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Metabolic disturbances of a high fat diet are dependent on APOE genotype and sex

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21
22

23 **Abstract**

24 Objective: Apolipoprotein E4 (*APOE4*) is the strongest genetic risk factor for Alzheimer's Disease
25 (AD). *APOE4* is also associated with an increased risk of metabolic syndrome. Obesity is a major
26 environmental risk factor for AD. While *APOE* genotype and obesity independently affect
27 metabolism and cognition, they may also have synergistic effects. Here we examined the metabolic
28 and behavioral alterations associated with a high fat diet (HFD) in male and female *APOE* knock-
29 in mice.

30

31 Methods: Male and female mice were fed a 45% kcal HFD or a 10% kcal low fat diet (LFD) for
32 12 weeks and adipose tissue accumulation, glucose levels, anxiety-like behavior, and spatial
33 memory were examined.

34

35 Results: We found that with HFD, male *APOE4* mice were more susceptible to metabolic
36 disturbances, including visceral adipose tissue accumulation and glucose intolerance when
37 compared to *APOE3* mice, while female *APOE3* and *APOE4* mice had similar metabolic
38 responses. Behaviorally, there were no effects of HFD in mice of either genotype.

39

40 Conclusions: Our results suggest that metabolic responses to HFD are dependent on both sex and
41 APOE genotype.

42

43 **Significance Statement**

44 *APOE4* and obesity are independently associated with increased risk of metabolic syndrome and
45 cognitive impairment. Obesity may cause greater metabolic and cognitive disturbances in
46 *APOE4* carriers. However, the metabolic and cognitive effects of obesity on male and female
47 *APOE4* carriers remain unknown. Here we examine and compare the metabolic and cognitive
48 disturbances caused by a high fat diet in both male and female *APOE3* and *APOE4* mice.
49 Through this study, we examine how high fat diet affects the *APOE3* and *APOE4* genotype and
50 how these effects differ across sexes.

51

52 Introduction

53 Apolipoprotein E4 (*APOE4*) is the strongest genetic risk factor for Alzheimer's Disease
54 (AD) (Huang, Weisgraber et al. 2004, Raber, Huang et al. 2004). In the periphery, APOE is a
55 component of lipoproteins responsible for the metabolism of plasma lipids. Through binding to
56 different lipoprotein receptors, APOE traffics high density lipoproteins (HDLs) and very low
57 density lipoproteins (VLDLs) throughout the body for storage or elimination (Huang and Mahley
58 2014). In the central nervous system (CNS), APOE-HDL are responsible for trafficking lipids from
59 astrocytes to neurons and for clearance into the circulation (Liu, Liu et al. 2013). There are three
60 *APOE* alleles, *APOE2*, *APOE3*, and *APOE4*, and each allele is associated with a differential risk
61 of AD. *APOE2* has an allele frequency of 8% in the US and is associated with a 40% decreased
62 risk of developing AD (Huang and Mahley 2014). *APOE3* has an allele frequency of 77%;
63 homozygous *APOE3* carriers (64% of the population) are defined as having a normal risk of AD
64 (Liu, Liu et al. 2013). *APOE4* has an allele frequency of 15%; heterozygous carriers are 2.3 times
65 more likely to develop AD and homozygous carriers are 14 times more likely (Liu, Liu et al. 2013).

66 Obesity and metabolic syndrome are also major risk factors for AD. Obesity is a medical
67 condition characterized by increased body mass index and currently affects 40% of adults and 20%
68 of children in the US (Hales, Carroll et al. 2017). In the periphery, obesity can lead to metabolic
69 syndrome including increases in visceral adipose tissue (VAT) and subcutaneous adipose tissue
70 (SAT), and decreases in glucose metabolism and insulin sensitivity (Mathieu, Poirier et al. 2009,
71 Neth and Craft 2017). In the CNS, obesity is associated with increased inflammation, deficits in
72 cognitive functioning, mild cognitive impairment, and AD (Gustafson, Backman et al. 2009,
73 Besser, Gill et al. 2014, Bloor and Symonds 2014).

74 While *APOE* genotype and obesity independently affect AD risk, they may also have
75 combined effects. *APOE4* is associated with increased cognitive deficits and increased risk of
76 metabolic syndrome (Arbones-Mainar, Johnson et al. 2008, Rodriguez, Burns et al. 2013, Torres-
77 Perez, Ledesma et al. 2016), which are exacerbated when combined with obesity. Obese *APOE4*
78 carriers can have elevated glucose and insulin levels (Elosua, Demissie et al. 2003), and deficits
79 in cognitive functioning (Ghebranious, Mukesh et al. 2011, Zade, Beiser et al. 2013). Data in
80 humans is complemented by mouse models. *APOE4* knock-in mice have increased insulin
81 resistance and deficits in glucose metabolism when on high fat diets (Arbones-Mainar, Johnson et
82 al. 2008, Johnson, Torres et al. 2017). Cognitive performance of *APOE4* mice on high fat diets
83 have shown mixed results, with either increased deficits in spatial memory (Johnson, Torres et al.
84 2017) or no cognitive differences (Janssen, Jansen et al. 2016). Here we compare the effects of a
85 high fat diet (HFD), with macronutrients equivalent to a western diet, on male and female
86 homozygous *APOE3* and *APOE4* mice. We examined both metabolic and behavioral alterations
87 and found that HFD increases metabolic disturbances in both *APOE3* and *APOE4* mice, with
88 *APOE4* mice being more robustly affected. We also found that male and female mice differentially
89 respond to HFD.

90

91 **Methods**

92 **Animals/Diet**

93 Male and female human *APOE3* and *APOE4* knock-in mice on a C57BL/6J background (n=5-
94 9/sex) (the gift of Patrick Sullivan) were fed either a HFD (45%kcal fat, Research Diets-D12451)
95 or ingredient matched low fat diet (LFD) (10%kcal fat, Research Diets-D12450H) for 12 weeks

96 beginning at 6 months of age. Food and water were provided ad libitum and mice were weighed
97 weekly during the 12 weeks. At the end of the 12 weeks mice underwent glucose tolerance testing
98 (GTT), abdominal and neck magnetic resonance imaging (MRI), and behavioral assays which
99 occurred over a two-week period (12-14 weeks). The mice remained on the diets throughout the
100 GTT, MRIs, and behavioral assays. All experiments followed the guidelines of the Institutional
101 Animal Care and Use Committee.

102 **Glucose Testing**

103 Mice were restricted from food for 6 hours prior to the measures of baseline glucose levels and
104 glucose tolerance to a glucose bolus. Fasting baseline glucose levels were followed by an
105 intraperitoneal injection of 20% glucose (1mg/kg). Blood glucose levels from tail vein withdrawal
106 were measured using the AccuChek Guide glucose meter at 15, 30, 60, and 120 minutes post
107 injection.

108 **Magnetic Resonance Imaging**

109 After completion of behavioral assays, mice underwent small animal imaging in the Preclinical
110 Imaging Research Laboratory at the Georgetown University Medical Center. Mice were
111 anesthetized using 3-5% isoflurane and maintained with 1-3% isoflurane. Images were taken with
112 a 7-Tesla horizontal Bruker spectrometer ran by Paravision 5.1; body temperature, heart rate, and
113 respiration were monitored throughout the scan. Images were obtained for the abdominal white
114 adipose tissues (WAT) visceral adipose tissue (VAT) and subcutaneous adipose tissue (SAT), and
115 for the neck brown adipose tissue (BAT). Z stack images were analyzed with ImageJ. VAT and
116 SAT images were quantified as ratios of abdominal adipose tissue to abdominal organs (referred
117 to as “body”). For BAT, images were quantified as the ratio of BAT intensity to the white adipose

118 tissues (WAT) intensity. The BAT and WAT intensities were measured using the mean grey value
119 in ImageJ, with the darker areas being reflected as higher mean grey values indication higher BAT
120 intensities. Higher BAT intensity indicates more BAT, which has the ability to convert excess food
121 energy into thermal energy (Schulz and Tseng 2013).

122 **Behavioral Assays: Open Field, Elevated Zero, Barnes Maze**

123 For all behavioral assays, mice were placed in the behavioral suite for a 30-minute acclimation
124 period.

125 **Open Field Test**

126 Mice freely explored a square (43 x 43 x 30 cm) open field apparatus for 300 seconds. During free
127 exploration, locomotor activity and anxiety-like behaviors were recorded. The apparatus was
128 divided into an inner zone and a bordering outer zone that lined the apparatus's walls. Mice were
129 placed in the center of the inner zone and behavior was recorded for the duration of the test.
130 Behavior was recorded with Med Associates Activity Monitor 7. For locomotion, average speed
131 (m/s) was assessed. For anxiety-like behavior, time spent in the inner vs outer zone (9 x 9 cm) was
132 assessed as increased time in the outer zone indicating increased anxiety (Seibenhener and Wooten
133 2015). Data were analyzed with GraphPad Prism 8.

134 **Elevated Zero Maze**

135 Mice were exposed to a circular elevated zero apparatus (50cm from floor, 50cm diameter, 15cm
136 high closed regions) for 300 seconds of free exploration. The apparatus consists two closed regions
137 and two open regions of equal sizes. Mice were placed on the center of an open region to begin
138 testing and behavior was recorded for the duration of the 300 seconds using ANY-maze Behavioral

139 tracking software 6.0. Time spent in the closed vs open regions of the apparatus was examined as
140 a measure of anxiety and willingness to explore. Data were analyzed with GraphPad Prism 8.

141 **Barnes Maze**

142 Mice were exposed to the Barnes maze for five consecutive days to test spatial learning and
143 memory, as described (Speidell, Demby et al. 2019). The maze was present in a brightly lit room
144 and 90dB white noise. Mice were habituated to the maze on day one, and then had four consecutive
145 training days. During training days, mice underwent four trials with 15 minutes between each trial,
146 and latency to first nose poke and latency to enter the escape hole (latency to escape) were recorded
147 to examine spatial memory. Mice were recorded with Any-maze Behavioral tracking software 6.0
148 and data were analyzed with GraphPad Prism 8.

149 **Statistics**

150 All data are expressed as mean \pm standard deviation with the exception of behavioral assays which
151 are expressed as mean \pm standard error. Comparisons between genotype, sex and diet were
152 analyzed by three-way ANOVAs with Tukey's multiple comparison test. Comparisons between
153 genotype and sex were analyzed by two-way ANOVAs with Sidak's multiple comparison test.
154 Statistical significance was determined by a probability error of $p < 0.05$. All analyses were done
155 using GraphPad Prism 8.

156

157 **Results**

158 **High fat diet increases the weight of *APOE3* and *APOE4* mice**

159 To examine how the different *APOE* genotypes respond to obesity, we used a diet induced
160 obesity model. Male and female mice (6 months old) were placed on a HFD for 12 weeks and
161 weighed weekly. At 6 months old, the male mice (across genotypes) weighed significantly more
162 than female mice ($p < 0.0001$, Figure 1A). To directly compare weight gain trajectories, weights
163 were calculated as a percent of each mouse's original body weight. All groups gained weight over
164 the course of the experiment, with HFD groups gaining more weight compared to LFD groups
165 (Figure 1).

166 In female mice, HFD resulted in a 40% increase from original body weight by week 11 in
167 both *APOE3* and *APOE4* mice ($p < .0001$); both genotypes gained weight at the same rate. HFD
168 mice also weighed more than LFD mice (*APOE3*: $p < .06$, *APOE4*: $p < .005$). Female *APOE3* and
169 *APOE4* mice on the LFD experienced slight weight gain (15%, $p > 0.8$, Figure 1B).

170 In male mice, HFD resulted in a 30% increase from original body weight in *APOE3* mice
171 by week 11 ($p < .0001$) and resulted in a 45% increase from original body weight in *APOE4* mice
172 by week 11 ($p < .0001$), although the differences between *APOE3* and *APOE4* genotypes were not
173 statistically significant ($p = 0.15$). *APOE3* and *APOE4* mice on the LFD experienced slight weight
174 gain (17%, $p > 0.8$, Figure 1C). HFD *APOE3* mice did not weigh significantly more than the LFD
175 *APOE3* mice ($p = .5697$); HFD *APOE4* mice did weigh significantly more than LFD *APOE4* mice
176 ($p < .0001$).

177 Across sexes, the weight gain due to HFD did not differ. However, while male *APOE3* did
178 respond to HFD, they gained 15% less weight than male *APOE4* mice or female mice (Figure 1D).
179 On week 12, there was a slight decrease in body weight associated with the beginning of the
180 metabolic and behavioral assays; therefore, statistical tests were conducted on data from week 11.

181 **HFD increases baseline glucose levels and glucose intolerance in *APOE3* and *APOE4* mice**

182 Deficits in glucose metabolism are also associated with HFD. These deficits can lead to
183 Type II Diabetes and cognitive deficits. To test whether our model alters glucose metabolism,
184 baseline glucose levels and glucose tolerance were measured after 12 weeks of HFD (Figure 2).

185 In females, HFD *APOE3* and *APOE4* mice had similar baseline glucose levels; these levels
186 did not differ from LFD *APOE3* and *APOE4* mice. In males, HFD *APOE3* and *APOE4* mice had
187 similar baseline glucose levels; however, their levels were elevated when compared to LFD
188 *APOE3* and *APOE4* mice ($p < .002$, Figure 2A). Across sexes, male HFD mice had significantly
189 higher baseline glucose levels than the female HFD mice ($p < .0001$, Figure 2A). We reasoned that
190 increased baseline glucose may be associated with weight gain, given the disparate levels of weight
191 gains in male versus female mice. To test this hypothesis, we determined the correlation of weight
192 gain with baseline glucose across genotype and sex. *APOE3* and *APOE4* weight gain was
193 positively correlated with increased baseline glucose in males, but not females (*APOE3*: $p = 0.001$,
194 $R^2 = 0.68$, *APOE4*: $p = 0.003$, $R^2 = .62$, Figure 2B).

195 After baseline glucose levels, mice underwent GTT as a measurement of glucose
196 metabolism. A bolus of glucose was given, and glucose levels measured at 15, 30, 60 and 120
197 minutes. In females, when compared to baseline, there was an increase in glucose levels in the first
198 15 minutes in all groups ($p < .003$). This increase remained in the HFD groups at 30 minutes
199 ($p < .0001$), and 60 minutes ($p < .0001$, Figure 2C). In males, when compared to baseline, there was
200 an increase in glucose levels in the first 15 minutes and remained elevated at 30 minutes in all
201 groups ($p < .02$). At 60 minutes, all mice returned to the range of baseline glucose except for HFD
202 *APOE4* mice ($p < .002$, Figure 2D). This indicates that the HFD *APOE4* mice did not metabolize
203 the glucose as quickly or efficiently as the HFD *APOE3* mice or the LFD *APOE4* mice.

204 To examine overall differences in glucose tolerance over time across genotype and sex, we
205 analyzed area under the curve in the GTT. In females, HFD *APOE4* mice had a larger deviation
206 in glucose than HFD *APOE3* mice ($p<.0003$) and LFD *APOE4* mice had a larger deviation in
207 glucose than LFD *APOE3* mice ($p<.02$). HFD *APOE4* mice also had a larger deviation than LFD
208 *APOE4* mice ($p<.02$). This difference was not seen when comparing HFD *APOE3* mice and LFD
209 *APOE3* mice (Figure 2E). In males, HFD *APOE4* mice had a larger deviation in glucose than HFD
210 *APOE3* mice ($p<.0001$). HFD mice also had a larger deviation in glucose than both LFD *APOE3*
211 mice ($p<.05$), and *APOE4* mice ($p<.0001$; Figure 2E). Across sexes, glucose deviations in male
212 HFD *APOE4* mice were greater than deviations seen in all female groups ($p<.0003$). Deviations
213 in male HFD groups were larger than deviations seen in the female HFD groups except female
214 HFD *APOE4* mice ($p<.003$, Figure 2E).

215 To test whether the glucose intolerances found could result from weight gains, we ran
216 correlational analyses comparing weight gain with glucose levels 30 minutes after bolus. In both
217 *APOE3* and *APOE4* mice, an increase in weight was positively correlated with higher glucose
218 levels ($p<.007$), indicating any increase in weight may strongly affect glucose intolerance. There
219 was a stronger positive correlation between weight gain and glucose intolerance in *APOE3* mice
220 ($p=0.01$) indicating weigh gain can drive glucose intolerance in *APOE3* mice while *APOE4* mice
221 are more susceptible to glucose intolerance at lower weights (Figure 2F). Glucose levels were
222 significantly correlated with weight gain regardless of sex with the exception of *APOE4* males
223 ($p<.02$, Figure 2F).

224 **HFD increases visceral adipose tissue and subcutaneous adipose tissue in *APOE3* and *APOE4***
225 **mice**

226 A metabolic disturbance associated with HFD is increased adipose tissue. SAT is the
227 adipose tissue more associated with obesity; however, VAT is more noxious due to its direct
228 contact with the organs and its ability to release inflammatory cytokines (Hotamisligil, Arner et
229 al. 1995). To test whether our model results in increases in specific types of adipose tissue, we
230 used small rodent MRIs to examine both VAT and SAT levels (Figure 3A).

231 In females, HFD caused an increase in VAT compared to LFD ($p < .0001$, Figure 3B). In
232 males, HFD *APOE4* mice accumulated more VAT than LFD *APOE4* mice ($p < .0001$), but there
233 was no similar effect for *APOE3* mice ($p = 1.0$, Figure 3B). Across sexes, HFD mice had similar
234 elevated VAT levels, except for the male *APOE3* mice, which did not differ from LFD mice
235 ($p < .02$, Figure 3B).

236 We analyzed the correlation between VAT and GTT, including the possible effects of
237 genotype, sex, and diet. There was no correlation between VAT and GTT when considering
238 genotype and diet (Figure 3C); however, there was a correlation between VAT and GTT only in
239 male *APOE4* mice ($R^2 = 0.6$, $p = 0.03$, Figure 3C). We also ran correlational analyses comparing
240 weight gain and VAT to see whether VAT was a large contributor to the weight gain. VAT and
241 weight gain in *APOE3* females and *APOE4* mice positively correlated (*APOE3*: $R^2 = 0.52$, $p = 0.005$,
242 *APOE4*: $R^2 = 0.40$, $p = 0.01$); however, there was not a positive correlation between VAT and weight
243 gain in *APOE3* males (Figure 3D). These findings indicate that VAT may act as a contributor to
244 weight gain and glucose intolerance.

245 The effects of HFD on SAT mirrored its effects on VAT. In females, HFD *APOE3* and
246 *APOE4* mice had similar levels of SAT, and HFD caused an increase in SAT compared to LFD.
247 ($p < .03$). In males, SAT accumulation did not differ across genotype or diet (Figure 4A). VAT and
248 SAT levels strongly correlated ($R^2 = 0.47$, $p < .0001$, Figure 4B).

249 **Sex affects BAT area and intensity in APOE3 and APOE4 mice**

250 BAT is a metabolically active adipose tissue (Schulz and Tseng 2013). To examine diet
251 associated BAT alterations, we used small rodent MRI and imaged neck BAT (Figure 5A). We
252 examined intensity of BAT, with decreasing intensities indicating the transition to WAT. There
253 was no effect of diet on BAT; however, there were sex differences. Male mice had significantly
254 lower BAT intensities than female mice (~30%, $p < .004$, Figure 5B). The lower BAT intensities
255 indicate less thermogenic energy expenditure which has been implicated in decreased resistance
256 to diet induced obesity (Schulz and Tseng 2013). We ran correlational analyses to see whether
257 BAT intensity individually correlated with weight gain. In male *APOE4* mice, there was a negative
258 correlation between weight gain and BAT intensity ($R^2=0.42$, $p=0.01$, Figure 5C). This was also
259 seen in *APOE3* mice ($R^2=0.3$, $p=0.03$, Figure 5C). These correlations indicate weight gain can
260 directly decrease BAT levels, particularly in *APOE3* and male *APOE4* mice.

261 **Effects of HFD on behavior in APOE3 and APOE4 mice**

262 We tested the effects of *APOE* genotype, sex, and diet on metabolism affected cognitive
263 domains in these mice. Since HFD resulted in significant weight gain, we first examined whether
264 movement had been impaired. In the open field test, there were no differences in average speed
265 regardless of diet, sex, or genotype (Figure 6A).

266 To examine whether HFD induced cognitive alterations in this experiment, we used the
267 open field test (OFT), elevated zero maze (EZM), and Barnes maze (BM). OFT and EZM were
268 both used to measure anxiety like behavior. For the OFT, time spent in the center zone was used
269 as a measure of decreased anxiety. We found that all *APOE4* mice spend less time in the center
270 zone than HFD *APOE3* mice ($*p < .05$, $**p < .002$, Figure 6B). There were no differences between

271 sexes (data not shown). EZM, a second measure of anxiety like behavior, did not show any
272 differences by diet, *APOE* genotype, or sex (Figure 6C).

273 We used the BM to test spatial learning and memory. The mice were exposed to the maze
274 for four training days and latency to first nose poke and latency to escape were measured each day.
275 For latency to first nose poke *APOE4* mice showed less learning on training day one, but matched
276 *APOE3* mice by training day two ($p < .03$, Figure 7A). For latency to escape, *APOE4* mice were
277 delayed for the first two training days, but by training day three the latency to escape matched
278 *APOE3* mice. There was no effect of diet on either *APOE3* or *APOE4* groups ($p < .03$, Figure 7B).

279

280 Discussion

281 Although not as severe as the risk of AD in homozygous *APOE4* carriers, metabolic
282 disturbances caused by a high fat diet can have a 2-3 fold increased risk of cognitive impairment
283 and Alzheimer's Disease (Gunstad, Paul et al. 2007, Whitmer, Gustafson et al. 2008, Profenno,
284 Porsteinsson et al. 2010). Using an *APOE* knock-in mouse model, we found that *APOE4* increases
285 metabolic disturbances in response to HFD. Furthermore, sex plays a pivotal role in the effects of
286 HFD. We examined differences in weight, baseline glucose levels, glucose intolerance, and
287 adipose tissue disposition and found these to be the most significantly increased in male *APOE4*
288 mice. Female *APOE3* and *APOE4* mice responded similarly to HFD with increased weight,
289 glucose intolerance, and adipose tissue levels. In terms of the types of adipose tissue that increased
290 under the HFD, in males, VAT increases were seen in the *APOE4* mice, but not *APOE3* mice. SAT
291 increases were not seen in *APOE3* or *APOE4* mice. These findings demonstrate that the male
292 *APOE4* group has the greatest accumulation of VAT in response to HFD. In females, VAT and

293 SAT increases were seen in both *APOE3* and *APOE4* mice in response to HFD, indicating there is
294 a similar accumulation in both types of adipose tissue. Throughout the study, female mice had
295 similar metabolic responses to HFD regardless of *APOE* genotype and male *APOE4* mice had
296 more robust metabolic disturbances.

297 While we cannot directly compare our study to previous studies due differences in the age
298 of mice, diet composition, and length of time on diets, there are similarities across models. With
299 wild-type mice on HFD, male and female mice accumulate similar levels of VAT, but male mice
300 display higher fasting blood glucose levels, insulin levels, and insulin resistance (Macotela,
301 Boucher et al. 2009, Hwang, Wang et al. 2010, Medrikova, Jilkova et al. 2012, Barron, Rosario et
302 al. 2013). Human studies also showed this pattern: males have increased chances of metabolic
303 syndrome associated with obesity (Hadaegh, Hashemina et al. 2013, Pradhan 2014). These
304 studies demonstrate that the rodent models can reflect well some effects of HFD on human
305 metabolic disturbances.

306 Several studies have been conducted in *APOE* mice on the effects of high fat diets because
307 clinical studies showed *APOE4*-positive individuals have increased risk of metabolic syndrome
308 (Arbones-Mainar, Johnson et al. 2008, Torres-Perez, Ledesma et al. 2016) and obese *APOE4*
309 carriers have increased metabolic disturbances when compared to *APOE3* carriers (Elosua,
310 Demissie et al. 2003). Diverse studies, including ours, showed that there were no differences in
311 baseline glucose levels between *APOE3* and *APOE4* mice on HFD, and that HFD induced worse
312 glucose tolerance in *APOE4* mice than in *APOE3* mice (Table 1). These findings support the
313 observed susceptibility of human *APOE4* carriers to metabolic disturbances, underscoring the
314 importance of diet for *APOE4* individuals in particular.

315 There are several findings that differ from our work (Table 1). Published studies show that
316 *APOE3* mice on several types of high fat diets gain more weight when compared to *APOE4* mice
317 (Arbones-Mainar, Johnson et al. 2008, Huebbe, Dose et al. 2015, Johnson, Torres et al. 2017), and
318 *APOE3* mice have greater VAT accumulation (Arbones-Mainar, Johnson et al. 2008, Johnson,
319 Torres et al. 2017). In all studies the VAT accumulation reflects the weight gain, with the heavier
320 groups having larger VAT compositions. These differences in VAT accumulation and weight gain
321 across studies could be due to different diet compositions. Our study uses a lard based 45% kcal
322 fat diet; other studies use either a diet where the fat is composed of milk (Arbones-Mainar, Johnson
323 et al. 2008, Huebbe, Dose et al. 2015, Arbones-Mainar, Johnson et al. 2016) or 60% kcal fat from
324 lard (To, Ribe et al. 2011, Johnson, Torres et al. 2017, Johnson, Torres et al. 2019). These findings
325 raise the interesting possibility that both the components and the percentage of fat can differentially
326 affect weight gain in *APOE4* carriers. In humans, healthy *APOE4* carriers have lower Body Mass
327 Index (BMI) (Tejedor, Garcia-Sobreviela et al. 2014) although they remain more susceptible to
328 metabolic and cognitive disturbances.

329 Studies on the effects of high fat diets on cognition in non-*APOE* mice showed spatial
330 memory deficits and deficits in other cognitive task including novel object recognition and fear
331 conditioning in wild type mouse models (Hwang, Wang et al. 2010, Kesby, Kim et al. 2015) and AD
332 mouse models (Barron, Rosario et al. 2013, Knight, Martins et al. 2014, (Knight, Martins et al.
333 2014, Kesby, Kim et al. 2015, Lin, Hasegawa et al. 2016, Johnson, Torres et al. 2017). Studies on
334 the effects of diet on cognition in *APOE* mice showed either equal levels of impairment in *APOE3*
335 and *APOE4* mice on HFD or increased impairment in *APOE4* mice on HFD depending on the
336 behavioral assay (Johnson, Torres et al. 2017)(Johnson, Torres et al. 2019). We did not observe
337 robust behavioral effects with our behavioral assays. *APOE4* mice exhibited more anxiety like

338 behavior on the open field but not on the elevated zero. With the Barnes Maze, *APOE4* mice had
339 impairment in spatial learning overall, but diet had only an effect on TD1. Potential effects of diet
340 here may have been obscured by sex differences, which could be addressed in larger cohorts.
341 Previous studies have shown *APOE4* mice have cognitive deficits or decreased neuronal
342 complexity from as early as 3 months and these deficits remain at later ages such as 21 months
343 (Bour, Grootendorst et al. 2008, Rodriguez, Burns et al. 2013, Speidell, Demby et al. 2019),
344 consistent with APOE genotype dependent deficits seen in our study. Performance in cognitive
345 task have differed between sexes also with females performing worse than males (Bour,
346 Grootendorst et al. 2008), further emphasizing the need for these behavioral assays to be replicated
347 with a greater number of animals across sexes.

348 In humans, obesity has been linked to increased risk of AD, cognitive disturbances, and
349 decreases in structural integrity (Enzinger, Fazekas et al. 2005, Gunstad, Paul et al. 2007). Middle
350 aged obesity is particularly impactful, associated with increased risk of cognitive disturbances and
351 dementia (Whitmer, Gunderson et al. 2005, Gustafson, Karlsson et al. 2007, Tolppanen, Ngandu
352 et al. 2014). However, higher BMI at later ages is protective (Tolppanen, Ngandu et al. 2014),
353 highlighting a complex relationship between BMI and cognition. Interestingly, while obesity in
354 males is associated with increased susceptibility to metabolic disturbances, obesity in females is
355 associated with increased susceptibility to cognitive changes (REF?). Obese females compared to
356 obese males have increased risk of MCI leading to AD, decreased cognitive performances,
357 decreased structural brain integrity (Moser and Pike 2016). *APOE4* females (compared to *APOE4*
358 males) have an equivalent risk of AD, with a significantly earlier age of onset between 65 and 75
359 years old (Neu, Pa et al. 2017). In mouse studies of *APOE* mice crossed with *5xFAD* (*EFAD*),
360 male obese *E4FAD* mice have higher levels of beta amyloid deposits, glial reactivity, and

361 inflammatory markers compared to non-obese *E4FAD* mice or obese *E3FAD* mice (Moser and
362 Pike 2017). In female non-obese *EFAD* mice, *APOE4* was associated with higher levels of AD
363 pathology; however the *E3FAD* mice were more affected by HFD, suggesting that the female
364 *E4FAD* mice reached deficits that could not be further exacerbated by diet (Christensen and Pike
365 2019). Therefore, while our study does not highlight diet specific behavioral deficits data greatly
366 supports the connection between diet and CNS dysfunction.

367 Chronic systemic inflammation associated with VAT and the alterations in glucose and
368 insulin may be connected to cognitive disturbances (Jones and Rebeck 2018). HFD increases
369 systemic inflammation from the increase in VAT (Hotamisligil, Arner et al. 1995). This increase
370 in inflammation can both induce metabolic disturbances (Xu, Barnes et al. 2003) and increase CNS
371 damage (Kempuraj, Thangavel et al. 2017, Varatharaj and Galea 2017). There is also the
372 possibility that the metabolic disturbances such as glucose intolerance and insulin resistance could
373 more directly lead to CNS damage. Metabolic disturbances have been associated with increased
374 CNS insulin resistance, glucose intolerance (Arnold, Lucki et al. 2014, Kothari, Luo et al. 2017),
375 which can affect brain glucose uptake and neuronal functioning. However, we do not know
376 whether it is the inflammation or metabolic disturbances leading the CNS deficits.

377 We found that HFD leads to metabolic disturbances particularly in male *APOE4* mice, and
378 in female mice of either *APOE3* or *APOE4* genotypes; however, the underlying mechanisms of
379 this response remain to be defined. Overall, the study implicates *APOE4* positive individuals as
380 more affected by HFD. These connections could affect a large proportion of the population as the
381 increasing rates of obesity increase the risk of metabolic syndrome.

382

383

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585

586 **Figure Titles and Legends**

587 **Figure 1:** HFD increases weight gain and glucose intolerance. Weight gain comparison from pre
588 diet to week 12 on diet across *APOE* genotypes and sex. Initial weights of all mice in grams (A).
589 Diet associated weight gain of female *APOE3* and *APOE4* mice (B) and male *APOE3* and *APOE4*
590 mice (C). Direct comparison of male and female weight gain at week 11 on diet (D). E3 LFD: light
591 blue, *APOE3* mice on a LFD, E3 HFD: dark blue, *APOE3* mice on a HFD, E4 LFD: light red,
592 *APOE4* mice on a LFD, E4 HFD: dark red, *APOE4* mice on a HFD.

593

594 Three-way ANOVA Tukey Test Multiple Comparison, N=5-9. A) ****p<0.0001. B) *p<0.04.
595 **p<0.003. C) ****p<0.0001. D) **p<0.0046.

596

597 **Figure 2:** HFD increases baseline glucose and glucose intolerance. Comparison of baseline
598 glucose levels in male and female *APOE3* and *APOE4* mice, first comparing within sex differences
599 then across sex differences (A). Correlational analyses between weight, glucose levels, and sex.
600 Lines indicate significant correlations (B). Glucose tolerance testing in female (C) and male (D)
601 *APOE3* and *APOE4* mice. Area Under the Curve (AUC)- complete deviation from baseline
602 glucose levels, comparing within sex differences and across sex differences (E). Correlation
603 between genotype, weight gain, sex and glucose levels at 30 minutes. Lines indicate significant
604 correlations (F).

605

606 A,C-E) N=4-6. Three Way ANOVA Tukey Test Multiple Comparison. A) **p<0.002,
607 ****p<0.0001. C) **p<0.003 all groups deviate from baseline at 15 minutes, ****p<0.0001 HFD
608 and LFD *APOE4* deviates from baseline at 30 and 60 minutes. D) **p<0.002 all groups deviate

609 from baseline at 15 minutes, * $p < 0.02$ all groups deviate from baseline at 30 minutes, *** $p < 0.0002$
610 HFD *APOE4* deviates from baseline at 60 minutes. E) * $p < 0.02$, ** $p < 0.01$, *** $p < 0.0003$,
611 **** $p < 0.0001$. B&F) Linear Regression. B) *APOE3* males (N=12): $R^2=0.68$, $p=0.001$. *APOE4*
612 males (N=11): $R^2=0.63$, $p=0.004$. F) *APOE3* males (N=12): $R^2=0.75$, $p=0.0002$. *APOE3* females
613 (N=9): $R^2=0.72$, $p=0.004$. *APOE4* females (N=12): $R^2=0.42$, $p=0.02$.

614

615 **Figure 3:** HFD increases VAT in *APOE3* and *APOE4* mice. Second panels in A-D show the same
616 data with a different analysis. Representative image of VAT and SAT in LFD mouse and HFD
617 mouse. S=subcutaneous adipose tissue, V= visceral adipose tissue, K=kidneys (A). Within sex and
618 across sex quantification of VAT in *APOE3* and *APOE4* mice (B). Correlation of glucose
619 intolerance and VAT accumulation across *APOE* genotypes and across sex. Lines indicate
620 significant correlations (C). Correlation of weight gain and VAT accumulation across *APOE*
621 genotypes and across sex. Lines indicate significant correlations (D).

622

623 B) N=5-9. Within sex comparison: **** $p < 0.0001$, Three-way ANOVA Tukey's multiple
624 comparison. Across sex comparison: * $p < 0.02$, Three-way ANOVA Tukey's multiple comparison.
625 C-D) Linear Regression analyses. C) *APOE3* female (N=6): $R^2=0.52$, $p=0.005$; *APOE4* male
626 (N=7): $R^2=0.48$, $p=0.01$; *APOE4* female (N=14): $R^2=0.43$, $p=0.003$. D) *APOE4* male (N=14):
627 $R^2=0.62$, $p=0.03$.

628

629 **Figure 4:** HFD increases SAT in *APOE3* and *APOE4* mice. Within sex and across sex
630 quantification of VAT in *APOE3* and *APOE4* mice (A). Correlation of VAT and SAT
631 accumulation(C).

632

633 A) N=5-9, $p<0.04$, Three-way ANOVA Tukey's multiple comparison. B) N=59, Linear
634 Regression $R^2=0.47$, $p<0.0001$

635

636 **Figure 5:** Male *APOE* mice have decreased BAT. Representative image of BAT in the neck in a
637 LFD mouse and HFD mouse (A). Comparison of BAT intensity in male and female *APOE3* and
638 *APOE4* mice (B). Correlation of genotype and weight to BAT intensity then sex and weight to
639 BAT intensity Lines indicate significant correlations (C). B=brown adipose tissue, W= white
640 adipose tissue, S=spine, TAT-total adipose tissue

641

642 B) N=5-9, $**p<.004$, Three Way ANOVA Tukey Test Multiple Comparison. C) Linear
643 Regression. *APOE3* male (N=17): $R^2=0.25$, $p=0.04$; *APOE3* female (N=15): $R^2=0.32$, $p=0.03$;
644 *APOE4* male (N=14): $R^2=0.42$, $p=0.01$.

645

646 **Figure 6:** Effects of diet on locomotor activity and anxiety-like behavior. Mice were placed on an
647 open field apparatus and locomotion was recorded. Average speed on open field test analyzed by
648 *APOE* genotype and diet (A). Mice were placed on the open field apparatus and elevated zero
649 maze and anxiety-like behavior were analyzed. Time spent in the Center Zone of the Open Field
650 Test (B). Time spent in the open arms of the Elevated Zero maze (C).

651

652 A-C) N=13-15 mice. Two-Way ANOVA Sidak's multiple comparison test. B) $*p<0.05$,
653 $**p<0.002$.

654

655 **Figure 7:** Effects of diet on Barnes Maze performance. Mice were trained on the Barnes Maze
656 for four consecutive days and memory acquisition measured. Latency to first nose poke of the
657 escape hole (A). Latency to escape from the Barnes Maze (B).

658

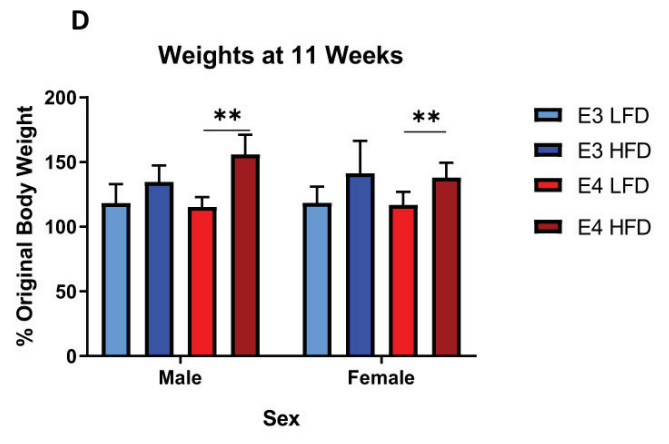
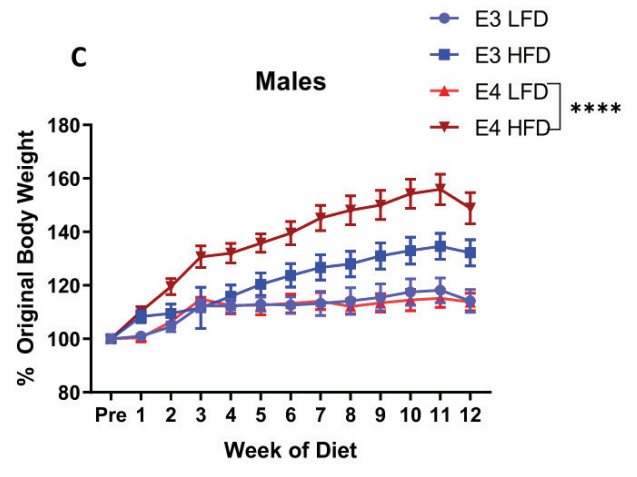
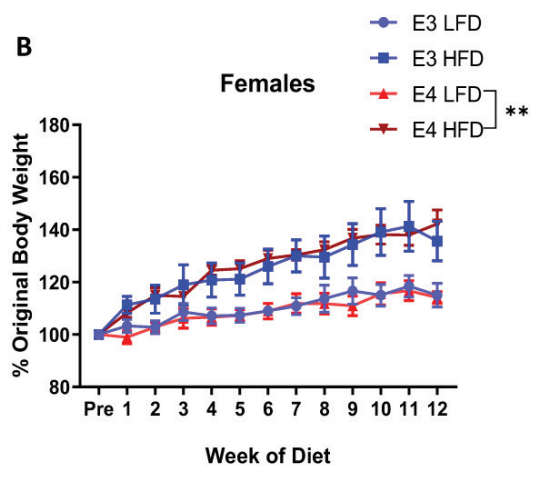
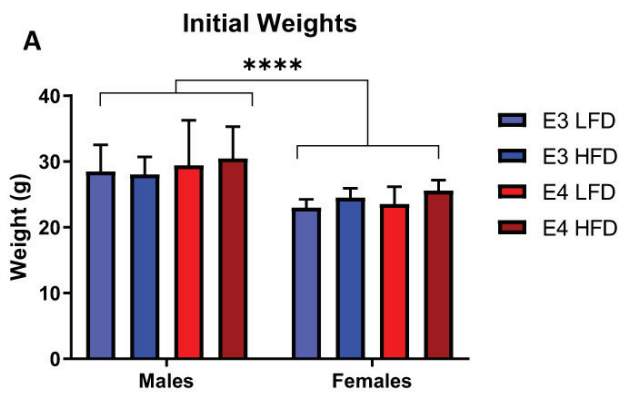
659 A-B) N=13-15. *P<.03, \$P<.05, **P<.004, Two-Way ANOVA, Sidak's Multiple Comparison.

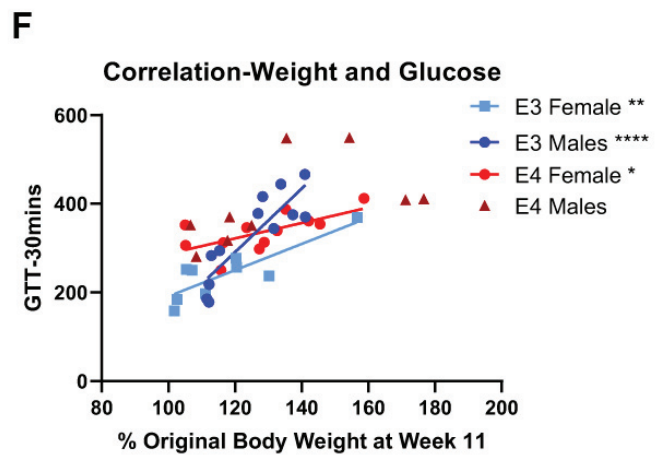
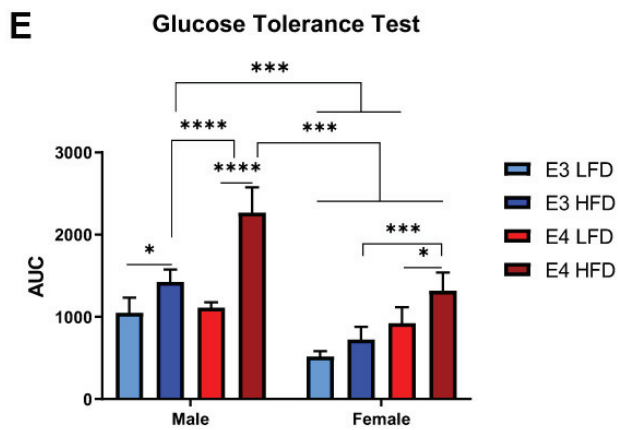
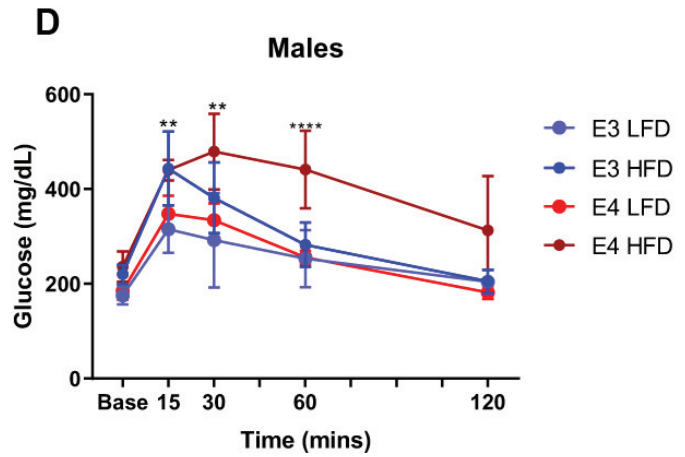
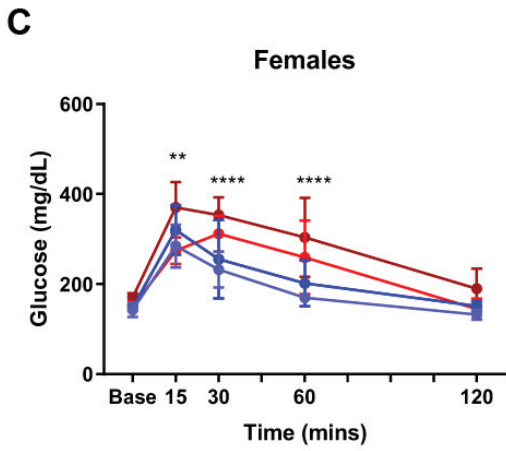
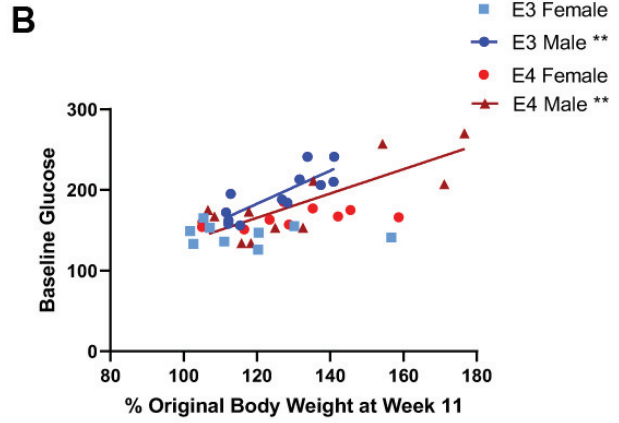
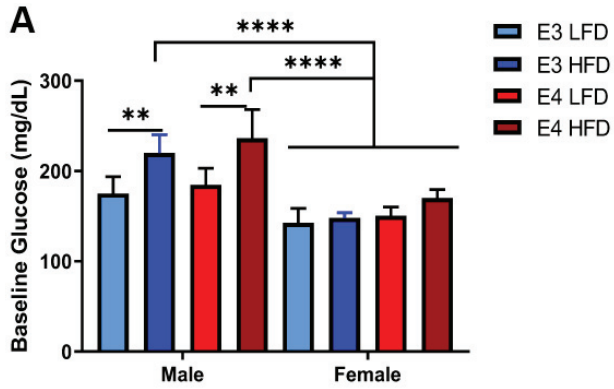
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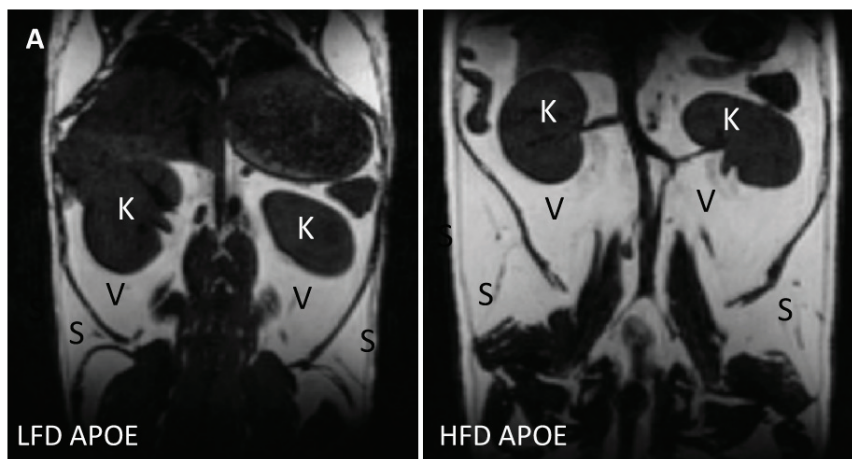
661 **Table 1:** Studies of the effects of a HFD on *APOE3* and *APOE4* mice.

662

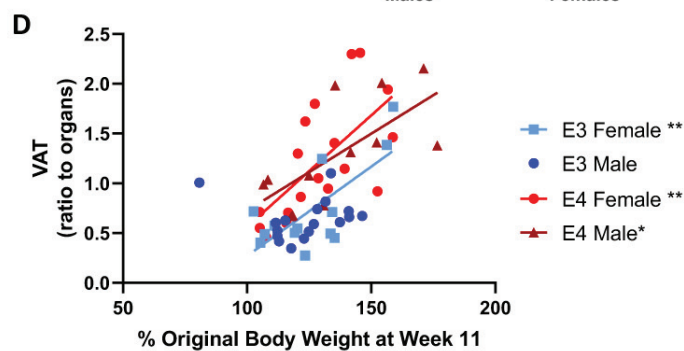
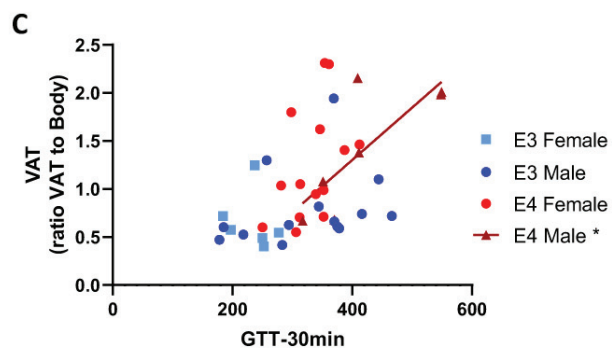
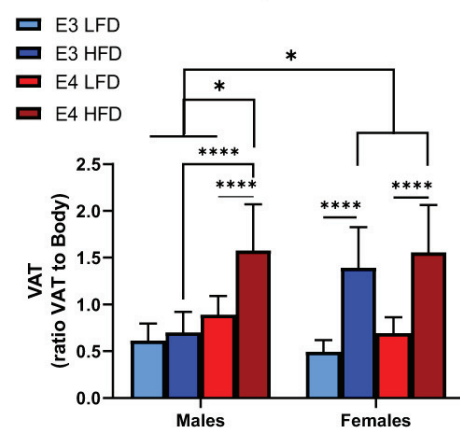
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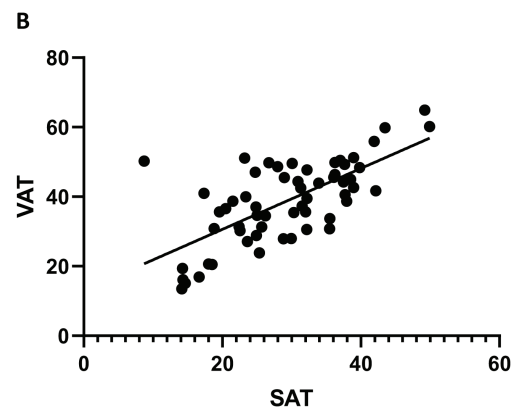
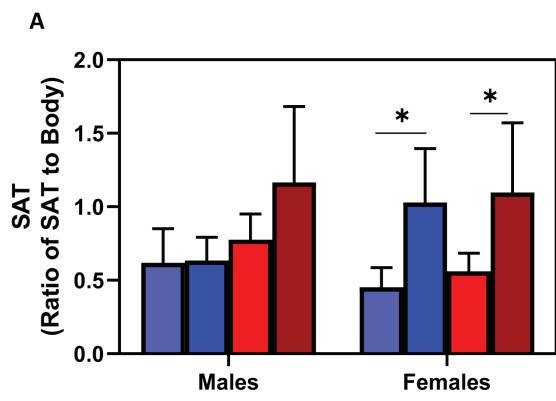


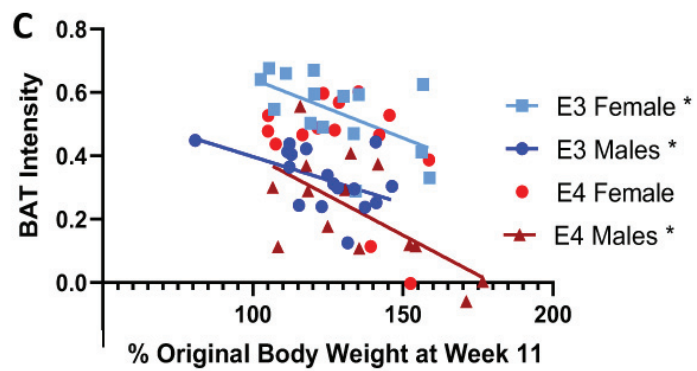
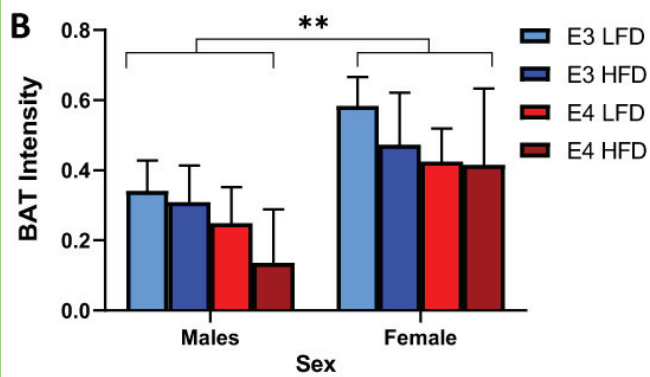
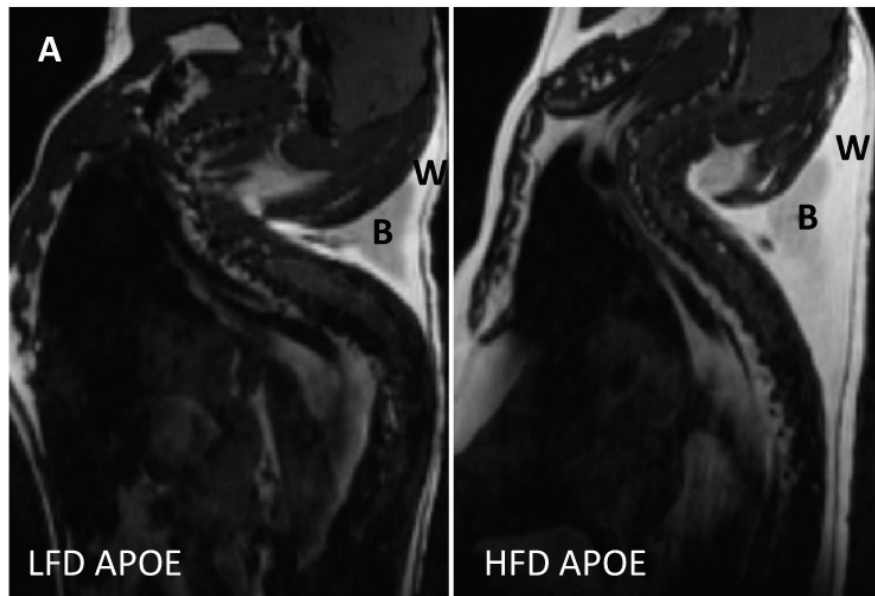


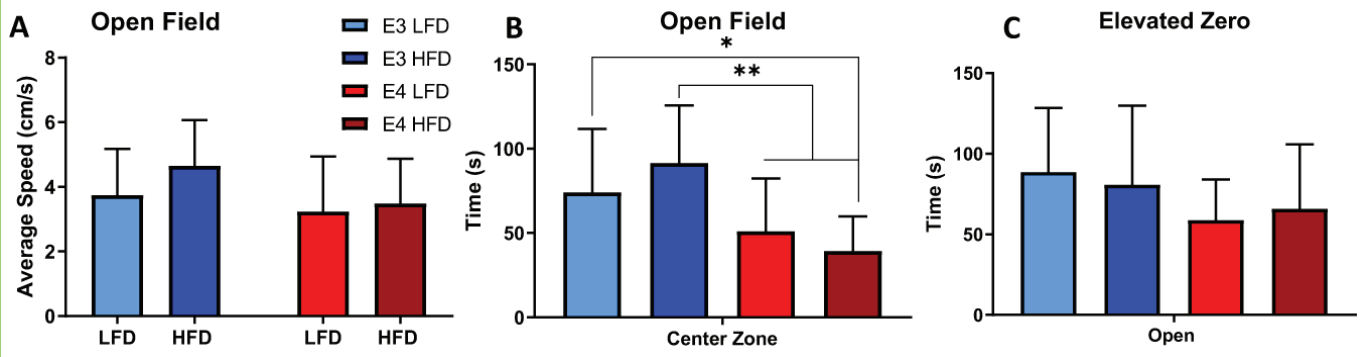


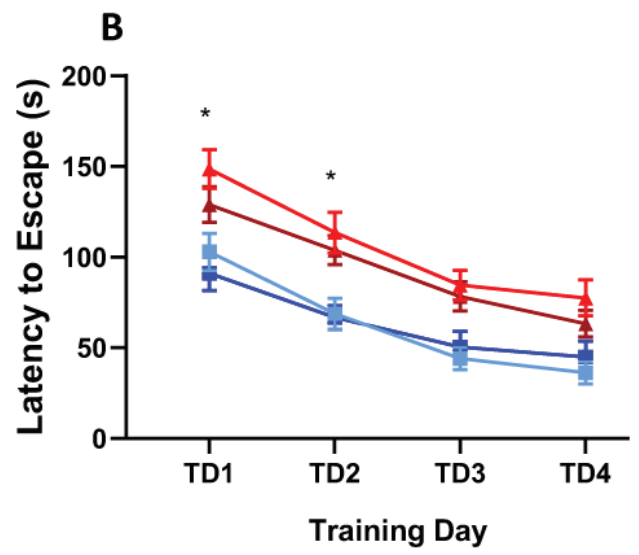
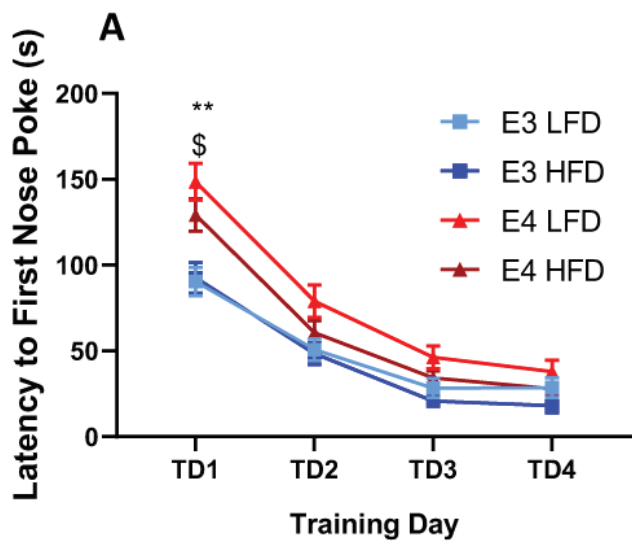
B Visceral Adipose Tissue











Reference	Onset/ Duration	Sex	Dietary Composition	Metabolic Findings	Cognitive Findings
Arbones-Mainar et al., 2008	2 months/ 8 weeks	Male	21% (w/w) fat and 0.2% (w/w) cholesterol	<ul style="list-style-type: none"> • Weight gain: E3 HFD>E4 HFD • SAT accumulation: E3 HFD=E4 HFD • VAT accumulation: E3 HFD>E4 HFD • Baseline Glucose: E3 HFD=E4 HFD • Glucose intolerance: E4 HFD> E3 HFD 	N/A
To et al., 2011	3 months/ 32 weeks	Male	60% kcal fat from lard	<ul style="list-style-type: none"> • Baseline Glucose: E3 HFD= E4 HFD • Glucose intolerance: E3 HFD=E4 HFD 	N/A
Huebbe et al., 2014	6-8 weeks/ 8 months	Female	21% fat from milk	<ul style="list-style-type: none"> • Weight gain: E3 HFD>E4 HFD 	N/A
Arbones-Mainar et al., 2016	2 months/ 1,2,6,10 months	Male	21% (w/w) fat from milk and 0.2% (w/w) cholesterol	<ul style="list-style-type: none"> • Weight gain: E3 HFD> E4 HFD • Baseline Glucose: E3 HFD= E4 HFD 	N/A
Johnson et al., 2017	9 months/ 6 months	Female	60% kcal fat from lard	<ul style="list-style-type: none"> • Weight gain: E3 HFD>E4 HFD • VAT accumulation: E3 HFD>E4 HFD • Baseline Glucose: E3 HFD=E4 HFD • Glucose intolerance: E4 HFD>E3 HFD 	<ul style="list-style-type: none"> • Object Recognition Impairment: E3 HFD=E4 HFD • Cued Fear Memory: E3 HFD=E4 HFD • Spatial Memory: E4 HFD> E3 HFD
Johnson et al., 2019	9 months/ 6 months	Female	60% kcal fat from lard	<ul style="list-style-type: none"> • Weight gain: E3 HFD> E4 HFD • SAT accumulation: E3 HFD= E4 HFD • VAT accumulation: E3 HFD> E4 HFD • Baseline Glucose: E3 HFD=E4 HFD • Glucose intolerance: E3 HFD=E4 HFD 	<ul style="list-style-type: none"> • Morris Water Maze: E3 HFD=E4 HFD