
Research Article: Confirmation | Integrative Systems

Optogenetic Study of Anterior Bnst and Basomedial Amygdala Projections to the Ventromedial Hypothalamus

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DOI: 10.1523/ENEURO.0204-18.2018

Received: 23 May 2018

Accepted: 26 May 2018

Published: 15 June 2018

Author contributions: R.Y. and D.P. designed research; R.Y., N.A., T.I., and N.Z.G. performed research; R.Y., N.A., N.Z.G., and D.P. analyzed data; D.P. wrote the paper.

Funding: <http://doi.org/10.13039/100000025HHS> | NIH | National Institute of Mental Health (NIMH) MH098738

Conflict of Interest: Authors declare no conflict of interests.

This material is based upon work supported by NIMH grant MH098738 to DP.

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Cite as: eNeuro 2018; 10.1523/ENEURO.0204-18.2018

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Accepted manuscripts are peer-reviewed but have not been through the copyediting, formatting, or proofreading process.

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4 **OPTOGENETIC STUDY OF ANTERIOR BNST AND BASOMEDIAL AMYGDALA**
5 **PROJECTIONS TO THE VENTROMEDIAL HYPOTHALAMUS**
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18 Abbreviated title: Amygdala and BNST inputs to VMH
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31 This paper includes:

32 5 figures

33 1 table

34 24 pages
35

36 Number of words:

37 Abstract: 234

38 Body: 3998
39

40 Keywords: amygdala, bed nucleus of the stria terminalis, ventromedial hypothalamic nucleus,
41 fear, anxiety, defensive behaviors.
42

43 **Acknowledgements**

44 This material is based upon work supported by NIMH grant MH098738 to DP.
45

46 **Competing financial interests statement**

47 The authors declare that they have no competing financial interests.
48

49 The basomedial amygdala (BM) influences the ventromedial nucleus of the
50 hypothalamus (VMH) through direct glutamatergic projections as well as indirectly,
51 through the anterior part of the bed nucleus of the stria terminalis (BNSTa). However, BM
52 and BNSTa axons end in a segregated fashion in VMH. BM projects to the core of VMH,
53 where VMH's projection cells are located, whereas BNSTa projects to the shell of VMH,
54 where GABAergic cells that inhibit core neurons are concentrated. However, the
55 consequences of this dual regulation of VMH by BM and BNSTa are unknown. To study
56 this question, we recorded the responses of VMH's shell and core neurons to the
57 optogenetic activation of BM or BNSTa inputs in transgenic mice that selectively express
58 cre-recombinase in glutamatergic or GABAergic neurons. Glutamatergic BM inputs fired
59 most core neurons but elicited no response in GABAergic shell neurons. Following BM
60 infusions of AAV-EF1 α -DIO-hChR2-mCherry in Vgat-ires-Cre-Ai6 mice, no anterograde
61 labeling was observed in the VMH, suggesting that GABAergic BM neurons do not project
62 to the VMH. In contrast, BNSTa sent mostly GABAergic projections that inhibited both,
63 shell and core neurons. However, BNSTa-evoked IPSPs had a higher amplitude in shell
64 neurons. Since we also found that activation of GABAergic shell neurons causes an
65 inhibition of core neurons, these results suggest that depending on the firing rate of shell
66 neurons, BNSTa inputs could elicit a net inhibition or disinhibition of core neurons. Thus,
67 the dual regulation of VMH by BM and BNSTa imparts flexibility to this regulator of
68 defensive and social behaviors.

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72 **SIGNIFICANCE STATEMENT**

73 The ventromedial hypothalamus (VMH), a critical component of the innate defense
74 network, is regulated by the basomedial amygdala (BM), which supplies non-olfactory
75 information to the VMH, and BNST, another structure mediating defensive behaviors and a
76 recipient of BM inputs. BM projects to the core of VMH, where its projection cells are located,
77 whereas BNST projects to the shell of VMH, where GABAergic cells that inhibit core neurons
78 are concentrated. However, the consequences of this dual regulation of VMH by BM and BNST
79 are unknown. Our results indicate that depending on the firing rate of shell neurons, the influence
80 of BNST can shift from an inhibition to a disinhibition of core neurons, thus imparting flexibility
81 to this innate defensive network.

82

83

84 The ventromedial hypothalamic nucleus (VMH) is a critical component of the brain's
85 innate defense network (Fernandez De Molina and Hunsperger, 1962; Dielenberg et al., 2001;
86 Martinez et al., 2008; Kunwar et al., 2015) and a regulator of various social behaviors (Pfaff and
87 Sakuma, 1979a,b; Yang et al., 2013; Lee et al., 2014; Ishii et al., 2017; Hashikawa et al.,
88 2017a). Depending on the modality, different pathways relay sensory information to the VMH. A
89 major route for the transfer of olfactory (volatile and pheromone) information to the VMH
90 involves the medial amygdala and posterior region of the bed nucleus of the stria terminalis
91 (BNSTp; Canteras et al., 1994; Dong et al., 2004; Hong et al., 2014; Hashikawa et al., 2016;
92 Padilla et al., 2016; reviewed in Hashikawa et al., 2017). In contrast, auditory and visual
93 information about predators and conspecifics are thought to reach the VMH via the basomedial
94 nucleus of the amygdala (BM; McDonald, 1999; Martinez et al., 2011; reviewed in Gross and
95 Canteras, 2012).

96 However, the regulation of the VMH by BM is complex (**Fig. 1A**). Indeed, besides
97 projecting to the VMH (Petrovich et al., 1996), BM also influences it indirectly, through neurons
98 in the anterior part of the bed nucleus of the stria terminalis (BNSTa; Krettek and Price, 1978;
99 Dong et al., 2001). Like the VMH, BNSTa has been implicated in the genesis defensive
100 behaviors, particularly anxiety-like states with ill-defined and unpredictable triggers (Walker et
101 al., 2009). However, unlike BNSTp, which contains many glutamatergic neurons, the vast
102 majority of BNSTa cells are GABAergic (Day et al., 1999; Poulin et al., 2009) such that when
103 BM recruits BNSTa, its targets should be inhibited. Complicating matters further, BM and
104 BNSTa send non-overlapping projections to the VMH (**Fig. 1B**). Indeed, the VMH is comprised
105 of two sectors: a core region that contains the nucleus' glutamatergic projection cells, and a cell-
106 poor shell region that surrounds the core and is mostly populated by GABAergic cells, which are

107 thought to inhibit core neurons (Murphy and Renaud, 1968; Millhouse, 1973a,b; Fu and van den
108 Pol, 2008).

109 Because BM inputs are confined to the core of VMH (Petrovich et al., 1996) whereas
110 BNSTa axons end in the shell and surrounding area (Dong and Swanson, 2006), it is possible
111 that BM and BNSTa synergistically excite VMH's projection neurons, the former through a
112 direct synaptic excitation, and the latter through dis-inhibition. At odds with this possibility
113 however, the distal dendrites of VMH's core neurons extend into the shell and beyond
114 (Millhouse, 1973a,b; Fu and van den Pol, 2008; Griffin et al., 2009). Consequently, they might
115 also receive direct inhibitory inputs from BNSTa.

116 Thus, the present study was undertaken to shed light on the impact of BM and BNSTa
117 inputs on the VMH. To this end, we performed whole-cell patch recordings of shell and core
118 VMH neurons and, in separate experiments, optogenetically activated glutamatergic BM or
119 GABAergic BNSTa inputs to the VMH. Our results indicate that depending on the firing rate of
120 shell neurons, the influence of BNSTa can shift from an inhibition to a disinhibition of core
121 neurons.

122

123 **MATERIALS AND METHODS**

124

125 *Animals and virus injections*

126 All procedures were approved by the Institutional Animal Care and Use Committees of
127 Rutgers University and of Kanazawa Medical University. To visualize GABAergic or
128 glutamatergic VMH neurons, we crossed Ai6 reporter mice (Stock 007906) with Vgat-ires-Cre
129 knock-in mice (Stock 016962) or Vglut2-ires-Cre mice (Stock 016963), respectively. In keeping
130 with prior reports (Vong et al., 2011), sections from the Vglut2-ires-Cre-Ai6 (**Fig. 1C**) and Vgat-
131 ires-Cre-Ai6 (**Fig. 1D**) mice looked like negatives of each other and the expression of the

132 fluorescent reporter ZsGreen1 matched prior observations regarding the location of
133 glutamatergic and GABAergic neurons in the brain (Poulin et al., 2009).

134 The Cre-dependent expression of the excitatory opsin Channelrhodopsin (ChR2) was
135 restricted to GABAergic or glutamatergic neurons by infusing the virus AAV-EF1 α -DIO-
136 hChR2-mCherry (UPenn Vector Core, Philadelphia, PA) at the origin of VMH inputs (BM or
137 BNSTa) in Vgat-ires-Cre-Ai6 or Vglut2-ires-Cre-Ai6 mice, respectively. To this end, male or
138 female mice (2 to 3 months old) were anesthetized with a mixture of isoflurane and oxygen and
139 placed into a stereotaxic apparatus. Their body temperature was kept at ~37°C. Atropine methyl
140 nitrate (0.05mg/kg, i.m.) was administered to aid breathing. Betadine and alcohol were used to
141 clean the scalp. Bupivacaine was injected in the region to be incised (0.125% solution, s.c.).
142 Small burr holes were drilled above BNSTa (in mm, relative to bregma: AP, 0.2; ML, 0.8; DV,
143 3.9), BM (AP, 2.2; ML, 2.9; DV, 4.8), or VMH (AP, 1.3; ML, 0.7; DV, 5.5). Nanoject II
144 (Drummond Scientific Company, Broomall, PA) was used to make pressure injections of the
145 virus (50 nL for BNSTa and hypothalamus; 100 nL for BM) at a rate of 9.6 nL/5 s using glass
146 pipettes pulled to an outer tip diameter of ~70 μ m using a PE-22 puller (Narishige Instruments,
147 Tokyo, Japan).

148 At the conclusion of the infusion, the scalp was sutured, a local antibiotic (Neosporin
149 paste) was applied to the wound, and an analgesic was administered (Ketoprofen, 2 mg/kg, s.c.
150 daily for three days). Mice were used for in vitro whole-cell recording experiments ~3 weeks
151 after the virus infusions.

152

153 *Slice preparation*

154 Mice were deeply anesthetized with isoflurane. After abolition of reflexes, they were
155 perfused trans-cardially with an ice-cold solution containing (in mM): 103 NMDG, 2.5 KCl, 10

156 MgSO₄, 30 NaHCO₃, 1.2 NaH₂PO₄, 0.5 CaCl₂, 25 glucose, 20 N-2-hydroxyethylpiperazine-N'-2-
157 ethanesulfonic acid (HEPES), 2 thiourea, 3 Na-pyruvate, 12 N-acetyl-L-cysteine. The brains
158 were sectioned using a vibrating microtome at a thickness of 300 μm while submerged in the
159 above solution. Subsequently, slices were kept submerged in the oxygenated solution containing
160 126 mM NaCl, 2.5 KCl, 1 MgCl₂, 26 NaHCO₃, 1.25 NaH₂PO₄, 2 CaCl₂, 10 glucose (pH 7.3, 300
161 mOsm). The holding chamber was kept at 34°C for 5 min and then returned to room
162 temperature. One hour later, a first slice was transferred to the recording bath, which was
163 perfused with the same oxygenated solution at 32°C (6 ml/min).

164

165 *Electrophysiology*

166 Whole-cell recordings of shell or core VMH neurons were obtained under visual
167 guidance using infrared differential interface contrast microscopy. We used pipettes pulled from
168 borosilicate glass capillaries (resistance 5-8 MΩ). The intracellular solution contained (in mM):
169 130 K-gluconate, 10 HEPES, 10 KCl, 2 MgCl₂, 2 ATP-Mg, and 0.2 GTP-tris (hydroxymethyl)
170 aminomethane, pH 7.2, 280 mOsm. The liquid junction potential was 10 mV with this solution.
171 However, the membrane potential (V_m) values listed below were not corrected for the junction
172 potential. We used a MultiClamp 700B Amplifier (Molecular Devices) and digitized the data at
173 20 kHz with a Digidata-1550 interface controlled by pClamp-10.3 (Molecular Devices).

174 To characterize the electroresponsive properties of the cells, we applied graded series of
175 current pulses (±10 pA increments; 500 ms; 0.2 Hz) from rest as well as more negative and
176 positive membrane potentials, as determined by DC current injection. The input resistance of the
177 cells was calculated from their voltage response to -20 pA current injections. To activate ChR2-
178 expressing axons, blue light stimuli (2 ms) were applied at 0.05 or 5 Hz through an optic fiber
179 (200-300 μm) patch cable coupled to a PlexBright tabletop blue LED module (Plexon, Dallas,

180 TX). The power density at the fiber tips was $\sim 700\text{mW}/\text{mm}^2$. The distance between the fiber optic
181 tip and recording pipette was adjusted to $\sim 200\ \mu\text{m}$. Post-synaptic potentials or currents were
182 evoked from several membrane potentials. The IPSP or IPSC reversal potentials were calculated
183 from the linear fit of fluctuations in IPSP or IPSC amplitudes as a function of membrane
184 potential.

185

186 *Identification of the core and shell regions of VMH*

187 To identify the borders of the VMH shell and core regions, we relied on the following
188 criteria. First, using infrared differential interference contrast optics, the shell region appeared as
189 a conspicuous ring of fibers, $60\text{-}100\ \mu\text{m}$ in width, which surrounded the core (**Fig. 2**). Second,
190 the shell was sparsely populated with neurons whereas the core had a high cell density (**Fig. 2**).
191 Third, when working with Vglut2-ires-Cre-Ai6 mice, the shell/core border coincided with a
192 marked increase in the number of reporter positive neurons from the shell to the core region. In
193 contrast, when working with Vgat-ires-Cre-Ai6 mice, the shell/core border coincided with a clear
194 drop in the number of reporter positive cells, from the shell to the core region. Note that
195 depending on the exact antero-posterior level, the relative size of the shell and core varied
196 slightly. Also, in some instances, the ring of fibers surrounding the core was interrupted by cell
197 bridges (asterisks in **Fig. 2A**). Recordings were obtained only when all the above criteria were
198 met, but not in the ambiguous regions.

199

200 *Microscopic observations*

201 Before the recordings, we ascertained that the virus infusions had reached their intended
202 target using fluorescence microscopy (Zeiss, Axioscope). A more detailed examination of the
203 infusion sites was performed after the experiments. To this end, slices were fixed in 4%

204 paraformaldehyde for 12 hours and then examined with Stereo Investigator v11 (MBF
205 Biosciences) and Nikon Eclipse E800. The boundaries of BNSTa and BM were drawn on the
206 bright field images and the fluorescence images were superimposed on the bright field images to
207 assess virus diffusion. All the data described below was obtained in mice where the virus
208 infusion site (BM or BNSTa) was centered on the intended target and no infected neurons could
209 be detected in adjacent structures.

210 Using Vglut2-ires-Cre-Ai6 and Vgat-ires-Cre-Ai6 mice, we assessed the relative density
211 of Vgat+ and Vglut2+ neurons in coronal sections. Five coronal sections from one mouse of each
212 type were used for this purpose. Confocal images of the VMH region were taken using Olympus
213 Fluoview FV1000 and the position of the ZsGreen1 positive cells was mapped. Next, the
214 sections were counterstained with cresyl violet to reveal the borders of the shell and core regions.
215 The fluorescence images were then placed in register with the photographs of the counter-stained
216 sections and the labeled cells were counted separately in the two VMH regions. Counts of
217 glutamatergic and GABAergic cell counts obtained from sections at the same antero-posterior
218 levels were used to compute ratios of the two cell types.

219

220 *Morphology*

221 To study the morphology of recorded neurons, in a subset of experiments, 0.75% biocytin
222 was added to the pipette solution. Biocytin diffused into the cells as their electroresponsive
223 properties were recorded. After termination of the recordings, the slice was removed from the
224 chamber and fixed for at least 24 hours in 4% paraformaldehyde in 10 mM PB. To visualize
225 biocytin-filled cells, sections were incubated with streptavidin conjugated with Alexa-Fluor 546
226 (1:1000; S11225, Thermo-Fisher) overnight. The next day, sections were washed and incubated
227 with thiodiethanol (TDE; 60% in 10 mM PBS, Sigma-Aldrich) for 20 min and coverslipped with

228 TDE. Images of biocytin-filled neurons were acquired with Axio Imager M2 coupled with
229 Apotome-2 (Zeiss, Jena, Germany).

230

231 *Analyses and statistics*

232 Analyses were performed off-line with the software IGOR (Wavemetrics, Lake Oswego,
233 OR) and Clampfit 10 (Molecular Devices). Values are expressed as means \pm SE. All cells with
234 stable resting potentials that generated overshooting spikes were included in the analyses. No
235 data was excluded. All statistical tests are two-sided. We used chi-square tests to compare the
236 incidence of particular properties in different samples. Paired or unpaired t-tests, as appropriate,
237 were used to assess significance of differences between different samples with a significance
238 threshold of $p=0.05$. We also used a mixed effect ANOVA to compare current-evoked spiking in
239 shell and core neurons.

240

241

242 **RESULTS**

243 A total of 159 VMH neurons (core, n=116; shell, n=43) were recorded in Vgat-ires-Cre-
244 Ai6 mice (n=30) or Vglut2-ires-Cre-Ai6 mice (n=7). Because different parts of the VMH core
245 play different roles (Lin et al., 2011; Silva et al., 2013; Lee et al., 2014; Wang et al., 2015;
246 Sakurai et al., 2016), that is, mediate different behaviors in response to distinct stimuli, core and
247 shell neurons were further subdivided based on their location (core-DM, n=57; core-VL, n=59;
248 shell-DM, n=23, shell-VL, n=20). The morphological properties of an additional subset of shell
249 (n=8) and core (n=6) neurons were revealed by including biocytin (0.75%) in the pipette
250 solution.

251

252 *Influence of shell neurons on core cells*

253 GABAergic and glutamatergic neurons are differentially distributed in VMH's shell and
254 core regions (**Fig. 1C,D**). As previously reported (Hashikawa et al., 2017), the core region
255 displayed a high concentration of glutamatergic cells (**Fig. 1C**) but very few GABAergic neurons
256 (**Fig. 1D**). In the core, the ratio of Vglut2+ to Vgat+ cells was 23.13 ± 1.75 whereas in the shell,
257 it was 1.52 ± 0.1 (see Methods). This difference resulted from the fact that the concentration of
258 glutamatergic cells was much lower in the shell ($80.01 \pm 8.86/\text{mm}^3$) than the core ($210.31 \pm$
259 $26.76/\text{mm}^3$) whereas the concentration of GABAergic cells was nearly five times higher in the
260 shell ($69.86 \pm 8.14/\text{mm}^3$) than core ($15.81 \pm 0.96/\text{mm}^3$). It should be noted that GABAergic and
261 glutamatergic neurons were distributed differently in the shell. Whereas GABA cells were
262 distributed homogeneously in the shell, glutamatergic cells generally occurred in small but dense
263 clusters that correspond to the "cell bridges" described in figure 2.

264 We first tested the hypothesis that GABAergic neurons in the shell and immediately
265 surrounding region contribute inhibitory synapses onto core neurons (Murphy and Renaud, 1968;

266 Fu and van den Pol, 2008). The contribution of glutamatergic shell neurons was not investigated
267 in the present study. In *Vgat-ires-Cre-Ai6* mice ($n=8$), we infused AAV-EF1 α -DIO-hChR2-
268 mCherry just outside the core region, thus restricting expression of ChR2 to Cre-expressing
269 GABAergic neurons (**Fig. 3A**). In support of this hypothesis, blue light stimuli reliably elicited
270 IPSCs in all tested core neurons (DM, 70.01 ± 19.54 pA, $n=9$, **Fig. 3B**; VL, 79.37 ± 11.31 pA,
271 $n=8$, **Fig. 3C**) with no significant difference between cells recorded in the DM and VL sectors
272 (unpaired t-test, $t=0.43$, $p=0.68$). These IPSCs reversed at around -60 (-63.0 ± 1.6 mV), were
273 monophasic, and could follow trains of blue light stimuli at 5 Hz, albeit with marked attenuation
274 from the first to the following stimuli.

275 Further evidence in support of the notion that GABAergic shell neurons provide
276 inhibitory inputs to core neurons was obtained by revealing their morphological properties with
277 biocytin. As shown in **Figure 4**, all recovered *Vgat*⁺ shell neurons ($n=8$) contributed varicose
278 axons into the core region as well as in the shell. Moreover, all the core neurons we recovered
279 ($n=6$) had dendritic branches extending into the shell and beyond.

280

281 *Transmitter used by BM and BNSTa axons ending in the VMH*

282 To the best of our knowledge, the identity of the neurotransmitters used by VMH-
283 projecting BM and BNSTa neurons has not been ascertained. However, as detailed in the
284 Discussion, there is much indirect evidence suggesting that they are glutamatergic and
285 GABAergic, respectively. To settle this question, we took advantage of the selective expression
286 of Cre-recombinase by glutamatergic or GABAergic neurons in *Vglut2-ires-Cre-Ai6* or *Vgat-*
287 *ires-Cre-Ai6* mice (respectively) to restrict the expression of ChR2 and the reporter mCherry to
288 either cell type (**Fig. 5A,B**).

289 Following BM infusions of AAV-EF1 α -DIO-hChR2-mCherry in Vgat-ires-Cre-Ai6 mice
290 (n=3), no anterogradely labeled axons could be observed in the VMH and blue light stimuli
291 elicited no synaptic responses in 6 DM and 5 VL core neurons (**Fig. 5C**). In contrast, the same
292 virus infusions in the BM of Vglut2-ires-Cre-Ai6 mice (**Fig. 5A1**; n=4) led to high reporter
293 expression throughout the core of VMH (**Fig. 5A2**) and blue light stimuli elicited supra-threshold
294 EPSPs from rest in all tested core neurons (**Fig. 5A3**; DM, n=5; VL, n=4) but no response in
295 Vglut2 negative shell neurons (n=5; **Fig. 5C**).

296 An inverse pattern of results was obtained following infusions of the same virus in
297 BNSTa. That is, in Vglut2-ires-Cre-Ai6 mice (n=2), very little mCherry expression could be
298 detected in the shell or core of VMH and blue light stimuli generally elicited no response in core
299 neurons (DM, n=6; VL, n=7; **Fig. 5C**). Only one of the tested cells displayed a response and it
300 consisted of low-amplitude (~2 mV) sub-threshold EPSPs. In contrast, following the same virus
301 infusion in the BNSTa of Vgat-ires-Cre-Ai6 mice (**Fig. 5B1**; n=19), pronounced mCherry
302 expression was seen in the shell of VMH and surrounding region (**Fig. 5B2**). Moreover, blue
303 light stimuli elicited IPSPs in most core (91% of 66; **Fig. 5B3**) and all Vgat positive shell (100%
304 of 33) neurons (**Fig. 5C**).

305

306 *Comparison between the impact of BNSTa inputs on VMH core and shell neurons*

307 Overall, the above experiments support the conclusion that most (if not all) VMH-
308 projecting BM neurons are glutamatergic, whereas GABAergic cells constitute the prevalent type
309 of BNSTa neurons targeting the VMH region. These tests also revealed that although BNSTa
310 axons do not end in the VMH's core, they nonetheless form inhibitory synapses with core
311 neurons, likely on their distal dendrites in the shell region (Millhouse, 1973a,b; Fu and van den

312 Pol, 2008). Thus, BNSTa inputs can influence core neurons in two ways: via a direct inhibition
313 and, indirectly, through the inhibition of shell neurons (disinhibition).

314 To determine the relative importance of these two modes of action, we first compared the
315 amplitude and duration of the IPSPs seen in shell and core neurons following blue light
316 stimulation of BNSTa axons in *Vgat-ires-Cre-Ai6* mice (**Fig. 6**). To control for variations in the
317 extent of infection between mice, multiple *Vgat* positive shell and *Vgat* negative core neurons
318 were recorded in each mouse and the data averaged. Statistical comparisons were performed at
319 the mouse level, using these averages. Whether the recordings were performed in the DM (**Fig.**
320 **6A**; 19 shell and 14 core neurons recorded in 6 mice) or VL sectors (**Fig. 6B**; 14 shell and 17
321 core neurons recorded in 6 mice), blue light stimulation of BNSTa axons elicited significantly
322 larger IPSPs in shell than core neurons (**Fig. 6C**; DM core -4.11 ± 1.16 mV, DM shell -7.06 ± 1.16
323 mV, $t = 3.543$, $p = 0.017$; VL core -5.66 ± 1.34 mV, VL shell -10.36 ± 2.02 mV, $t = 4.052$, $p =$
324 0.01).

325 Of note, although BNSTa synapses end in the distal dendrites of core neurons and shell
326 neurons are electrotonically more compact than core neurons (see below), their extrapolated
327 reversal IPSP potential (core -66.2 ± 1.5 mV, $n=26$; shell -69.1 ± 1.8 mV, $n=14$; t-test, $t=1.217$;
328 $p=0.231$), and time course (10-90% rise time: core, $n=53$, 10.6 ± 0.8 ms; shell, $n=32$, 9.8 ± 1.2 ms,
329 $t=0.609$, $p=0.544$; duration at half-amplitude: core, 93.5 ± 5.6 ms, shell, 89.2 ± 6.7 ms, $t=0.481$,
330 $p=0.632$) did not differ.

331 While IPSP amplitudes were nearly twice as high in shell than core neurons of the DM
332 and VL sectors, neurons recorded in the VL sector, whether they were shell or core neurons,
333 displayed IPSPs of higher amplitude than their counterparts in the DM sector. This aspect was
334 further studied systematically in seven *Vgat-ires-Cre-Ai6* mice where we recorded at least one
335 DM and one VL core neuron (total of 17 and 18, respectively) in each mouse. In this dataset,

336 IPSP amplitudes were more than twice higher in VL (-6.53 ± 0.65 mV) than DM (-2.99 ± 0.79
337 mV) core neurons (paired t-test, $t=4.84$, $p = 0.003$; **Fig. 6C**).

338

339 *Electroresponsive properties of core and shell neurons*

340 The above experiments indicate that in the quiescent conditions of brain slices kept in
341 vitro, BNSTa axons exert a stronger inhibitory influence over shell than core neurons, suggesting
342 that BNSTa to VMH connections favor disinhibition over inhibition of core neurons. However,
343 expression of this bias will depend on several factors, including the firing rate of shell neurons.
344 That is, depending on whether GABAergic shell neurons fire at high or low rates, core neurons
345 will experience more or less disinhibition. While the artificial conditions of brain slices prevent
346 us from addressing this question, they allow us to study a major determinant of firing rates, the
347 cell's electroresponsive properties.

348 To investigate this aspect, we delivered graded series of depolarizing and hyperpolarizing
349 current pulses to shell ($n = 41$) and core ($n = 97$) VMH neurons from various membrane
350 potentials. From the cells' voltage response to the -20 pA current pulses, we derived their input
351 resistance and time constant. We also assessed their current-evoked and spontaneous discharge
352 patterns. Although these tests were carried out in the DM and VL sectors, the data is pooled
353 below because shell and core neurons displayed a similar range of properties irrespective of their
354 location.

355 As detailed in **Table 1**, the passive properties of shell and core neurons differed
356 significantly. Shell neurons had a markedly higher input resistance and a slightly more
357 depolarized resting potential than core neurons. Also, shell neurons generated action potentials of
358 significantly lower amplitude than core neurons but spike threshold and duration did not differ
359 significantly. Last, a similar proportion of shell (37% or 15 of 41) and core (33% or 32 of 97)

360 neurons fired spontaneously at rest ($X^2=0.237$; $p=0.627$). Among these spontaneously active
361 cells, firing rates were 64% higher in shell (2.67 ± 0.71 Hz) than core neurons (1.63 ± 0.38 Hz),
362 albeit not significantly so (t-test, $t=1.42$, $p=0.162$).

363 As to the dynamics of current-evoked firing, there was much heterogeneity in both cell
364 types. Based on the cells' firing patterns to depolarizing current pulses applied from rest, two
365 main types of core neurons could be distinguished, regular spiking (RS; 56%; **Fig. 7A1,2**) and
366 intrinsically bursting (IB; 44%; **Fig. 7A3**), both of which could express post-anodal bursting
367 (67% of RS and 70% of IB) or not (33% of RS and 30% of IB). Although RS (**Fig. 7B1,2**) and
368 IB (**Fig. 7B3**) neurons were also observed among shell neurons, RS cells accounted for a
369 significantly higher proportion of shell (76%) than core neurons (57%) neurons ($X^2=4.388$;
370 $p=0.036$).

371 In core and shell RS neurons that lacked a rebound burst at the end of negative current
372 pulses, membrane hyperpolarization failed to transform their depolarization-evoked tonic firing
373 into spike bursts. In contrast, reminiscent of thalamic relay cells (Jhansen and Llinas, 1982), in
374 those cells with a clear rebound burst, membrane hyperpolarization transformed their
375 depolarization-evoked tonic discharges into low-threshold spike bursts (**Fig. 7C**). As to IB
376 neurons, membrane depolarization did not transform their spike bursts into tonic discharges,
377 although it did cause single spikes to occur after the initial spike burst (**Fig. 7D**).

378 Since RS cells accounted for the majority of neurons in both VMH sub-sectors, we
379 compared current-evoked spiking in core vs. shell RS neurons using 500 ms current pulses
380 ranging between 10 to 50 pA in amplitude and applied at rest. Consistent with the fact that shell
381 neurons had a higher input resistance than core neurons (**Table 1**), they generated significantly
382 more action potentials (**Fig. 7E**; two-way mixed effect ANOVA, $F_{\text{between}(1,84)}=12.7$, $p<0.001$).
383 While there was no difference in this respect between IB cells of the shell and core (two-way

384 mixed effect ANOVA $F_{\text{between}}(1,50)=0.03$, $p=0.86$), the same comparison between all shell and
385 core neurons, that is including both RS and IB cells, remained significant (two-way mixed effect
386 ANOVA $F_{\text{between}}(1,136)=6.04$, $p=0.015$).

387

388 **DISCUSSION**

389 The present study examined the influence of BM and BNSTa projections to VMH
390 neurons. Although BM is the main source of non-olfactory information about predators and
391 aggressive conspecifics to the VMH, it can also influence it indirectly through its projections to
392 BNSTa. However, most BNSTa neurons are GABAergic and it seems paradoxical that BNSTa
393 neurons, after being recruited by BM, would counter BM's excitatory effects by inhibiting VMH
394 neurons. In a likely solution to this paradox, our data suggests that BM and BNSTa inputs can
395 actually influence VMH's projection cells in a synergistic manner, the former through excitation
396 and the latter through disinhibition.

397

398 *Transmitter used by VMH-projecting BM and BNSTa neurons*

399 Prior to the present study, the neurotransmitter used by VMH-projecting BM and BNSTa
400 neurons had not been formally identified. However, much indirect evidence suggested that they
401 use glutamate and GABA, respectively. In the case of BM, it was found that anterogradely-
402 labeled axon terminals from different nuclei of the basolateral amygdaloid complex (of which
403 BM is a part of) are enriched in glutamate and form asymmetric synapses with cortical and
404 central amygdala neurons (Smith et al., 1994; Pare et al., 1995). However, one study reported
405 that some GABAergic neurons of the basolateral amygdala have extrinsic projections
406 (McDonald et al., 2012). As to BNSTa, in situ hybridization studies reported that the vast
407 majority of BNSTa neurons are GABAergic (Day et al., 1999; Poulin et al., 2009). Nevertheless,

408 even though there are very few glutamatergic neurons in BNSTa, it remained possible that they
409 project to the VMH.

410 Here we addressed this question by taking advantage of the selective expression of Cre-
411 recombinase in glutamatergic or GABAergic neurons in two mouse lines, allowing us to restrict
412 ChR2 expression to either cell type. Using this approach, we found that most (if not all) VMH-
413 projecting BM neurons use glutamate as a transmitter. No evidence of a GABAergic innervation
414 of VMH by BM was detected. That is, following BM infusions of AAV-EF1 α -DIO-hChR2-
415 mCherry in Vgat-ires-Cre-Ai6 mice, no anterograde labeling was observed in the VMH.
416 Conversely, in BNSTa experiments, evidence of a robust GABAergic projection was obtained.
417 In this case however, evidence of a minor glutamatergic contingent was observed.

418 In support of these findings, there are precedents in the literature for the contribution of
419 GABAergic and glutamatergic neurons to the projections of BNSTa. For instance, glutamatergic
420 and GABAergic neurons both project to other BNST sectors (Turesson et al., 2013), to the
421 ventral tegmental area (Kudo et al., 2012) and to the central nucleus of the amygdala (Gungor et
422 al., 2015). In the latter two cases however, most of the projections are inhibitory, as we saw in
423 the VMH. An important question to be addressed in future studies will be to determine whether
424 GABAergic and glutamatergic BNSTa cells contact different subtypes of VMH neurons.

425

426 *Interaction between BM and BNSTa projections to the VMH*

427 In addition to using different neurotransmitters, BM and BNSTa send non-overlapping
428 projections to the VMH. BM projects to the VMH's core (Dong and Swanson, 2006), where
429 glutamatergic projections cells are found (Fu and van den Pol, 2008), whereas BNSTa targets the
430 VMH's shell and surrounding region (Dong and Swanson, 2006), where GABAergic cells are
431 concentrated (Fu and van den Pol, 2008). Since BM contributes a very strong glutamatergic

432 projection to BNSTa (Krettek and Price, 1978; Dong et al., 2001; Nagy et al., 2008), VMH-
433 projecting BM and BNSTa neurons are expected to be activated in parallel. This raises the
434 question of how the joint activation of BM and BNSTa inputs affects VMH's output neurons.

435 To address this question, we compared their impact on glutamatergic core and
436 GABAergic shell neurons using optogenetic methods. While activation of glutamatergic BM
437 inputs fired most core cells, shell neurons remained unresponsive. In contrast, activation of
438 GABAergic BNSTa inputs elicited IPSPs in both core and shell neurons. Since these IPSPs had a
439 markedly higher amplitude in shell than core neurons, the net influence of BNSTa on core
440 neurons appears to be a disinhibition. However, because BNSTa inputs directly inhibit core
441 neurons, their influence will depend on the status of shell neurons. That is, the impact of BNSTa
442 inputs could shift from a disinhibition of VMH's output cells, when shell neurons fire at high
443 rates, to an inhibition of core neurons, when shell neurons are inactive.

444 While we are not aware of unit recording studies on the firing rates of shell neurons in
445 behaving animals, we note that their electroresponsive properties predisposes them to display
446 elevated activity levels. These properties include a high input resistance, a relatively depolarized
447 resting potential, and the ability to sustain high firing rates with modest spike frequency
448 adaptation. In any event, it is clear that the parallel regulation of VMH by BM and BNSTa
449 imparts flexibility to this innate defensive network. The presence of a small population of
450 glutamatergic shell neurons, whose connectivity was not investigated in the present study, might
451 further enhance this flexibility.

452

453 *Relation between BNSTa and VMH activity in the genesis of defensive behaviors*

454 Like VMH, BNSTa has been implicated in the genesis of defensive behaviors (reviewed
455 in Gungor and Pare, 2016) and is commonly believed to mediate long-lasting states of increased

456 vigilance and apprehension in the anticipation of ill-defined and unpredictable perils (Walker et
457 al., 2009). For instance, BNSTa lesion or inactivation interferes with anxiety-like responses to
458 alarm pheromones (Breitfeld et al., 2015), predator odors (Fendt et al., 2003; Xu et al., 2012),
459 and bright lights (Walker and Davis, 1997). Moreover, exploratory behavior in assays that
460 measure fear of open spaces, such as the elevated plus maze, also depends on BNSTa activity
461 (Waddell et al., 2006; Duvarci et al., 2009; Kim et al., 2013). While BNSTa projections to the
462 paraventricular hypothalamic nucleus (Sawchenko and Swanson, 1983; Moga and Saper, 1994)
463 and brainstem nuclei (Holstege et al., 1985; Gray and Magnuson, 1987) such as the ventrolateral
464 periaqueductal gray are commonly thought to mediate BNSTa's influence over defensive
465 behaviors, the present findings suggest an additional mechanism, namely the disinhibition of
466 VMH's core neurons. An important challenge for future studies will be to test this possibility.

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627

628 **Table 1. Physiological properties of core and shell neurons**

	Resting Vm (mV)	Rin (M Ω)	AP height (mV)	AP threshold (mV)	AP half-width (ms)	Time constant (ms)
Core (n = 97)	-58.6 \pm 0.6	722.8 \pm 25.9	99.0 \pm 0.9	-43.1 \pm 0.3	0.76 \pm 0.03	40.3 \pm 1.6
Shell (n = 41)	-56.5 \pm 0.9	1028.8 \pm 64.9	93.4 \pm 1.5	-42.0 \pm 0.7	0.71 \pm 0.03	41.6 \pm 2.4
	p = 0.049 t = -1.984	p < 0.001 t = -5.278	p = 0.0013 t = 3.303	p = 0.119 t = -1.567	p = 0.064 t = 1.865	p = 0.65 t = 0.452

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635 **FIGURE LEGENDS**

636

637 **Figure 1.** Network investigated in the present study. **(A)** Summary of connections investigated.
 638 Blue and red lines indicate glutamatergic and GABAergic connections, respectively. The
 639 basomedial nucleus of the amygdala (BM) sends parallel projections to the ventromedial
 640 hypothalamic nucleus (VMH) and to the anterior portion of the bed nucleus of the stria
 641 terminalis (BNSTa). In turn, BNSTa sends projections to the VMH, which has been implicated
 642 in the regulation of defensive and social behaviors. Because prior studies have reported that most
 643 extrinsic projections of BM and BNST respectively originate from glutamatergic and
 644 GABAergic neurons, arrows making these connections are color-coded accordingly. However,
 645 this remains to be established, hence the question marks. **(B)** BM and BNSTa send non-
 646 overlapping projections to the VMH. BM projects to the core of the VMH, where projection cells
 647 are located, whereas BNSTa projects to the shell of VMH and surrounding region, where
 648 GABAergic cells are found. The core of VMH is divided in sectors (DM, dorsomedial; VL,
 649 ventrolateral). It should be noted that the shell also contains a small contingent of glutamatergic
 650 neurons. However, in contrast to the GABA cells, which are homogeneously distributed in the
 651 shell, the glutamatergic cells occur in small but dense clusters that correspond to the “cell
 652 bridges” described in figure 2. The properties of these glutamatergic neurons are not investigated
 653 in the present study. **(C)** Distribution of glutamatergic cells in Vglut2-Cre-IRES-knockin mice
 654 crossed with Ai6 reporter mice. **(D)** Distribution of GABAergic cells in Vgat-Cre-IRES-knockin
 655 mice crossed with Ai6 reporter mice. Abbreviations: Thal, thalamus; V, ventricle; ZI, zona
 656 incerta.

657

658 **Figure 2.** Histological features of the VMH shell and core regions. Two coronal sections stained
 659 with cresyl violet. Whereas the core region is characterized by a high cell density, the shell
 660 region is sparsely populated with neurons and appears as a ring of fibers that surrounded the
 661 core. In some places, the shell is interrupted by cell bridges (asterisks in **A**). No recordings were
 662 obtained from such ambiguous regions. Abbreviations: Arc, arcuate hypothalamic nucleus;
 663 DMH, dorsomedial hypothalamic nucleus; LH, lateral hypothalamic area; V3, third ventricle.

664

665 **Figure 3.** GABAergic cells of the VMH’s shell inhibit core neurons. **(A)** Distribution of
 666 mCherry reporter in the hypothalamus following infusion of AAV-EF1 α -DIO-hChR2-mCherry
 667 just outside the core region. Because the virus was infused in Vgat-ires-Cre-Ai6 mice, ChR2
 668 expression was restricted to Cre-expressing GABAergic neurons. Note absence of fluorescence
 669 in core region. **(B,C)** Examples of IPSCs evoked in DM **(B)** and VL **(C)** core neurons at holding
 670 potentials of -50 (top trace) and -80 mV (second trace) by blue light stimuli (bottom trace of **B**).
 671 Abbreviations: contra, contralateral; ipsi, ipsilateral; V, ventricle.

672

673 **Figure 4.** Morphological properties of shell and core neurons. **(A)** Example of biocytin-filled
 674 shell neuron (yellow pseudocolor) shown at a low **(1)** and high **(2)** magnification. The region
 675 enclosed in a dashed rectangle in **A2** is shown at a higher magnification in **A3**, revealing that this
 676 shell neuron contributes a varicose axon into the core region. **(B)** Drawings of three core (left)
 677 and three shell (right) neurons. Red lines represent axons.

678

679 **Figure 5.** Most VMH-projecting BM and BNSTa neurons are glutamatergic and GABAergic,
 680 respectively. The virus AAV-EF1 α -DIO-hChR2-mCherry was infused in BM **(A1)** or BNSTa
 681 **(B1)** of Vglut-ires-Cre-Ai6 mice or Vgat-ires-Cre-Ai6 mice, respectively. This resulted in
 682 pronounced mCherry reporter expression in the VMH core **(A2)** or shell **(B2)**, respectively. **(A3)**

683 In Vglut2-ires-Cre-Ai6 mice that received virus infusions in BM, blue light stimuli (fourth trace)
684 elicited supra-threshold EPSPs in core neurons (black, red, and green lines represent different
685 current-clamp (CC) trials while the cell was at rest. A voltage-clamp (VC) recording in the same
686 cell and testing conditions is shown at the bottom of **A3**. (**B3**) In Vgat-ires-Cre-Ai6 mice that
687 received virus infusions in BNST, blue light stimuli (second trace) elicited IPSPs (top trace) and
688 IPSCs (bottom trace) in core neurons. (**C**) Proportion of cells (C, core neurons; S, shell neurons)
689 responsive to blue light stimuli (blue, excited; red inhibited) following infusion of AAV-EF1 α -
690 DIO-hChR2-mCherry in BM (left) or BNSTa (right) and depending on whether the infused mice
691 were Vglut-ires-Cre-Ai6 mice or Vgat-ires-Cre-Ai6 mice (bottom). Number of tested cells is
692 indicated by the numerals just below the bars.

693
694 **Figure 6.** Contrasting influence of BNSTa inputs on shell and core neurons in different VMH
695 sectors. The virus AAV-EF1 α -DIO-hChR2-mCherry was infused in BNSTa of Vgat-ires-Cre-
696 Ai6 mice. Blue light stimuli elicited higher-amplitude IPSPs in shell than core neurons whether
697 they were recorded in the DM (**A**) or VL regions (**B**). (**C**) Average \pm SEM IPSP amplitude from
698 -55 mV following light-induced activation of BNSTa axons in the cell types and regions
699 indicated at bottom. Circles connected by lines indicate individual experiments. Isolated circles
700 are group averages.

701
702 **Figure 7.** Electroresponsive properties of VMH neurons. (**A**, **B**) Voltage responses of six
703 different core (**A**) and shell (**B**) neurons to negative (-20 and -40 pA) and positive (20 and 40 pA)
704 current pulses from rest (numerals to the right of the traces). Top trace in A1-3 and B1-3 was
705 offset for clarity. (**C**, **D**) Effect of changes in membrane potential (numbers to the right) on the
706 firing pattern of RS (**C**) and IB (**D**) neurons. In both cases, a current pulse of 20 pA was applied
707 at the negative membrane potential and 10 pA at the more positive membrane potential. (**E**)
708 Number of current-evoked action potentials (y-axis; average \pm SEM) plotted as a function of
709 injected current (x-axis; 500 ms pulses). Calibration bars in B2 apply to panels A1-3 and B1-3.
710 Calibration bars in D also apply to C.

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