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### Impact of NMDA Receptor Overexpression on Cerebellar Purkinje Cell Activity and Motor Learning

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#### 1 Impact of NMDA receptor overexpression on cerebellar Purkinje cell 2 activity and motor learning

Abbreviated title: NMDAR overexpression in Purkinje cells

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#### 49 Abstract

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In many brain regions involved in learning N-methyl-D-aspartate glutamate receptors 51 52 (NMDARs) act as coincidence detectors of pre- and post-synaptic activity, mediating 53 Hebbian plasticity. Intriguingly, the parallel fiber (PF) to Purkinje cell (PC) input, which 54 is critical for procedural learning, shows virtually no postsynaptic NMDARs. Why is 55 this? Here, we address this question by generating and testing independent transgenic lines that over-express NMDA-NR2B specifically in PCs. PCs of the mice 56 that show larger NMDA-mediated currents than controls at their parallel fiber (PF) 57 58 input, suffer from a blockage of long-term potentiation (LTP) at their PF-PC 59 synapses, while long-term depression (LTD) and baseline transmission are 60 unaffected. Moreover, introducing NMDA-mediated currents affects cerebellar 61 learning in that phase-reversal of the vestibulo-ocular reflex is impaired. Our results 62 suggest that under physiological circumstances PC spines lack NMDARs 63 postsynaptically at their PF input so as to allow LTP to contribute to motor learning.

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#### Significance statement

68 NMDA-receptors form one of the key molecules involved in memory formation, yet 69 they are absent in one of the most plastic synapses in the brain, the parallel fiber 70 (PF) to Purkinje cell (PC) synapse. PCs are equipped with the molecular machinery 71 for expression of NMDA-receptors, but under normal conditions all NMDA-receptors 72 are directed towards their climbing fiber input. So why are NMDA-receptors not 73 occurring postsynaptically at their PF input, which is known as the main substrate of 74 cerebellar plasticity? To answer this question we generated two transgenic mouse 75 lines that overexpress NMDA-receptors at the PF-PC synapse, and we show that 76 while such manipulation does not result in abnormal morphology or baseline PC 77 electrophysiology, it does impact plasticity and motor learning.

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#### 80 Introduction

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A basic property of chemical synapses is their ability to be permanently modified in 82 83 response to stimulus patterns. This property, which is known as long-term synaptic plasticity, can result in potentiation or depression of transmission, and is thought to 84 serve as a cellular basis for memory formation (Bliss and Lomo, 1973). The existence 85 86 of long-term synaptic plasticity was predicted by Donald Hebb, who postulated that 87 the synaptic connection between two neurons would be strengthened when pre- and postsynaptic elements are active simultaneously (Hebb, 1949). Such a process 88 89 implies the existence of a coincidence detector able to sense at the same time the 90 pre- and postsynaptic activity. The N-methyl-D-aspartate receptor (NMDAR), which is a cationic channel sensitive for glutamate released at the presynaptic site and 91 92 voltage changes at the postsynaptic site, is a well-known coincidence detector, the 93 activity of which appears to be key to the induction of various types of synaptic 94 plasticity in the CNS (Cotman et al., 1988). However, in the cerebellar Purkinje cell 95 (PC), a neuron with great capacity for synaptic plasticity (Carey, 2011; Gao et al., 96 2012), things are peculiar. First, NMDARs in PCs are expressed relatively late, after 97 the second postnatal week; second, their NMDARs are expressed at a relatively low 98 level; and finally, NMDAR currents in PCs can only be measured at just one of their 99 inputs, *i.e.* the climbing fiber (CF) synapse (Piochon et al., 2007; Renzi et al., 2007), 100 where they are required for the induction of long-term depression at the parallel fiber 101 to Purkinje cell synapse (PF-PC LTD) (Piochon et al., 2010). So why are there 102 virtually no functional NMDARs postsynaptically at the other glutamatergic afferent to 103 PCs, *i.e.* the PF synapse? This is an intriguing question, especially given the facts 104 that PF to PC synapses are abundant (between 100,000 and 200,000 for each PC),

105 that these synapses show multiple forms of plasticity, and that they even express 106 NMDARs presynaptically (Bidoret et al., 2009; Bouvier et al., 2016). One could 107 speculate that since the opening of NMDARs will induce a calcium influx (Nicoll and 108 Malenka, 1995), and the level of calcium influx in turn will determine to what extent a 109 PF-PC synapse will be potentiated or depressed at its postsynaptic site (Coesmans 110 et al., 2004), it is possible that the virtual absence of NMDARs at this synapse is 111 necessary to keep the local calcium transients at PC spines relatively low and 112 thereby allow long-term potentiation (LTP) to occur. Here, we set out to test the 113 hypothesis that the lack of NMDARs at the PF-PC synapse is permissive for LTP 114 induction by generating novel Purkinje cell specific transgenic mouse lines that over-115 express the NR2B subunit of NMDARs under the L7-promotor (L7-NR2B+/Tg1 and L7-NR2B+/Tg2; hereafter referred to as Tg1 and Tg2) and by subsequently 116 117 investigating their cellular and behavioral consequences. We selected the form B of the NR2 subunit, because this is the most permeable subunit for  $Ca^{2+}$ , it has been 118 shown to enhance synaptic plasticity, it is not expressed by any other neuron in the 119 120 cerebellar cortex, and it has previously been inserted successfully in neuronal 121 cultures, forming functional units with NR1 (Feldmeyer and Cull-Candy, 1996; 122 Kakegawa et al., 2003; Monyer et al., 1994). To minimize the possibility that all new 123 overexpressed NMDARs would be directed to the climbing fiber synapse as occurs 124 under physiological circumstances (Piochon et al., 2007; Renzi et al., 2007), we 125 overexpressed NR2B subunits with truncated UTR sites, which might serve as 126 regulatory elements for subcellular trafficking (Di Liegro et al., 2014; Wood et al., 127 1996). Our data show that such overexpression of NR2B subunits in PCs in vivo 128 results in functional NMDARs and that many of the genetically induced, additional 129 NMDA-mediated currents are located at the PF to PC synapse. Moreover,

overexpression of NR2B impaired induction of LTP, but not LTD, at the PF to PC synapse, and affected adaptation of the vestibulo-ocular reflex (VOR), which is known to depend on LTP (De Zeeuw and Ten Brinke, 2015; Gutierrez-Castellanos et al., 2017; Schonewille et al., 2010; Voges et al., 2017). Together, these findings highlight the quintessence of regulating the low and site-specific expression of NMDAR subunits in PCs, setting it apart from that in other neurons involved in memory formation (Kessels et al., 2013; Nabavi et al., 2013).

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- 139 Methods
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#### 141 Generation of transgenic mice

142 The NR2B transgene (Mouse Grin2b-001; ENSMUST00000053880.12) including 470 bases of the 3' UTR, was cloned into expression vector pGEM-L7containing the L7-143 144 promoter (Barski et al., 2000), by fusing the ATG initiation codon of the NR2B 145 transgene with the initiation codon of the L7 gene. The resulting pGEM-L7NR2B was digested with with Sall/Clal and the insert was used to generate the transgenic 146 147 founders by pronuclear injection into C57BL/6NHsd inbred zygotes. The inbred 148 founders were crossed into C57BL/6NHsd to produce F1 generation, two of which 149 were selected for F2 offspring (Tg1 and Tg2) against a C57BL/6NHsd background. The genotypes of all offspring were analyzed by preparing tail DNAs. The 5' and 3' 150 151 primers for detecting NR2B transgene were L7 S (CAC TTC TGA CTT GCA CTT 152 TCC TTG G), L7 AS (TTC TTC AAG CTG CCC AGC AGA GCT C) and 165 (GCC 153 AAA CTG GAA GAA CAT GGA GGA C); wild-type 450 bp, transgenic 557 bp. Mouse 154 tail DNAs (about 1 mg) were amplified (94°C 3'//94°C 1'; 58°C 45"; 72°C 155 1':30cycles//72°C 10'// 4°C) on a Robo cycler. For all experiments the researchers 156 were blind to the genotype of the animals. Unless stated otherwise we used  $6 \pm 1$ 157 weeks old male and female littermates, gender-matched across groups. All 158 experiments were performed in accordance with the guidelines for animal 159 experiments of Erasmus MC, Netherlands Institute for Neuroscience (KNAW), and 160 the Dutch National Legislation.

#### 161 Western Blot

162 Lysates for Western Blot were prepared by quick dissection of the brain and by 163 homogenization of the brain tissue in lysis buffer (10 mM TRISHCI 6.8, 2.5% SDS, 2 164 mM EDTA) and protease and phosphatase inhibitor cocktails (Sigma). The 165 concentration of the lysates was adjusted to 1 mg/ml and a 10 µg volume was used 166 for Western blot analysis. Western blots were probed with antibodies directed against 167 the N-terminal NR2B (anti-mouse, 1:1000; Cell Signaling) and NR1 (anti-rabbit, 168 1:1000; Cell Signaling). Bands were visualized using Enhanced Chemo 169 Luminescence (Pierce). Loading controls were performed with anti-actin antibodies 170 (1:20000, Cell Signaling).

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#### 172 Histology

173 Mice were anesthetized with an overdose of Nembutal (i.p.) and transcardially 174 perfused with saline followed by 4% paraformaldehyde (in 0.12 M phosphate buffer; PB). Sagittal sections (40-µm thick) were processed free-floating for calbindin 175 176 immunohistochemisty or NR2B-immunofluoresence. For NR2B-immunofluoresence 177 sections were exposed to limited proteolytic digestion to expose synaptic receptors 178 (Watanabe et al., 1998): Sections were incubated in 0.2 M HCl containing 0.4 mg/ 179 mL pepsin (Sigma) for 20 min with continuous agitation. After rinsing in PBS and pre-180 incubation with PBS with 0.1% Triton X-100 (PBST) and 10% normal horse serum, 181 the sections were incubated in PBST/1% normal horse serum with Ms anti-NR2B 182 (NeuroMab clone N59/36; dilution 1:1000) for 48 h at 4 °C. NR2B antibody was 183 visualized with Cy3-Donkey anti-mouse secondary antibody (1:400), and analyzed 184 with (Zeiss Jena, Germany) LSM 700 upright confocal laser scanning microscope. 185 For calbindin immunohistochemistry, after preincubation in PBST sections were 186 incubated with Rabbit anti-Calbindin (Swant; dilution 1:15000) in PBST/1% normal 187 horse serum for 48 h at 4 °C, followed by incubation with biotinylated goat-anti-rabbit 188 secondary antibody (1:200; Vector), incubation with avidin-biotin-peroxidase complex

189 (ABC) (Vector Laboratories, Burlingame, CA, USA), and reaction with diaminobenzidine (DAB, 0.05%). Calbindin-immunoperoxidase-stained sections were 190 191 analyzed using a Leica (Nussloch, Germany) DM-RB microscope, or scanned with a 192 Hamamatsu NanoZoomer 2 whole slide imager and analyzed with NDP.view 193 (Hamamatsu city, Japan) software. For Golgi staining, which was used for Sholl 194 analysis, Tg1-, Tg2- and control mice were perfused with saline, followed by a 195 buffered aldehyde fixative and a mordant consisting of 6% potassium dichromate, 6% 196 chloral hydrate, and 4% formaldehyde. After postfixation in the same mordant for 3 197 days, blocks of the cerebellar cortex were treated with 0.75% silver nitrate for an 198 additional 3 days, embedded in a soft Epon mixture and sectioned with a heated 199 steel knife (De Zeeuw et al., 1996).

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#### 201 In vitro electrophysiology

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203 Mice (6±1 weeks old) were decapitated under isoflurane anaesthesia. Subsequently, 204 the cerebellum was removed and transferred into ice-cold slicing medium containing (in mM): 240 Sucrose, 5 KCl, 1.25 Na<sub>2</sub>HPO<sub>4</sub>, 2 MgSO<sub>4</sub>, 1 CaCl<sub>2</sub>, 26 NaHCO<sub>3</sub> and 10 205 206 D-Glucose, bubbled with 95% O<sub>2</sub> and 5% CO<sub>2</sub>. Parasagittal slices (200- or 250-µm 207 thick) of the cerebellar vermis were cut using a vibratome (VT1000S, Leica) and 208 afterwards kept in ACSF containing (in mM): 124 NaCl, 5 KCl, 1.25 Na<sub>2</sub>HPO4, 2 209 MgSO<sub>4</sub>, 2 CaCl<sub>2</sub>, 26 NaHCO<sub>3</sub> and 20 D-Glucose, bubbled with 95% O<sub>2</sub> and 5% CO<sub>2</sub> 210 for > 1 h before the experiments started. In vitro experiments were performed in 211 slices at room temperature (20-22 °C), which were continuously perfused with ACSF 212 that was supplemented with picrotoxin (100 $\mu$ M) or bicuculline methiodide (20 $\mu$ M) to 213 block GABA<sub>A</sub> receptors. All drugs were purchased from Sigma (St. Louis, MO).

214 Whole-cell patch-clamp recordings were performed using either an EPC-10 amplifier 215 (HEKA Electronics, Lambrecht, Germany) or an Axopatch amplifier 700B (Molecular 216 Devices, Union City, CA, USA). PCs were visualized using an upright microscope 217 (Axioskop 2 FS plus, Carl Zeiss, Jena, Germany) equipped with a 40X water immersed objective. If not stated otherwise, recording electrodes were filled with an 218 219 intracellular solution containing (in mM): 124 K-Gluconate, 9 KCl, 10 KOH, 4 NaCl, 10 220 HEPES, 28.5 Sucrose, 4 Na<sub>2</sub>ATP, 0.4 Na<sub>3</sub>GTP (pH 7.25-7.35; osmolarity ~290). For 221 extracellular stimulation, patch electrodes filled with ACSF were positioned to touch 222 the surface of the slice at the most distal 1/3 of the molecular layer lateral to the 223 recorded PCs for PF stimulation and in the granular layer close to the recorded PC 224 for CF stimulation. Recordings were excluded if series or input resistances (Rs and 225 R<sub>I</sub>, respectively) (assessed by -10 mV voltage steps following each test pulse) varied 226 by > 15% over the course of the experiment. The liquid junction potential was not 227 corrected for. Quantification of NMDA currents was performed in magnesium-free 228 ACSF supplemented with glycine (10 µM, Sigma) by subsequent application of the 229 AMPA antagonist NBQX (12.5 μM, Tocris) and the NMDA antagonist D-AP5 (10 μM, 230 Tocris). All-or-none climbing fibers responses were first identified in current clamp by 231 the typical complex spike shape and the absolute NMDA contribution was calculated 232 in voltage clamp (holding potential -65 mV) by subtracting the NBQX+AP5 insensitive 233 residual component to the NBQX sensitive. PF responses of approximately -200 pA 234 were taken as a baseline in voltage clamp (holding potential -65 mV) and the NMDA 235 contribution was calculated as described for CF-responses but normalized to the 236 baseline response. Rs was compensated online to obtain a residual value > 10 M $\Omega$ . 237 PC intrinsic excitability was recorded in current-clamp mode at 34 ± 1 °C. PCs were 238 discarded when >800 pA hyperpolarizing current was required to maintain the

239 holding potential at -65 mV or when action potential firing occurred at this holding 240 potential. We injected 800 ms current steps ranging from -100 to +1000 pA with 100 241 pA increments. The average spiking frequency measured over the entire current 242 pulse was used to construct current-frequency plots. Action potential properties (peak 243 amplitude, after-hyperpolarization amplitude and half-width) were evaluated using the 244 first action potential generated by each PC. To assess the stimulus intensity - EPSC 245 amplitude (input-output) ratio consistently, only PCs were selected in regions with 246 comparable width of the molecular layer. For these recordings electrodes contained 247 (in mM): 130 CsMeSO<sub>4</sub>, 4 MgCl<sub>2</sub>, 0.2 EGTA, 10 HEPES, 10 Na-Phosphocreatine, 1 248 QX-314, 4 Na<sub>2</sub>ATP, 0.4 Na<sub>3</sub>GTP (pH 7.25-7.35). LTP at the PF-PC synapse was 249 assessed by PF stimulation at 1 Hz for 5 min (PF-LTP protocol; Coesmans et al., 250 2004), while long-term depression (LTD) was induced by PF activation (10 stimuli at 251 100 Hz) and CF activation (2 stimuli at 20 Hz), repeated 30 times every 10 s at 34 ± 252 1°C (PF-LTD protocol; (Safo and Regehr, 2005). Test responses were evoked at a 253 frequency of 0.05 Hz (2 stimuli of 0.5-6 µA pulses; 50 ms inter-stimulus interval). PCs 254 were clamped at -65 to -70 mV to prevent spontaneous action potential firing. 255 Plasticity of PC's intrinsic excitability was recorded in current-clamp mode at 34 ± 256 1°C. PCs were discarded when >800 pA hyperpolarizing current was required to 257 maintain the holding potential at -65 mV or when action potential firing occurred at 258 this holding potential. We induced intrinsic plasticity by 1 Hz PF stimulation for 5 min 259 (PF-LTP protocol, comparable to Belmeneguai et al, 2010, but with short ramping 260 current injections to probe the response; see Fig. 3D) at I=0. Four current steps (800 261 ms), ranging from 100 to 800 pA in 100 pA increments were injected to evoke action 262 potential firing during steps 2-4. The spike count at the third current step was taken 263 as a measure of excitability. RI was calculated from the first hyperpolarizing current

264 injection. To determine if plasticity was induced a linear mixed model was used 265 based on dividing the post-tetanus period into two 15 min periods (post1 and post2) 266 and comparing these to pre-tetanus values (pre). For LTP, LTD, and intrinsic 267 plasticity (IP) the estimates of fixed effects (of tetanus stimulus) on EPSCs in control mice were: LTP, post1 vs pre, estimated +25.9  $\pm$  4.9 %, p < 0.001; post2 vs. pre, 268 269 estimated +21.3 ± 5.0 %, p < 0.001; LTD, post1 vs pre, estimated -21.7 ± 5.5 %, p < 270 0.001; post2 vs. pre, estimated  $-12.8 \pm 5.6$  %, p = 0.022; LTP with D-AP5, post1 vs. 271 pre, estimated +10.2  $\pm$  1.9 %, p < 0.001; post2 vs. pre, estimated +6.9  $\pm$  1.9 %, p < 272 0.001; and IP, post1 vs pre, estimated  $-4.3 \pm 4.5$  %, p =0.34; and post2 vs. pre, 273 estimated +16.1  $\pm$  4.6 %, p = 0.001. To compare genotypes a repeated measures 274 ANOVA was used on the 20 min recording period post tetanus (the minimal recording 275 duration).

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#### 277 **Open field**

To test locomotor activity and anxiety, individual mice were placed in a circular, dimly–lit open field (120 cm diameter), and the total distance traveled, together with the average speed of each mouse, was recorded for 10 min (SMART software, Panlab, Barcelona, Spain).

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#### 283 **Compensatory eye movements**

During their fifth postnatal week mice were prepared for chronic, head-restrained recordings of compensatory eye movements. Mice were  $37 \pm 3$  days at the beginning of the 5 days of compensatory eye movement testing. In short, under isoflurane anaesthesia (initiation at 4%, maintenance at ~1.5% with O<sub>2</sub>) a pedestal was constructed using Optibond primer and adhesive (Kerr, Bioggio, Switzerland) and

289 Charisma (Haeraeus Kulzer, Armonk, NY, USA). After a recovery period (2-3 days) 290 mice were head-fixed by means of a magnet (Neodymium, 4x4x2 mm, MTG Europe, 291 Weilbach, Germany) embedded in a custom-made U-shaped pedestal and a 292 securing screw. A cylindrical screen (diameter 63 cm) with a random-dotted pattern 293 (each element 2°) surrounded the turntable (diameter 60 cm) on which the mouse 294 was placed. The optokinetic reflex (OKR) and the vestibulo-ocular reflex in dark 295 (VOR) and light (VVOR) were elicited by sinusoidal rotation of either drum (OKR) or 296 table (VOR and VVOR). Motor performance was tested by rotating at 0.1-1.0 Hz with 297 5° amplitude (fixed). Each frequency – amplitude combination was tested twice with 8 298 (for 0.1 Hz) to 20 (for 1.0 Hz) repeated cycles and results were averaged. Motor 299 learning was tested by mismatching visual and vestibular input: 'gain decrease' was evoked by rotating drum and table in phase at the same amplitude (5°) at 0.6 Hz and 300 301 'phase reversal' by subsequent rotation in phase with increased amplitude of the 302 drum (day 2, 7.5°; day 3, 10°) at the same frequency. Animals were kept in the dark 303 in between training sessions. Phase reversal results are depicted as gain multiplied 304 by the cosine of the phase to capture the change in timing and amplitude of 305 movement in a single value (gain\*cos(phase). Phase values larger than 90° result in 306 a negative gain\*cos(phase) value. Consolidation was calculated as the learned 307 response on the second day as a percentage of the learning during the first day; for 308 example, gain decrease consolidation = 100% · (gmax-dav1 - gmax-dav2 / (gmax-dav1 - gminday1), with gmin-day1 being the minimum gain on day 1 and gmax-day2 the maximum gain 309 310 on day 2. To illuminate the eye during the recordings we used two table-fixed infrared 311 emitters (OD-50L, max. output 600 mW, dispersion angle 7°, peak wavelength 880 312 nm; Opto-Diode, Camarillo, CA, USA), and a third emitter mounted to the camera 313 aligned horizontally with the camera's optical axis. This third emitter produced the

314 tracked corneal reflection. The pupil position, after subtraction of the corneal 315 reflecting position, was recorded using the eye-tracking device (ETL-200, ISCAN 316 systems, Burlington, NA, USA). Calibrations were performed as described previously 317 (Stahl et al., 2000). Gain and phase values of the eye movements were calculated 318 using a custom-made Matlab routine (Matlab, MathWorks Inc, Natick, 319 Massachusetts, USA). Gain and phase results plotted against frequency or time were 320 statistically analyzed using repeated-measures ANOVA.

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#### 322 Data analysis

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All values are represented as mean ± SEM, *p*-values of < 0.05 were considered significant. Data distributions were evaluated using either Levene's test of equality of variance for independent data or Mauchly's test of sphericity for repeated measures. Unless stated otherwise, statistical analysis was done using one-way ANOVA test with Tukey's post-hoc correction where three groups were compared (see Table for details per test).

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#### 332 Table 1: Statistical testing

Figur	e <u>Data</u>	Data Structure	Type of test	Actual power
<u>2A</u>	CF to PC NMDA current	normal distr.	One-way ANOVA	0.988
<u>2B</u>	PF to PC NMDA current	Non-normal distr.	Kruskal-Wallis Test	0.940
<u>2C</u>	I-O Linear fit slope	normal distr.	One-way ANOVA	0.295
	I-O First spike peak amplitude	normal distr.	One-way ANOVA	0.369
	I-O First spike AHP amplitude	normal distr.	One-way ANOVA	0.769
	I-O First spike half-width	normal distr.	One-way ANOVA	<u>0.319</u>
<u>2D</u>	slope EPSC I-O	normal distr.	Rep. measures ANOVA	<u>0.077</u>
<u>3A</u>	PF-PC LTP group comparison	normal distr.	Rep. measures ANOVA	0.592
<u>3B</u>	PF-PC LTD group comparison	normal distr.	Rep. measures ANOVA	<u>0.079</u>
<u>3C</u>	PF-PC LTP with D-AP5 - groups	normal distr.	Rep. measures ANOVA	0.057
<u>3D</u>	Intrinsic plasticity - 10min	normal distr.	Rep. measures ANOVA	0.589
<u>3D</u>	Intrinsic plasticity - 20min	normal distr.	Rep. measures ANOVA	<u>0.386</u>
<u>4A</u>	open field - path length	normal distr.	Two-sample t-test	<u>0.283</u>
	open field - average speed	normal distr.	Two-sample t-test	<u>0.280</u>
<u>4B</u>	<u>OKR - gain</u>	normal distr.	Rep. measures ANOVA	<u>0.071</u>
	OKR - phase	normal distr.	Rep. measures ANOVA	<u>0.086</u>
<u>4C</u>	<u>VOR - gain</u>	normal distr.	Rep. measures ANOVA	<u>0.136</u>
	VOR - phase	normal distr.	Rep. measures ANOVA	<u>0.314</u>
<u>4D</u>	<u>VVOR - gain</u>	normal distr.	Rep. measures ANOVA	<u>0.191</u>
	VVOR - phase	normal distr.	Rep. measures ANOVA	<u>0.105</u>
<u>4E</u>	VOR gain decrease training	normal distr.	Rep. measures ANOVA	<u>0.157</u>
	VOR gain decr consolidation	normal distr.	Two-sample t-test	<u>0.329</u>
<u>4F</u>	VOR gain*phase reversal day 2	normal distr.	Rep. measures ANOVA	0.050
	VOR gain*phase reversal day 3	normal distr.	Rep. measures ANOVA	<u>0.862</u>
	VOR gain*phase reversal day 4	normal distr.	Rep. measures ANOVA	0.635
	VOR gain*phase reversal day 5	normal distr.	Rep. measures ANOVA	<u>0.948</u>

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#### 336 Results

#### 337

# Transgenic overexpression of NR2B in PCs increases climbing fiber to PC NMDA responses and introduces functional NMDA receptors in parallel fiber to PC synapses

341 NMDA receptors are complex, heterotetrameric channels formed by two NR1 342 subunits and two NR2 subunits (Tovar and Westbrook, 2017). Under normal 343 developmental and physiological circumstances NR1 is expressed by PCs directly 344 after birth, while NR2 subunits, required to form functional receptors at the climbing 345 fiber to PC synapses, are not expressed until 2 to 3 weeks after birth, reaching a 346 plateau at approximately 2 months (Piochon et al., 2007) (Figure 1A). To introduce functional NR1/NR2 NMDA currents at the parallel fiber to PC synapse, we 347 348 generated transgenic mouse lines over-expressing the NR2B subunit by inserting the 349 linearized NR2B transgene without its 5'-UTR and most of its 3'-UTR (leaving only 350 470 bases) under control of the Pcp-2/L7 promoter in an expression vector (Barski et 351 al., 2000; De Zeeuw et al., 1998), which was pronuclearly injected into a C57BL/6 352 inbred zygote (see methods for detailed procedures). Western blot analysis at six 353 weeks of age confirmed the over-expression of NR2B protein in the cerebellum of 354 two lines of L7-NR2B+ transgenic animals, Tg1 and Tg2 (Figure 1B). In accord with 355 increased expression of NR2B in Purkinje cells, immuno-fluorescence showed 356 increased NR2B staining in the cerebellar molecular layer of both transgenic lines 357 (Figure 1C). Western blot and immunohistology also indicated that in both transgenic 358 lines labeling in the cerebellum was still considerably lower than that in hippocampus 359 and cortex, which are known for very high levels of NR2B expression (Monyer et al., 360 1994). The ratio of NR-labeling to loading control actin labeling indicated a relatively

high amount of the actual protein present in Tg2, but the generally low expression levels and suboptimal antibody quality, commonly seen with channel receptors, prohibited an accurate evaluation of the sub-cellular localization or quantification of the expression. We therefore took these results as qualitative evidence for the presence of NR2B, while the functional consequences and related quantifications were tested with cell physiological approaches (see below).

Both Tg1 and Tg2 mice showed normal growth, body weights and breeding ratios compared to control littermates. The cyto-architecture of the cerebellum of both lines was normal and their foliation was well preserved (Figure 1D). Immunohistochemistry for calbindin-D28K and Sholl analysis of Golgi material of the mice indicated that morphology of Purkinje cells was unaltered (Figure 1E). Furthermore, behavior of both Tg1 and Tg2 in the home cage was indistinguishable from that of wild-type littermates.

374 To determine whether ectopic NR2B subunits assemble and result in altered 375 synaptic NMDA currents in PCs, we performed patch-clamp recordings of PCs in acute cerebellar slices bathed in Mg<sup>2+</sup>-free solution with subsequent application of 376 377 AMPAR and NMDAR blockers (NBQX and D-AP5, respectively). In line with previous 378 studies (Piochon et al., 2007; Renzi et al., 2007), PCs from 6-week old controls 379 showed a NMDA-mediated current (i.e. NBQX-insensitive and AP5-sensitive) at the 380 CF to PC synapse (77 ± 21 pA). In both Tg1 and Tg2 mutant mice the NMDAR-381 mediated currents at CF-PC synapses were significantly larger than those in controls 382  $(262 \pm 52 \text{ and } 365 \pm 41 \text{ pA}; \text{ p} = 0.025 \text{ and } \text{p} = 0.001 \text{ vs. Ctrl, respectively})$  (Figure 383 2A). Next, we determined whether NMDA-mediated currents also occurred at the PF 384 to PC synapse; *i.e.* we quantified the percentage of a 200-300 pA PF to PC excitatory 385 postsynaptic current (EPSC) that was NMDA-mediated. These percentages were

negligible in controls (4 ± 1 %), modestly present in Tg1 (18 ± 5 %), but more prominently present in Tg2 PCs (38 ± 11 %); the percentage of Tg2, but not that of Tg1, was significantly higher than that in controls (p = 0.001 and p = 0.10, respectively) (Figure 2B).

390 Next to study the effect of ectopic NR2B expression on basic PC 391 electrophysiological properties we first performed whole cell current-clamp recordings 392 at physiologically relevant temperatures to investigate intrinsic excitability (Figure 393 2C). PCs of all genotypes showed increasing action potential firing frequencies upon 394 somatic current injections of increasing amplitude comparable to the ones of their 395 control littermates. The slope of the linear input-output relationship in transgenic mice 396 did not differ from that in control animals (Tg1 17.4 ± 1.5 Hz/pA, Tg2 18.1 ± 1.9 397 Hz/pA, Ctrls 15.0  $\pm$  0.9 Hz/pA; p = 0.47 and p = 0.45 for Tg1 and Tg2 vs. Ctrls, 398 respectively), indicating a normal level of excitability. In addition, for each cell we 399 analyzed the action potential properties and again we found no significant differences 400 in terms of spike baseline, peak amplitude, after-hyperpolarization amplitude or half-401 width among genotypes (all p values > 0.13). Finally, the presence of NMDARs in 402 PCs prompted us to investigate the post-synaptic amplitude evoked by PF stimulation (*i.e.* in a recording solution with  $Mg^{2+}$  and holding at -65 mV) at increasing stimulus 403 404 intensities (Figure 2D). No obvious differences were found in the EPSC kinetics (all 405 p-values > 0.9), nor in the input/output ratio of PF-EPSCs between transgenic 406 animals and controls (p = 0.83, repeated measures ANOVA).

Taken together, our data show that we generated transgenic mice overexpressing NR2B subunits without affecting baseline transmission, but that only Tg2 showed significantly more NMDAR-mediated currents at their PF to PC synaptic inputs compared to Ctrls.

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### 412 NMDA currents at the PF-PC synapse selectively prevent the induction of long413 term potentiation

While induction of PF to PC LTD depends on CF activation for its high Ca<sup>2+</sup> influx. 414 LTP is achieved through repetitive stimulation of only PFs and requires a low Ca<sup>2+</sup> 415 concentration (Coesmans et al., 2004) and has been shown to be independent of 416 417 postsynaptic NMDARs (Piochon et al., 2010) (Wang et al., 2014). We therefore hypothesized that insertion of Ca<sup>2+</sup>-permeable NMDARs at the PF-PC synapse would 418 419 affect LTP induction (Figure 3A). Given that only Tg2 showed significantly more 420 NMDA-mediated currents at their PF-PC synapses than controls, we focused our 421 plasticity experiments on Tg2 and their wild type littermates. Indeed, whereas the 422 controls showed normal potentiation (pre- vs. post-tetanus: p < 0.001, Linear Mixed 423 Model, see Methods), the amplitude of the EPSCs of the Tg2 mice after LTP 424 induction was significantly lower from the ones recorded in control cells (Tg2 vs. Ctrls 425 p = 0.034, repeated measures ANOVA). In contrast, LTD at the PF to PC synapse 426 (Ctrls, pre- vs. post-tetanus: p < 0.05, Linear Mixed Model, see Methods) was not 427 affected (Tg2 vs. Ctrls, p = 0.63, repeated measures ANOVA) (Figure 3B). To 428 unequivocally link the phenotype in LTP induction to the activation of NMDARs we 429 repeated the experiment in presence of NMDAR antagonist D-AP5 (Figure 3C). 430 Blocking NMDA currents minimized the effects on the induction of LTP (pre-vs. post-431 tetanus for Ctrls, p < 0.001; Linear Mixed Model, see Methods), ablating the 432 difference between genotypes (p = 0.80, repeated measures ANOVA).

Impairment in PF to PC LTP induction often co-occurs with long-term deficits
in intrinsic plasticity (Belmeguenai et al., 2010; Peter et al., 2016; Schonewille et al.,
2010). Instead, in PCs of Tg2 mice the overall intrinsic plasticity was enhanced

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shortly after induction (p = 0.036 for the first 10 min after induction, 0.095 for the
entire period, repeated measures ANOVA) (Figure 3D). We conclude that overexpression of NMDAR's in PCs selectively prevents the induction of LTP at the PFPC synapse, and that this deficit might be partly compensated for by a modest early
increase in intrinsic plasticity.

441

#### 442 Normal motor behavior but impairment in motor learning

443 LTP at the PF-PC synapse probably does not affect baseline motor performance, but 444 it may well contribute to vestibulo-cerebellar motor learning (De Zeeuw et al., 2011; 445 Gao et al., 2012; Ito, 2002). At the same time, it should be noted that short-lasting 446 enhanced intrinsic plasticity, as observed in the Tg2 mutant, might partly compensate for a deficit in LTP induction (De Zeeuw et al., 2011; Gao et al., 2012). To evaluate 447 448 the behavioral consequences of overexpression of NR2B in the Purkinje cells of the 449 Tg2 mice we subjected them to both motor performance and learning tests. First, we confirmed that the general motor behavior of Tg2 animals was comparable to that of 450 451 their control littermates in an open field test; neither path length nor average speed 452 was affected (p = 0.28 and p = 0.29, respectively; Figure 4A). In addition, we 453 evaluated oculomotor activity, which is particularly sensitive to cerebellar deficits 454 (Boyden et al., 2006; Ito, 2002; Schonewille et al., 2010). Both gain and phase values 455 during the optokinetic reflex (OKR) as well as during the vestibulo-ocular reflex in the 456 dark (VOR) and the light (VVOR) elicited by sinusoidal stimulation at different 457 frequencies (0.1 - 1.0 Hz) with a fixed amplitude  $(5^{\circ})$  did not differ significantly 458 between genotypes (all p values > 0.13, repeated measures ANOVA; Figures 4B-D). 459 Next, we subjected the Tg2 animals to the type of VOR training that is most 460 sensitive, *i.e.* phase reversal learning, which is aimed at gradually converting the

direction of the eye movements over several days of in-phase vestibular and visual stimulation (Badura et al., 2016). During the first session, which entails standard gain-decrease training evoked by rotating drum and table in-phase at 5° at 0.6 Hz, the amplitude of the VOR in Tg2 mice decreased to similar levels as that in control mice (p = 0.33, repeated measures ANOVA; Figure 4E).

466 Moreover, when the animals were tested again after spending 23 hours in the 467 dark, the consolidation of the change in VOR gain was not different among genotypes (p = 0.21). However, when we subjected animals to phase reversal 468 469 training for four consecutive days, the Tg2 mice performed worse than controls in that 470 their adaptation was delayed. The training aims to reverse the direction of the VOR, 471 resulting in a negative gain\*cos(phase) value (Figure 4F) and this adaptation is 472 impaired in Tg2 mouse from day 3 onwards (day 3, 4 and 5, p = 0.005, p = 0.026 and 473 p = 0.001, respectively, repeated measures ANOVA). Together, these data show that 474 NR2B transgenic mice have an unaffected baseline motor performance and that their 475 learning capabilities are slightly, but significantly, affected.

#### 477 Discussion

478 To shed light on the surprisingly low expression level of the main coincidence 479 detector in the brain, the NMDAR, we generated a transgenic mouse line that over-480 expresses NMDARs at one of the most studied and phylogenetically oldest sites of plasticity in the brain, the parallel fiber to Purkinje cell synapse. The L7-NR2B+ Tq2 481 482 mice, which expresses functional NMDAR-mediated currents not only at the CF-PC 483 synapse, but also at the PF-PC synapse, develop normally and have no 484 morphological abnormalities or impaired motor performance. Interestingly, the 485 NMDAR-mediated currents diminish the ability for LTP induction at the PF-PC 486 synapse and affects a demanding form of cerebellar-dependent motor learning, VOR 487 phase-reversal learning.

488 As previously shown in an in vitro essay (Kakegawa et al., 2003), our data 489 imply that NR1 subunits are sufficiently expressed in PCs to aggregate with the 490 exogenously expressed NR2 subunits to form functional heterotetramers. As low 491 expression levels and suboptimal antibody quality prohibit determining the sub-492 cellular localization of the NR2B subunit in our experiments, we cannot exclude the 493 possibility of extra-synaptic receptors. The normal absence of NMDARs at PF input 494 sites under physiological circumstances is therefore not caused by the scarceness of 495 NR1 subunits, but by the limited and finely regulated NR2 expression and selective 496 intracellular transport machinery (Piochon et al., 2007; Renzi et al., 2007), which may 497 in part depend on the UTR sites of the subunits (Di Liegro et al., 2014; Wood et al., 498 1996). Moreover, it also appears that NMDARs are not absent from the PF-PC 499 synapse in controls to prevent excitotoxicity (Slemmer et al., 2005), as we found no 500 sign of PC death in our transgenic mouse lines. Still, the amount of NMDAR-

mediated current was not massive, and we cannot exclude the possibility that higher
 expression levels could potentially trigger PC apoptosis.

503 The main consequence of the genetically induced presence of NMDARs at the 504 PF-PC synapse is that it renders the synapse incapable of potentiation. In contrast to 505 other well-studied excitatory synapses, e.g. the well-characterized hippocampal CA3 506 to CA1 synapse or the synapses formed by the cerebellar mossy fibers with granule 507 cells and cerebellar nuclei neurons (Bliss and Lomo, 1973; D'Angelo et al., 1999; 508 Pugh and Raman, 2006), NMDARs at the PF-PC synapse are not only dispensable 509 for LTP induction, they are in fact effectively blocking it if present at the postsynaptic 510 site. The plasticity induction rule in PCs is, in terms of calcium dependence, reversed 511 compared to the traditional BCM-rule in pyramidal cells (Bienenstock et al., 1982; Coesmans et al., 2004); hence, LTP induction is only possible when Ca2+ 512 513 concentrations are relatively low. In fact, in normal mice the presence or absence of 514 CF-triggered Ca2+-level increase determines the occurrence of LTD or LTP, respectively, and this effect is independent of the change in Ca2+-level evoked by 515 the PF stimulation (Piochon et al., 2016). It is therefore tempting to hypothesize that 516 in our transgenic mice the presence of Ca<sup>2+</sup>-permeable NMDARs increases the Ca<sup>2+</sup> 517 concentration to a level that is too high to allow LTP, while leaving LTD induction 518 unaffected. Conversely, but in line with this concept, blocking Ca2+-permeable 519 NMDARs in mature mice reduces the dendritic Ca<sup>2+</sup> influx during a complex spike to 520 521 a level that is too low for LTD, while leaving LTP unaffected (Piochon et al., 2010). 522 Such a mechanism, which will have to be looked at in future studies, may also 523 explain why intrinsic plasticity was slightly increased in the Tq2 mice, as this form of plasticity is largely regulated by the activity of SK2 channels, which is also Ca<sup>2+</sup>-524 525 dependent (Hosy et al., 2011; Maher and Westbrook, 2005). In this regard, the L7526 NR2B+ Tq2 mice diverge from other LTP-deficient mutants in which the calcium 527 dynamics are not directly affected. PC-specific mutants, such as the L7-PP2B-, L7-528 Shank2-, and L7-GluR3-mutants (Gutierrez-Castellanos et al., 2017; Peter et al., 529 2016; Schonewille et al., 2010), all do not only show a blockage of LTP induction, but they also show a profound reduction in intrinsic plasticity, rather than an 530 531 enhancement as found here in the Tg2 mice. The combination of deficits in both LTP-532 induction and intrinsic plasticity leads to a more severe behavioral phenotype in that 533 the VOR phase reversal adaptation is, unlike that of the Tg2 mice, virtually 534 completely abolished (Gutierrez-Castellanos et al., 2017; Peter et al., 2016; 535 Schonewille et al., 2010). Thus, the relatively mild behavioral phenotype of the Tg2 536 mice may be explained by an intact, if not elevated, level of intrinsic plasticity, which 537 might also at least partly result from an elevated  $Ca^{2+}$ .

538 Our results fit with the interpretation that different types of plasticity in PCs – 539 and in other cerebellar neurons - synergistically interact to ensure optimal learning (Gao et al., 2012). According to this theory, mutations that impair several plasticity 540 541 mechanisms or network elements simultaneously typically affect more basic types of 542 cerebellar-dependent learning and, if they do so, they affect them more severely 543 (Galliano et al., 2013; Ichise et al., 2000; Schonewille et al., 2010; Wulff et al., 2009). 544 In contrast, when a single mechanism at a single type of synapse in the circuit is 545 impaired, other mechanisms may compensate (Gao et al., 2012) and the ability to 546 perform and learn motor tasks may be maintained to a larger extent (this manuscript; 547 (Schonewille et al., 2011).

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690 Figure 1. Generation of NR2B transgenic mice. A, Schematic representation of 691 the expression of endogenous NMDARs at CF-PC and PF-synapses over the 692 wildtype mouse lifetime. All experiments performed in the following figures are 693 performed at 6±1 weeks of age (see methods for details). Middle: scheme depicting 694 the expression of NMDAR (dots) in a wildtype mouse at the synapses formed on the 695 Purkinje cell (PC) dendritic tree by climbing fibers (cf). Synapses formed by parallel 696 fibers (PF, the axons of granule cells, GC) do not have NMDARs. Right: same 697 schematic representation of synapses onto Purkinje cells in the L7-NR2B+Tg 698 transgenic mouse. Note that NMDARs are present also at the PF-PC synapse. Inset: 699 Details of the vector used to generate the two independent lines used in the study. **B**, 700 Western blot gels containing homogenates of adult cerebella, forebrains and 701 hippocampi of two transgenic mice (Tg1+ and Tg2+) and their control littermates 702 (Tg1- and Tg2-). The left blot was processed with an anti-NR2B antibody, which 703 visualizes a band at 190kDa, the right one with an anti-NR1 antibody (120kDa). Actin 704 was used as loading control; note that the ratio of NR-labeling to actin labeling should 705 be taken into account for assessing the amounts of protein present. C, Confocal 706 immunfluorescent images of of NR2B-immunoreactivityin dorsal hippocampus (Hip) 707 and cerebellar cortex (Cb) in control (left), Tg1 (middle) and Tg2 (right) mice. Note in 708 control mice, the low level of NR2B-labelling in the cerebellum as compared to 709 hippocampal CA1 and dentate gyrus (DG). In addition note moderate increased 710 labelling in cerebellar molecular layer (ml) of transgenic mice. D, Low- and high 711 magnification images of Calbindin immunoreactivity in sagittal cerebellar sections 712 illustrating the normal appearance of cerebellar gross morphology and Purkinje cells 713 of adult transgenic (Tg1 and Tg2) mice. E, Top: high magnification of individual Golgi-714 stained Purkinje cells (black) of Control (left) as well as Tg1 (middle) and Tg2 (right) 715 NR2B mice, counterstained with thionin (blue). Bottom: Sholl analysis of the dendritic 716 arborization of Purkinje cells (left) and length of their primary dendrites (right) for 717 control (black, n=30, N = 4), Tg1 (orange, n=30, N = 3) and Tg2 (red, n=30, N = 4) 718 NR2B mice. Empty circles indicate individual data points, full circles indicate mean ± 719 SEM.

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Figure 2. Functional NMDARs are present at 6 weeks of age and do not compromise PCs' basic electrophysiological properties. *A, Left*: schematic

723 representation of the recording configuration. Middle: example traces of CF currents 724 recorded in the presence of the AMPA antagonist NBQX (green) and subsequently of 725 blockers of both AMPA and NMDARs (D-AP5, black) in PCs of both transgenic lines 726 and control littermates. Bold lines are average values; shading indicates individual 727 cell variability. Right: quantification of NMDA current at the CF-PC synapse in control 728 (Ctrl, black, n=5) and transgenic animals (Tg1, orange, n=7; Tg2, red, n=6). **B**, 729 Similar to C, with additional example traces of baseline PF-evoked EPSCs before the 730 addition of glutamatergic receptors blockers (blue), and normalized PF-PC NMDA 731 current quantification (Ctrl, n=9; Tg1, n=9; Tg2, n=7). Note that NMDA-mediated 732 currents are only significantly different from controls in Tg2. C, Average firing 733 frequency elicited by somatic current injections from -65 mV in PCs of transgenic (Tg1, orange, n=5; Tg2, red, n=12) and control (Ctrl, black, n=15) mice. The inset 734 735 illustrates the recording configuration. D, Average amplitude of the excitatory 736 postsynaptic currents (EPSCs) at the PF-PC synapse to stimuli of increasing intensity for transgenic (Tg1, orange, n=5; Tg2, red, n=8) and control (Ctrl, black, n=18) mice. 737 738 The inset illustrates the recording configuration. Empty circles represent individual data points, full circles are mean  $\pm$  SEM; \* indicates p < 0.05, \*\* indicates p < 0.01, 739 740 and absolute *p*-values are indicated in the main text.

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Figure 3. PF-PC LTP is selectively affected in transgenic mice. A, Long-term 743 potentiation (LTP) was induced by PF stimulation at 1 Hz for 5 min in 6-week old 744 745 transgenic (Tg2, red, n=8) and control (Ctrl, black, n=7) mice. The normalized paired-746 pulse ratio (50 ms inter-stimulus interval) of the recordings of the same cells is plotted 747 below. **B**, LTD was induced as described in **A**, but with concomitant climbing fiber 748 activation (Ctrl, n=8; Tg2, n=8). C, Similar to A, but with the NMDAR blocker D-AP5 749 present in the extracellular solution (Ctrl, n=7; Tg2, n=8). D, Induction of intrinsic 750 plasticity by 5 min of PF stimulation at 1 Hz did not result in significant differences 751 between transgenic and control mice (both N = 6). The normalized membrane 752 potentials of the same cells are represented below. The scheme at the left of each 753 panel depicts the respective recording configuration, while the middle example traces 754 of Ctrl (black) and Tg2 (red) EPSCs (A-C) or action potentials (D) recorded before (t 755 = 0 min) and after the tetanic stimuli (t = 20 min) show the plastic changes. Values

are mean  $\pm$  SEM; \* indicates p < 0.05; \*\* indicate p < 0.01; absolute p-values are indicated in the main text.

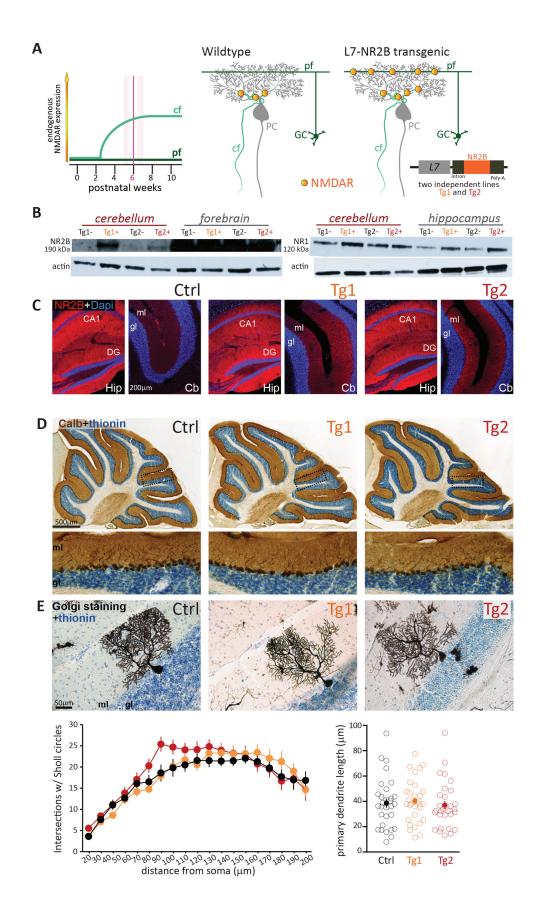
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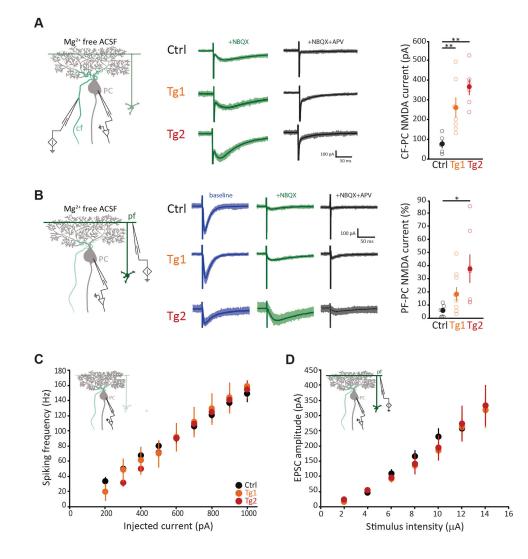
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761 4. Motor performance is normal, but motor learning is impaired in transgenic 762 **mice.** A, Distance traveled and average speed in the open field for transgenic (Tg2, 763 red, n=8) and control (Ctrl, black, n=7) mice. **B-D**, Baseline compensatory eye 764 movements (examples traces for 0.4 Hz, middle left) quantified by gain (middle right) 765 and phase (right) for Tg2 mice (red, n=9) and control (Ctrl, black, n=10) mice: (B) optokinetic reflex (OKR); (C) vestibulo-ocular reflex (VOR in the dark); and (D) 766 767 visually-enhanced VOR (VVOR in the light), schematized on the right of each 768 respective panel. E, Left: representation of gain-decrease training paradigm (day 769 one: 5 × 10 min sinusoidal, in phase drum and table rotation at 0.6 Hz, both with an 770 amplitude of 5°; day two: VOR gain measurement at 0.6 Hz). Middle: example traces 771 of before (timepoint, t = 0, indicated by a) and after (t = 50 min, b) adaptation. Right: 772 normalized gain for VOR recorded with 10 min intervals during 50 min training 773 session for 6 weeks old Tg2 mice (red, n=8) and control (Ctrl, black, n=11) mice on 774 day one and a single measurement at day two. F, Similar to E; following the gain-775 decrease protocol, for 4 consecutive days the 6 weeks old transgenic and control 776 mice were subjected to the phase reversal protocol (5 × 10 min sinusoidal in-phase 777 drum and table rotation at 0.6 Hz, but with drum amplitudes of 7.5° on day 2 and 10° 778 on days 3-5, while the table amplitude was 5°). VOR responses (middle: example traces, a same as E, c: t = 50 min on day 4) are depicted as gain of eye movement 779 780 multiplied by the cosine of its phase, gain\*cos(phase). Negative values here indicate 781 a phase larger than 90° and the (theoretical) goal of the training is a value of -1. 782 Empty circles represent individual data points, full circles are mean ± SEM; p-values 783 are indicated in the main text; asterisks indicate significant difference.

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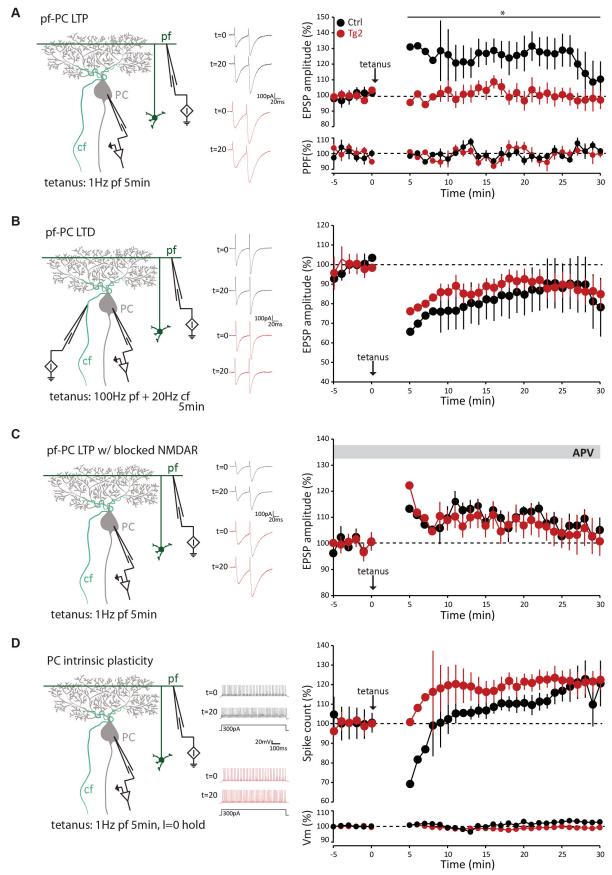


Figure 3

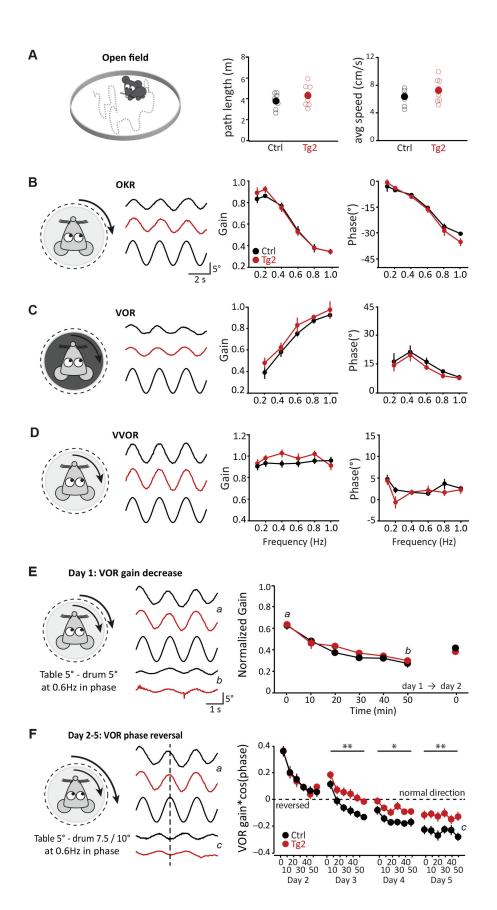


Figure 4