially and socially complex  
374 laboratory habitat used to encourage the formation of a dominance hierarchy, have found that  
375 subordinate rats have fewer new neurons than social dominants (Opendak et al., 2016;  
376 Kozorovitskiy and Gould, 2004), a finding that is consistent with research in mice showing that  
377 “positive fighting” experience increases the number of new neurons in the dentate gyrus  
378 (Smagin et al., 2015). In a stable hierarchy, the enhancement of adult neurogenesis in dominant  
379 rats appears to be driven by increased mating behavior (Opendak et al., 2016; Kozorovitskiy  
380 and Gould, 2004). By contrast, adult rats living in an unstable hierarchy exhibit reductions in

381 adult neurogenesis that appear to be driven by stress caused by increased aggression  
382 (Opendak et al., 2016). These findings raise the possibility that adult-born neurons are involved  
383 in social dominance. Here, in combination with home cage agonistic behavior assessment, we  
384 utilized the tube test, which is a non-aggressive test of social dominance (Fan et al., 2019), to  
385 explore the potential relationship between adult neurogenesis and social dominance. We were  
386 unable to obtain definitive evidence that new neurons are involved in social aggression or in  
387 social dominance hierarchy formation. Despite previous work showing that CD1 mice form  
388 stable hierarchies (So et al, 2015), neither CD1 controls nor TK mice exhibited consistent  
389 results in the tube test, nor in agonistic acts within the home cage, rendering it difficult to  
390 determine social rank status. One explanation for this lack of hierarchy formation could be that  
391 mice were housed at weaning with their littermates, which likely minimized fighting throughout  
392 the study. More challenging home cage environments (e.g., increased competition for  
393 resources) that promote higher levels of agonistic behavior might be necessary to investigate  
394 the relationship between home cage behavior and dominance rank. In our study, we examined  
395 agonistic behavior in both males and females. Previous studies have shown that male and  
396 female mice both form dominance hierarchies but use different strategies to form and maintain  
397 them (Williamson et al., 2019). Moreover, while males engage in more overt acts of aggression  
398 than females, the overall number of agonistic acts is similar between sexes (Choleris et al.,  
399 2018; van den Berg, 2015). This claim is consistent with our agonistic behavior findings overall,  
400 but future studies should investigate whether TK mice with inhibited adult neurogenesis display  
401 alterations in agonistic behavior in response to resident-intruder challenges, which can be  
402 designed to stimulate increased aggression in both males (Olivier et al., 1995; Yohn et al.,  
403 2019) and females (Haug et al., 1986; Newman et al., 2019).

404 Our findings on social recognition memory are consistent with previous reports using several  
405 methods to block adult neurogenesis, including irradiation (Pereira-Caizeta et al., 2018),

406 antimitotic drugs (treatment with either the DNA-alkylating agent temozolomide or central  
407 infusion of the mitotic blocker cytosine arabinoside) (Pereira-Caizeta et al., 2018), as well as a  
408 tamoxifen-inducible diphtheria toxin doublecortin transgenic mice (Garrett et al., 2015). Our data  
409 replicate these findings in a different transgenic mouse of adult neurogenesis reduction, and  
410 extend these previous studies by showing that adult neurogenesis is not necessary for the  
411 acquisition of social memory, nor for its retrieval after a short time period (30 min), as TK mice  
412 treated with VGCV were able to acquire and retrieve social memories using this temporal  
413 parameter. However, we found that adult born neurons are important for maintaining social  
414 recognition when the interval between exposure to the same mouse was 24 hrs, suggesting that  
415 the impairment results from an inability to maintain, and potentially retrieve, social memories  
416 over longer periods of time. There are some reports showing that adult-born neurons are  
417 important for spatial memory acquisition and retrieval (Gu et al., 2012; Tronel et al., 2015), yet  
418 less is known about adult-born neurons and memory maintenance/consolidation. While the  
419 dentate gyrus itself has been shown to be important for social memory retrieval (Leung et al.,  
420 2018), the link between this brain region and social memory maintenance has not been  
421 explored.

422 Previous studies suggest that sex differences in social learning exist in rodents (Choleris and  
423 Kavaliers, 1999). These differences are not large at baseline (Choleris et al., 2013; Karlsson et  
424 al., 2015), however, and mostly involve emerging sex differences in social memory after  
425 hormone or drug treatment (Matta et al., 2017; Choleris et al., 2013). As noted above for  
426 aggressive behavior, male and female mice seem to use different social strategies, but both  
427 sexes exhibit comparable evidence of social recognition memory (Choleris et al., 2018; van den  
428 Berg et al., 2015; Karlsson et al., 2015). Consistent with this observation, we detected evidence  
429 of social recognition memory in untreated male and female CD1 and TK mice, but no significant  
430 interaction when sex x genotype x trial was examined for any of the social memory experiments,

431 demonstrating that males and females both displayed similar social recognition memory within a  
432 given experiment. It remains possible, however, that significant sex differences in the effects of  
433 genotype on social recognition memory would emerge if females were tested at different stages  
434 of the estrous cycle, which was not done in the present study. A previous report suggests that  
435 social recognition memory is most robust in females when learning occurs during proestrus  
436 (Sanchez-Andrade and Kendrick, 2011). This report raises the possibility that activation of new  
437 neurons may be augmented by high levels of estrogen, which is consistent with findings that  
438 immature neurons express estrogen receptors (Herrick et al., 2006) and that estradiol treatment  
439 enhances the activation of new neurons after exposure to a spatial memory task (McClure et al.,  
440 2013). Future studies should determine whether estrogen-induced enhancements in social  
441 recognition memory in females (Phan et al., 2012) require new neurons.

442 One possible interpretation of our data is that the behavioral deficit in mice lacking adult  
443 neurogenesis reflects a broader impairment in novelty or familiarity detection. Indeed, some of  
444 our results lend support to this possibility in that TK mice with inhibited adult neurogenesis show  
445 reduced investigation times between the first novel mouse exposure to the second novel mouse  
446 exposure. These findings raise the possibility that TK mice with inhibited adult neurogenesis  
447 may habituate to social interactions in general and become less interested in sniffing mice,  
448 regardless of their novelty. Considered in the context of the broader literature, however, it  
449 seems unlikely that adult neurogenesis is important for novelty detection in a more general  
450 sense. In a modified novel object recognition test with a 3 min delay between trials, Denny et al.  
451 (2012) found in mice lacking adult neurogenesis increased novelty exploration when a novel  
452 object replaced a familiar object over repeated exposures to the familiar object. While we found  
453 an increase in social investigation times in TK mice treated with VGCV in the first novel and  
454 familiar trial of the 30 min delay paradigm that may support this interpretation, there was no  
455 difference in investigation times in the initial novel trial between genotypes in the 24 hr delay

456 paradigm. Furthermore, other studies have not found impairments in novel object recognition  
457 tests with 24 hr delays in between recognition trials in mice with reduced new neurons  
458 (Jaholkowski et al., 2009; Goodman et al., 2010), suggesting adult neurogenesis may not be  
459 necessary for intact novelty or familiarity detection. Reduced adult neurogenesis has been  
460 shown to affect social preference such that rodents without adult neurogenesis spend more time  
461 with familiar conspecifics over novel conspecifics (Opendak et al., 2016; Pereira-Caixeta et al.,  
462 2018). This suggests that they are able to recognize mice, but prefer familiar conspecifics.  
463 However, our data do not support this possibility as there was no difference in social exploration  
464 times in the familiar trials compared to the novel trials with the 24 hr delay between exposure,  
465 suggesting no recognition of familiar conspecifics. Collectively, our results likely indicate a more  
466 specific deficit in social recognition memory.

467 In addition to the hippocampus, GFAP+ radial precursors also reside in the subventricular zone  
468 where they migrate along the rostral migratory stream and populate the olfactory bulb (Garcia et  
469 al., 2004). Pharmacogenetic ablation of adult-born neurons using VGCV in TK mice reduces  
470 olfactory bulb neurogenesis (Singer et al., 2009). Although olfactory discrimination is the main  
471 function of the olfactory bulb (Abraham et al., 2010), mice lacking adult neurogenesis in this  
472 region have no differences in simple odor discrimination tasks (Li et al., 2018; Imayoshi et al.,  
473 2008; Sakamoto et al., 2011). Importantly, in our study, we did not see differences in social  
474 investigation times between genotypes during the initial novel trial of the longer delay paradigms  
475 and we found intact social memory with a shorter delay in between trials. These findings  
476 strongly suggest that deficits in olfactory function are not responsible for the inability of mice  
477 lacking adult neurogenesis to exhibit evidence of social discrimination in the 24 hr paradigm.  
478 Additional studies have provided evidence for the postnatal and adult generation of new  
479 neurons in other brain regions associated with social behavior, including the amygdala,  
480 hypothalamus, and nucleus accumbens (Ahmed et al., 2008; Staffend et al., 2014; Kokoeva et

481 al., 2007). While postnatal neurogenesis in these areas has been less extensively studied, it  
482 remains possible that VCGV treatment of TK mice inhibited neurogenesis in these regions,  
483 which may have contributed to the deficit in social memory we observed.

484 Adult-born neurons form connections with neighboring mature granule cells (Luna et al., 2019),  
485 with local inhibitory interneurons in the hilus, dentate gyrus, and CA3 (Drew et al., 2016; Restivo  
486 et al., 2015), as well as with pyramidal cells in the CA3 and CA2 (Llorens-Martin et al., 2015;  
487 Toni et al., 2008). One report linked the ventral CA3 region to social memory (Chiang et al.,  
488 2018), and a growing body of literature suggests an important role for the CA2 region in social  
489 memory (Hitti and Siegelbaum, 2014; Stevenson and Caldwell, 2014). Furthermore, the CA2,  
490 through its connections with the hypothalamus, has been shown to be involved in social  
491 aggression (Leroy et al., 2018). It is likely that alterations in the number of new neurons lead to  
492 fewer connections to their target cells in CA2. This, in turn, may alter the proper function of the  
493 CA2.

494 This raises questions about the possible mechanisms by which new neurons exert their effects  
495 on CA2-dependent behavior. One possibility is that reducing adult neurogenesis could alter  
496 neural activity patterns in the CA2 region. Young granule neurons are known to have enhanced  
497 synaptic plasticity (Schmidt-Hieber et al., 2004; Marín-Burgin et al., 2012; Ge et al., 2007) and  
498 respond with excitation to the neurotransmitter GABA (Ge et al., 2006). New granule neurons  
499 also activate local inhibitory circuits that promote strong feed-forward inhibition onto mature  
500 granule cells (Drew et al., 2016) and when activated by specific afferents inhibit mature granule  
501 cells directly (Luna et al., 2019). Genetic enhancement of adult neurogenesis leads to an overall  
502 decrease in excitability while elimination of adult neurogenesis by irradiation leads to an overall  
503 increase in excitability in the dentate gyrus (Ikhrar et al., 2013). Adult-born neurons have also  
504 been shown to directly impact hippocampal network activity (reviewed by Tuncdemir et al.,  
505 2019). Ablation of adult neurogenesis by irradiation or by chemotherapeutic drugs reduces

506 neuronal oscillations in the dentate gyrus, particularly in the theta frequency (Nokia et al., 2012;  
507 Park et al., 2015). In addition to reducing theta, adult neurogenesis inhibition has been shown to  
508 increase gamma frequency bursts and synchronization of neuronal firing to these bursts in the  
509 dentate gyrus (Lacefield et al., 2012). Since reduced adult neurogenesis alters dentate gyrus  
510 neural activity, this is likely to lead to disrupted neural activity in the CA2 target region. Previous  
511 work has shown that CA2 neurons participate in generating gamma oscillations and sharp-wave  
512 ripples in the hippocampus (Alexander et al., 2018). Since these neural activity patterns are  
513 associated with cognition and social memory (Buzsáki, 2015, Alexander et al., 2017), future  
514 work should explore whether reducing adult neurogenesis leads to disrupted neuronal  
515 oscillations in the CA2.

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530 **Figure legends**

531 **Figure 1.** Experimental timeline. Six-week old CD1 and GFAP-TK mice were examined for  
532 home cage behavior and social memory with a 24 hr delay in between trials. After behavioral  
533 testing, CD1 and GFAP-TK mice were treated with valganciclovir (VGCV) in powdered chow for  
534 6 weeks. Mice were then re-examined for home cage behavior and social memory with a 24 hr  
535 delay in between trials at ~13 weeks of age. Mice then underwent social hierarchy testing using  
536 the tube test at ~17 weeks of age and then re-tested for social memory with a 30 min delay in  
537 between trials at ~19 weeks of age. Mice were perfused at the end of the study.

538 **Figure 2.** Mice expressing the GFAP-TK (TK) transgene have normal social memory behavior.  
539 **A)** Schematic of 3-trial social memory test. **B)** Both CD1 and TK mice without VGCV treatment  
540 decrease their investigation times for a familiar mouse and increase their investigation times for  
541 a new novel mouse (novel 2). **C)** There was no change in difference scores (novel 1 minus  
542 familiar or familiar minus novel 2) between genotypes. n = 22 for CD1 and n = 23 for TK. Bars  
543 represent mean + SEM. \*p < 0.05.

544 **Figure 3.** Pharmacogenetic reduction of adult neurogenesis impairs long-term social memory.  
545 A) 280 Schematic of 3-trial social memory test with a 24 hr delay between trials. B) Compared  
546 to CD1 mice treated with VGCV, GFAP-TK (TK) mice treated with VGCV do not decrease their  
547 investigation times for a familiar mouse and do not increase their investigation times for a new  
548 novel mouse (novel 2). C) TK mice treated with VGCV have lower difference scores compared  
549 to CD1 mice treated with VGCV. n = 23 for CD1 and n = 24 for TK. Bars represent mean +  
550 SEM. \*p < 0.05.

551 **Figure 4.** Pharmacogenetic reduction of adult neurogenesis does not alter short-term social  
552 memory. A) Schematic of 3-trial social memory test with a 30 min delay between trials. B). Both  
553 CD1 and GFAP-TK (TK) mice with VGCV treatment decrease their investigation times for a  
554 familiar mouse and increase their investigation times for a new novel mouse (novel 2). C) There

555 was no change in difference scores (novel 1 minus familiar or familiar minus novel 2) between  
556 genotypes treated with VGCV. n = 20 for CD1 and n = 21 for TK. Bars represent mean + SEM.  
557 \*p < 0.05. For comparison of mice with 24 hr delay and 30 min delay paradigms, see extended  
558 data figure 4-1.

559 **Figure 5.** Agonistic home cage behavior and tube-test ranking for social hierarchy in CD1 and  
560 GFAP-TK (TK) mice. A) Number of agonistic acts between CD1 and TK mice did not differ  
561 before or after VGCV treatment. B) Schematic of the tube test. C) Example of the wins of one  
562 cage tested daily over 8 days. Each data point indicates one animal in the cage (n = 1 cage with  
563 4 mice). D) Summary graph (n = 5 cages/genotype) of the average wins of animals belonging to  
564 each rank group from the previous day in CD1 (left) and TK (right) mice. Error bars represent  
565 SEM. For individual cage tube test rankings, see extended data figure 5-1.

566

567 **Figure 6.** GFAP-TK (TK) mice treated with VGCV have fewer new neurons compared to CD1  
568 mice treated with VGCV. **A)** PSA-NCAM immunolabeling in the dentate gyrus from CD1 and TK  
569 mice treated with VGCV (PSA-NCAM=red, Hoechst=blue). Scale bar = 75  $\mu$ m. **B)** The density of  
570 PSA-NCAM+ neurons in the dentate gyrus was lower in TK mice treated with VGCV. n = 12 per  
571 genotype. Error bars represent SEM. \*p < 0.05.

572 **Extended Data Figures**

573 **Extended Data Figure 4-1.** TK mice have impairments in social memory with a 24 hr delay, but  
574 not with a 30 min delay between testing phases. **A)** There was no change in difference scores  
575 (novel 1 minus familiar or familiar minus novel 2) between CD1 mice treated with VGCV when  
576 the delay is 24 hr or 30 min in between testing trials. n = 23 for CD1 + VGCV (24 hr) and n = 20  
577 CD1 + VGCV (30 min). **B)** VGCV-treated TK mice have lower difference scores with 24 hr

578 delays compared to VGCV-treated TK mice with 30 min delays. n = 24 for TK+VGCV (24 hr)  
579 and n = 21 for TK + VGCV (30 min). Error bars represent SEM. \*p<0.05.

580 **Extended Data Figure 5-1.** Tube test ranking for social hierarchy in individual cages of CD1  
581 and TK mice. Wins of each CD1 (**A**) and TK (**B**) cage of mice tested daily over 8 days. Each  
582 data point indicates one animal in the cage with 4 mice per cage.

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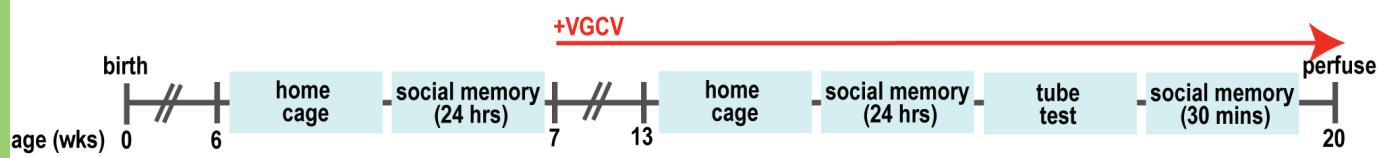
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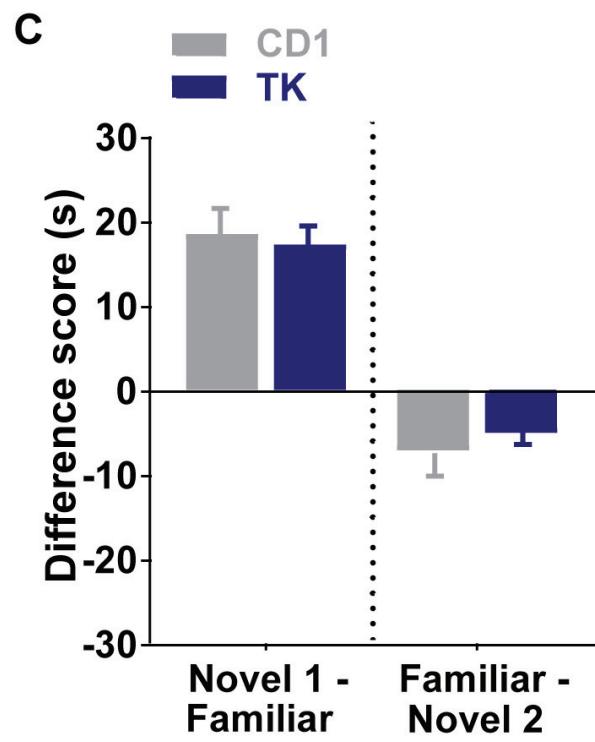
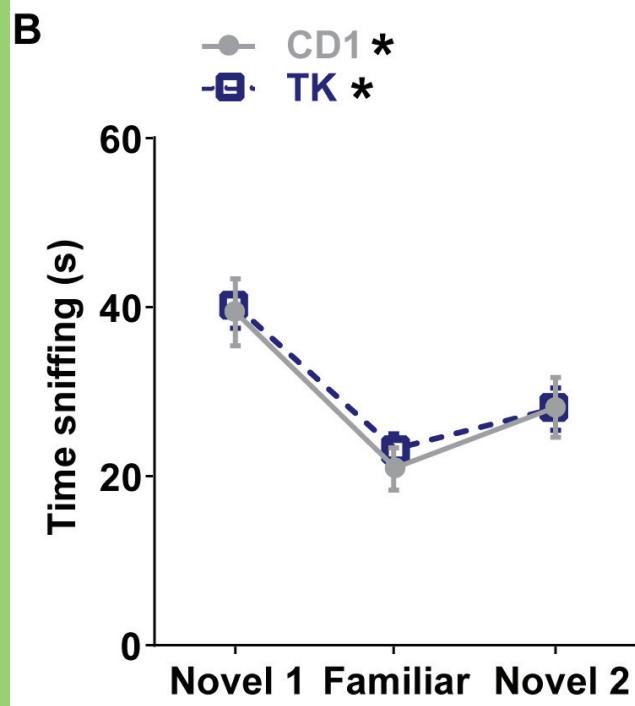
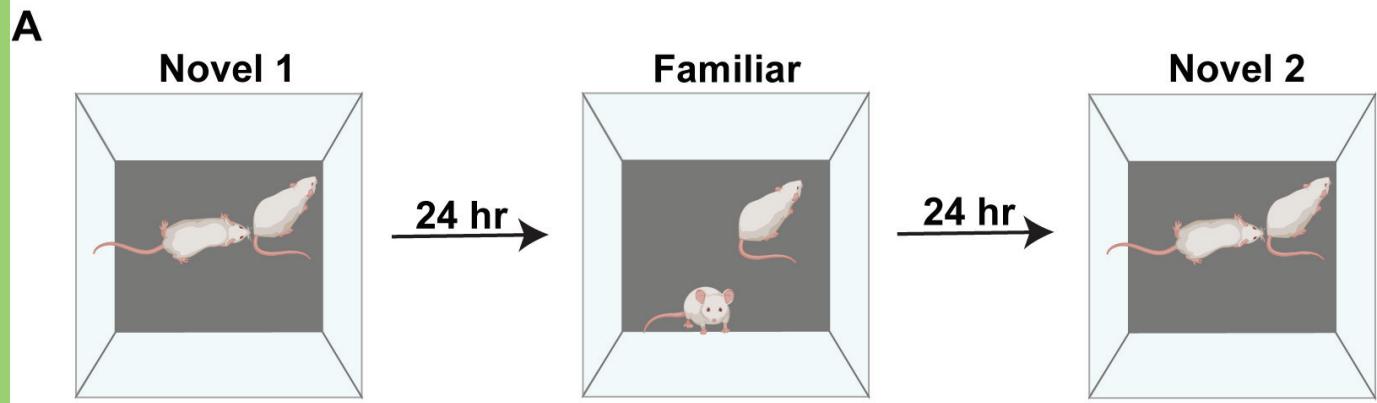
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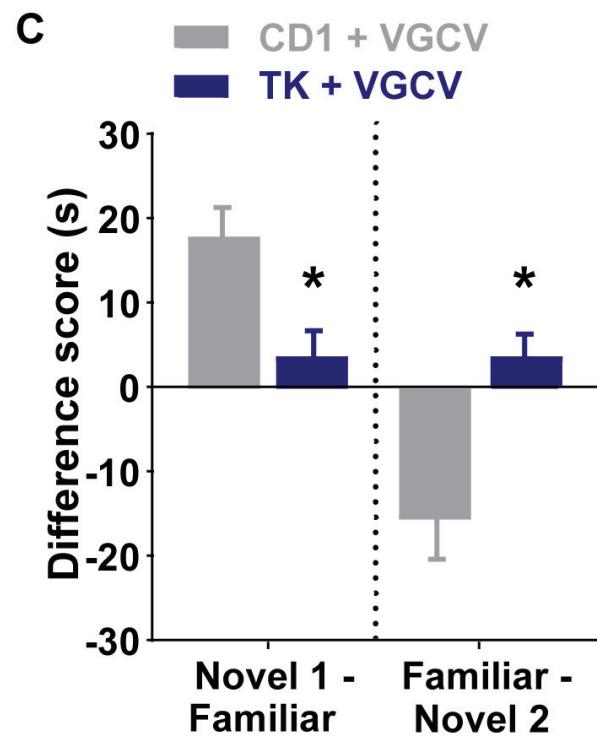
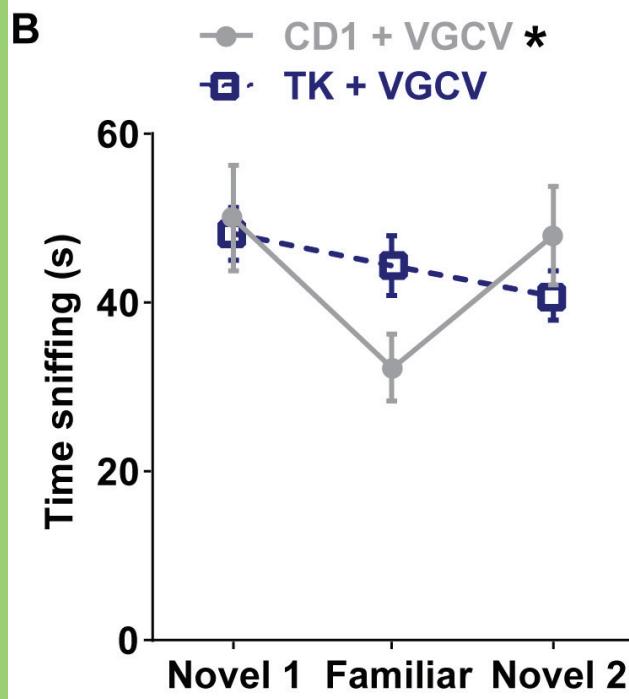
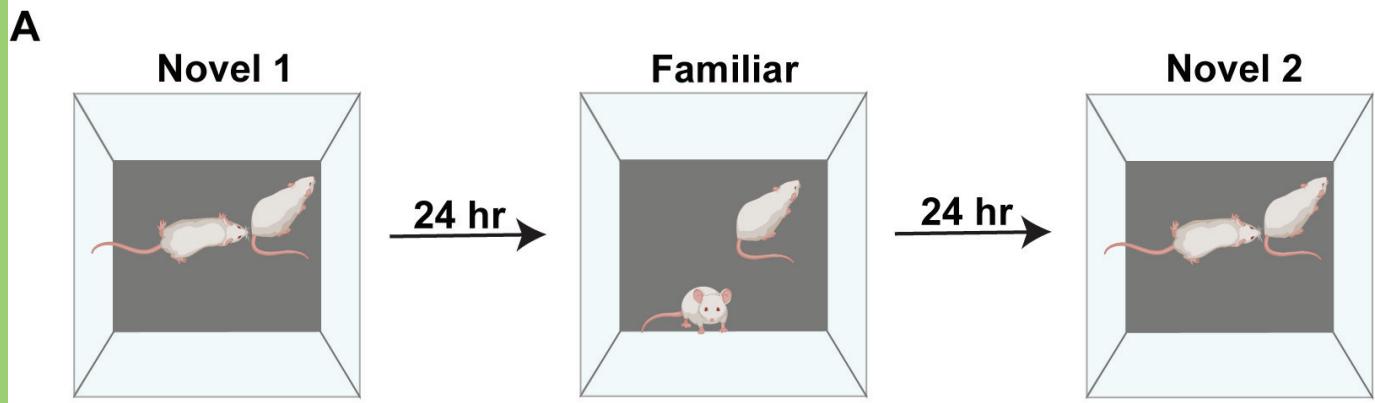
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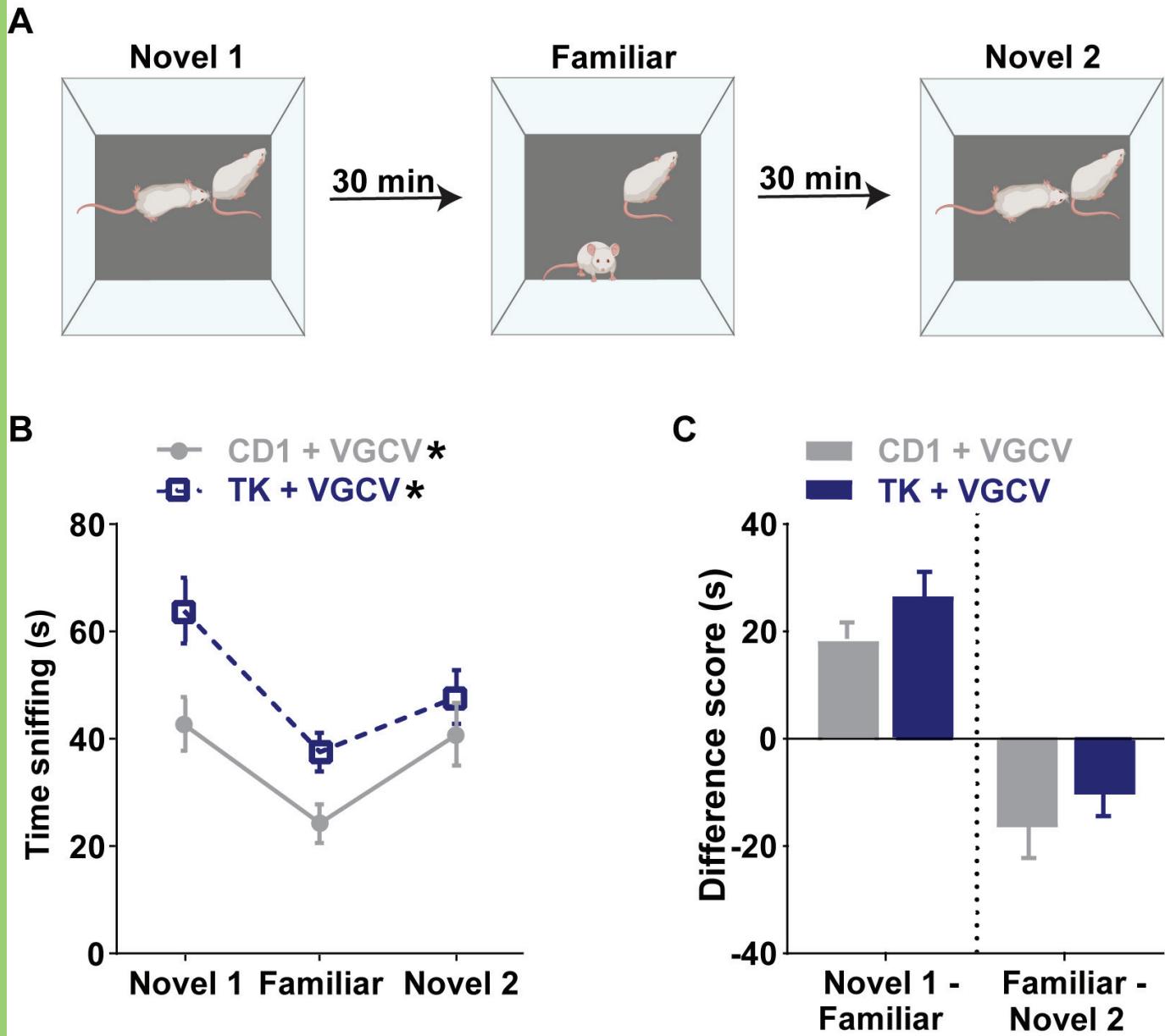
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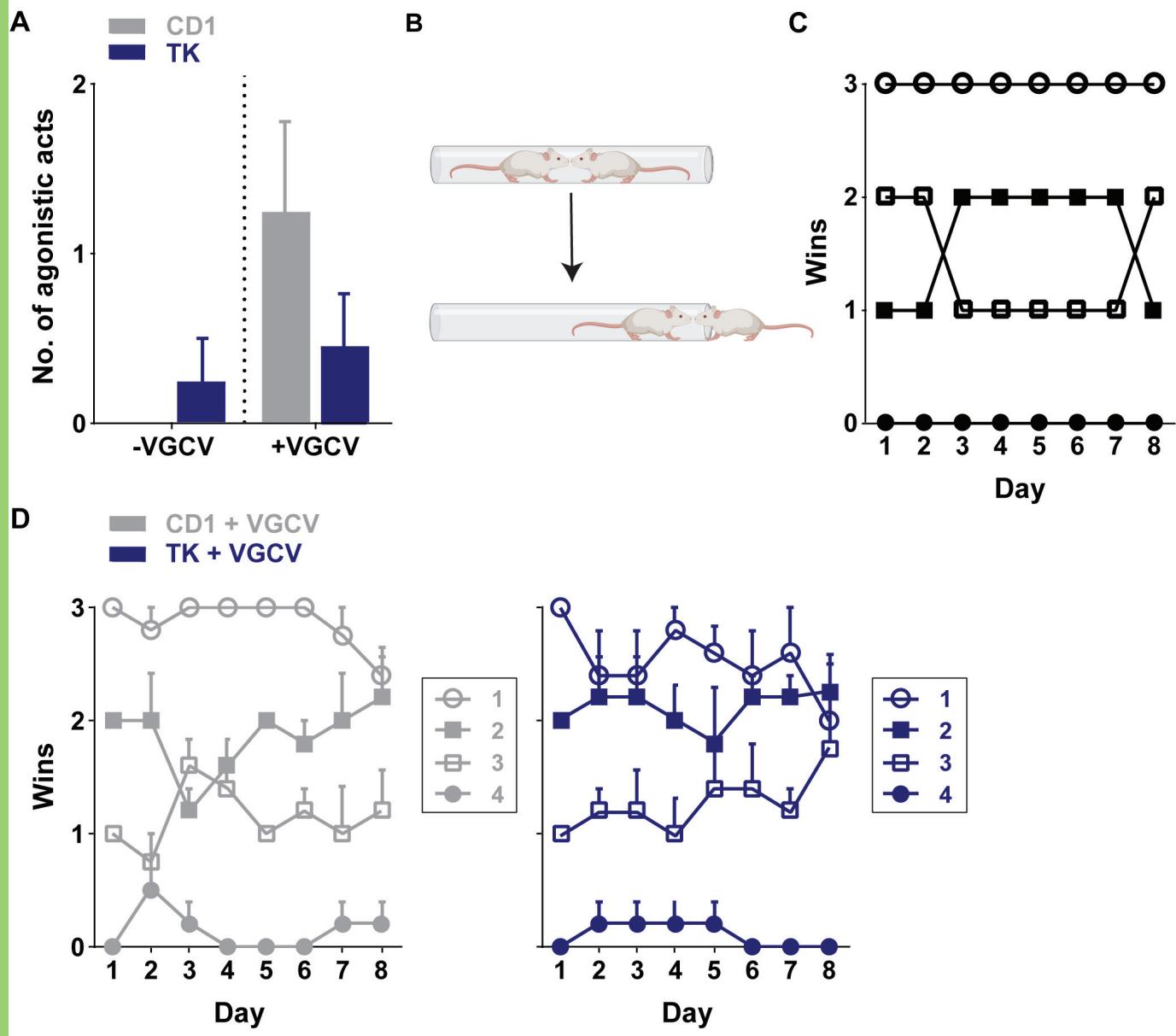
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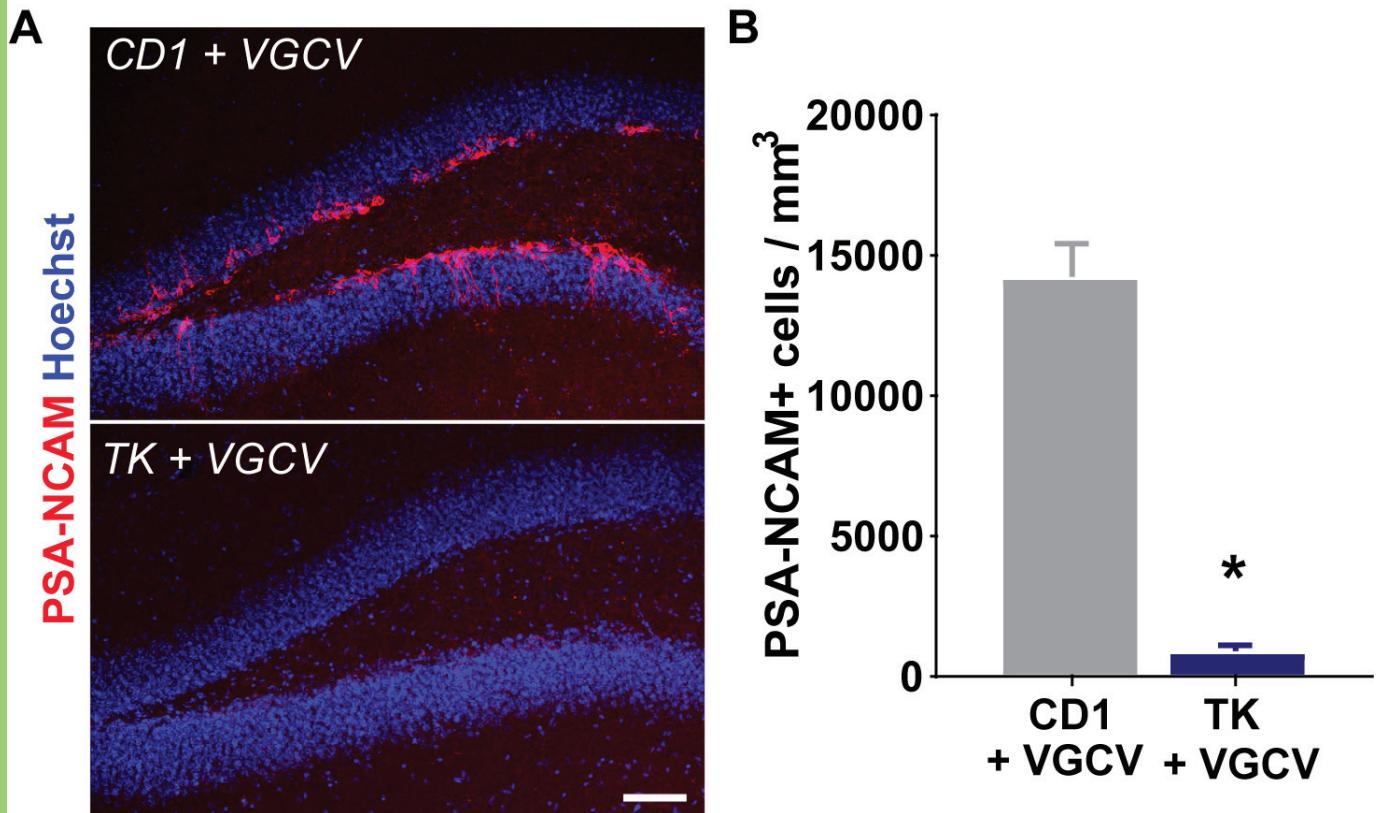












**Table 1: Statistics for female/male comparisons in overall effect of genotype on behavior**

<b>Experiment</b>	<b>Statistics</b>	<b>Results</b>
Social investigation pre-VGCV (24 hr)	three-way ANOVA	sex x genotype x trial: $F_{(2,82)} = 1.269, p = 0.2865$
Social investigation post-VGCV (24 hr)	three-way ANOVA	sex x genotype x trial: $F_{(2,86)} = 0.0807, p = 0.9226$
Social investigation post-VGCV (30 min)	three-way ANOVA	sex x genotype x trial: $F_{(2,74)} = 0.7855, p = 0.4596$
Agonistic behavior difference scores	Mann Whitney	$\text{♀ } CD1 \text{ vs. TK: } U_{(18)} = 40, p = 0.4947$ $\text{♂ } CD1 \text{ vs. TK: } U_{(18)} = 31.50, p = 0.1089$

Statistical analyses demonstrating no overt differences between males and females in the effects of genotype on social behavior measures. Social memory data were analyzed using three-way ANOVA because the datasets met the criteria for parametric statistics. Agonistic behavior data were analyzed using Mann Whitney U tests, because this was not the case. In all experiments, the effects of genotype with or without VGCV were similar in females and males.