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Spontaneous Eye Blink Rate (EBR) Is Uncorrelated with Dopamine D2 Receptor Availability and Unmodulated by Dopamine Agonism in Healthy Adults

EBR is uncorrelated with dopamine receptor binding

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40 Abstract

41 Spontaneous eye blink rate (EBR) has been proposed as a noninvasive,
42 inexpensive marker of dopamine functioning. Support for a relation between EBR
43 and dopamine function comes from observations that EBR is altered in
44 populations with dopamine dysfunction and EBR changes under a dopaminergic
45 manipulation. However, the evidence across the literature is inconsistent and
46 incomplete. A direct correlation between EBR and dopamine function has so far
47 been observed only in nonhuman animals. Given significant interest in using
48 EBR as a proxy for dopamine function, this study aimed to verify a direct
49 association in healthy, human adults. Here we measured EBR in healthy human
50 subjects whose dopamine D2 receptor (DRD2) availability was assessed with
51 PET-[18F]fallypride to examine the predictive power of EBR for DRD2 availability.
52 Effects of the dopamine agonist bromocriptine on EBR also were examined to
53 determine the responsiveness of EBR to dopaminergic stimulation and, in light of
54 the hypothesized inverted-U profile of dopamine effects, the role of DRD2
55 availability in EBR responsivity to bromocriptine. Results from 20 subjects (age
56 33.6 ± 7.6 years, 9F) showed no relation between EBR and DRD2 availability.
57 EBR also was not responsive to dopaminergic stimulation by bromocriptine, and
58 individual differences in DRD2 availability did not modulate EBR responsivity to
59 bromocriptine. Given that EBR is hypothesized to be particularly sensitive to
60 DRD2 function, these findings suggest caution in using EBR as a proxy for
61 dopamine function in healthy humans.

62 Significance Statement

63 Dopamine is critical for cognitive and reward functions, and dopamine
64 dysfunction is linked to neuropsychiatric disorders including addiction,
65 Parkinson's disease, and schizophrenia. In humans, direct *in vivo* assessment of
66 the dopamine system is achieved through positron emission tomography (PET).
67 However, PET is costly, labor-intensive, exposes participants to radiation, and
68 many research institutes do not have the facilities to conduct human dopamine
69 PET. Spontaneous eye blink rate (EBR) has been proposed as an inexpensive,
70 noninvasive biomarker that can serve as a proxy for dopamine function. Here we
71 present evidence that EBR is not a valid proxy for general dopamine functioning
72 in healthy humans, but it remains to be determined if EBR can index specific
73 aspects of dopamine functions.

74

75

76 Introduction

77 Dopamine is widely studied, with over 5,000 publications relating to
78 dopamine function in 2016 alone. Decades of research have revealed the
79 importance of dopamine in cognitive and reward functions, and dopamine
80 dysfunction is linked to disorders including addiction, Parkinson's disease, and
81 schizophrenia (Ranganath and Jacob, 2016). In humans, direct *in vivo*
82 assessment of the dopamine system is achieved through positron emission
83 tomography (PET) (or single photon emission computed tomography). PET
84 together with different radioligands has provided valuable information about
85 different aspects of dopamine function such as receptor density, dopamine
86 release, and dopamine synthesis capacity (Monchi et al., 2006; Buckholtz et al.,
87 2010; Dang et al., 2012). However, each PET scan costs several thousand US
88 dollars, requires the coordination of multiple specialists (e.g. clinicians and
89 radiochemists), exposes participants to radiation, and many research institutes
90 do not have the radiochemistry or imaging facilities to conduct human dopamine
91 PET. The cost, labor, risk, and opportunity to conduct PET studies have
92 motivated researchers to search for an inexpensive, noninvasive biomarker that
93 can be a proxy for aspects of dopamine function.

94 One proposed proxy is spontaneous eye blink rate (EBR) (Jongkees and
95 Colzato, 2016). Support for an association between dopamine and EBR mainly
96 comes from neuropharmacological studies wherein changes in EBR were
97 observed after administration of dopaminergic agonists or antagonists to animals
98 or human subjects (Elsworth et al., 1991; Lawrence and Redmond, 1991; Kleven

99 and Koek, 1996; Desai et al., 2007; Kaminer et al., 2011). However, as many or
100 more studies reported no effect of dopaminergic manipulation on EBR (Ebert et
101 al., 1996; van der Post et al., 2004; Mohr et al., 2005) or opposite effects of the
102 same dopaminergic drug (Kleven and Koek, 1996; Baker et al., 2002; Kotani et
103 al., 2016), suggesting that the relation between EBR and dopamine might not be
104 as straightforward as some have suggested.

105 Additional support for the association between EBR and dopamine come
106 from observations of aberrant EBR in individuals with neurological or psychiatric
107 disorders linked to dopaminergic dysfunction (e.g. Parkinson's disease and
108 schizophrenia), or a history of using drugs known to affect the dopamine system
109 (e.g. cocaine) (Chen et al., 1996; Colzato et al., 2008; Kowal et al., 2011;
110 Fitzpatrick et al., 2012). This evidence is complicated by the fact that aberrant
111 EBR is also present in non-dopamine specific conditions such as intellectual
112 disability and traumatic brain injury (Goldberg et al., 1987; Daugherty et al., 1993;
113 Konrad et al., 2003), suggesting that EBR is influenced by and reflective of
114 multiple brain processes (see Jongkees and Colzato, 2016 for a more thorough
115 review of evidence relating EBR to dopamine).

116 One study has reported a correlation between DRD2 and EBR in drug-
117 naïve monkeys (Groman et al., 2014). In the study, PET with radioligands for D2
118 and D1 dopamine receptors were performed on ten vervet monkeys. DRD2
119 availability positively correlated with baseline EBR and also D2-like agonist-
120 induced changes in EBR, suggesting that monkeys with higher DRD2 availability
121 were more sensitive to D2/D3 agonist-induced changes in EBR. Such

122 associations were not observed with D1 receptor availability. These results have
123 not been replicated in humans so it is unclear if they generalize beyond vervet
124 monkeys. Although nonhuman primates provide a valuable model for studies of
125 the dopamine system, there are notable species differences. Indeed, EBR is
126 almost twice as high in humans compared to vervet monkeys, which could alter
127 its relations with neuropharmacological systems (Tada et al., 2013).

128 Interest in using EBR as a proxy for dopamine function is substantial, as
129 evidenced by the many studies that utilize EBR in investigations of associations
130 between dopamine and a range of behavioral responses (Jongkees and Colzato,
131 2016). However, beyond the varied, and at times contradictory, results regarding
132 the association between EBR and dopamine mentioned above, the majority of
133 evidence for this association, particularly in humans, was observed with
134 neuropharmacological manipulations, neuropsychiatric disorders, and drug use,
135 all of which alter dopamine function such that relations between EBR and
136 dopamine under these conditions may not reflect their association in healthy
137 individuals. The present study used PET with the high affinity DRD2 radioligand
138 [18F]fallypride to examine the predictive power of EBR for DRD2 availability
139 measured *in vivo* in healthy humans. The focus on DRD2 stems from previous
140 results suggesting that EBR is more strongly associated with D2 than D1
141 receptors (Groman et al., 2014). Additionally, this study examined effects of the
142 dopamine agonist bromocriptine on EBR to determine the responsiveness of
143 EBR to dopaminergic stimulation, and the role of DRD2 in EBR responsiveness to
144 bromocriptine.

145

146 **Methods**147 *Subjects*

148 Twenty healthy subjects between 20 and 50 years old (mean age
149 33.6 ± 7.6 years, 9F) who had undergone PET-[^{18}F]fallypride for a separate study
150 in our lab were recruited to have their eye blinks recorded for this study, once in
151 a placebo condition and once after bromocriptine administration. Participants
152 were recruited from the Nashville, TN metro area. Exclusion criteria included any
153 history of psychiatric illness on a screening interview (a Structural Interview for
154 Clinical DSM-IV Diagnosis was also available for all subjects and confirmed no
155 history of major Axis I disorders) (RRID:SCR_003682) (First et al., 1997), any
156 history of head trauma, any significant medical condition, or any condition that
157 would interfere with MRI (e.g. inability to fit in the scanner, claustrophobia,
158 cochlear implant, metal fragments in eyes, cardiac pacemaker, neural stimulator,
159 pregnancy, and metallic body inclusions or other contraindicated metal implanted
160 in the body). Subjects with major medical disorders including diabetes and/or
161 abnormalities on screening comprehensive metabolic panel or complete blood
162 count were excluded. Subjects were also excluded if they reported a history of
163 substance abuse, current tobacco use, alcohol consumption greater than 8
164 ounces of whiskey or equivalent per week, use of psychostimulants (excluding
165 caffeine) more than twice at any time in their life or at all in the past 6 months, or
166 any psychotropic medication in the last 6 months other than occasional use of
167 benzodiazepines for sleep. Any illicit drug use in the last 2 months was grounds

168 for exclusion, even in subjects who did not otherwise meet criteria for substance
169 abuse. Urine drug tests were administered, and subjects testing positive for the
170 presence of amphetamines, cocaine, marijuana, PCP, opiates, benzodiazepines,
171 or barbiturates were excluded. Written informed consent was obtained from all
172 subjects. This study was approved by the Institutional Review Boards at
173 Vanderbilt University and Yale University and performed in accordance with the
174 ethical standards of the 1964 Declaration of Helsinki and its later amendments.

175

176 *PET data acquisition*

177 PET imaging was performed on a GE Discovery STE scanner located at
178 Vanderbilt University Medical Center (RRID:SCR_014046). The scanner had an
179 axial resolution of 4 mm and in-plane resolution of 4.5-5.5 mm FWHM at the
180 center of the field of view. [18F]fallypride ((S)-N-[(1-allyl-2-pyrrolidinyl)methyl]-5-
181 (3[18F]fluoropropyl)-2,3- dimethoxybenzamide) was produced in the
182 radiochemistry laboratory attached to the PET unit, following synthesis and
183 quality control procedures described in US Food and Drug Administration IND
184 47,245. [18F]fallypride is a substituted benzamide with very high affinity to D2/D3
185 receptors (Mukherjee et al., 1995). 3D emission acquisition scans were
186 performed following a 5.0 mCi slow bolus injection of [18F]fallypride (specific
187 activity greater than 3000 Ci/mmol). CT scans were collected for attenuation
188 correction prior to each of the three emission scans, which together lasted
189 approximately 3.5 hours, with two 15-minute breaks for subject comfort. PET

190 images were reconstructed with decay correction, attenuation correction, scatter
191 correction, and calibration.

192

193 *MRI data acquisition*

194 Structural MRI scans were performed on a 3 Tesla Phillips Achieva
195 scanner located at the Vanderbilt University Institute for Imaging Science. T1-
196 weighted high-resolution 3D anatomical scans (TR=8.9ms, TE=4.6ms,
197 FOV=256x256, voxel dimensions=1×1×1mm) were obtained for each participant
198 to aid coregistration and spatial normalization of PET images.

199

200 *[18F]fallypride binding potential (BP_{ND}) image calculation*

201 Voxelwise D2/D3 binding potential images were calculated using the
202 simplified reference tissue model, which has been shown to provide stable
203 estimates of [18F]fallypride BP_{ND} (Siessmeier et al., 2005). The cerebellum
204 served as the reference region because of its relative lack of D2/D3 receptors
205 (Camps et al., 1989). The cerebellar reference region was obtained from an atlas
206 provided by the ANSIR laboratory at Wake Forest University
207 (RRID:SCR_007378). Limited PET spatial resolution introduces blurring and
208 causes signal to spill onto neighboring regions. Because the cerebellum is
209 located proximal to the substantia nigra and colliculus, which both have DRD2,
210 only the posterior 3/4 of the cerebellum was included in the region of interest
211 (ROI) to avoid contamination of [18F]fallypride signal from the midbrain nuclei.
212 The cerebellum ROI also excluded voxels within 5mm of the overlying cerebral

213 cortex to prevent contamination from cortical signals. The bilateral putamen ROI,
214 drawn according to established guidelines (Mawlawi et al., 2001) on the MNI
215 brain, served as the receptor rich region in the analysis. The cerebellum and
216 putamen ROIs were registered to each subject's T1 image using FSL non-linear
217 registration of the MNI template to each individual subject's T1. T1 images and
218 their associated cerebellum and putamen ROIs were then coregistered to the
219 mean image of all realigned frames in the PET scan using FSL-FLIRT
220 (RRID:SCR_002823). Emission images from the 3 PET scans were merged
221 temporally into a 4D file. To correct for motion during scanning and misalignment
222 between the 3 PET scans, all PET frames were realigned using SPM8 to the
223 frame acquired 10-minutes post injection (RRID:SCR_007037). Model fitting and
224 BP_{ND} calculation were performed using the PMOD Biomedical Imaging
225 Quantification software (PMOD Technologies, Switzerland). Binding potential
226 images represent the ratio of specifically bound ligand ([^{18}F]fallypride in this
227 study) to its free concentration.

228 Mean BP_{ND} in the striatum, which has the highest concentration of
229 postsynaptic DRD2 in the brain, and the midbrain, the site of dopamine neurons
230 on which presynaptic DRD2 are located, were extracted and regressed on EBR
231 (Fig. 1). The bilateral midbrain and 3 striatal ROIs (caudate, putamen, and
232 ventral striatum / nucleus accumbens) were drawn in MNI standard space using
233 previously described guidelines (Mawlawi et al., 2001; Dang et al., 2012),
234 registered to PET images using the same transformations for cerebellum
235 registration to PET images, and thresholded at 0.5 after coregistration to exclude

236 voxels on the border that had less than 50% probability of being part of the ROI,
237 thus ensuring high tissue probability for each ROI masks. Relations between
238 EBR and BP_{ND} outside the striatum and midbrain were examined with an
239 exploratory voxelwise analysis using SPM8 with family wise error correction.

240

241 *Eye blink rate (EBR)*

242 Eye blinks were recorded for 5 minutes using the Pupil Headset (Pupil
243 Labs UG, Germany). 5 minute has been proposed as the standard time period
244 for EBR assessment based on tests of reliability and is consistent with the EBR-
245 reading literature from the 1930s and 1940s, where EBR was often reported over
246 a 5-min period (Zaman and Doughty, 1997; Doughty, 2001). Eye blinks were
247 recorded once in the placebo condition and once approximately four hours after
248 administration of a dopamine agonist, bromocriptine, which is within the time
249 period of maximal bromocriptine effects (Johnson et al., 1976; Di Chiara et al.,
250 1978; Pizzolato et al., 1985). Bromocriptine was administered at a dose of 1.25
251 mg, a typical amount used in studies of bromocriptine effects on humans (Mehta
252 et al., 2001; Cools et al., 2007; McAllister et al., 2011). Subjects were instructed
253 to sit back, relax, and look forward but were not instructed to focus on a particular
254 point to minimize active control of eye movements. During the recording of eye
255 blinks, subjects were in a quiet room with one other person (the experimenter). In
256 accordance with protocols for protecting human subjects, an experimenter was
257 present with the subject at all times during the study session to monitor possible
258 negative side effects from bromocriptine. Subjects were aware that their eye

259 blinks were recorded as they had to wear the eye tracking device like a pair of
260 glasses. Subjects were told that eye blinks were recorded to examine the relation
261 between spontaneous eye blink rate and dopamine function but did not receive
262 any instruction regarding blinking. Subjects were given as much time as they
263 needed (typically 1 to 3 minutes) after putting on the eye tracking device to
264 become comfortable wearing the device, but the protocol did not include a
265 habituation period. EBR recordings were performed around noon if the study
266 session started in the morning, and around 5pm if the study session started after
267 noon. Although there is minimal diurnal variation in spontaneous EBR from early
268 to late afternoon (Barbato et al., 2000), the start times were kept consistent
269 across sessions (i.e. each subject started both study sessions in the morning or
270 both in the afternoon).

271 Subjects were asked to remove contact lenses prior to the recording of
272 eye blinks if they wore contact lenses. Placebo/bromocriptine session order, blind
273 to both the subject and the researcher, was counterbalanced across subjects.
274 Eye blinks were visually counted with interrater and intrarater reliability above
275 95%. EBR was defined as the number of eye blinks per minute. EBR data from
276 the bromocriptine condition were not available for two subjects: data from one
277 subject were lost due to a technical failure, and data from another subject were
278 excluded from analysis because the subject reported eye irritation after removing
279 contact lenses and blinked excessively during the recording of eye blinks. Eye
280 blink recording for one subject in the bromocriptine condition inadvertently

281 terminated at 4 minutes and thus EBR was calculated using 4 minutes of data for
282 this session.

283 An average of 17 months (range: 3 to 32 months) separated the PET-
284 [18F]fallypride scan from the recording of eye blinks. The time lag reflected that
285 the majority of subjects were recruited for the EBR and bromocriptine study after
286 having already completed the PET study, and the expense of PET data collection
287 did not allow collection of a new cohort of participants. Time difference in data
288 acquisition along with age and sex were entered as covariates in all regressions
289 of [18F]fallypride BP_{ND} on EBR; standardized beta coefficients (correlations), t-
290 statistics, and p-values for the relations between [18F]fallypride BP_{ND} and EBR
291 from these regressions are reported in the results.

292 Five minute recordings of spontaneous EBR are generally viewed as
293 providing a representative sample of behavior, as even shorter measurement
294 windows have been shown to be stable when assessed repeatedly over the
295 course of an hour-long session (Brezinova and Kendell, 1977) if subjects were
296 not visually engaged with a narrative or intervening tasks or distractions (Nakano
297 et al., 2009). The 5-minute duration of EBR recording in this study was similar,
298 and even longer, than the time windows used by previous studies assessing
299 effects of dopamine on EBR (Semlitsch et al., 1993; Cavanagh et al., 2014).
300 Nonetheless we confirmed that EBR can be assessed reliably in 5 minutes using
301 two different approaches. In the first approach, to confirm that EBR in an initial 5
302 minute window was representative of EBR over a longer period (e.g. 15 minutes),
303 we recruited 5 healthy subjects to undergo eye blink recording for 15 minutes.

304 These subjects received the same instructions for eye blink recording as subjects
 305 in the bromocriptine/placebo study. EBR in the first 5 minutes of recording
 306 strongly correlated with EBR over the entire 15 minutes of recording ($r_3=0.98$,
 307 $p=0.002$)^a, providing evidence that 5 minutes was sufficient to capture
 308 spontaneous eye blink rates reliably. In the second approach, we separately
 309 calculated EBR for the first and latter half of each subject's placebo and
 310 bromocriptine session's 5-minute EBR recording. The two EBR measures
 311 correlated very strongly in both the placebo ($r_{18}=0.96$, $p=4.9\times 10^{-11}$)^b and
 312 bromocriptine ($r_{16}=0.84$, $p=1.2\times 10^{-5}$)^c conditions. Results in this study observed
 313 using EBR calculated over 5 minutes still held when EBR was calculated in half
 314 that time window, showing that EBR was very stable and can even be assessed
 315 in under 5 minutes (Fig. 2).

316

317 **Results**

318 As expected, there were significant individual differences in spontaneous
 319 EBR (mean 21 ± 16 on placebo, and mean 23 ± 18 on bromocriptine). The Dixon's
 320 test for outliers confirmed that there were no outliers in the placebo condition
 321 ($Q=0.30$, $p=0.597$)^d and the bromocriptine condition ($Q=0.22$, $p=0.908$)^e. All
 322 subjects were therefore included in primary analyses. To correct for multiple
 323 comparisons of 4 ROIs, results were considered significant at $p<0.0125$.

324

325 *Baseline EBR and dopamine D2 receptor availability*

EBR in the placebo condition did not significantly relate to [18F]fallypride BP_{ND} in the caudate ($\beta=-0.21$, $t_{15}=-0.67$, $p=0.512$)^f, putamen ($\beta=-0.22$, $t_{15}=-0.76$, $p=0.461$)^g, ventral striatum ($\beta=0.24$, $t_{15}=0.95$, $p=0.356$)^h, or midbrain ($\beta=0.04$, $t_{15}=0.14$, $p=0.890$)ⁱ (Fig. 3). Voxelwise analysis did not identify any significant association between EBR and BP_{ND} outside the striatum and midbrain, in addition to confirming the lack of such association in the striatum and midbrain^l.

Effects of bromocriptine on EBR

EBR in the bromocriptine condition was highly correlated with EBR in the placebo condition ($r_{16}=0.83$, $p<0.0001$)^k (Fig. 4A), indicating reasonable test-retest reliability despite the drug challenge. However, EBR in the placebo condition did not differ significantly from EBR in the bromocriptine condition ($t_{17}=0.35$, $p=0.734$, 95% CI [-10.9, 12.6])^l (Fig. 4B). Because we used a fixed dose of bromocriptine, there may be a negative relationship between body weight and the resulting blood plasma levels and CNS actions of bromocriptine. However, there was no association between body weight and bromocriptine-induced changes in EBR ($\beta=-0.06$, $t=-0.16$, $p=0.877$)^m in the present data (Fig. 4C).

Groman and colleagues observed that monkeys with high DRD2 availability exhibited greater D2-like (D3 preferring PHNO) drug-induced increases in EBR, with those low in DRD2 availability even showing declines in EBR. To examine whether DRD2 availability positively related to bromocriptine-induced changes in EBR, we regressed [18F]fallypride BP_{ND} on the difference in

EBR between the placebo and bromocriptine conditions. Bromocriptine effects on EBR were not significantly predicted by BP_{ND} in the caudate ($\beta=-0.52$, $t_{13}=-1.50$, $p=0.157$)ⁿ, putamen ($\beta=-0.48$, $t_{13}=-1.35$, $p=0.199$)^o, or midbrain ($\beta=-0.03$, $t_{13}=-0.11$, $p=0.912$)^p. Ventral striatal BP_{ND} had the largest association with bromocriptine-induced changes in EBR out of the 4 ROIs but was not statistically significance even at the uncorrected level ($\beta=-0.52$, $t_{13}=-2.06$, $p=0.060$)^q. While this ventral striatal result might be considered equivocal in a study with modest statistical power, it is critical to note that the observed relationship was in the opposite direction than predicted, with EBR decreasing in individuals with the highest ventral striatal BP_{ND}. Bromocriptine effects on EBR also did not relate to BP_{ND} in any ROI when changes in EBR were calculated as the percent change from EBR in the placebo condition (all $p>0.10$).

The influence of dopamine on behavior has been proposed to have an inverted-U profile in which individual differences in baseline dopamine function nonlinearly affect individual responses to dopaminergic stimulation. To examine this hypothesis in our data, we performed quadratic regressions of [18F]fallypride BP_{ND} on bromocriptine-induced changes in EBR. There was no significant parabolic relation between [18F]fallypride BP_{ND} and changes in EBR: caudate ($t_{12}=-0.06$, $p=0.951$)^r, putamen ($t_{12}=1.88$, $p=0.085$)^s, ventral striatum ($t_{12}=1.18$, $p=0.260$)^t, or midbrain ($t_{12}=0.15$, $p=0.882$)^u.

369

370 Discussion

371 The present results showed no relation between EBR and DRD2
372 availability in healthy human subjects. EBR also was not responsive to mild
373 dopaminergic stimulation by bromocriptine in a consistent manner across
374 subjects, and individual differences in DRD2 availability did not substantially
375 modulate EBR responsivity to bromocriptine. Given that EBR is hypothesized to
376 be particularly sensitive to DRD2 (Groman et al., 2014), these findings suggest
377 caution in using EBR as a proxy for dopamine function in healthy humans.

378 Most studies that have reported a relation between EBR and dopamine
379 function observed the association in atypical populations (e.g. individuals with
380 psychiatric or neurological conditions or a history of drug use) or under a
381 neuropharmacological manipulation (Jongkees and Colzato, 2016). EBR and
382 dopaminergic function may be correlated in clinical conditions at the “extremes”
383 of dopaminergic functioning wherein the linkage becomes evident when the
384 dopamine system is significantly damaged or dysregulated. Our data suggest
385 that the influence of dopamine (specifically DRD2) on EBR is limited within
386 healthy humans. The dopamine system comprises multiple feedback loops that,
387 in response to deviation from regular dopamine functioning, could alter relations
388 between different aspects of the dopamine system and their associations with
389 behavior (Cooper et al., 2003). For example, in older adults, compensatory
390 changes in dopamine function alter the relation between dopamine function and
391 brain activation during task performance and cognitive outcomes (Braskie et al.,
392 2008; Braskie et al., 2011).

393 It is worth noting that several studies employing neuropharmacological
394 approaches have reported no effects of dopaminergic drugs on EBR (Ebert et al.,
395 1996; van der Post et al., 2004; Mohr et al., 2005). Also arguing against the use
396 of EBR as an index of general dopamine functioning are data showing that not all
397 agonists increase EBR and not all antagonists decrease EBR (Jongkees and
398 Colzato, 2016). Consistent with other studies (Depue et al., 1994; Ebert et al.,
399 1996), the present study did not observe an overall effect of bromocriptine on
400 EBR. Interestingly among human studies with D2 agonists, the only study to
401 observe effects was a study by Cavanagh et al. (2014). Using the agonist
402 Cabergoline, this effect only emerged when they split the subjects into high and
403 low blinkers with the low blinkers showing increases and the high blinkers
404 showing decreases. We did not observe a similar inverted-U profile of individual
405 differences in DRD2 availability affecting EBR responses to bromocriptine. It
406 should be noted that in the present study, we administered a low dose of
407 bromocriptine (1.25 mg) to minimize gastrointestinal side effects, which may
408 have limited the impact of bromocriptine on EBR. A complication of low doses of
409 D2 agonists is that they may stimulate autoreceptors that act to lower
410 endogenous dopamine release rather than causing a simple stimulation of
411 postsynaptic D2 receptors (Grace, 1995). However, previous studies
412 administering higher doses of bromocriptine (2.5mg) also observed no overall
413 effect of bromocriptine on EBR (Depue et al., 1994; Ebert et al., 1996). A
414 separate study showed that a levodopa equivalent dose 20 times higher than the
415 dose in this study and more than twice the dose administered by Cavanagh and

416 colleagues still had no effect on EBR (Mohr et al., 2005). EBR may relate to
417 certain aspects of dopamine function rather than reflective of general dopamine
418 functioning. Given that different components of the dopamine system are
419 differentially associated with pathology and behavior (Cools et al., 2006; Dang et
420 al., 2017), an understanding of the specificity of dopamine effects on EBR would
421 enhance the usefulness of EBR as a proxy for dopamine function.

422 The primary limitation of this study is the small sample size, although the
423 current sample size is comparable to typical PET studies and larger than most
424 studies assessing the relation between EBR and dopamine (Jongkees and
425 Colzato, 2016). However, for EBR to be a reliable proxy for, and predictor of,
426 dopamine function, the correlation between EBR and dopamine function should
427 be quite large and detectable at the current sample size. Another limitation is that
428 PET-[18F]fallypride data were acquired months before eye blink data. Although
429 this time difference was controlled for in all analyses involving [18F]fallypride BP_{ND}
430 and EBR, we cannot dismiss the possibility that there may have been changes in
431 dopamine function during this time that altered the relation between DRD2
432 availability and EBR in a manner not accounted for by the time difference.
433 Published data on the long-term stability of [18F]fallypride binding is lacking at
434 present. However, individual differences in D2-like receptor availability as
435 measured by [18F]fallypride are stable across time periods of a month or more
436 and thus appears to provide a reasonably stable index of individual differences in
437 striatal dopamine D2-like function (Mukherjee et al., 2002).

438 Regarding the assessment of EBR, we note that Groman and colleagues
439 recorded eye blinks for 60 minutes in their study of drug-naive monkeys, whereas
440 we used a far briefer 5-minute measurement. Previous studies assessing effects
441 of dopaminergic drugs on EBR have used similar or shorter time windows as
442 used here (Semlitsch et al., 1993; Cavanagh et al., 2014). Such brief EBR
443 assessment has been shown to have high test-retest reliability (Kruis et al., 2016).
444 In the present work, EBR both within (split-half), and across the placebo and
445 bromocriptine conditions were highly correlated, which shows that EBR can be
446 reliably assessed in 5 minutes. Moreover, in an independent sample, EBR in the
447 first 5 minutes of recording also strongly correlated with EBR assessed over 15
448 minutes, providing evidence that EBR measured over 5 minutes is representative
449 of EBR over a longer time period. It may be that, in individuals with intact
450 dopamine functioning, the relationship between EBR and DRD2 availability is
451 subtle and requires far longer assessment of EBR to materialize. However, if the
452 relation between EBR and DRD2 availability were subtle enough that even
453 modest confounds or measurement error obfuscate it, there should be caution in
454 using EBR as a simple, quick proxy for dopamine function.

455 We note that although [18F]fallypride binding potential is generally
456 interpreted as representing DRD2 availability (especially given the high affinity of
457 [18F]fallypride for DRD2), [18F]fallypride binding potential is also influenced by
458 endogenous dopamine levels (with higher dopamine causing lower BP_{ND}
459 because [18F]fallypride competes with endogenous dopamine for DRD2). The
460 observation of low EBR in Parkinson's disease patients suggests that EBR might

461 correlate with tonic dopamine levels, which are more closely indexed by PET
462 tracers for dopamine synthesis rather than dopamine receptor availability. Future
463 studies assessing the relation between EBR and dopamine synthesis might
464 clarify this possibility. We additionally note that [18F]fallypride binds to both D2
465 and D3 receptors and weakly to D4 receptors. If EBR is specifically mediated by
466 a particular type of dopamine receptor, the nonspecificity of [18F]fallypride within
467 the D2 family of receptors might obscure the relationship between EBR and
468 [18F]fallypride binding potential. However, it should be noted that we did not
469 observe different patterns of association across striatal regions despite their
470 differing levels of relative D2 and D3 expression.

471 In conclusion, this present findings suggest that EBR is not a valid proxy
472 for general dopamine functioning in healthy humans, but it remains to be
473 determined if EBR can index specific aspects of dopamine functions.

474

475

476 **Figure Captions**

477

478 Fig 1. [18F]fallypride BP_{ND} images reflecting dopamine D2 receptor availability.

479 A) Shown are regions of interest from which mean BP_{ND} were extracted for
 480 analyses: caudate (blue), putamen (green), ventral striatum (yellow), and
 481 midbrain (red). B) Example of a [18F]fallypride BP_{ND} image showing high BP_{ND} in
 482 the striatum (top) and midbrain (bottom).

483

484 Fig 2. Lengths of EBR recording. A) EBR in the first 5 minutes of recording
 485 strongly correlated with EBR over the entire 15 minutes of recording ($r_3=0.98$,
 486 $p=0.002$). B-C) EBR from the first and latter half of each subject's 5-minute EBR
 487 recording also correlated very strongly in both the placebo ($r_{18}=0.96$, $p=4.9\times 10^{-11}$) and
 488 bromocriptine ($r_{16}=0.84$, $p=1.2\times 10^{-5}$) conditions.

489

490 Fig 3. EBR and [18F]fallypride BP_{ND}. EBR in the placebo condition did not
 491 significantly correlate with [18F]fallypride BP_{ND} in the caudate ($t_{15}=-0.67$,
 492 $p=0.512$), putamen ($t_{15}=-0.76$, $p=0.461$), ventral striatum ($t_{15}=0.95$, $p=0.356$), or
 493 midbrain ($t_{15}=0.14$, $p=0.890$).

494

495 Fig 4. Bromocriptine and EBR. EBR in the placebo and bromocriptine conditions
 496 were highly correlated ($r_{16}=0.83$, $p<0.0001$) (A) but did not differ significantly
 497 ($t_{17}=0.35$, $p=0.734$) (B). C) Body weight did not correlate with bromocriptine-
 498 induced changes in EBR ($t=-0.16$, $p=0.878$).

Table 1. Statistical table

<i>Line</i>	<i>Data/dependent variable*</i>	<i>Type of test</i>	<i>Statistic</i>	<i>Confidence</i>
a	15min EBR ~ 5min EBR	Pearson's correlation	r=0.98, dof=3	p=0.002
b	placebo: 1st half EBR ~ 2nd half EBR	Pearson's correlation	r=0.96, dof=18	p<0.0001
c	bromocriptine: 1st half EBR ~ 2nd half EBR	Pearson's correlation	r=0.84, dof=16	p<0.0001
d	placebo EBR	Dixon's test	Q=0.30	p=0.597
e	bromocriptine EBR	Dixon's test	Q=0.22	p=0.908
f	baseline EBR ~ caudate BPND	linear regression	t=-0.67, dof=15	p=0.512
g	baseline EBR ~ putamen BPND	linear regression	t=-0.76, dof=15	p=0.461
h	baseline EBR ~ ventral striatum BPND	linear regression	t=0.95, dof=15	p=0.356
i	baseline EBR ~ midbrain BPND	linear regression	t=0.14, dof=15	p=0.890
j	baseline EBR ~ whole brain BPND	linear regression	no significant cluster	p=0.05 corrected for FWE
k	baseline EBR, bromocriptine EBR	Pearson's correlation	r=0.83, dof=16	p<0.0001
l	baseline EBR, bromocriptine EBR	paired t-test	t=0.35, dof=17	p=0.734
m	changes in EBR ~ body weight	linear regression	t=-0.16, dof=13	p=0.877
n	changes in EBR ~ caudate BPND	linear regression	t=-1.50, dof=13	p=0.157
o	changes in EBR ~ putamen BPND	linear regression	t=-1.35, dof=13	p=0.199
p	changes in EBR ~ midbrain BPND	linear regression	t=-0.11, dof=13	p=0.912
q	changes in EBR ~ ventral striatum BPND	linear regression	t=-2.06, dof=13	p=0.060
r	changes in EBR ~ caudate BPND	quadratic regression	t=-0.06, dof=12	p=0.951
s	changes in EBR ~ putamen BPND	quadratic regression	t=1.88, dof=12	p=0.085
t	changes in EBR ~ ventral striatum BPND	quadratic regression	t=1.18, dof=12	p=0.260
u	changes in EBR ~ midbrain BPND	quadratic regression	t=0.15, dof=12	p=0.882

* age, sex, and time difference were covariates in all multiple regressions

500

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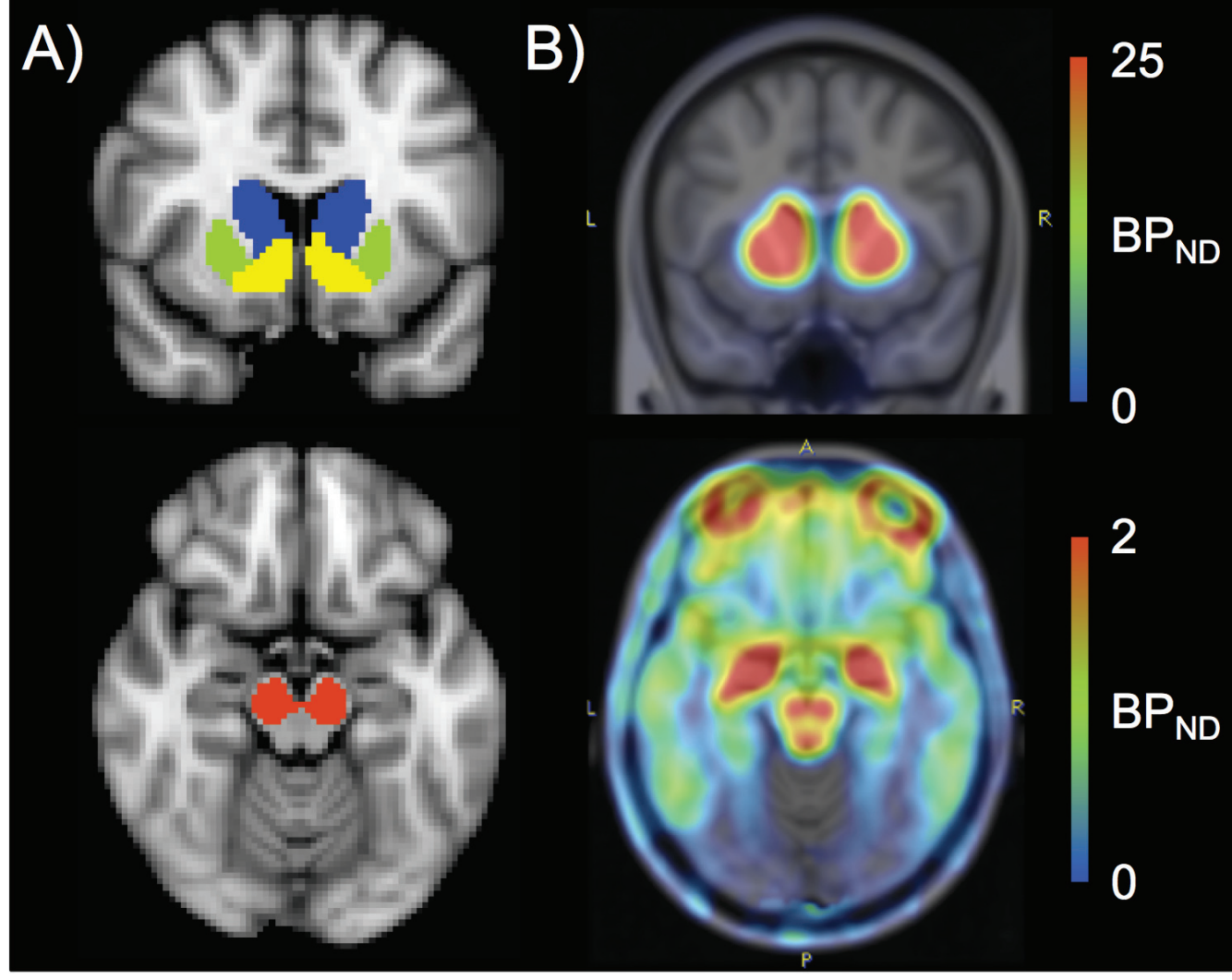
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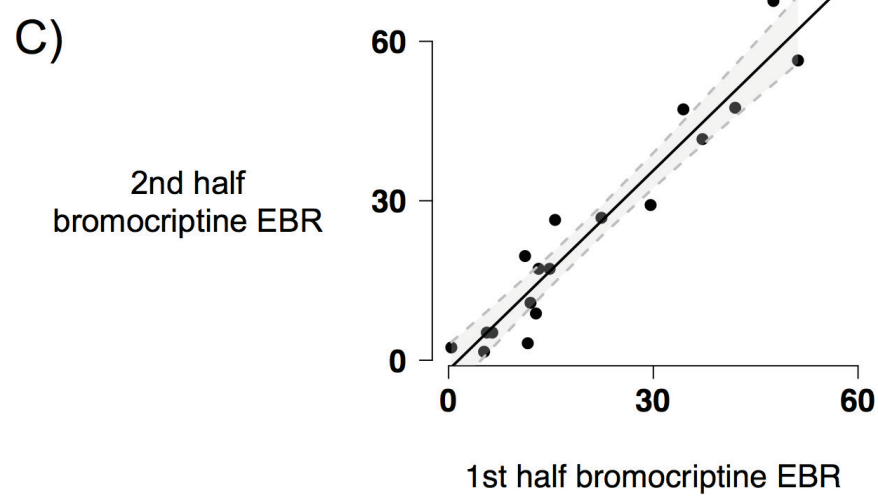
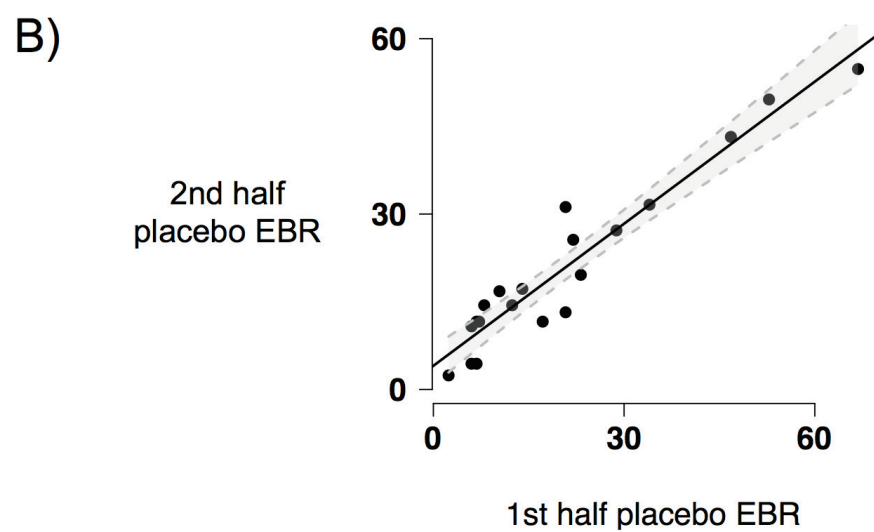
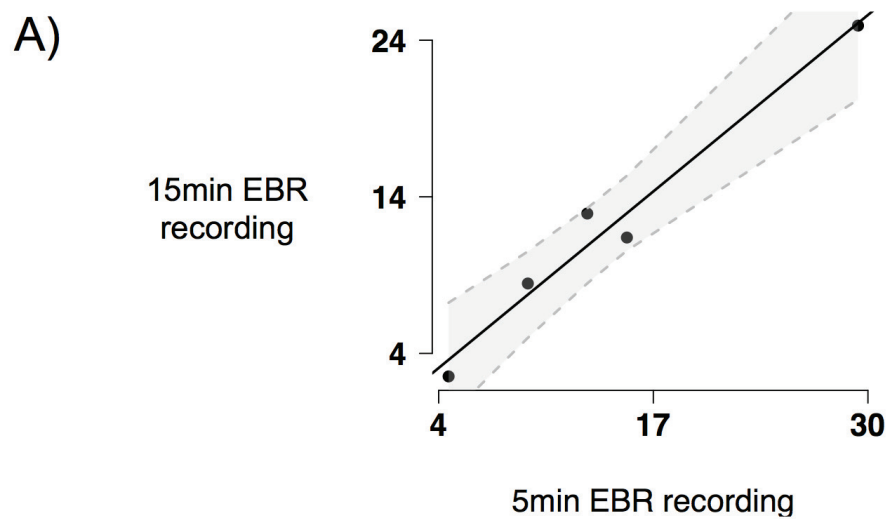
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Placebo
EBR

