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**Dorsal medial habenula regulation of mood-related behaviors and primary reinforcement by tachykinin-expressing habenula neurons**

Dorsal medial habenula in mood regulation

Yun-Wei A. Hsu\*, Glenn Morton\*, Elizabeth G. Guy, Si D. Wang and Eric E. Turner

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\*Y.-W.A.H. and G.M. contributed equally to this work.

**Correspondence should be addressed to** Eric E. Turner, Center for Integrative Brain Research, Seattle Children's Research Institute, 1900 Ninth Avenue, mail stop JMB-10, Seattle, WA 98101, USA, E-mail: [eric.turner@seattlechildrens.org](mailto:eric.turner@seattlechildrens.org).

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8 **3 List all Author Names and Affiliations in order as they would appear in the  
9 published article**

10 Yun-Wei A. Hsu\*, Glenn Morton\*, Elizabeth G. Guy, Si D. Wang, and Eric E. Turner

11 \*Equal contribution

12

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14 following: Designed research, Performed research, Contributed unpublished  
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16 Y-W H Designed and Performed research and Analyzed data;

17 GM Designed and Performed Research and Analyzed data;

18 EGG Designed and Performed research;

19 SDW Designed and Performed research;

20 ET Designed research, Analyzed data, and Wrote the paper.

21

22 **5. Correspondence should be addressed to (include email address)**

23 Center for Integrative Brain Research  
24 Seattle Children's Research Institute,  
25 1900 Ninth Avenue, mail stop JMB-10,  
26 Seattle, WA 98101  
27 eric.turner@seattlechildrens.org

28

29

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49

50 **Abstract**

51 Animal models have been developed to investigate aspects of stress, anxiety  
52 and depression, but our understanding of the circuitry underlying these models remains  
53 incomplete. Prior studies of the habenula, a poorly understood nucleus in the dorsal  
54 diencephalon, suggest that projections to the medial habenula (MHb) regulate fear and  
55 anxiety responses, while the lateral habenula (LHb) is involved in the expression of  
56 learned helplessness, a model of depression. Tissue-specific deletion of the  
57 transcription factor Pou4f1 in the dorsal MHb (dMHb) results in a developmental lesion  
58 of this subnucleus. These dMHb-ablated mice show deficits in voluntary exercise, a  
59 possible correlate of depression. Here we explore the role of the dMHb in mood-related  
60 behaviors and intrinsic reinforcement. Lesions of the dMHb do not elicit changes in  
61 contextual conditioned fear. However, dMHb-lesioned mice exhibit shorter immobility  
62 time in the tail suspension test, another model of depression. dMHb-lesioned mice also  
63 display increased vulnerability to the induction of learned helplessness. However, this  
64 effect is not due specifically to the dMHb lesion, but appears to result from Pou4f1  
65 haploinsufficiency elsewhere in the nervous system. Pou4f1 haploinsufficiency does  
66 not produce the other phenotypes associated with dMHb lesions. Using optogenetic  
67 intracranial self-stimulation, intrinsic reinforcement by the dMHb can be mapped to a  
68 specific population of neurokinin-expressing habenula neurons. Together, our data  
69 show the dMHb is involved in the regulation of multiple mood-related behaviors, but also  
70 support the idea that these behaviors do not reflect a single functional pathway.

71

72 **Significance Statement**

73 Our current understanding of neural circuits regulating mood states such as fear  
74 and depression is fragmentary. Recently, interest has grown in how the habenula, a  
75 poorly understood nucleus providing descending inputs to the tegmentum and raphe,  
76 may affect these behavioral states. We have employed mouse genetic models to study  
77 part of this system, the dorsal medial habenula (dMHb). Here we report that the dMHb  
78 is not, as previously proposed, required for normal acquisition of a conditioned fear  
79 response. Mice with genetic lesions of the dMHb show some profound effects in mood-  
80 related tests, but cannot be described strictly as “depressed” or “resilient”, suggesting



81 that at the circuit level, models of affective states are complex and do not report  
82 identical phenomena.  
83

84 **Introduction**

85           The habenula is a poorly understood brain nucleus lying dorsal to the thalamus.  
86 Recently, a series of studies has begun to explore the role of the habenula in behaviors  
87 related to mood regulation and stress. The habenula is divided into lateral (LHb) and  
88 medial (MHb) subnuclei, and the MHb can be further subdivided into a dorsal part  
89 (dMHb), containing excitatory neurons characterized by the expression of the tachykinin  
90 gene *Tac1*, encoding the neuropeptide substance P (SP), and a ventral part (vMHb),  
91 which contains glutamatergic neurons that also produce acetylcholine (Quina et al.,  
92 2009; Hsu et al., 2013). Anatomical studies have demonstrated that these subnuclei  
93 have distinct neural inputs and downstream targets in the brainstem. Past experiments  
94 have generally performed lesions of the entire habenula or its major output tract, the  
95 fasciculus retroflexus, and have assigned a wide variety of behavioral functions to the  
96 habenula (Lecourtier and Kelly, 2007), but this approach cannot resolve the specific  
97 functions of the habenula subnuclei.

98           Recent experiments targeting the specific input pathways from the septal nuclei  
99 to the vMHb and dMHb have implicated these circuits in the regulation of anxiety and  
100 fear responses, respectively (Yamaguchi et al., 2013). Nonetheless, it remains to be  
101 determined if the MHb subnuclei are directly involved in the expression of anxiety and  
102 fear responses. A pathway through the LHb has recently been shown to be involved in  
103 the expression of learned helplessness (Li et al., 2011; Li et al., 2013), a model of  
104 depression in which animals previously exposed to an inescapable aversive stimulus  
105 show diminished escape behavior when the stimulus is avoidable (Maier, 1984; Maier  
106 and Watkins, 2005; Duman, 2010). The LHb has well-established roles in reward and  
107 aversive functioning (Hikosaka, 2010; Proulx et al., 2014). The role of the LHb in  
108 mediating depression-like behaviors may thus be related to its roles in punishment  
109 (Matsumoto and Hikosaka, 2009), and aversion (Lammel et al., 2012; Stamatakis and  
110 Stuber, 2012). Although the MHb has distinct input and output circuitry from the LHb,  
111 recent evidence has shown that the MHb may also play a role in regulation of mood-  
112 related behaviors. Recently we have shown that mice with a developmental ablation of  
113 the dMHb, generated by targeted deletion of the transcription factor *Pou4f1* (dMHb<sup>CKO</sup>  
114 mice), exhibit reduced voluntary wheel running activity (WRA), a possible correlate of

115 depression (Hsu et al., 2014). In addition, in contrast to the aversive effect of LHb  
116 stimulation, the dMHb is intrinsically reinforcing in a self-stimulation paradigm (Hsu et al.,  
117 2014), lending additional support for a role for the dMHb in the maintenance of hedonic  
118 states.

119         In the present study we investigated whether neurons in the dMHb regulate  
120 mood-related behaviors by testing dMHb<sup>CKO</sup> mice in models of fear (conditioned fear)  
121 and depression (TST, learned helplessness). We found that mice with dMHb lesions do  
122 not exhibit differences in contextual conditioned fear. The effects of dMHb ablation in  
123 models of depression is significant, but cannot be interpreted simply as “depressed” or  
124 “resilient”. Using a Cre-driver line that is specific for a tachykinin-expressing  
125 subpopulation of dMHb neurons, we show that activation of these neurons is sufficient  
126 to support self-stimulation reinforcement. Collectively, our data show that the dMHb is  
127 involved in the regulation of multiple mood-related behaviors, but also suggest that  
128 these behaviors are not mediated by a single neural pathway.

129

130 **Materials and Methods**

131 **Transgenic mice used in the experiments.** Mice with a tissue-specific null  
132 mutation of *Pou4f1* in the dMHb and control littermates used in this study were  
133 generated and genotyped as previously described (Hsu et al., 2014). The *Pou4f1*  
134 mutant mice used and their patterns of *Pou4f1* transcription factor expression are listed  
135 in Figure 1A. Two strains of *Pou4f1* mutant mice were used: *Pou4f1<sup>tlacZ</sup>*, a functionally  
136 null allele replacing the *Pou4f1* coding sequence with a  $\beta$ -galactosidase expression  
137 cassette (Quina et al., 2005, MGI:3512089), *Pou4f1<sup>flox</sup>*, in which the principal coding  
138 exon of *Pou4f1* is flanked by loxP sequences (Hsu et al., 2014, MGI:5662420). The  
139 *Pou4f1<sup>flox</sup>* allele was excised using a Cre-recombinase expressing line, *Syt6<sup>Cre</sup>*, (STOCK  
140 Tg(*Syt6-Cre*)KI148Gsat/Mmcd), a BAC transgenic generated by the GENSAT project  
141 (Gerfen et al., 2013, RRID:MMRRC\_032012-UCD), and obtained as cryopreserved  
142 sperm from the Mutant Mouse Regional Resource Center of the University of California,  
143 Davis. Experimental mice with dMHb lesions and littermate controls were generated by  
144 crossing mice with the genotype *Pou4f1<sup>tlacZ/+</sup>*, *Syt6<sup>Cre/Cre</sup>* with *Pou4f1<sup>flox/flox</sup>* mice to yield  
145 the genotypes *Pou4f1<sup>tlacZ/flox</sup>*, *Syt6<sup>Cre/+</sup>* (dMHb<sup>CKO</sup>) and *Pou4f1<sup>flox/+</sup>*, *Syt6<sup>Cre/+</sup>* (dMHb<sup>Ctrl</sup>)  
146 mice in equal ratios. The constitutive null *Pou4f1<sup>tlacZ</sup>* allele was used to generate  
147 dMHb<sup>CKO</sup> mice because the generation of animals with complete loss of *Pou4f1*  
148 expression in the dMHb is more efficient if one allele is a constitutive null, and thus only  
149 one copy of the gene requires Cre-excision. The presence of the *lacZ* gene product  
150  $\beta$ Gal also allows dMHb neurons to be identified by enzymatic staining or  
151 immunofluorescence in cells which no longer express *Pou4f1* protein. The dMHb<sup>CKO</sup>  
152 mice show a profound loss of neurons in the dMHb due to postnatal cell death, while  
153 dMHb<sup>Ctrl</sup> mice do not show detectable loss of dMHb neurons (Figure 1B,C).

154 In order to isolate an effect of *Pou4f1* haploinsufficiency in the presence of an  
155 intact dMHb, mice that were homozygous or hemizygous for *Pou4f1* were generated by  
156 crossing male mice with the genotype *Pou4f1<sup>tlacZ/+</sup>*, *Syt6<sup>Cre/Cre</sup>* with C57Bl/6 female mice  
157 to yield the genotypes *Pou4f1<sup>tlacZ/+</sup>*, *Syt6<sup>Cre/+</sup>* (*Pou4f1<sup>+/-</sup>*) and *Pou4f1<sup>+/+</sup>*, *Syt6<sup>Cre/+</sup>*  
158 (*Pou4f1<sup>+/+</sup>*) mice in equal ratios. In these genotypes the *Syt6<sup>Cre</sup>* allele has no effect  
159 because no floxed allele is present, but *Syt6<sup>Cre</sup>* was incorporated to maintain a  
160 consistent genetic background across all lines.

161 Mice for optogenetic studies of habenula function were generated by using the  
162 mouse line Ai32, which conditionally expresses the Channelrhodopsin-2 variant  
163 ChR2(H134R)-YFP from a modified floxed-stop Gt(Rosa)26Sor locus (Madisen et al.,  
164 2012, RRID:IMSR\_JAX:024109). To generate mice expressing ChR2 in tachykinin-  
165 expressing neurons in the dMHb (dMHb<sup>ChR2</sup> mice), Ai32 mice were interbred with mice  
166 bearing a Tac2<sup>IRESCre</sup> allele, generated as a part of the Allen Institute Transgenic  
167 Characterization Project (Harris et al., 2014, RRID:IMSR\_JAX:021878). Experimental  
168 mice from these crosses had the genotype Tac2<sup>IRESCre</sup>/Ai32, and control mice bore the  
169 Ai32 allele, without Tac2<sup>IRESCre</sup>. Mice expressing ChR2 in the vMHb (vMHb<sup>ChR2</sup> mice)  
170 were generated by interbreeding Ai32 mice with mice bearing a Chat<sup>IRESCre</sup> allele (Rossi  
171 et al., 2011, RRID:IMSR\_JAX:006410) and have been previously described (Hsu et al.,  
172 2013). Tac2<sup>IRESCre</sup> and Chat<sup>IRESCre</sup> mice were obtained from Jackson Laboratories.  
173 Only male mice were used for the optogenetic experiments.

174 **Analysis of gene expression.** Immunofluorescence methods, including  
175 antibodies used to detect the *Pou4f1* protein Brn3a (RRID: AB\_2314040), choline  
176 acetyltransferase (RRID: AB\_2079751), substance P (RRID:AB\_94639), and  $\beta$ -  
177 galactosidase (not in RRID), have been previously described (Hsu et al., 2014). Rabbit  
178 anti-mouse proNeurokininB was obtained from Novus Biologicals (RRID:AB\_350516).

179 **Contextual conditioned fear.** Fear conditioning was performed in a 13cm by  
180 17cm compartment (one compartment of ENV-3013, Med Associates, equipped with  
181 grid floor ENV-3013BR). The grid floor was connected to a shock scrambler (ENV-  
182 414S, Med Associates) set to 0.40mA. The Activity Tracking function of Noldus  
183 Ethovision XT 10 was used to analyze freezing behavior, which is defined by the  
184 absence of observable movement, except for respiration. On day 1, mice were allowed  
185 to acclimate to the chamber for 3 minutes, followed by the delivery of a 1s shock every  
186 minute for 6 minutes. To the extent possible, this training procedure was designed to  
187 reproduce the methods used in a prior study (Masugi et al., 1999; Yamaguchi et al.,  
188 2013). On the second day of the procedure animals were returned to the same  
189 compartment for 6 minutes in the absence of shocks in order to assess the contextual  
190 conditioned fear response.

191 We implemented automated scoring of freezing behavior based on a published  
192 method using an earlier version of Noldus Ethovision (Pham et al., 2009). Automated  
193 analysis of the video derived the image of the subject in each video frame (frame rate  
194  $30\text{ s}^{-1}$ , duration 33.33ms). A moving average of the subject image in the previous three  
195 video frames was then compared to the current frame, and the subject was determined  
196 to be immobile if the image area occupied by the subject in the current frame was  
197 changed (displaced, or altered in size or shape) from the moving average by less than  
198 0.02%. The activity was scored as freezing if immobility lasted longer than 0.5s,  
199 corresponding to 15 consecutive samples of immobility at the video frame rate of  $30\text{ s}^{-1}$ .  
200 Reliability of the automated video analysis of freezing behavior was confirmed by  
201 manual scoring of test videos of control mice by two human raters blind to the  
202 automated scoring results.

203 **Tail Suspension Test.** The test was performed using inverted U-shaped acrylic  
204 stand (18" x 18", with a 4" wide horizontal arm), placed in an isolation chamber with a  
205 video camera positioned to include 1.5 inches of the horizontal arm and the entire  
206 length of the subject within the field of view. At the start of the trial, subjects were  
207 suspended from the crossbar using tape placed one inch from the tip of the tail.  
208 Although C57BL/6 mice have been observed to climb their tails when performing this  
209 test, this behavior was not observed using this apparatus. A 6-minute video was  
210 recorded and analyzed in Noldus Ethovision XT 10.0 using Activity Tracking. Each  
211 recorded video frame was compared to the previous frame (frame rate  $30\text{ s}^{-1}$ , duration  
212 33.33ms) and the mouse was determined to be immobile for that period if less than 5%  
213 change was observed from one frame to the next.

214 **Learned helplessness and active avoidance.** The learned helplessness  
215 protocol consisted of a training session or sessions in which mice were exposed to  
216 inescapable shock stimuli, followed by analysis of the learned helplessness response  
217 using active avoidance in a two-way shuttle box. Training consisted of 360 shocks of  
218 random duration between 1-3s and random inter-stimulus interval of 1-15s, delivered  
219 over approximately one hour, using Noldus Ethovision XT 10.0. Active avoidance was  
220 assessed using 60 escape trials on the following day, or after three weeks to assess the  
221 persistence of the learned helplessness response.

222            Learned helplessness training was performed in a 13cm by 17cm chamber  
223 (either of the main compartments of ENV-3013, Med Associates). Chambers were  
224 equipped with conductive grid and rod floors connected to a shock scrambler (ENV-  
225 414S, Med Associates) set to 0.40mA. The active avoidance test was performed in a  
226 42cm x 16.5cm center channel modular shuttle box chamber (ENV-010MC, Med  
227 Associates) equipped with a video monitoring and analysis system (Noldus Ethovision  
228 XT 10.0). The grid floor was connected to the same scrambler used for training and set  
229 to 0.40mA. Sixty escape trials were performed, each consisting of a 4.5 kHz tone  
230 followed by a shock. The tone preceded the onset of the shock by 2s and continued  
231 until the shock event stopped. The maximum duration of each shock was 4s, and the  
232 shock could be avoided by crossing to the opposite side of the compartment during the  
233 tone, or stopped by crossing during the shock. A random intertrial interval of 19-29s  
234 was used between trials. Latency to escape was scored as 0 if the animal successfully  
235 escaped during the tone before onset of the shock. Otherwise, latency time was  
236 calculated from shock onset to the time of escape. A maximum score of 4 was given if  
237 the animal failed to escape the shock. The mean latency to escape was calculated over  
238 the sixty trials for each mouse.

239            Over the course of the experiments we observed that a small number of mice  
240 adopted an unintended strategy for shock avoidance in which they straddled the midline  
241 of the shuttle box. This behavior caused the video analysis to dither and falsely report a  
242 very high frequency of midline crossings, which was easily identified on analysis of the  
243 data. The shuttle box arenas defined in the video analysis software were modified in  
244 later experiments such that the mouse was required to travel at least 4cm beyond the  
245 midline in order to avoid or terminate the shock. This modification somewhat increased  
246 escape latency because of the increased distance traveled. Cohorts of 10 C56Bl/6  
247 male mice were used to assess the effect of this change in the arena boundaries on the  
248 measurement of the learned helplessness response. Significant differences were  
249 observed between trained and naïve animals using both arena structures.

250            **Accelerating rotarod.** A specialized apparatus (Rotamex-5, Columbus  
251 Instruments) was used to test rotarod performance as previously described (Hsu et al.,  
252 2014). Briefly, before testing all mice were trained on a fixed-speed protocol at 4 rpm



253 until they could stay on the rod for 30 seconds. On the same day as the training  
254 sessions, mice underwent four 5-minute accelerating rotarod trials. In each trial, the  
255 rotarod accelerated from 4 rpm to 40 rpm at the rate of 1 rpm every 8 seconds, then  
256 remained at 40 rpm until the end of the trial. The principal outcome was the time  
257 (latency) until the mouse fell from the rod. Mice were given at least 15 minutes of rest in  
258 between each trial. To calculate the average latency to fall for each mouse, the lowest  
259 of four values was discarded.

260 **Optogenetic intracranial self-stimulation (ICSS).** ICSS reinforcement by the  
261 dMHB was tested in an operant chamber (ENV-307W, Med Associates) equipped with  
262 two nosepoke receptacles (ENV-313W, Med Associates). Responses were recorded  
263 through four training and four reversal sessions. Training sessions. Mice underwent four  
264 45 min ICSS sessions in which a randomly assigned active nosepoke receptacle was  
265 associated with the delivery of laser stimulation to the dMHB via an implanted fiber optic  
266 cannula (above), and the inactive receptacle did not deliver a stimulus. A 1:1 fixed  
267 response: reward ratio was used. The reward consisted of a two-second train of 25ms  
268 light pulses, delivered at 20 Hz and 8mW with a 473 nm laser, followed by a two second  
269 time-out period. Nosepokes during the period of laser stimulation and time-out were  
270 recorded but did not trigger a reward. Nosepokes on the inactive receptacle were also  
271 recorded. Reversal sessions. The reinforcing effect of ICSS was confirmed in four  
272 reversal sessions during which the active receptacle was switched to the opposite side,  
273 using the same session structure.

274 **Real-time place preference.** RTPP studies were conducted in a two-chamber  
275 place-preference box (ENV-010MC, Med Associates) in which mice received light  
276 stimulation in one side, and could move freely between compartments. Recording and  
277 laser stimulation were controlled with EthoVision XT using center point tracking.  
278 Sessions were initiated by placing the mouse in the center of the apparatus. The mice  
279 were then given free access to both chambers for 15 minutes. When the mouse entered  
280 the active chamber, the 473 nm laser was activated, delivering 25ms light pulses at a  
281 20Hz and 8 mW of total power continuously until the mouse crossed over to the  
282 inactive chamber. Conversely, upon entering the inactive chamber, the laser remained  
283 off until the mouse entered the active chamber. Active and inactive chamber occupancy



284 and total distance traveled were then calculated for each 5 minute interval of the 15  
285 minute session.

286 **General statistical methods.** Statistical analyses were conducted using  
287 unpaired two-tailed t-tests, two-way ANOVA or two-way repeated measures ANOVA  
288 with Tukey's or Bonferroni's post-hoc analyses in GraphPad Prism 6 (GraphPad  
289 Software). Results are presented as means  $\pm$  SEM. We also considered that in this  
290 paper and in a prior paper (Hsu et al., 2014), we compared dMHb CKO mice and  
291 controls in multiple behavioral models that use a motor output measure as a model of  
292 depression/behavioral despair.. These tests include learned helplessness, the  
293 persistence of learned helplessness, and the tail suspension test in the present study,  
294 and the forced swim test in the prior study. In the prior study we also interpreted a  
295 deficit in rotarod function as possibly related to behavioral despair. Given that five  
296 independent tests were applied, Bonferroni correction for the number of tests suggests  
297 that a threshold significance value of  $p < 0.01$  rather than  $p < 0.05$  should be used. Using  
298 this more stringent standard, the effect of genotype is still significant for learned  
299 helplessness, persistence of learned helplessness, tail suspension, and rotarod tests.  
300 The effect in the forced swim test was not significant at  $p < 0.05$ .

301

302 **Results**

303 **The conditioned fear response is not affected by developmental loss of**  
304 **dMHb neurons.** Recently, lesion studies of the specific septal inputs to the dorsal and  
305 ventral MHb have shown that the septohabenular pathway is involved in the regulation  
306 of anxiety and fear (Yamaguchi et al., 2013). Specifically, lesions of septal inputs to the  
307 dMHb increased freezing behavior in the training phase of a conditioned fear paradigm.  
308 (Contextual conditioned fear *per se* was not tested). To test for direct involvement of  
309 the dMHb in fear conditioning we assessed fear acquisition and the contextual fear  
310 response using dMHb<sup>CKO</sup> mice and controls. The dMHb<sup>CKO</sup> mouse bears a dMHb-  
311 specific deletion of the *Pou4f1* coding sequence, and shows a nearly complete  
312 developmental loss of dMHb neurons (Figure 1). Both the dMHb<sup>Ctrl</sup> and dMHb<sup>CKO</sup> mice  
313 exhibited the same amount of freezing on the training day (day1) during shock  
314 administration (2-way ANOVA, genotype x trial, effect of genotype,  $F_{(1,20)} = 0.11$ ,  $P =$   
315  $0.74$ ; Figure 2A, time 4 to 9 min), and time spent in freezing increased with each  
316 subsequent shock administration (effect of trial,  $F_{(5,100)} = 18.48$ ,  $P < 0.0001$ ). Contextual  
317 fear response was tested on day 2 by placing the mice in the same environment without  
318 shock administration (Figure 2B). Both genotypes exhibited the same amount of  
319 freezing (2-way ANOVA: effect of genotype,  $F_{(1,20)} = 0.15$ ,  $P = 0.70$ ), with increasing  
320 freezing behavior as the test progressed (effect of trial,  $F_{(5,100)} = 8.45$ ,  $P < 0.0001$ ).  
321 Finally, we examined the time course for the extinction of conditioned fear response.  
322 Fear extinction was tested over three subsequent days of exposure to the environment  
323 without shock stimuli (Figure 2C). The freezing response gradually diminished in a  
324 similar manner for both genotypes (2-way ANOVA: effect of genotype,  $F_{(1,20)} = 0.20$ ,  $P =$   
325  $0.66$ ; effect of day:  $F_{(2,40)} = 21.91$ ,  $P < 0.0001$ ). Post-hoc analyses revealed that both  
326 the dMHb<sup>Ctrl</sup> and dMHb<sup>CKO</sup> mice spent significantly less time freezing starting at two  
327 days post-training. Thus we conclude that dMHb ablation has no significant effect on  
328 acquisition of the fear response, and no effect on contextual conditioned fear or its  
329 extinction.

330 ***Pou4f1* gene dosage affects learned helplessness in an active avoidance**  
331 **model.** Another model for assessing depression-like behavioral changes in rodents is  
332 learned helplessness. In this paradigm, animals are exposed to stress in the form of

333 inescapable shocks, and the uncontrollability of the stressful stimulus is thought to  
334 generate a learned helplessness behavior in which animals subsequently fail to escape  
335 an aversive stimulus in a different environment (Maier, 1984). Like the FST and TST,  
336 learned helplessness has been used to predict antidepressant response (Duman, 2010).  
337 In the implementation of learned helplessness used here, mice were administered  
338 inescapable shocks during one training session in an apparatus used exclusively for the  
339 training. Mice were then tested 24hr later for active avoidance in a separate two-way  
340 shuttle box to assess the learned helplessness response (Chourbaji et al., 2005). The  
341 mice were also tested three weeks later to determine the persistence of learned  
342 helplessness.

343 Both dMHb<sup>CKO</sup> and dMHb<sup>Ctrl</sup> mice that received inescapable shocks during  
344 training took longer to escape the shocked compartment on the testing day compared to  
345 unshocked mice (two-way ANOVA: genotype x treatment, effect of treatment,  $F_{(1,47)} =$   
346  $49.50$ ,  $P < 0.0001$ ; Figure 3A), and mice also showed a significant difference in escape  
347 latency between genotypes (effect of genotype,  $F_{(1,47)} = 11.39$ ,  $P = 0.0015$ ). Post-hoc  
348 analyses indicate that while no difference was observed between the dMHb<sup>CKO</sup> and  
349 dMHb<sup>Ctrl</sup> mice that did not receive inescapable shock training ( $P = 0.70$ ), dMHb<sup>CKO</sup> mice  
350 that received inescapable shocks had longer escape latency compared to shocked  
351 dMHb<sup>Ctrl</sup> mice ( $P = 0.0029$ ). Thus the genetic lesion in dMHb<sup>CKO</sup> mice rendered them  
352 more vulnerable to the development of learned helplessness behavior. The learned  
353 helplessness phenotype persisted three weeks after shock training (Figure 3B), and  
354 there was a significant difference attributable to shock administration ( $F_{(1,47)} = 15.38$ ,  $P =$   
355  $0.0003$ ) and genotype ( $F_{(1,47)} = 16.99$ ,  $P = 0.0002$ ). Post-hoc analyses suggest that this  
356 is due to the persistence of learned helplessness response retained by the learned  
357 helplessness dMHb<sup>CKO</sup> mice. We also examined the effect of extended training and  
358 determined that learned helplessness response of the dMHb<sup>CKO</sup> and dMHb<sup>Ctrl</sup> mice  
359 converged after three days of inescapable shock administration ( $t_{(18)} = 1.20$ ,  $P = 0.24$ ;  
360 Figure 3C).

361 Although the most obvious explanation for the sensitization to learned  
362 helplessness in dMHb<sup>CKO</sup> mice is the nearly complete loss of dMHb neurons in these  
363 animals, these mice possess one conditional *Pou4f1* allele (*Pou4f1<sup>fllox</sup>*) and one *Pou4f1*

364 null allele (*Pou4f1<sup>flacZ</sup>*, Methods), and are thus globally hemizygous for *Pou4f1*. In order  
365 to determine whether the observed learned helplessness phenotype resulted from the  
366 developmental loss of dMHb neurons, or could be a general effect of *Pou4f1*  
367 haploinsufficiency, we also examined learned helplessness in *Pou4f1<sup>+/-</sup>* mice and  
368 *Pou4f1<sup>+/+</sup>* controls. After one day of inescapable shock administration, *Pou4f1<sup>+/-</sup>* mice  
369 took longer to escape the shocked compartment during the active avoidance test  
370 compared to *Pou4f1<sup>+/+</sup>* mice ( $t_{(30)} = 2.97$ ,  $P = 0.0059$ ; Figure 3D). As observed in the  
371 dMHb<sup>CKO</sup> mice, the learned helplessness response persisted in the *Pou4f1<sup>+/-</sup>* mice three  
372 weeks after the initial shock administration ( $t_{(30)} = 3.78$ ,  $P = 0.0007$ ; Figure 3E). We  
373 conclude that the increased susceptibility to induction of learned helplessness behavior  
374 in *Pou4f1*-deficient mice is not exclusively dependent on the ablation of dMHb neurons.

#### 375 **dMHb-lesioned mice exhibit prolonged escape behavior in the tail**

376 **suspension test.** Previously reported experiments have shown that developmental  
377 loss of the dMHb does not increase immobility time during the forced swim test, a model  
378 of stress response and depression (Duman, 2010; Hsu et al., 2014). Here, we  
379 assessed the behavior of dMHb<sup>CKO</sup> mice using another model of depression, the tail  
380 suspension test (TST, Steru et al., 1985; Cryan et al., 2005). Rodents suspended by  
381 their tails will initially struggle to escape, but eventually stop and become immobile. The  
382 time that the mice spend immobile in a trial of fixed duration is recorded as the principal  
383 outcome of the test. dMHb<sup>CKO</sup> mice showed lower immobility time compared to dMHb<sup>Ctrl</sup>  
384 mice during the six-minute test ( $t_{(18)} = 3.47$ ,  $P = 0.0027$ ; Figure 4A).

385 In order to test whether the TST phenotype could be due to haploinsufficiency of  
386 *Pou4f1*, rather than the dMHb lesion observed in dMHb<sup>CKO</sup> mice, we also performed the  
387 TST on cohorts of matched *Pou4f1<sup>+/-</sup>* and *Pou4f1<sup>+/+</sup>* mice (Figure 4B). No difference in  
388 TST immobility time was observed between *Pou4f1<sup>+/-</sup>* and *Pou4f1<sup>+/+</sup>* mice ( $t_{(30)} = 0.84$ ,  $P$   
389  $= 0.41$ ). Thus we conclude that the developmental loss of the dMHb mediates the  
390 reduced TST immobility observed in dMHb<sup>CKO</sup> mice.

#### 391 ***Pou4f1* haploinsufficiency does not affect rotarod and voluntary wheel**

392 **running performance.** A prior study has shown that dMHb<sup>CKO</sup> mice have a deficit in  
393 the accelerating rotarod test, with a markedly shortened latency to fall from the device,  
394 and a reduction in voluntary wheel running activity (WRA, Hsu et al., 2014). Although

395 the rotarod is usually used to assess motor deficits, other tests of motor function in  
396 these mice, including open field locomotion, gait, and balance beam performance, were  
397 largely normal (Hsu et al., 2014). For this reason it was concluded that the deficits in  
398 rotarod and WRA in dMHb<sup>CKO</sup> mice likely resulted from a loss of motivation or  
399 reinforcement, rather than a deficit in motor function *per se*. Here, however, we have  
400 shown that *Pou4f1* haploinsufficiency can contribute to a mood-related phenotype,  
401 learned helplessness. Thus, to determine if *Pou4f1* haploinsufficiency affects rotarod  
402 performance, we repeated this test in *Pou4f1*<sup>+/-</sup> and *Pou4f1*<sup>+/+</sup> mice. Both genotypes  
403 have similar fall latency in the rotarod test (t-test,  $t_{(30)} = 1.42$ ,  $P = 0.17$ ; Figure 4C),  
404 confirming that the reported deficit in rotarod performance in dMHb<sup>CKO</sup> mice results from  
405 the developmental loss of dMHb neurons, not from *Pou4f1* haploinsufficiency.

406 Experiments to be published elsewhere demonstrate that WRA does not differ  
407 significantly between *Pou4f1*<sup>+/-</sup> and *Pou4f1*<sup>+/+</sup> mice (Y.-W. Hsu, in preparation), and thus  
408 that the WRA deficit in dMHb<sup>CKO</sup> mice is also specifically related to dMHb ablation.

409 **Tachykinin-expressing dMHb neurons mediate ICSS reinforcement.** The  
410 dMHb is characterized by the expression of the tachykinin genes *Tac1* (encoding SP),  
411 expressed exclusively in this subnucleus (Figure 5A, Quina et al., 2009), and *Tac2*  
412 (encoding NKB), which is expressed in the dMHb and vMHb (Figure 5B). Here we  
413 wished to test whether these tachykinin-expressing dMHb neurons are sufficient to  
414 mediate ICSS reinforcement, potentially linking the MHb to the behavioral effects  
415 ascribed to tachykinins and their receptors.

416 In search of an optogenetic ICSS model, we examined reporter expression  
417 driven by a *Tac2*<sup>IRESCre</sup> transgenic line generated as part of the Allen Institute  
418 Transgenic Characterization Project (Harris et al., 2014). These mice were crossed  
419 with a Cre-dependent reporter line, *Ai32*, that conditionally expresses a ChR2-eYFP  
420 fusion protein (Madisen et al., 2012). Surprisingly, reporter expression more strongly  
421 resembled that of *Tac1* mRNA than *Tac2* mRNA, in that it was largely restricted to a  
422 subset of neurons in the dMHb (Figure 5C). Expression of eYFP was rarely observed in  
423 the vMHb, as defined by the expression of the cholinergic marker choline  
424 acetyltransferase (ChAT, Figure 5D). PCR with allele-specific oligos confirmed the  
425 correct insertion of the targeted transgene at the *Tac2* locus. We note that Allen

426 Institute database *in situ* hybridization data for Tac2<sup>IRESCre</sup> crossed with another reporter  
427 line, Ai14, shows the same pattern of reporter expression, restricted to the dMHb  
428 (Figure 5E). Finally, we note that an independently generated Tac2<sup>Cre</sup> driver line,  
429 generated by replacement of the Tac2 gene rather than targeting of an IRES-Cre to the  
430 3'-untranslated region of the transcript, shows a similar pattern of expression, primarily  
431 in the dMHb (Mar et al., 2012). Consistent with the pattern of marker expression driven  
432 by Tac2<sup>IRESCre</sup> in the dMHb, selective innervation by labeled fibers is found in the lateral  
433 nucleus of the IP (IPL, Figure 5F-H), in which pre-synaptic dMHb fibers are marked by  
434 the expression of the Tac1 gene product SP (Figure 5I,J) and the Tac2 gene product  
435 NKB (Figure 5K). Tac2<sup>IRESCre</sup> labeled fibers are relatively sparse in the IPR/IPC, where  
436 Chat-immunoreactive fibers originating in the vMHb are located. It is not known why  
437 Tac2<sup>IRESCre</sup> shows a strong preference for the dMHb and its efferent target the IPL, but  
438 we conclude that Tac2<sup>IRESCre</sup> is a suitable model system for selective manipulation of  
439 tachykinin (Tac1/Tac2) expressing neurons in the dMHb.

440 In order to test Chr2 function in Tac2<sup>IRESCre</sup>, Ai32 (dMHb<sup>Chr2</sup>) mice, we first  
441 evaluated light-evoked neural activity in the dMHb using cell-attached recording in acute  
442 brain slices (Figure 6A,B). Of the six light-responsive dMHb neurons sampled, one was  
443 spontaneously active at ~4Hz, one was spontaneously active at <1Hz, and four were  
444 silent until exposed to light pulses. All six recorded neurons could be entrained with  
445 complete fidelity to a train of pulses delivered at 10Hz; in some neurons entrainment  
446 with a 20Hz pulse train resulted in omission of some spikes (Figure 6B). The  
447 waveforms of spontaneous and evoked spikes were very similar (Figure 6A, expanded  
448 view).

449 To test whether dMHb neurokinin-expressing neurons mediate intrinsic  
450 reinforcement, we employed an optogenetic ICSS protocol. dMHb<sup>Chr2</sup> mice were  
451 implanted with a bilateral optical fiber cannula positioned just dorsal to the dMHb  
452 (Figure 7). To determine if vMHb neurons, identified by the expression of acetylcholine  
453 as a co-transmitter, might mediate ICSS reinforcement, we also implanted Chat<sup>Cre</sup>, Ai32  
454 (vMHb<sup>Chr2</sup>) mice. The neurons of vMHb<sup>Chr2</sup> mice have been previously shown to have  
455 light responses similar to those shown here for dMHb<sup>Chr2</sup> neurons in acute brain slices

456 (Hsu et al., 2013). Littermate mice lacking a Cre driver were implanted with optical  
457 fibers and used as controls for both optogenetic genotypes.

458 ICSS was evaluated in four training sessions in which mice were presented with  
459 a two nosepoke receptacles, one of which delivered a two-second train of light pulses  
460 upon entry, and one of which was inactive. dMhb<sup>ChR2</sup> mice developed a preference for  
461 the active receptacle on the first training day, which persisted through four days of  
462 training (Figure 6C,F). The cohort of dMhb<sup>ChR2</sup> mice then underwent four sessions in  
463 which the active receptacle was reversed. One day (day 5) was required for extinction  
464 of the response to the previously active receptacle, and by day 6 of training, a  
465 preference for the newly active receptacle was established. In contrast, vMhb<sup>ChR2</sup> mice  
466 (Figure 6D,F) and control mice (Figure 6E,F) did not establish a significant preference  
467 over four days of training. ICSS using a nosepoke response is not an effective measure  
468 of aversion, since both a neutral stimulus and an aversive one may elicit no response.  
469 For this reason we tested possible aversion to light stimulation in dMhb<sup>ChR2</sup> mice in a  
470 real-time place preference paradigm (Hsu et al., 2014). No aversive or reinforcing  
471 response to dMhb stimulation was detected in this paradigm (Figure 8). We conclude  
472 that the reinforcing effect of Mhb stimulation is specifically conferred by activation of  
473 neurokinin-expressing dMhb neurons, and that ICSS is more effective at detecting this  
474 effect than place preference.

475

476



477 **Discussion**

478 Fear conditioning, the induction and learning of threat responses in rodents, is a  
479 widely used model of human stress-related disorders. Studies of the neural pathways  
480 underlying contextual and cued (Pavlovian) fear conditioning have focused on core  
481 circuitry involving the amygdala (Dejean et al., 2015; Keifer et al., 2015), with the  
482 integration of hippocampal and frontal circuits in contextual conditioning (Maren et al.,  
483 2013; Rozeske et al., 2015), and the periaqueductal gray in mediating the freezing  
484 response. Although the dMHb is not part of this amygdala-centered circuitry known to  
485 be involved in conditioned fear, a recent report suggests involvement of the  
486 septohabenular pathway in fear behavior (Yamaguchi et al., 2013). In this study,  
487 immunotoxin-mediated cell targeting was used to ablate neurons in the bed nucleus of  
488 the anterior commissure (BAC), part of the septal complex, that project specifically to  
489 the dMHb. BAC-ablated mice showed increased freezing response during fear training,  
490 but the effect on conditioned fear was not reported. Here we have used a contextual  
491 conditioning protocol, without a specific cue, to reproduce the original study to the  
492 extent possible. Our results demonstrate that the dMHb is not essential for the  
493 acquisition of acute fear or for the contextual conditioned fear response. Further  
494 understanding of the septohabenular circuitry may shed light on why the fear response  
495 was not affected in our study. It is possible that ablation of the BAC may have effects  
496 on fear behavior that are not mediated by the dMHb.

497 Consistent with our results, lesions of the entire habenula (MHb + LHb) in mice  
498 show no net effect on conditioned fear (Heldt and Ressler, 2006). However, a recent  
499 study has shown that cue conditioned fear is modulated by cannabinoid receptors in the  
500 MHb (Soria-Gomez et al., 2015). This effect is attributed to vMHb neurons that use  
501 acetylcholine as a co-transmitter, which are intact in the mice used in the present study  
502 (Figure 1) rather than the dMHb. Thus to date there is no evidence for a direct role for  
503 the dMHb in fear conditioning.

504 Here and in a prior study (Hsu et al., 2014) we have examined the effect of dMHb  
505 ablation in several widely-used models of depression, including the Porsolt forced swim  
506 test (FST), the TST, the sucrose preference test, and learned helplessness. Ablation of  
507 the dMHb had no discernable effect on the FST (Hsu et al., 2014), yet in the present



508 study, dMHb<sup>CKO</sup> mice show reduced immobility in the conceptually-related TST, a result  
509 that could be interpreted as an antidepressant response. These tests are conceptually  
510 associated based on the common concept of “behavioral despair”, originally applied to  
511 the FST, in which rodents initially attempt to escape an adverse situation, then become  
512 passive and relatively immobile. The widely used animal models of depression have  
513 been linked to mood states by their face validity and their value in predicting  
514 antidepressant responses (Cryan and Mombereau, 2004; Duman, 2010). However,  
515 antidepressants work on neurotransmitter systems with broad CNS effects. Because  
516 the FST and TST involve different stimuli and different locomotor responses, there is no  
517 reason to assume that these tests will always have concordant results in transgenic  
518 models that affect specific neural pathways. Supporting this concept, quantitative trait  
519 locus (QTL) analysis of mouse strains that show differential behavior in the TST and  
520 FST have mapped both distinct and common gene loci linked to behavior in these tests  
521 (Yoshikawa et al., 2002; Tomida et al., 2009).

522 One strain of genetically altered mice shown to have decreased immobility time  
523 (antidepressant effect) in the TST and FST are those with a specific deletion of the *Tac1*  
524 gene, encoding the neuropeptides Substance P (SP) and Neurokinin A (Bilkei-Gorzo et  
525 al., 2002). *Tac1* mRNA, expression of which distinguishes the dMHb from the adjacent  
526 vMHb and LHb, is nearly absent in dMHb<sup>CKO</sup> mice (Hsu et al., 2014). SP is also  
527 strongly expressed in dMHb fibers terminating in the lateral part of the interpeduncular  
528 nucleus in the ventral midbrain (Hsu et al., 2013). Thus it is possible that the decreased  
529 TST immobility time observed in dMHb<sup>CKO</sup> mice results from a loss of *Tac1* peptide  
530 signaling in the habenulopeduncular system; the effect of *Tac1* gene deletion on the  
531 FST may reside in another pathway. NKB, the *Tac2* gene product co-expressed with  
532 SP in the dMHb and its terminal fibers in the IP, may also play a role in these effects,  
533 but little is known about the specific function of this peptide in the CNS.

534 dMHb<sup>CKO</sup> mice show marked sensitization to the induction of learned  
535 helplessness. In contrast, ablation of the entire habenula attenuates the learned  
536 helplessness response (Amat et al., 2001), an effect which may be attributable to the  
537 LHb, since the induction of learned helplessness increases synaptic inputs to LHb  
538 neurons (Li et al., 2011). We expected to find that the sensitization to learned

539 helplessness in dMHb<sup>CKO</sup> mice resulted from the marked loss of dMHb neurons in these  
540 animals. However, *Pou4f1*<sup>+/-</sup> mice, in which the dMHb is intact, also show sensitization  
541 to the induction of learned helplessness, demonstrating that *Pou4f1* haploinsufficiency  
542 is sufficient to produce this effect. None of the other behavioral paradigms tested were  
543 affected by *Pou4f1* haploinsufficiency.

544         The effect of *Pou4f1* haploinsufficiency on learned helplessness was not  
545 anticipated in the light of prior work on the role of this transcription factor in neural  
546 development and gene regulation. Independent null alleles of *Pou4f1* have been  
547 generated in at least three laboratories. Homozygous *Pou4f1* null mutants die shortly  
548 following birth, probably from defects in brainstem motor systems (McEvelly et al., 1996),  
549 but *Pou4f1* hemizygous mice are viable, fertile, and have no known developmental  
550 defects (McEvelly et al., 1996; Xiang et al., 1996; Quina et al., 2005). Furthermore, in  
551 the peripheral sensory nervous system, where *Pou4f1* is a key developmental regulator,  
552 an autoregulatory mechanism has been identified which compensates for *Pou4f1* gene  
553 dosage in heterozygous null embryos, nearly normalizing the expression of downstream  
554 regulatory targets (Trieu et al., 2003; Eng et al., 2004). *Pou4f1* is expressed in multiple  
555 CNS regions that are potential candidates for mediating the effect of haploinsufficiency  
556 on learned helplessness. These include the lateral habenula, superior colliculus  
557 (tectum), interpeduncular nucleus, red nucleus, and inferior olive (Fedtsova and Turner,  
558 1995). Aside from the lateral habenula, however, the effect of these brain regions on  
559 mood regulation and stress response is not well characterized.

560         In a prior study we have shown that dMHb<sup>CKO</sup> mice have markedly reduced  
561 voluntary wheel running activity (Hsu et al., 2014). This appears to be a specific deficit  
562 in exercise reinforcement, since basal locomotion is not affected. Although not  
563 generally used as a model of depression, WRA may interact with affective state and has  
564 been shown to produce an antidepressant-like effect in rats and mice (Greenwood et al.,  
565 2003; Duman et al., 2008). Thus we conclude that the dMHb circuit clearly intersects  
566 pathways for depression-related behaviors across multiple models. These results are  
567 broadly consistent with the role of the dMHb in exercise reinforcement and intracranial  
568 self-stimulation reinforcement. However, ablation of the dMHb does not simply cause  
569 depression-related behaviors, nor does it prevent them in a way that encompasses all of

570 the behavioral constructs used to model mood disorders and fear. As specific tools are  
571 used to dissect the underlying brain circuits for each of these mood-related behaviors,  
572 these pathways may be shown to impact depression-related behaviors by distinct  
573 mechanisms.

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579 **Figure legends**

580 **Figure 1. *Pou4f1* knockout models used for analysis of dMHb function.**

581 **(A)** Summary of genetic models used to generate dMHb lesions. **(B,C)** Coronal  
582 sections through the habenula at bregma -1.58mm were stained with antibodies for  
583 choline acetyltransferase (Chat) and either *Pou4f1* (Brn3a protein) to reveal habenula  
584 neurons expressing this factor, or for the *lacZ* gene product  $\square$ Gal, expressed by the  
585 *Pou4f1<sup>lacZ</sup>* allele, which allows the identification of neurons that would normally express  
586 *Pou4f1* in cells in which the gene has been deleted. **(B)** dMHb<sup>Ctrl</sup> mouse with the  
587 genotype *Pou4f1<sup>fllox/+</sup>/Syt6<sup>Cre</sup>*. Nuclear staining for *Pou4f1* shows expression in both the  
588 vMHb and dMHb, and scattered expression in the LHb. The vMHb is distinguished by  
589 the expression of Chat, and the *Pou4f1*-positive, Chat-negative dMHb is circled. Scale  
590 bar = 100 $\mu$ m. **(C)** dMHb<sup>CKO</sup> mouse with the genotype *Pou4f1<sup>fllox/lacZ</sup>/Syt6<sup>Cre</sup>*. Staining  
591 for *lacZ* is used to show the extent of the dMHb lesion in the absence of *Pou4f1* protein.  
592 The extent of the dMHb is greatly reduced (circle) and only a few LacZ-positive, Chat-  
593 negative neurons remain in the medial habenula. Neurons of the vMHb, distinguished  
594 by Chat expression in (A-B), are intact in both the dMHb<sup>Ctrl</sup> and dMHb<sup>CKO</sup> mice. The  
595 area within the dMHb that is not stained by any of the antibodies used consists of axon  
596 tracts of the striae medularis and/or habenula commissure.

597

598 **Figure 2. Conditioned fear response in dMHb<sup>CKO</sup> mice.** **(A)** Training session: Time  
599 spent in freezing behavior in one-minute intervals during 3 minutes of acclimation,  
600 followed by 6 intervals preceded by the delivery of a 1s shock, is shown. Shaded bar  
601 shows the period of shock administration. **(B)** Contextual conditioned fear response:  
602 On the testing day, the time spent in freezing behavior was assessed in the same  
603 environment as the training session, but without shock delivery, to evaluate the  
604 conditioned fear response. dMHb<sup>CKO</sup> and dMHb<sup>Ctrl</sup> mice exhibited the same amount of  
605 freezing during both the training and testing day. **(C)** Extinction of the conditioned fear  
606 response: Time spent in freezing behavior during three subsequent days of testing is  
607 shown. The conditioned fear response showed gradual extinction in the absence of  
608 further shock stimuli. \* P = 0.033, \*\* P = 0.0082, \*\*\* P = 0.0003, and \*\*\*\* P < 0.0001,

609 significant difference between days for these genotypes. N = 12 dMHb<sup>Ctrl</sup> and 10  
610 dMHb<sup>CKO</sup> mice.

611

612 **Figure 3. Learned helplessness assessed by active avoidance in dMHb<sup>CKO</sup> mice.**

613 **(A)** Learned helplessness response: The mean latency to escape in the shuttle box  
614 following one day of inescapable shock training, or exposure to the training chamber  
615 without a shock, is shown. Both the dMHb<sup>CKO</sup> and dMHb<sup>Ctrl</sup> mice that received shocks  
616 during training showed increased latency to escape, but dMHb<sup>CKO</sup> mice exhibited a  
617 stronger effect. \*\* P < 0.01, \*\*\*\* P < 0.0001 for difference between groups indicated. **(B)**

618 Persistence of the learned helplessness response: The mean latency to escape was  
619 reassessed for the cohort shown in (A) 3 weeks after inescapable shock training.  
620 dMHb<sup>Ctrl</sup> mice that received inescapable shocks returned to near-baseline escape times.

621 dMHb<sup>CKO</sup> mice that received inescapable shocks retained the learned helplessness  
622 behavior. \*\* P < 0.01 and \*\*\*\* P < 0.0001, significant difference between groups. N =  
623 13 no-shock dMHb<sup>Ctrl</sup>, 10 shocked dMHb<sup>Ctrl</sup>, 11 no-shock dMHb<sup>CKO</sup>, and 17 shocked

624 dMHb<sup>CKO</sup> mice. **(C)** Convergence of learned helplessness response with extended  
625 training: The mean latency to escape was assessed after 3 days of inescapable shock  
626 training in a different cohort of mice. Both the dMHb<sup>CKO</sup> and dMHb<sup>Ctrl</sup> mice received  
627 shocks during the training. The prolonged training increased escape latency for both  
628 genotypes when assessed by active avoidance one day after the final training session.

629 N = 11 dMHb<sup>Ctrl</sup> and 9 dMHb<sup>CKO</sup> mice. **(D,E)** Assessment of learned helplessness in a  
630 separate cohort of *Pou4f1* hemizygous mice. (D) Learned helplessness response: The

631 mean latency to escape in the shuttle box following one day of inescapable shock  
632 training is shown. Both the *Pou4f1*<sup>+/-</sup> and *Pou4f1*<sup>+/+</sup> (wild type) mice received shocks  
633 during the training. *Pou4f1*<sup>+/-</sup> mice exhibited increased latency to escape relative to  
634 *Pou4f1*<sup>+/+</sup> mice, i.e. were more susceptible to the induction of learned helplessness. \*\*

635 P = 0.0059, significant difference between the genotypes. (E) Persistence of learned  
636 helplessness response: The mean latency to escape was reassessed for the cohort

637 shown in (D) 3 weeks after inescapable shock training. The *Pou4f1*<sup>+/-</sup> mice showed  
638 persistently elevated escape latency relative to *Pou4f1*<sup>+/+</sup> mice. \*\*\* P = 0.0007,

639 significant difference between the genotypes. Minor modifications to the active

640 avoidance protocol used in (D,E), resulting in somewhat longer escape times, are  
641 described in Methods.

642

643 **Figure 4. Tail suspension test immobility time in dMHb<sup>CKO</sup> and Pou4f1**  
644 **hemizygous mice, and affect of hemizygosity on rotarod performance. (A)** Time  
645 spent immobile in the TST is shown for dMHb<sup>CKO</sup> and dMHb<sup>Ctrl</sup> mice. \*\* P < 0.01,  
646 significance difference between the genotypes. N = 11 dMHb<sup>Ctrl</sup> and 9 dMHb<sup>CKO</sup> mice.  
647 **(B)** Time spent immobile in the TST is shown for Pou4f1<sup>+/+</sup> and Pou4f1<sup>+/-</sup> mice. Pou4f1  
648 gene dosage did not affect immobility time in the absence of a developmental dMHb  
649 lesion. N = 16 Pou4f1<sup>+/+</sup> and 16 Pou4f1<sup>+/-</sup> mice. Pou4f1<sup>+/-</sup> mice have the genotype  
650 *Pou4f1<sup>+/-lacZ</sup>*. **(C)** Rotarod performance is not affected in Pou4f1<sup>+/-</sup> mice. Both the  
651 Pou4f1<sup>+/-</sup> and Pou4f1<sup>+/+</sup> mice had similar latency to fall times in this test. N = 16 of each  
652 genotype.

653

654 **Figure 5. Specific expression of channelrhodopsin in tachykinin-expressing**  
655 **neurons in dMHb-Tac<sup>ChR2</sup> mice. (A,B)** Comparison of Tac1 and Tac2 mRNA  
656 expression in the habenula; the axial level is approximately bregma -1.6mm. Data are  
657 derived from the Allen Mouse Brain Atlas. **(C, D)** Conditional expression of ChR2-eYFP  
658 in Ai32 mice driven by Tac2<sup>IRESCre</sup> in the habenula. Dashed lines demarcate the extent  
659 of the habenula based on the expression of the nuclear factor Pou4f1 (C). Expression  
660 is infrequently seen in the vMHb, as defined by the expression of Chat (D). **(E)**  
661 Conditional expression of tdTomato mRNA in Ai14 mice driven by Tac2<sup>IRESCre</sup> in the  
662 habenula (Allen Transgenic Mouse Characterization Project). **(F-H)** ChR2-eYFP  
663 labeled fibers terminate predominantly in the lateral part of the interpeduncular nucleus,  
664 which receives afferents from the dMHb (F,H); these fibers are largely excluded from  
665 the IPR/IPC, which receive fibers mainly from the vMHb (G). **(I-J)** Co-localization of  
666 substance P, product of the Tac1 gene, with ChR2-eYFP in the interpeduncular nucleus  
667 of dMHb-Tac<sup>ChR2</sup> mice. **(K)** Co-localization of neurokinin B, product of the Tac2 gene,  
668 with ChR2-eYFP. dMHb, dorsal medial habenula; IPC, IPL, IPR, interpeduncular  
669 nucleus, caudal, lateral, and rostral parts; LHb, lateral habenula; vMHb, ventral medial  
670 habenula. Scale: A, 200µm; C,F,I, 100µm; J, 50µm.

671

672 **Figure 6. Intrinsic reinforcement mediated by neurokinin-expressing dMHb**  
673 **neurons. (A,B)** Light entrainment of dMHb neurons expressing Tac2<sup>Cre</sup>-driven ChR2.  
674 **(C)** Optogenetic ICSS in dMHb<sup>ChR2</sup> mice. Two nosepoke receptacles in each behavioral  
675 compartment were randomized to active and inactive at the beginning of the trial. The  
676 initial assignment was maintained for days 1-4 of training, then reversed for days 5-8 of  
677 training (reversal trials). Mice received a 2-second light stimulation of the dMHb for  
678 each nosepoke event in the active receptacle. **(D)** ICSS in control mice lacking a Cre-  
679 driver; no preference for the active receptacle was observed. **(E)** ICSS in vMHb<sup>ChR2</sup>  
680 mice; no preference was observed. **(F)** Average values for nosepoke events in the  
681 inactive and active receptacles over four days of trials for dMHb<sup>ChR2</sup> (N=7), vMHb<sup>ChR2</sup>  
682 (N=6) and control (N=11) mice.

683

684 **Figure 7 Placement of optical fibers in ICSS experimental mice.** Mice with bilateral  
685 implanted fiber optic cannulas were perfused with a fixative at the conclusion of  
686 behavioral experiments and brains were examined for cannula placement. Fiber termini  
687 are shown on the level of a standard anatomical map (Paxinos and Franklin, 2001)  
688 closest to their rostrocaudal position at bregma -1.34, 1.46, 1.58, or 1.70 mm. Nearly all  
689 of the cannulas thus were positioned within  $\pm 0.2$ mm of the intended coordinates at  
690 bregma- 1.6mm. Although some cannulas were displaced laterally, at least one of the  
691 two optical fibers terminated close to the habenula in every case.

692 Fingers for dMHb<sup>ChR2</sup> mice are shown in red, vMHb<sup>ChR2</sup> mice are shown in green,  
693 and control mice are shown in blue. Connected dots indicate the probable ventral  
694 termini of the optical fibers from each case. In some cases, the right and left optical  
695 fibers mapped most accurately to different planes of section and are shown by  
696 disconnected dots. If the cannula track could not be followed to the terminus of the  
697 optical fiber, for instance due to termination in the ventricle, the most ventral position  
698 and the direction of the cannula track observed are indicated by an arrow. In all cases,  
699 the optical fibers were intact and transmitted light efficiently when examined postmortem  
700 after the experimental protocol. Scale bar, 0.5 mm.



701 Light was delivered through the bilateral cannula for a total output of 8mW,  
702 corresponding to 4mW per 100 $\mu$ m fiber or 509mW/mm<sup>2</sup> at the fiber terminus. Because  
703 some of the cannulas were displaced laterally, we used a published empirically-derived  
704 model for the diffusion of 473nm light in the mouse brain tissue to estimate the light  
705 intensity at target structures (Yizhar et al., 2011). The example laterally displaced  
706 cannula pair is indicated by an asterisk (Bregma 1.46 view). The boundary of light  
707 penetration at 1% of that delivered at the fiber terminus is indicated by a dashed line  
708 (~5mw/mm<sup>2</sup>). Although one cannula is displaced laterally, the medial cannula is  
709 predicted to illuminate the entire habenula. The predicted intensity of light, 5mW/mm<sup>2</sup>,  
710 is sufficient to elicit reliable action potentials from dMHb neurons in brain slice  
711 preparations.

712  
713 **Figure 8. Real-time place preference.** RTPP studies were conducted in a two-  
714 chamber place-preference box in which mice received light stimulation in one side, and  
715 could move freely between compartments. **(A, B)** Example activity traces of control (A)  
716 and dMHb<sup>ChR2</sup> (B) mice. Data are shown for an entire 15 minute trial fo a single animal  
717 of each genotype. **(C,D)** Side preference of control (C, n=8) and dMHb<sup>ChR2</sup> mice (D,  
718 n=8) displayed in 5 minute bins over the course of a 15 minute trial. The large variability  
719 in the side occupancy in the later intervals is likely to represent decreasing exploration  
720 during the course of the trial, with individual mice settling on one side of the chamber or  
721 the other, apparently without preference. **(E)** Summary of side occupancy for 15  
722 minute trial. No significant effect of side or genotype was observed.

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727 **References**

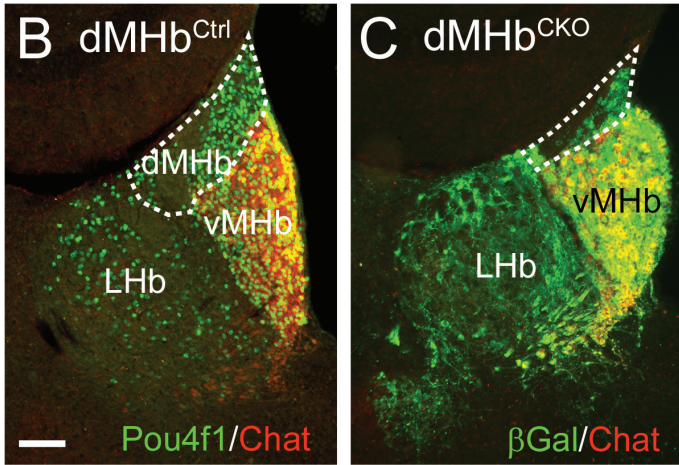
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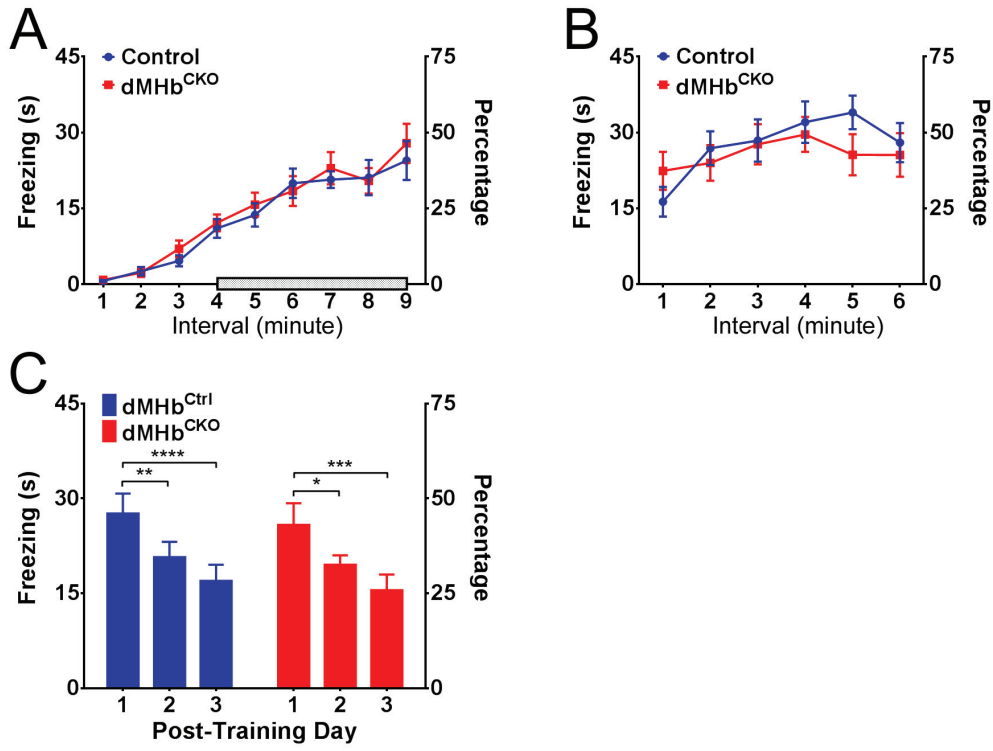
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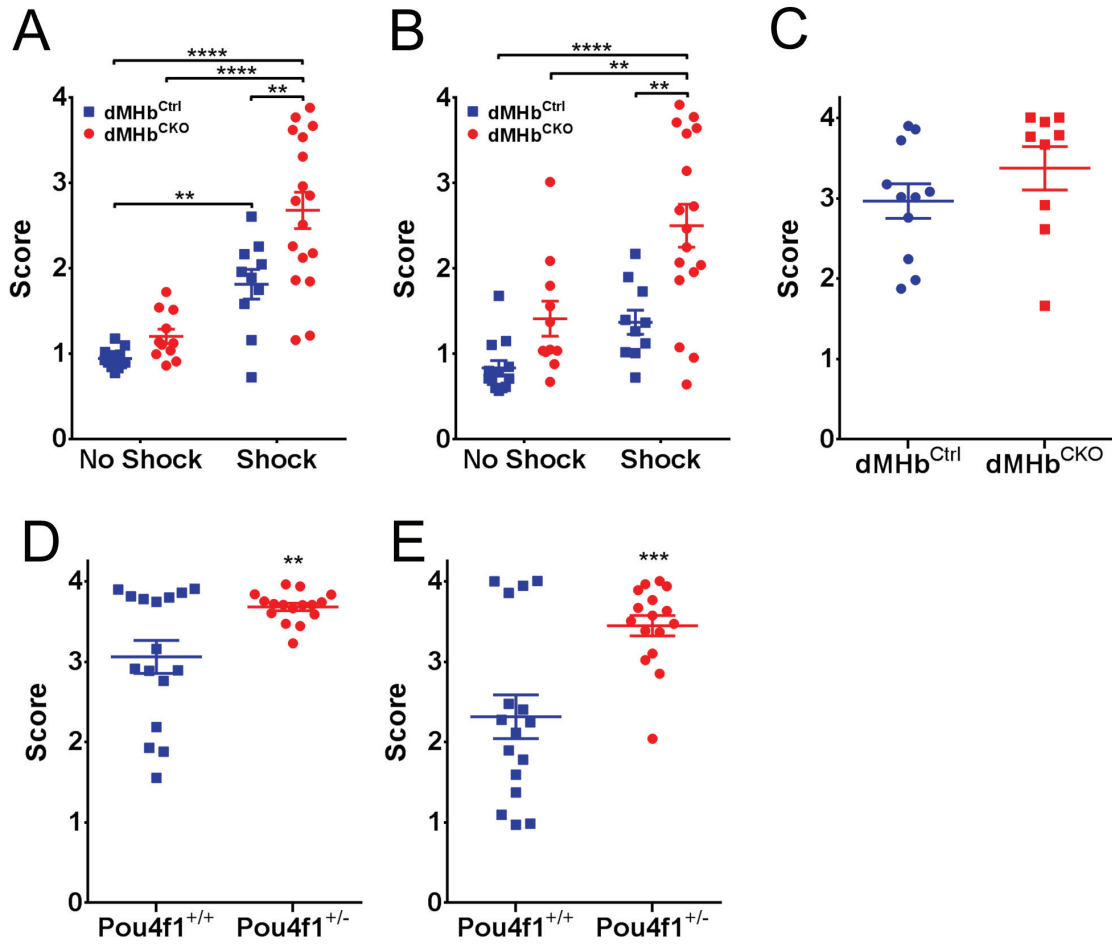
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Genotype	Number of <i>Pou4f1</i> copies		dMHb status	Abbreviation
	dMHb	elsewhere		
<i>Pou4f1<sup>flac2/lox</sup>; Syt6<sup>Cre/+</sup></i>	Zero	One	Lesioned	dMHb <sup>CKO</sup>
<i>Pou4f1<sup>flac/+</sup>; Syt6<sup>Cre/+</sup></i>	One	Two	Intact	dMHb <sup>Ctrl</sup>
<i>Pou4f1<sup>flac2/+</sup>; Syt6<sup>Cre/+</sup></i>	One	One	Intact	<i>Pou4f1<sup>-/-</sup></i>
<i>Pou4f1<sup>+/+</sup>; Syt6<sup>Cre/+</sup></i>	Two	Two	Intact	<i>Pou4f1<sup>+/+</sup></i>
C57Bl/6	Two	Two	Intact	WT

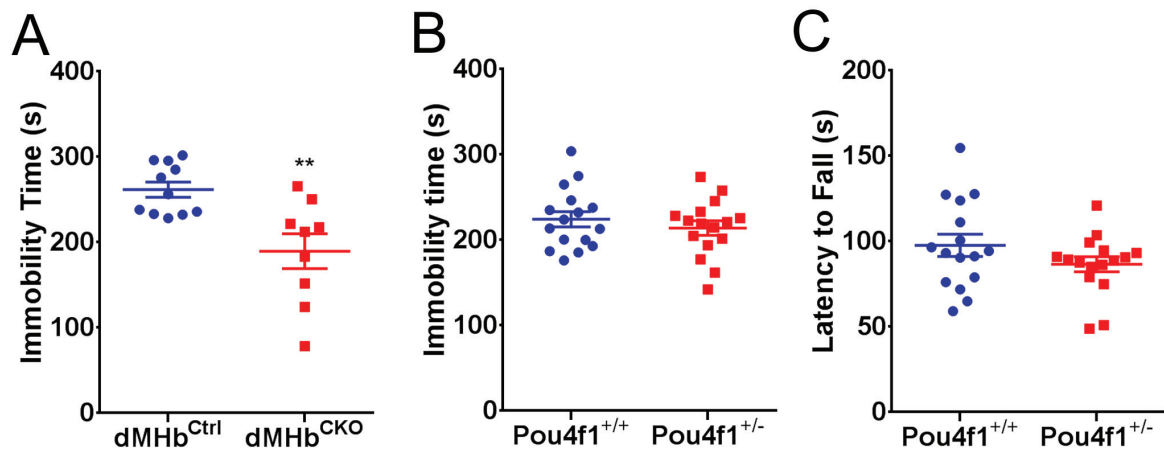




Hsu, et al., Figure 2

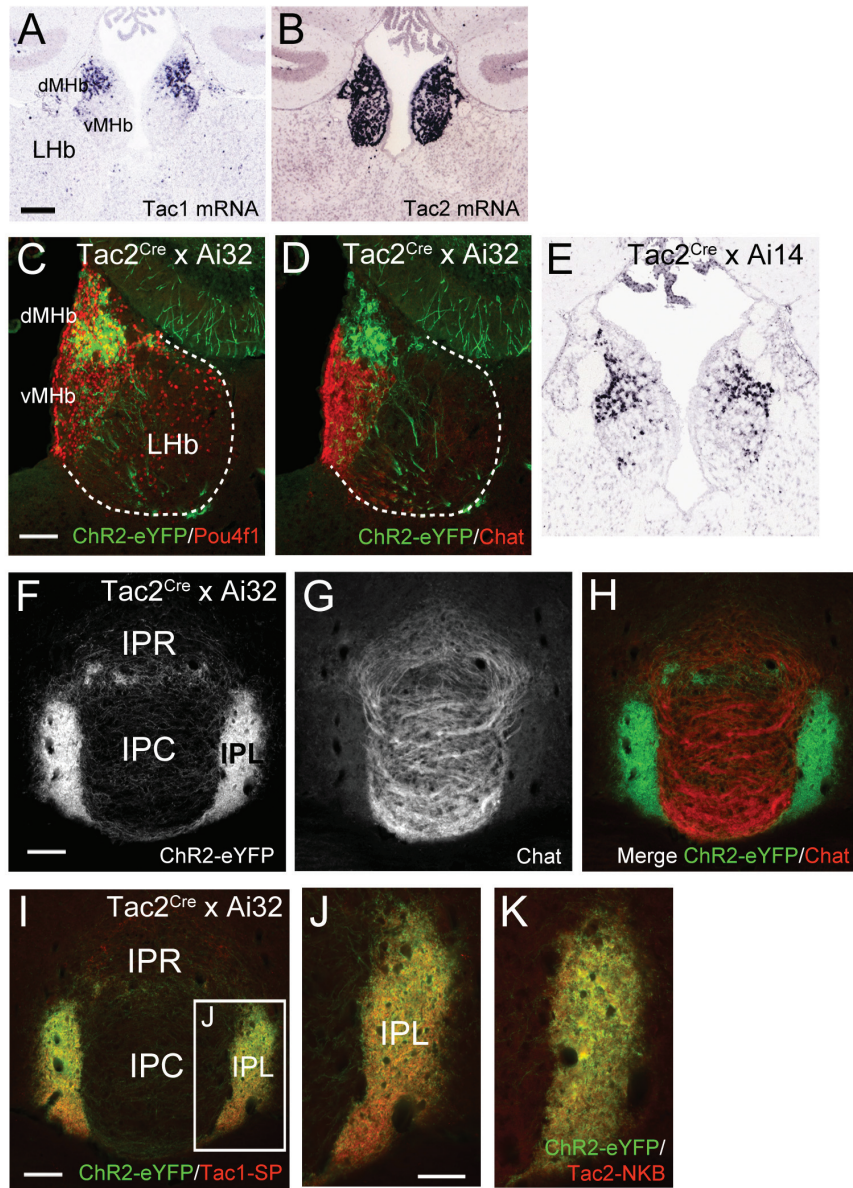


Hsu, et al., Figure 3

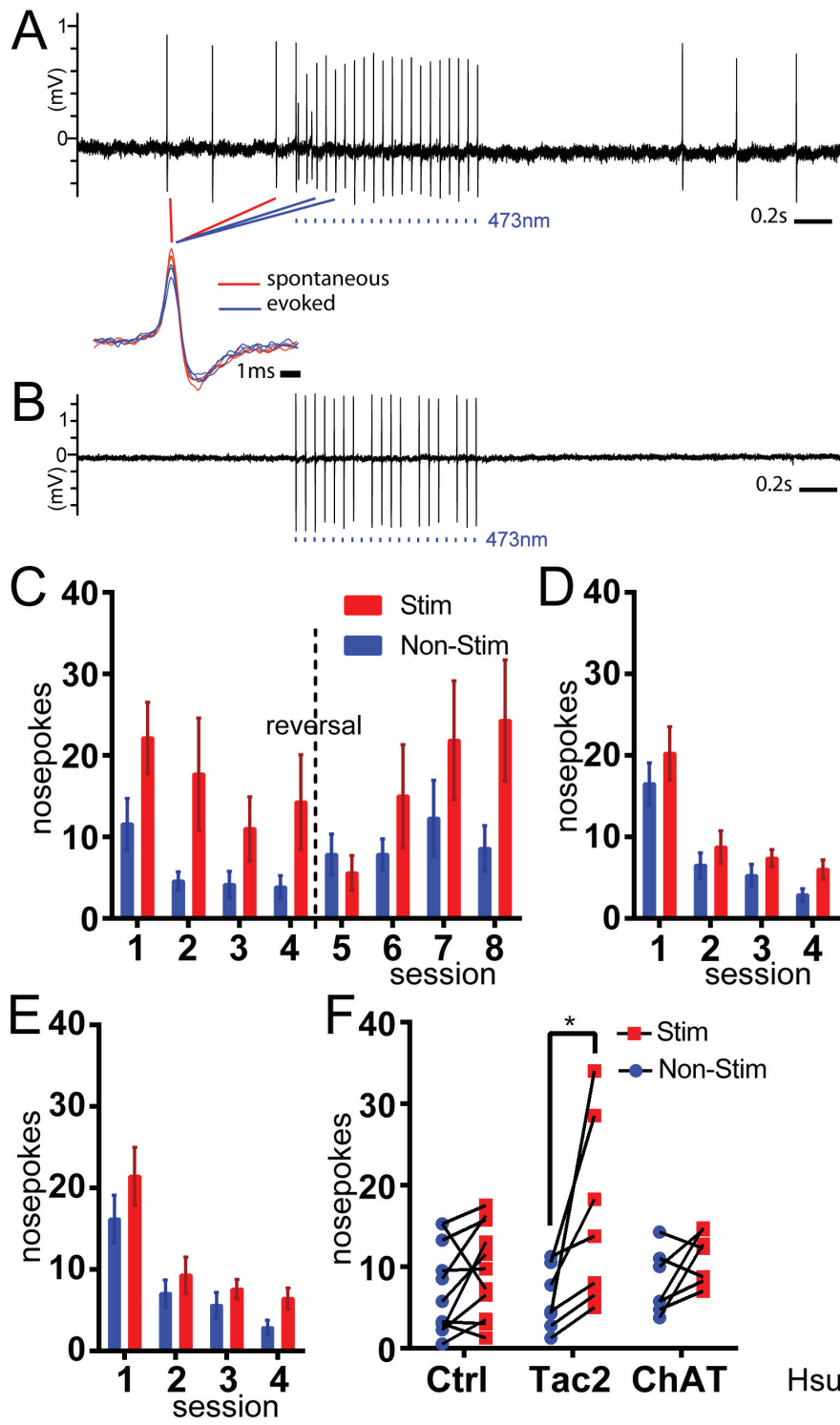


Hsu, et al., Figure 4

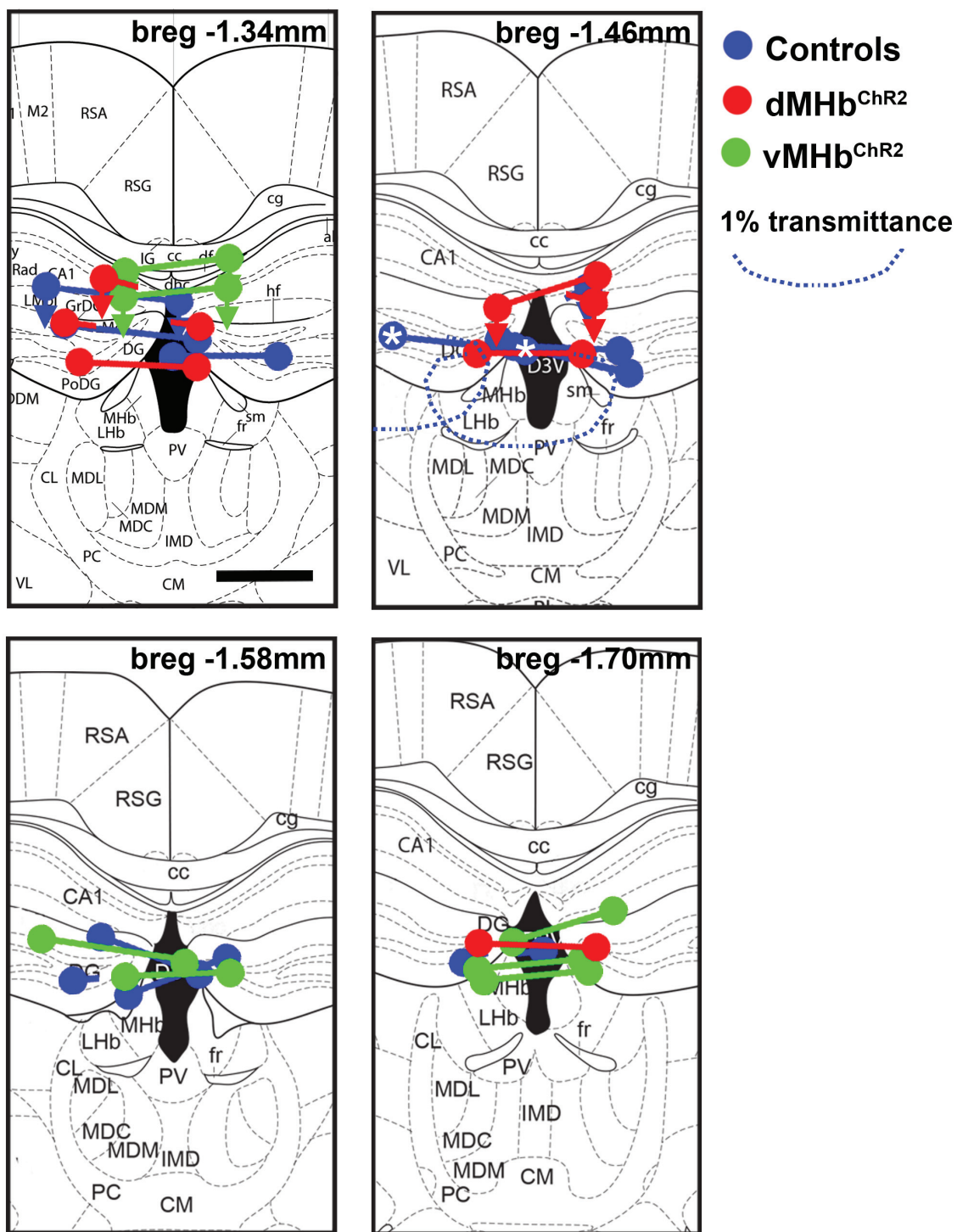




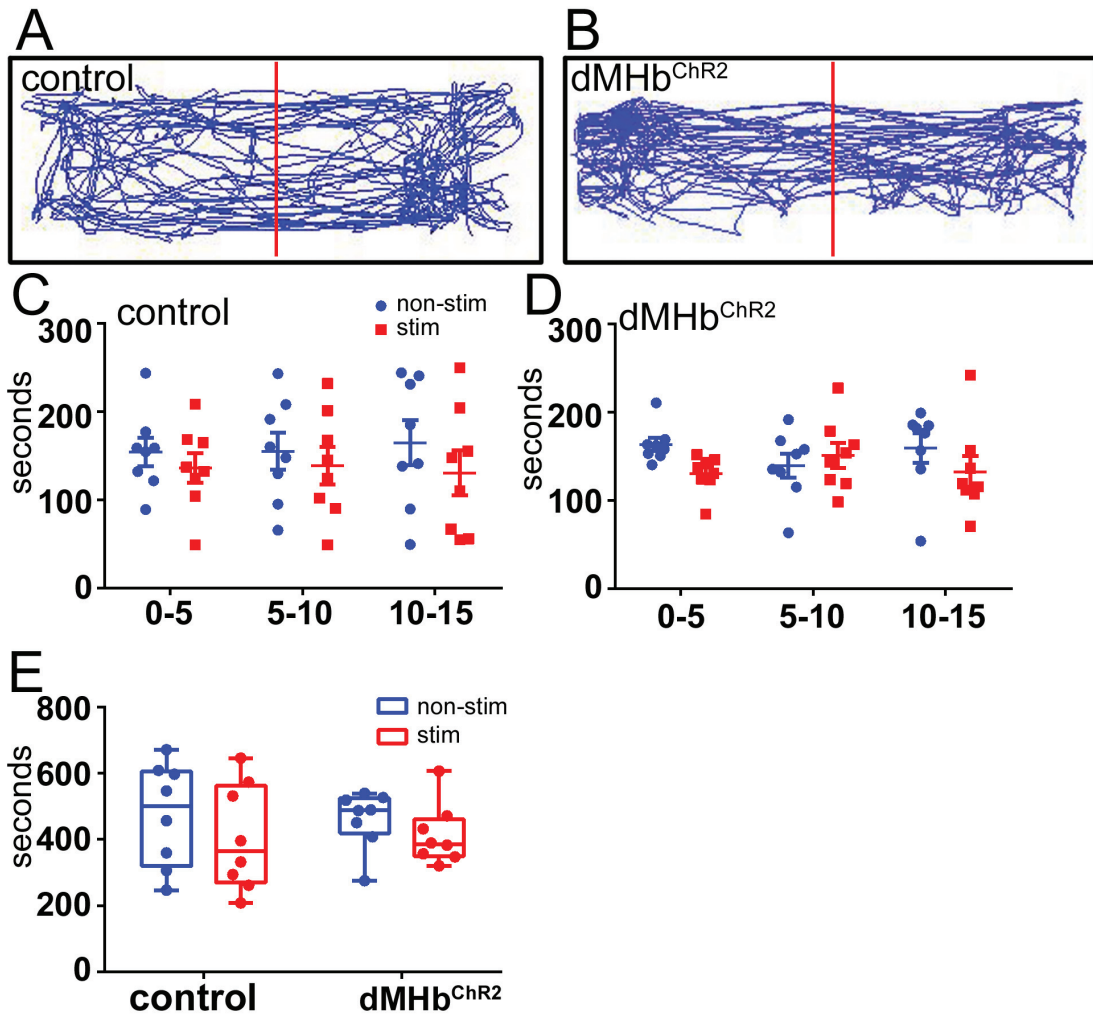




Hsu, et al., Figure 6



Hsu, et al., Figure 7



Hsu, et al., Figure 8