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## Interhemispheric callosal projections sharpen frequency tuning and enforce response fidelity in primary auditory cortex

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1 **Interhemispheric callosal projections sharpen frequency tuning**  
2 **and enforce response fidelity in primary auditory cortex**

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4 **Callosal inputs regulate auditory cortical processing**

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34 **Abstract**

35           Sensory cortical areas receive glutamatergic callosal projections that link information  
36 processing between brain hemispheres. In primary auditory cortex (A1), ipsilateral principal cells  
37 from a particular tonotopic region project to neurons in matching frequency space of the  
38 contralateral cortex. However, the role of interhemispheric projections in shaping cortical  
39 responses to sound and frequency tuning in awake animals is unclear. Here we use translaminar  
40 single unit recordings and optogenetic approaches to probe how callosal inputs modulate  
41 spontaneous and tone-evoked activity in A1 of awake mice. Brief activation of callosal inputs  
42 drove either short-latency increases or decreases in firing of individual neurons. Across all  
43 cortical layers, the majority of responsive regular spiking (RS) cells received short-latency  
44 inhibition, whereas fast spiking (FS) cells were almost exclusively excited. Consistent with the  
45 callosal-evoked increases in FS cell activity *in vivo*, brain slice recordings confirmed that  
46 parvalbumin (PV)-expressing cells received stronger callosal input than pyramidal cells or other  
47 interneuron subtypes. Acute *in vivo* silencing of the contralateral cortex generally increased  
48 spontaneous firing across cortical layers and linearly transformed responses to pure tones via  
49 both divisive and additive operations. The net effect was a decrease in signal-to-noise ratio for  
50 evoked responses and a broadening of frequency tuning curves. Together, these results suggest  
51 that callosal input regulates both the salience and tuning sharpness of tone responses in A1 via  
52 PV cell-mediated feedforward inhibition.

53

54 **Significance**

55           We use *in vitro* intracellular and *in vivo* extracellular recordings to show how  
56 interhemispheric projections modulate sensory representations in primary auditory cortex.

57 Callosal projections make preferential input onto parvalbumin-expressing interneurons,  
58 particularly to those in deeper layers. Silencing the contralateral cortex increased principal  
59 neuron spontaneous activity and broadened frequency tuning. These results indicate that the  
60 primary effect of the interhemispheric projection is to sharpen frequency tuning and enforce the  
61 signal to noise ratio.

62

63

## 64 **Introduction**

65       Cortical sensory representations driven by thalamic inputs are strongly influenced by  
66 local intracortical circuits and long range projections including interhemispheric inputs (Carrasco  
67 et al., 2013; Carrasco et al., 2015; Cerri et al., 2010; Lee et al., 2019; Li et al., 2013; Lien and  
68 Scanziani, 2013; Schmidt et al., 2010; Wunderle et al., 2015). In most sensory systems there is  
69 an early decussation such that each hemifield of a sensory modality is primarily represented in  
70 the contralateral hemisphere of the brain. However, sensory areas for a particular modality in  
71 both cortices are linked to each other via interhemispheric projections from axons within the  
72 corpus callosum. These long range, cortico-cortical projections contact a majority of neurons in  
73 both supra- and infragranular layers (Carr and Sesack, 1998; Petreanu et al., 2007; Wise and  
74 Jones, 1976), but their postsynaptic targets and degree of connectivity vary in different sensory  
75 cortical areas (Harris et al., 2019). The differences in callosal connectivity with pyramidal cells  
76 and local interneurons is reflected in previous studies indicating that activation of callosal inputs  
77 can drive excitation and/or inhibition in cortical circuits (Anastasiades et al., 2018; Karayannis et  
78 al., 2007; Lee et al., 2014; Rock and Apicella, 2015). Although these studies have begun to

79 characterize the functional properties of interhemispheric cortical projections, how callosal  
80 pathways contribute to sensory coding *in vivo* is not well understood.

81         Unlike the visual and somatosensory cortices where interhemispheric inputs are relegated  
82 to hemifield overlap areas (Choudhury et al., 1965; Conti et al., 1986; Ebner and Myers, 1965;  
83 Hubel and Wiesel, 1967; Manzoni et al., 1989), callosal inputs are widespread across the  
84 tonotopically-organized primary auditory cortex (Code and Winer, 1986, 1985; Hackett and  
85 Phillips, 2011). Furthermore, anatomical studies in cats indicate that callosal projections between  
86 primary auditory areas are “homotypic”: projections arising from a particular tonotopic region in  
87 one cortex map onto the corresponding frequency space within the contralateral cortex (Diamond  
88 et al., 1968; Imig and Brugge, 1978; Lee and Winer, 2008; Rouiller et al., 1991). Although less is  
89 known regarding the specificity of callosal projections in rodents, homotypic interactions have  
90 also been found in anatomical studies of rats (Cipolloni and Peters, 1983; Rüttgers et al., 1990).  
91 Although callosal inputs arise from the axons of pyramidal cells in the opposite cortex, this  
92 pathway may not simply lead to cortical excitation. Indeed, in anesthetized ferrets electrical  
93 stimulation of callosal inputs caused a variety of effects on sound-evoked firing rates including  
94 enhancement, suppression, or a mixture of the two (Kitzes and Doherty, 1994). Furthermore,  
95 intracellular recordings in A1 of anesthetized cats found that electrical stimulation in  
96 contralateral A1 elicited excitatory postsynaptic potentials that were often followed by inhibitory  
97 postsynaptic potentials (Mitani and Shimokouchi, 1985). These findings are consistent with a  
98 recent brain slice study indicating that A1 callosal inputs drive strong activation of layer 5 (L5)  
99 PV cells that mediate feedforward inhibition of pyramidal cells (Rock and Apicella, 2015).  
100 Despite these results suggesting a potential inhibitory influence of callosal inputs in auditory  
101 processing, removing interhemispheric input in anesthetized cats using cortical cooling reduced

102 sound-evoked activity in contralateral primary cortex (Carrasco et al., 2013). However,  
103 anesthesia itself strongly influences spontaneous and sensory-evoked activity in sensory cortex  
104 (Harris and Thiele, 2011; Kato et al., 2015) and it is unclear how callosal input modulates A1  
105 sensory processing in the awake state.

106 Previous studies have probed the contribution of long range inter-cortical projections to  
107 sensory processing in auditory cortex. For example, stimulation of somatosensory cortex or other  
108 cortical areas can alter frequency tuning in auditory cortex neurons by causing a shift in their  
109 preferred frequency (Gao and Suga, 2000; Ma and Suga, 2001; Winkowski et al., 2018).  
110 Alternatively, other studies have reported that input from visual or motor cortices can suppress  
111 activity in auditory cortex principal cells (Bizley et al., 2007; Kayser et al., 2008; Schneider et  
112 al., 2018).

113 In this study, we use linear silicon probes spanning cortical layers to record spontaneous  
114 and tone-evoked single unit activity in A1 of awake, head-fixed mice. We express  
115 channelrhodopsin-2 (ChR2) in callosal fibers to study how their local activation modulates  
116 activity *in vivo* and identify the local circuits driven by callosal input in brain slice recordings.  
117 Finally, we use ChR2 in GABAergic interneurons to acutely suppress activity in one hemisphere  
118 while recording tone-evoked responses in contralateral A1 to show how the callosal pathway  
119 modulates cortical sensory processing. We find that callosal input drives strong feedforward  
120 inhibition of principal cells in A1, likely as a result of stronger excitation onto PV-expressing  
121 interneurons. Furthermore, callosal projections mediate both a sharpening in frequency tuning as  
122 well as enforcement of signal to noise ratio.

## 123 **Materials and Methods**

124 Mice (8–16 weeks old for *in vivo* recordings, 3–5 weeks old for *in vitro* recordings) of  
125 either sex, Emx1-Cre (Jackson Laboratories No. 05638), Gad2-Cre (Jackson Laboratories No.  
126 019022), PV-cre (Jackson Laboratories No. 017320), SOM-Cre (Jackson Laboratories No.  
127 010708), VIP-cre (Jackson Laboratories No. 010908), tdTomato reporter (Ai14, Jackson  
128 Laboratories No. 00914), and wild-type C57Bl6 mice were housed with a 12:12 h reversed light  
129 cycle. *In vivo* experiments were performed during the dark period. All procedures were in  
130 accordance with protocols approved by the UCSD Institutional Animal Care and Use Committee  
131 and guidelines of the National Institute of Health.

### 132 **Surgical preparation**

133 For *in vivo* electrophysiology experiments, 2–3 weeks prior to head-bar implantation and  
134 habituation to head fixation, mice were anesthetized with isoflurane (2%), and the brain area  
135 corresponding to A1 identified by intrinsic imaging (Kato et al., 2017, 2015). Viruses (AAV9-  
136 hSyn-hChR2(H134R)-eYFP-WPRE-hGH for activation of callosal terminals or AAV9-Ef1 $\alpha$ -  
137 DIO-hChR2(h134R)-YFP-WPRE-hGHpA (AAV-FLEX-ChR2, Atasoy et al., 2008) for cre-  
138 dependent expression in Gad2-cre mice, UPenn) were injected (50 nL) using beveled pipettes  
139 (Nanoject II, Drummond) at three sites spanning A1 at depths of 0.25–0.75 mm. After injections,  
140 mice received dexamethasone (2 mg/kg), buprenorphine (0.1 mg/kg) and baytril (10 mg/kg) prior  
141 to returning to their home cage. 2–3 days prior to *in vivo* recording, a head bar was implanted and  
142 A1, contralateral to the virus injection, was identified using intrinsic imaging. For ipsilateral  
143 silencing experiments, the previous intrinsic imaging for virus injections was used.

144 For *in vitro* recordings, neonatal mice (postnatal day 0–2) were anaesthetized by  
145 hypothermia and secured in a molded platform. AAV9-hSyn-hChR2(H134R)-eYFP-WPRE-hGH

146 was injected at three locations containing the rostral-caudal axis of the auditory cortex identified  
147 by landmarks including the superficial temporal vein (Kato et al., 2017). At each site, injection  
148 was performed at three depths (600, 500, and 400  $\mu\text{m}$  deep from the skin surface, 23 nl/site).  
149 Neonatal virus injection led to widespread expression of ChR2 in A1 and non-primary auditory  
150 cortex. Brain slices were prepared from mice 21-35 days old. Briefly, mice were anesthetized  
151 with isoflurane (2%), and the was brain removed into ice-cold artificial cerebrospinal fluid  
152 (aCSF) containing (in mM) 83 NaCl, 2.5 KCl, 0.5 CaCl<sub>2</sub>, 3.3 MgSO<sub>4</sub>, 1 NaH<sub>2</sub>PO<sub>4</sub>, 26.2  
153 NaHCO<sub>3</sub>, 22 glucose, and 72 sucrose, equilibrated with 95% O<sub>2</sub> and 5% CO<sub>2</sub>. Coronal slices  
154 (400  $\mu\text{m}$  thick) from the cortex contralateral to the virus injection site were cut using a vibrating  
155 slicer (DSK). Slices were selected to contain primary auditory cortex based on landmarks  
156 including the rhinal fissure and shape of the hippocampal formation (2.18-2.92 from bregma,  
157 Franklin and Paxinos, 2008). Although in vitro recordings were targeted to A1 based on these  
158 landmarks, we cannot exclude the possibility that some recordings were obtained from  
159 neighboring, non-primary auditory cortex.

#### 160 **Extracellular recordings**

161 A 32- (Neuronexus) or 64- (Cambridge Neurotech) channel silicon probe was used for  
162 extracellular recordings. Signals were recorded using an Intan RHD2000 and digitized at 20 kHz  
163 using Open Ephys (Siegle et al., 2017). Spikes were sorted using Kilosort (Pachitariu et al.,  
164 2016), followed by manual curation in phy (Rossant et al., 2016) to obtain single units used for  
165 analyses. Cells were excluded from analysis if they did not maintain consistent firing and  
166 amplitude throughout recording, and a firing rate of at least 1Hz. The probe was coated in DiI to  
167 verify probe track for depth of recording as well as recording location. Current source density  
168 (Pettersen et al., 2006) coupled with anatomical verification of probe track was used to identify

169 laminar single unit locations. For all recordings spike waveforms were obtained from the lead  
170 with the largest amplitude template, these were then averaged to obtain an average spike  
171 waveform. Units were classified as fast spiking if their average spike waveform had a trough to  
172 peak time of less than 300  $\mu$ s and a full-width at half max of less than 125  $\mu$ s.

173 A fiber-coupled LED (470 nm, 20 mW, 0.4 mm fiber, 0.48 N.A., Thorlabs) was  
174 positioned within 1-2 mm of the exposed cortical surface for activating ChR2-expressing callosal  
175 fibers or ipsilateral cortical silencing. For experiments using contralateral silencing, the skull  
176 over the virus-expressing auditory cortex was exposed and covered with cyanoacrylate glue (to  
177 improve translucency) before the LED fiber was positioned at the skull surface. Callosal fiber  
178 activation was achieved using a single 5 ms flash (20 mW). For cortical silencing in Gad2-cre  
179 mice expressing ChR2 we used a train of 10 ms light pulses (510 ms, 20 Hz, 20 mW) to activate  
180 inhibitory interneurons.

181 Mice were anesthetized with isoflurane (2%) immediately prior to recording and the ear  
182 canal ipsilateral to the recorded cortex was occluded with cyanoacrylate glue to minimize  
183 bilateral auditory input. A well filled with artificial cerebrospinal fluid (aCSF, in mM: 142 NaCl,  
184 5 KCl, 10 glucose, 10 HEPES, 3.1 CaCl<sub>2</sub>, 1.3 MgCl<sub>2</sub>, pH 7.4, 310 mOsm) was constructed  
185 around the recording site and a small (<0.3 mm) craniotomy was performed through thinned  
186 skull. Mice recovered for >1 hr before the start of recording. Pure tones (250 ms duration)  
187 logarithmically spaced between 4 kHz and 60 kHz (60 dB SPL, 5 ms rise/fall, 1 s intertrial  
188 interval) were delivered via a calibrated free-field speaker (ES1, TDT) directed to the left ear.  
189 Tones were generated by software (BControl; <http://brodylab.org>) running on MATLAB  
190 (MathWorks) communicating with a real-time system (RTLinux). Tone frequencies were  
191 presented in a pseudo-random fashion and LED illumination was delivered on interleaved trials.

192 ***In vitro* electrophysiology**

193 Patch-clamp recordings were performed using an upright microscope, 40X objective, and  
194 DIC optics. Recordings were made using a Multiclamp 700A amplifier (Molecular Devices),  
195 digitized at 20 kHz, and acquired and analyzed using AxographX software. For voltage-clamp  
196 recordings, pipettes (3–5 M $\Omega$ ) contained (in mM): 130 D-gluconic acid, 130 CsOH, 5 NaCl, 10  
197 HEPES, 10 EGTA, 12 phosphocreatine, 3 Mg-ATP, and 0.2 Na-GTP (pH 7.3). Series resistance  
198 was routinely <20 M $\Omega$  and continuously monitored. LED illumination (470 nm, Thorlabs) was  
199 delivered through the microscope objective.

200 **Analysis of in vivo data**

201 For presentation of pooled neuronal responses, firing rates were normalized to the  
202 average baseline firing rate of each neuron 250 ms before the LED period. The analysis window  
203 for callosal terminal excitation was 10 ms from LED onset to capture both the initial excitation  
204 and recurrent inhibition. In contralateral A1 silencing experiments, the window for analysis was  
205 a 250 ms time period that started 250 ms after LED onset. All statistical tests were two sided and  
206 used a significance level of 0.05 (corrected for multiple comparisons where noted). Units were  
207 considered significantly modulated by the LED if the mean firing rate during the analysis  
208 window was different than that of the baseline period as determined by a Wilcoxon sign-rank test  
209  $\alpha = 0.05$ . Modulation index was calculated as  $[(\text{mean firing rate in analysis window}) - (\text{mean}$   
210  $\text{firing rate during baseline period})]/[(\text{mean firing rate in analysis window}) + (\text{mean firing rate}$   
211  $\text{during baseline period})]$ . Average modulation of units was tested for significance using a one  
212 sample t-test.

213 Sound responses were determined as significant at a given frequency if  $p < 0.05$  for a  
214 Wilcoxon rank sum test of firing rate over 250 ms starting 10 ms after sound onset as compared  
215 to the same time period during interleaved trials with no tones (blank trials). A Holm-Bonferroni  
216 correction was used for multiple comparisons. Units were considered sound responsive if they  
217 responded to at least one tone frequency. Unit responses to a given frequency were averaged and  
218 these average responses were fit with a linear polynomial. RS units were included in analysis if  
219 they were sound responsive and had a linear fit with  $r^2 > 0.25$ . Slope significance was determined  
220 using a 95% confidence interval for the linear fit, slopes were considered significantly modulated  
221 either divisively or multiplicatively if the upper bound was  $< 1$  or the lower bound was  $> 1$   
222 respectively. Intercept significance was determined using a 95% confidence interval for the  
223 linear fit, intercepts were considered significantly modulated in either an additive or subtractive  
224 fashion where lower bound was  $> 0$  or the upper bound was  $< 0$  respectively. The discriminability  
225 index,  $d'$ , was calculated for the average of every LED modulated tone response as  $(\text{mean}$   
226  $\text{Spikes}_{\text{sound}} - \text{mean Spikes}_{\text{spontaneous}}) / \sqrt{[0.5 \times (\sigma^2_{\text{sound}} + \sigma^2_{\text{spontaneous}})]}$ . Tone responses for a given  
227 unit were excluded if their tone response versus spontaneous firing rate  $z$ -score was  $< 2$ . The  $d'$   
228 values are presented as the mean of  $d'$  values for a given unit. To generate a frequency tuning  
229 curves, individual unit responses were averaged at each frequency. The responses were then  
230 centered to the best frequency (BF) chosen as the frequency which had the strongest tone  
231 response in the control condition for each unit. Significant modulation at each frequency by  
232 cortical inactivation was determined using a paired  $t$ -test followed by a Holm-Bonferroni  
233 correction for multiple comparisons.

## 234 Results

235 We first studied how local activation of callosal projections modulates cortical  
236 excitability by targeting injection of adeno-associated virus (AAV) expressing ChR2 to A1 of the  
237 left hemisphere (Fig. 1A) in wild-type C57Bl6 mice. Dense expression of ChR2 in fibers within  
238 the left medial geniculate body (MGB) confirmed that injections targeted auditory cortex (Fig.  
239 1A<sub>2</sub>). Although we targeted A1 for virus injection, other auditory cortical areas (i.e. anterior  
240 auditory field (AAF) and non-primary auditory cortex) are likely to also be labeled. We inserted  
241 linear silicon electrodes in A1 of the right hemisphere to monitor single unit activity in the awake  
242 state. Post-hoc analysis of probe recording sites revealed callosal ChR2-expressing fibers  
243 distributed across all layers of A1 (Fig. 1A<sub>2</sub>). Trough to peak time and full width at half  
244 maximum of spike waveforms (Fig. 1B) were used to classify single units as regular spiking  
245 (principal cells) or fast spiking (presumptive PV-expressing interneurons).

246 We used brief (5 ms) LED illumination (470 nm) of the recording site to activate callosal  
247 inputs. On average, callosal stimulation caused a biphasic response in both RS (n = 264) and FS  
248 (n = 33, n = 7 mice) cells: a rapid increase in firing rate followed by a decrease in firing that  
249 returned to baseline over 50-100 ms (Fig. 1C). However, individual RS cells in the same  
250 experiments responded quite differently from each other: some cells were transiently excited by  
251 callosal stimulation, while others were exclusively inhibited (Fig. 1D<sub>1,2</sub>). We used a modulation  
252 index (Methods) to quantify early changes in firing (within 10 ms of callosal LED stimulation).  
253 We found that RS cells were more likely to be significantly inhibited than excited (Fig. 1D<sub>3</sub>, p <  
254 0.05, sign test) in layers 2/3 (L2/3), 4 (L4) and 5, while cells were equally likely to be excited or  
255 inhibited in layer 6 (inhibited vs. excited, L2/3: 38 vs. 20% (n = 23 responding units), L4: 43 vs.  
256 20% (n = 22), L5: 36 vs 24% (n = 67), layer 6 (L6): 26% for each (n = 40). In contrast, FS cells  
257 were much more likely to be significantly excited than inhibited by callosal stimulation across all

258 layers ( $n = 15$  excited vs. 2 inhibited, Fig. 1E). Together, these *in vivo* results indicate that while  
259 a subset of pyramidal cells are directly excited by callosal inputs, interhemispheric projections  
260 cause a widespread suppression of pyramidal cell activity. The rapid increase in FS cell firing  
261 evoked by activation of callosal inputs suggests that principal cell suppression arises from PV  
262 cell-mediated feedforward inhibition.

263 We next used voltage clamp recordings in brain slices to better understand the layer and  
264 cell type specificity of callosal input. We first examined the relative strength of callosal input  
265 onto PV and pyramidal cells. PV-Cre mice were crossed to a td-Tomato reporter line (Ai14) to  
266 target whole-cell recordings of visually identified PV cells. Neonatal virus injection in the left  
267 auditory cortex was used to drive expression of ChR2 in callosal fibers of the contralateral (right)  
268 auditory cortex. We measured responses using simultaneously recorded pairs of PV and  
269 pyramidal cells (Pyr) from L2/3 of A1 contralateral to the injection (Fig. 2A<sub>1</sub>). At -70 mV (near  
270 the reversal potential for GABAergic inhibition), brief LED illumination (470 nm, 2-4 ms)  
271 elicited excitatory postsynaptic currents (EPSCs) that were much larger in PV than pyramidal  
272 cells (peak EPSC amplitude PV =  $628 \pm 80$  pA, Pyr =  $168 \pm 50$  pA,  $n = 6$  pairs,  $p = 0.003$ , paired t-  
273 test). Depolarization to +10 mV (near the reversal potential for glutamatergic excitation),  
274 revealed callosal input-evoked inhibitory postsynaptic currents (IPSCs) in both cell types. IPSCs  
275 always followed EPSCs with a brief delay in pyramidal and PV cells (average latency  $2.13 \pm 0.51$   
276 ms,  $n = 8$ , and  $1.81 \pm 0.2$  ms,  $n = 10$ , respectively) indicating that inhibition was evoked  
277 indirectly by callosal input in a feedforward fashion (Isaacson and Scanziani, 2011). The ratio of  
278 excitation to inhibition (E/I ratio) was also markedly smaller in pyramidal than PV cells in L2/3  
279 ( $0.11 \pm 0.01$  and  $0.33 \pm 0.06$ , respectively,  $n = 5$  pairs,  $p = 0.01$ , paired t-test). Similarly, recordings  
280 in pairs of L5 pyramidal and PV cells revealed stronger callosal excitation of PV cells (Fig. 2A<sub>2</sub>,

281 peak EPSC amplitude PV =  $1105 \pm 324$  pA, Pyr =  $197 \pm 60$  pA, n = 6 pairs, p = 0.03, paired t-test),  
282 a smaller pyramidal cell E/I ratio (ratio PV =  $0.46 \pm 0.08$ , Pyr =  $0.11 \pm 0.02$ , n = 5 pairs, p = 0.007,  
283 paired t-test), and disynaptic IPSC latency (PV =  $1.48 \pm 0.07$  ms, n = 10, Pyr =  $1.08 \pm 0.11$  ms, n =  
284 5). Interestingly, paired recordings of L2/3 and L5 PV cells indicated that PV cells in deeper  
285 cortical layers receive more callosal excitation (Fig. 2A<sub>3</sub>, peak EPSC amplitude L2/3 =  
286  $0.81 \pm 0.21$  nA, L5 =  $2.13 \pm 0.43$  nA, n = 7 pairs, p = 0.03, paired t-test) and had a higher E/I ratio  
287 (L2/3 =  $0.29 \pm 0.05$ , L5 =  $0.54 \pm 0.07$ , n = 7 pairs, p = 0.03, paired t-test). These findings indicate  
288 that callosal projections drive stronger excitation of PV cells than pyramidal cells in both infra-  
289 and supragranular layers. Furthermore, activation of callosal input drives strong feedforward  
290 inhibition of principal cells in A1.

291 Are PV cells unique or do all classes of interneurons receive stronger callosal input than  
292 pyramidal cells? To address this, we recorded callosal input-evoked EPSCs onto pairs of  
293 pyramidal cells and td-Tomato labeled somatostatin (SOM)- or vasoactive intestinal polypeptide  
294 (VIP)-expressing interneurons using SOM- and VIP-Cre mice. Activation of ChR2-expressing  
295 callosal inputs evoked EPSCs that were markedly weaker in SOM cells compared to pyramidal  
296 cells in both L2/3 (Fig. 2B<sub>1</sub>, peak EPSC amplitude SOM =  $120 \pm 52$  pA, Pyr =  $338 \pm 73$  pA, n = 6  
297 pairs, p = 0.04, paired t-test) and L5 (Fig. 2B<sub>2</sub>, SOM =  $132 \pm 41$  pA, Pyr =  $352 \pm 69$  pA, n = 8  
298 pairs, p = 0.03, paired t-test). Callosal EPSCs were much weaker in VIP cells compared to  
299 pyramidal cells in L2/3 (Fig. 2C<sub>1</sub>, peak EPSC amplitude VIP =  $105 \pm 36$  pA, Pyr =  $467 \pm 126$  pA, n  
300 = 8 pairs, p = 0.006, paired t-test) while responses were roughly similar in L5 (Fig. 2C<sub>2</sub>, VIP =  
301  $285 \pm 83$  pA, Pyr =  $364 \pm 71$  pA, n = 11 pairs, p = 0.37, paired t-test). The relatively weak callosal-  
302 evoked EPSCs in SOM and VIP interneurons suggest that they are not a major target of  
303 interhemispheric input.

304 To directly examine the functional role of interhemispheric input *in vivo*, we recorded  
305 from A1 in awake mice while optogenetically suppressing activity in the contralateral auditory  
306 cortex. We injected AAV-FLEX-ChR2 in the left cortex of Gad2-Cre mice to express ChR2 in  
307 GABAergic interneurons (Fig. 3A<sub>1</sub>). Recordings in the injected cortex confirmed that LED  
308 illumination (20 Hz train of 10 ms pulses) drove firing of FS cells (Fig. 3A<sub>2</sub>) while RS cell  
309 activity was largely abolished (Fig. 3A<sub>3,4</sub>). We next monitored spontaneous activity in A1 of the  
310 right hemisphere while silencing contralateral A1 (Fig. 3B<sub>1</sub>). Although it has been suggested that  
311 GABAergic interneurons in auditory cortex can make interhemispheric projections (Rock et al.,  
312 2018), we did not observe ChR2-expressing fibers in A1 contralateral to the AAV-injected  
313 cortex (Fig. 3B<sub>2</sub>). On average, silencing A1 in the left hemisphere caused a transient decrease in  
314 firing followed by an increase in activity in RS and FS cells in contralateral, right A1 (Fig. 3B<sub>3</sub>, n  
315 = 494 RS, 76 FS, n = 19 mice). However, individual cells responded differently to contralateral  
316 silencing depending on cortical layer. LED-responsive RS cells in layers 2/3, 4 and 5 primarily  
317 increased their firing during cortical silencing (excited vs. inhibited: 21 vs. 6%, n = 90  
318 responding units), while L6 RS cells were typically inhibited (excited vs. inhibited: 8 vs. 29%, n  
319 = 23 responding units). Similarly, FS cells in layers 2/3 and 4 were primarily excited during  
320 cortical silencing (excited vs. inhibited: 49 vs. 24%, n = 31 responding units) while those in  
321 layers 5 and 6 were more likely to be suppressed (excited vs. inhibited: 11 vs. 43%, n = 20  
322 responding units). These results indicate that spontaneous firing in L6 RS cells and deep layer FS  
323 cells is dependent on callosal input. The increase in firing in upper layers during cortical  
324 silencing is likely to reflect network effects associated with the withdrawal of deep layer RS and  
325 FS cell activity.

326 We next examined how silencing contralateral cortex modulates tone-evoked activity of  
327 RS cells in A1. The right ear was occluded and pure tones (9 log-spaced frequencies, 4-60 kHz,  
328 250 ms, 60 dB) were delivered to the left ear during optogenetic silencing of the left hemisphere  
329 on interleaved trials (Fig. 4A, tone onset 250 ms following start of LED illumination). RS cells  
330 recorded from right A1 were frequency-tuned (Fig. 4B) such that particular frequencies drove  
331 strong firing (“preferred tones”) while others evoked weak responses (“non-preferred tones”).  
332 Interestingly, the effects of cortical silencing on RS cell activity were dependent on the strength  
333 of tone-evoked responses. Firing rates during non-preferred tones were enhanced by contralateral  
334 silencing, while firing evoked by preferred tones were largely unaffected or reduced (Fig. 4B<sub>1</sub>,  
335 4D<sub>2</sub>). This effect could be described by a simple linear transformation: firing rates during tones  
336 with vs. without LED-induced silencing could be fit by a line with a slope  $< 1$  and y-intercept  $>$   
337  $0$  (Fig. 4B<sub>2</sub>). In other words, removing callosal input had both an additive and divisive action on  
338 A1 tone responses. The effects of contralateral cortical silencing were uniformly divisive across  
339 all cortical layers (Fig. 4C<sub>1</sub>) while additive effects were prominent in all but L6 (Fig 4C<sub>2</sub>).  
340 Together, these results suggest that callosal input normally regulates sound-evoked responses via  
341 multiplicative and subtractive effects.

342 Divisive/multiplicative operations exert gain control of neural responses while  
343 subtractive/additive operations modulate response fidelity via changes in variability associated  
344 with stimulus-independent (“background”) activity (Isaacson and Scanziani, 2011; Silver, 2010).  
345 Both the increase in spontaneous activity and additive effects on tone responses during  
346 contralateral cortical silencing suggest that callosal inputs enforce response fidelity. To address  
347 this possibility, we computed the discriminability index ( $d'$ , Methods), a measure of response  
348 reliability from signal detection theory (Duguid et al., 2012; Sturgill and Isaacson, 2015;

349 Tolhurst et al., 1983) with and without contralateral cortical silencing. Optogenetic cortical  
350 inactivation significantly reduced the discriminability of tone-evoked activity (Fig. 4D<sub>1</sub>  $d'_{\text{LED-off}}$   
351  $= 7.37 \pm 0.45$ ,  $d'_{\text{LED-on}} = 5.58 \pm 0.41$ ,  $n = 124$ ,  $P < 0.001$ , t-test) indicating that callosal input  
352 normally serves to enhance the representation of tone responses relative to spontaneous activity  
353 in A1.

354 We examined how callosal input modulates the shape of frequency tuning curves by  
355 normalizing cell responses to their best frequency (BF, tone eliciting strongest increase in firing)  
356 under control conditions. Silencing contralateral cortex caused a small decrease in the amplitude  
357 of responses at BF (Fig. 4D<sub>2</sub>,  $p = 0.01$ , t-test), consistent with the divisive effect we observed on  
358 input-output relationships (Fig. 4C). However, due to its additive action, cortical silencing also  
359 increased responses to non-preferred frequencies. The net effect is thus a “flattening” of the  
360 population frequency tuning curve (Fig. 4D<sub>2</sub>). Thus, in addition to regulating response fidelity,  
361 callosal inputs normally play an important role in enforcing the sharpness of frequency tuning in  
362 A1.

### 363 **Data Availability.**

364 All data discussed in the paper will be made available to readers upon request.

365

### 366 **Discussion**

367 We show that activating interhemispheric callosal projections can inhibit pyramidal cells  
368 in all layers of A1 in awake mice. These findings are consistent with slice recordings indicating  
369 that callosal inputs evoke strong feedforward inhibition of pyramidal cells in supra- and

370 infragranular layers. This feedforward inhibition likely reflects the recruitment of PV cells,  
371 which receive stronger callosal excitation than SOM or VIP cells in upper and lower cortical  
372 layers. In loss-of-function experiments, acute *in vivo* silencing of contralateral cortex increased  
373 pyramidal cell spontaneous activity in all but L6. Finally, we used tone-evoked activity to show  
374 that cortical silencing linearly transforms A1 input-output relationships via subtractive and  
375 divisive operations. This indicates that interhemispheric projections normally enhance the  
376 salience of tone representations (by regulating signal to noise ratio) and sharpen frequency  
377 tuning in primary auditory cortex.

378         It is well established that callosal inputs make direct excitatory connections onto cortical  
379 pyramidal cells (Anastasiades et al., 2018; Karayannis et al., 2007; Lee et al., 2014, 2019;  
380 Petreanu et al., 2007; Rock and Apicella, 2015) and drive disynaptic feedforward inhibition via  
381 contacts onto local GABAergic interneurons (Anastasiades et al., 2018; Karayannis et al., 2007;  
382 Rock and Apicella, 2015). Indeed, we found that brief activation of callosal fibers drives a  
383 biphasic increase and decrease in the firing of RS and FS cells in awake mice. Surprisingly,  
384 individual RS cells across all cortical layers were more likely to be inhibited than excited by  
385 callosal stimulation. In contrast, FS cells were more routinely activated, suggesting that the  
386 suppressive effects of callosal stimulation on RS cell firing are due to widespread PV cell-  
387 mediated feedforward inhibition. Consistent with this idea, brain slice recordings revealed that  
388 PV cells receive more callosal input than neighboring pyramidal cells or other interneuron  
389 subtypes and deep layer PV cells received ~2X stronger input than L2/3 PV cells.

390         Previous studies in sensory cortical areas have used callosal sectioning (Engel et al.,  
391 1991; Payne et al., 1980) or reversible cortical cooling to probe the functional role of callosal  
392 inputs in anesthetized animals (Carrasco et al., 2013; Carrasco et al., 2015; Cerri et al., 2010;

393 Schmidt et al., 2010; Wunderle et al., 2015). We show in awake mice that acute optogenetic  
394 silencing has heterogeneous effects on spontaneous activity: although a subset of RS cells shows  
395 a rapid and sustained decrease in activity, the majority of cells responded with a slow sustained  
396 increase in firing. The most straightforward interpretation of these results is that decreases in  
397 activity reflect the withdrawal of direct excitatory callosal input onto particular cells, while  
398 paradoxical increases in firing reflect indirect network effects. Increases in firing are most likely  
399 due to a reduction in inhibition provided by PV cells. Indeed, we observed that the spontaneous  
400 firing of deep layer PV cells was strongly suppressed during contralateral cortical silencing. This  
401 suggests that much of the tonic activity of deep layer PV cells is driven by interhemispheric  
402 input. Deep layer interneurons have recently been shown to project axons through all cortical  
403 layers towards the pia (Bortone et al., 2014; Frandolig et al., 2019). It is possible that  
404 interlaminar projections from deep layer PV interneurons mediate the indirect network effects  
405 underlying principal cell excitation following withdrawal of callosal input.

406 In contrast to previous work in auditory cortex of anesthetized animals (Carrasco et al.,  
407 2013; Carrasco et al., 2015), we did not observe a simple reduction in the strength of tone-  
408 evoked responses during contralateral silencing in the awake state. Rather, input-output plots of  
409 tone-evoked firing were linearly transformed in a divisive and additive fashion. Linear  
410 transformations (additive/subtractive and multiplicative/divisive) of sensory-evoked activity  
411 have routinely been observed across cortical areas when local circuits are perturbed (Atallah et  
412 al., 2012; Lee et al., 2012; Natan et al., 2017; Phillips and Hasenstaub, 2016; Sturgill and  
413 Isaacson, 2015; Wilson et al., 2012). Our findings of a mixture of divisive and additive  
414 operations presumably reflects the combination of the withdrawal of direct callosal excitatory  
415 input on pyramidal cells and layer specific reduction in feedforward inhibition. Higher

416 spontaneous activity and stronger inhibition in the awake state are likely to underlie these  
417 differences (Haider et al., 2013; Kato et al., 2015). The actions of callosal inputs cannot be  
418 explained purely by a uniform modulation of PV-interneuron activity, since inactivation of PV-  
419 interneurons caused changes in principal neuron frequency tuning that were primarily additive  
420 and multiplicative (Phillips and Hasenstaub, 2016; Seybold et al., 2015). Differential callosal  
421 input to deep layer vs superficial layer PV cells could play a role in the effects on sensory coding  
422 we observe.

423         In addition to enhancing the discriminability of sound-evoked responses by maintaining a  
424 high signal to noise ratio, callosal inputs sharpen frequency tuning in primary auditory cortex.  
425 The functional impact of this interhemispheric modulation is different than that often reported in  
426 studies examining modulation by long-range cortical inputs. For example, somatosensory input  
427 can change tuning via a shift in preferred frequency (Gao and Suga, 2000; Ma and Suga, 2001),  
428 while olfactory input causes context specific modulation (Cohen et al., 2011). Inputs from the  
429 visual and motor systems can cause a uniform suppression of auditory responses that do not  
430 change frequency representations (Bizley et al., 2007; Kayser et al., 2008; Schneider et al., 2018,  
431 2014). The findings in the current study are in agreement with previous studies indicating that  
432 interhemispheric connections modulate the specificity of sensory-evoked activity in visual  
433 (Hubel and Wiesel, 1967; Schmidt et al., 2010; Wunderle et al., 2015) and somatosensory cortex  
434 (Clarey et al., 1996). In future, it will be useful to determine how callosal input contributes to  
435 binaural cortical sound representations and auditory-directed behaviors such as sound  
436 localization and discrimination.

437

438 **References**

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596

597 **Figures**

598 Figure 1 Optogenetic activation of cortical callosal inputs evokes excitation and inhibition in A1 of awake  
599 mice. A<sub>1</sub>, Left, Experiment schematic, wild-type C57Bl6 mice. Right, Intrinsic imaging showing  
600 responses to 3, 10, and 30 kHz pure tones overlaid on an image of the vasculature. Areas indicated are  
601 A1, anterior auditory field (AAF), and secondary auditory cortex (A2). Scale bar = 500  $\mu\text{m}$ . A<sub>2</sub>, Left,  
602 Coronal section showing ChR2 expression (green) within A1 of the injected left hemisphere (Inj) and DiI-  
603 labeled recording electrode tract (red) in contralateral A1 (Rec). Dense ChR2 expression is also present in  
604 the medial geniculate body (MGB) of the injected hemisphere. Scale bar = 1 mm. Right, Blow-up of  
605 recording site in the right hemisphere shows expression of ChR2-expressing fibers throughout all cortical  
606 layers. WM = white matter, scale bar = 250  $\mu\text{m}$ . Dashed lines show A1 border inferred from the same  
607 coronal planes according to Franklin and Paxinos, 2008. B, FS (red) and RS (black) units are identified by  
608 plotting spike trough to peak time vs. full width at half max (FWHM). Inset, average waveforms of FS  
609 and RS units. Scale bar = 250  $\mu\text{s}$ , 20  $\mu\text{V}$ . C, Average normalized peristimulus time histogram (PSTH) of  
610 RS (black) and FS (red) units shows that brief LED illumination (bar) drives a transient increase followed  
611 by a decrease in firing rate. D, Activation of callosal inputs increases activity of some RS cells, but  
612 inhibition is more widespread. D<sub>1</sub>, Individual RS unit spike raster and PSTH showing that ChR2  
613 activation of callosal fibers (blue shading) inhibits firing. Grey shading indicates measurement period  
614 used to calculate modulation index. D<sub>2</sub>, RS unit strongly activated by callosal input. D<sub>3</sub>, Left, modulation  
615 index of units significantly activated (red) or inhibited (blue) across all layers. Open circles indicate units  
616 without significant effect and points marked 1 and 2 represent units in D<sub>1</sub> and D<sub>2</sub>, respectively. Right, pie  
617 charts indicate proportion of units excited (red), inhibited (blue), or not significantly modulated (grey) in  
618 each layer. E, Activation of callosal inputs activates FS cells across all layers. Two representative FS  
619 units are plotted in E<sub>1</sub> and E<sub>2</sub>. E<sub>3</sub>, modulation index of FS units across all cell layers are illustrated as for  
620 RS cells in D<sub>3</sub>.

621

622 Figure 2 Cortical callosal inputs preferentially excite PV cells and drive strong feedforward inhibition. A<sub>1</sub>,  
623 L2/3 PV cells receive stronger callosal fiber-evoked EPSCs and have a larger E/I ratio than L2/3  
624 pyramidal cells. Top, recording configuration. Middle, simultaneous voltage clamp recording of L2/3  
625 pyramidal cell (Pyr) and PV cell showing EPSCs (inward currents, -70 mV) and IPSCs (outward currents,  
626 +10 mV) evoked by brief LED illumination (blue bars) of ChR2-expressing callosal fibers. Bottom,  
627 summary of EPSC peak amplitudes and E/I ratios for recorded pairs. Black lines, individual cell pairs.  
628 Red circles, mean ±SEM. A<sub>2</sub>, L5 PV cells receive stronger callosal fiber-evoked EPSCs and have a larger  
629 E/I ratio than L5 pyramidal cells. A<sub>3</sub>, L5 PV cells receive stronger callosal fiber-evoked EPSCs and have  
630 a larger E/I ratio than L2/3 PV cells. B, SOM cells in L2/3 (B<sub>1</sub>) and L5 (B<sub>2</sub>) receive weaker callosal fiber-  
631 evoked EPSCs than neighboring pyramidal cells. C, VIP cells in L2/3 (C<sub>1</sub>) receive weaker callosal fiber-  
632 evoked EPSCs than neighboring pyramidal cells. The strength of callosal input-evoked EPSCs in L5 VIP  
633 cells (C<sub>2</sub>) and pyramidal cells are similar.

634

635 Figure 3 Acute optogenetic silencing of interhemispheric cortical input causes a sustained increase in  
636 spontaneous activity in most layers of A1. A, Local activation of ChR2-expressing interneurons silences  
637 RS cell activity. A<sub>1</sub>, recording configuration. A<sub>2</sub>, spike raster (top) and PSTH (bottom) show strong  
638 activation of a representative FS unit by an ipsilateral LED pulse train (blue bars). A<sub>3</sub>, spike raster (top)  
639 and PSTH (bottom) show strong suppression of simultaneously recorded RS unit. A<sub>4</sub>, summary of  
640 ipsilateral LED-evoked suppression of RS activity (n = 34 units, 2 mice). B, Activation of ChR2-  
641 expressing interneurons in one hemisphere leads to transient inhibition followed by excitation in  
642 contralateral A1. B<sub>1</sub>, recording configuration. B<sub>2</sub>, Left, Coronal section showing ChR2 expression (green)  
643 within A1 of the injected left hemisphere (Inj) and DiI-labeled recording electrode tract (red) in  
644 contralateral A1 (Rec). Right, Blow-up of recording site. WM = white matter. C, Average normalized

645 PSTH of RS (black) and FS (red) units shows that sustained LED illumination (bar) drives transient  
646 decrease and sustained increase in firing. Shading,  $\pm$ SEM. D, Inactivation of A1 causes sustained increase  
647 in activity of RS units in layers 1-5 of contralateral A1. D<sub>1</sub>, individual L5 RS unit spike raster and PSTH  
648 showing that silencing contralateral A1 (blue shading) enhances firing. Grey shading indicates  
649 measurement period used to calculate modulation index. D<sub>2</sub>, L6 RS unit with sustained suppression  
650 during silencing of contralateral A1. D<sub>3</sub>, Left, modulation index of units significantly activated (red) or  
651 inhibited (blue) across all layers. Open circles indicate units without significant effect and cells marked 1  
652 and 2 represent units in D<sub>1</sub> and D<sub>2</sub>, respectively. Right, pie charts indicate proportion of units excited  
653 (red), inhibited (blue), or not significantly modulated (grey) in each layer. E, Silencing contralateral A1  
654 causes a rapid and sustained decrease in firing in deep layer FS cells, as well as a sustained firing increase  
655 in upper layer FS cells. Representative L2/3 and L5 FS unit are plotted in E<sub>1</sub> and E<sub>2</sub>, respectively. E<sub>3</sub>,  
656 modulation index of FS units across all cell layers are illustrated as in D<sub>3</sub>.

657

658 Figure 4 Silencing interhemispheric cortical input degrades the fidelity and frequency tuning of tone-  
659 evoked responses in A1. A, recording configuration. B, Silencing contralateral A1 linearly modulates tone  
660 evoked activity via a combination of additive and divisive operations. B<sub>1</sub>, PSTHs of tone-evoked  
661 responses from a representative RS unit to four frequencies (black bars) under control conditions (black  
662 line) and during contralateral silencing (blue line) on interleaved trials. Blue bars, LED pulse train. Grey,  
663 measurement windows for tone-evoked firing rate. B<sub>2</sub>, plot of firing rates during tones (n = 9 frequencies)  
664 with the LED on vs. LED off of the cell in B<sub>1</sub>. Line is linear fit: slope = 0.73, y-intercept = 12.63,  $r^2 =$   
665 0.96. C, Silencing callosal input exerts divisive and additive actions on tone-evoked activity across  
666 cortical layers. C<sub>1</sub>, Slopes derived from linear fits to individual RS units with significant tone-evoked  
667 activity in each cortical layer. Blue circles, slope significantly <1. Red circles, slope significantly >1.  
668 Open circles, no significant change in slope. Pie charts represent fraction of cells in each layer with  
669 divisive (blue, slope <1), multiplicative (red, slope >1), or no significant effect (grey, NS). C<sub>2</sub>, Y-

670 intercepts derived from linear fits to same RS units in  $C_1$ . Blue circles, y-intercept significantly  $<0$ . Red  
671 circles, y-intercept significantly  $>0$ . Open circles, y-intercept not significantly different from 0. Pie charts  
672 represent fraction of cells in each layer with additive (red, y-intercept  $>0$ ), subtractive (blue, y-intercept  
673  $<0$ ), or no significant effect (grey, NS).  $D_1$ ,  $d'$  of RS units with LED off vs. LED on shows that cortical  
674 silencing reduces response detectability.  $D_2$ , Cortical silencing “flattens” frequency tuning curves.  
675 Average tuning curves of RS units centered to their BF under control conditions (black) and during  
676 contralateral cortical silencing (blue). Asterisks indicate frequencies with significant difference (paired t-  
677 test, Holm-Bonferroni corrected).







