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Active nerve regeneration with failed target reinnervation drives persistent neuropathic pain

Nerve regeneration drives neuropathic pain

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3

4 Running title: Nerve regeneration drives neuropathic pain

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23 **Abstract**

24 Peripheral nerves can regenerate, and when injured may cause neuropathic pain. We propose
25 that the active regeneration process plays a pivotal role in the maintenance of neuropathic
26 pain. In one commonly used rodent neuropathic pain model, pronounced pain behaviors follow
27 ligation and cutting of the L5 spinal nerve. We found that the injured nerve regenerates into the
28 sciatic nerve, and functionally reinnervates target tissues: the regenerated nerve conducts
29 electrical signals, mechanical responses, and tracers between the leg/hindpaw and axotomized
30 sensory ganglion. The regenerating nerve is the primary source of abnormal spontaneous
31 activity detected *in vivo*. Disrupting the regeneration inhibited pain: First, semaphorin 3A, an
32 inhibitory axonal guidance molecule, reduced functional regeneration, spontaneous activity,
33 and pain behaviors when applied to the injury site *in vivo*. Second, knockdown of the
34 upregulated growth-associated protein 43 (GAP43) with siRNA injected into the axotomized
35 sensory ganglion reduced pain behaviors. We next examined the spared nerve injury model, in
36 which pain behaviors are essentially permanent. The regeneration resulted in tangled GAP43-
37 positive neuromas at the nerve injury site without target reinnervation. Perfusing the nerve
38 stump with semaphorin 3A, but not removal of the tangled fibers, prevented or reversed pain
39 behaviors. This effect far outlasted the semaphorin 3A perfusion. Hence in this model the long-
40 lasting chronic pain may reflect the anatomical inability of regenerating nerves to successfully
41 reinnervate target tissues, resulting in an ongoing futile regeneration process. We propose that
42 specifically targeting the regeneration process may provide effective long-lasting pain relief in
43 patients when functional reinnervation becomes impossible.

44

45 **Significance Statement**

46 After peripheral nerve injury, an active regeneration process may play a pivotal role in
47 maintaining neuropathic pain. In two different rat neuropathic pain models, genetic or
48 pharmacological blockade of regeneration reduced pain behaviors. In the spinal nerve injury
49 model, functional regeneration of the ligated spinal nerve was observed, contrary to long-held
50 assumptions. In the long-lasting spared nerve injury model, a tangled neuroma rather than
51 effective target reinnervation was observed. *In vivo* perfusion of the neuroma with semaphorin
52 3A, an inhibitor of regeneration, also reversed established pain. The persistent neuropathic
53 pain in this model may reflect the anatomical inability of the regenerating nerves to successfully
54 reinnervate target tissues. Specifically targeting the regeneration process may provide long-
55 lasting pain relief.

56 **Introduction**

57 Neuropathic pain conditions may result from injury to peripheral nerves. This includes
58 conditions such as phantom limb pain, in which a nerve is completely transected; conditions in
59 which a partial nerve injury occurs such as post-thoracotomy pain; and conditions due to nerve
60 stretch, laceration, or compression. In some cases, relatively minor injuries lead to
61 disproportionately painful conditions such as complex regional pain syndrome. Despite decades
62 of efforts in understanding the mechanisms of neuropathic pain, it is still not clear why this
63 intractable condition persists far longer than the initial injury.

64 Unlike the central nervous system, the adult peripheral nervous system is capable of
65 regeneration, and sciatic nerve transection is a rodent model commonly used to study this
66 process (Geuna, 2015). Such studies have elucidated the shift in gene expression in the dorsal
67 root ganglion (DRG) proximal to the injury, from a neurotransmission- to a regeneration-
68 oriented profile, as well as processes in the distal degenerating nerve including mechanisms by
69 which resident and infiltrating immune cells and re-programmed Schwann cells modify the
70 distal segment of the injured nerve. The latter processes initially promote regeneration of the
71 nerve into the distal segment along its previous path, but after these processes have continued
72 for some time, the window of opportunity for regeneration closes and the substrate in the
73 distal nerve segment no longer supports regeneration (Mar et al., 2014; DeFrancesco-Lisowitz
74 et al., 2015; Jessen et al., 2015). Thus peripheral nerve regeneration is less successful when
75 large gaps must be bridged or when the regenerating nerve must grow long distances to
76 reinnervate its targets, or when regeneration is otherwise delayed.

77 Although sciatic nerve transection was also one of the earliest rodent models of chronic
78 pain, the denervation of the hind limb makes behavior measurements (other than autotomy)
79 unfeasible, so many neuropathic pain studies use other models involving partial injuries to the
80 sciatic nerve, allowing measurements of pain behavior related to the hindpaws (Jaggi et al.,
81 2011). Most laboratories focus on either pain, or peripheral nerve regeneration. Thus studies of
82 molecular interventions that reduce pain behavior or correlates do not generally consider the
83 possible effects on regeneration, and studies of interventions designed to improve peripheral
84 nerve regeneration do not examine pain behavior. This is in spite of the fact that numerous
85 molecules (e.g., various cytokines and trophic factors) are implicated in both pain and
86 regeneration (see Dubovy, 2011).

87 Our previous studies have focused on pain behavior and mechanisms, using several rat
88 models of neuropathic pain based on injuries to the sciatic nerve (Xie et al., 2005; Xie et al.,
89 2010, 2015). During experiments using the widely used spinal nerve ligation model (Kim and
90 Chung, 1992), in which the L5 spinal nerve is ligated and/or cut close to the L5 DRG, we
91 observed that neuronal tracers could be transported from the paw through the transection site
92 to the DRG, contradicting the long-held assumption that the transected spinal nerve does not
93 regenerate and reinnervate the target tissue in this model (for example, see Yoon et al., 1996;
94 Hammond et al., 2004; Tandrup, 2004; Djouhri, 2016). This observation led us to investigate the
95 relationship between pain behaviors and the regeneration process. In this paper, we present
96 data suggesting a tight link between regeneration and persistent neuropathic pain in two
97 different rat models of neuropathic pain. In addition to the spinal nerve ligation model, we also
98 used the spared nerve injury model (Decosterd and Woolf, 2000), in which pain behaviors are

⁹⁹ essentially permanent. In this model, two of the three branches of the sciatic nerve are ligated
¹⁰⁰ and cut at mid-thigh level, with a 2-4 mm gap introduced. This results in long-lasting, profound
¹⁰¹ mechanical allodynia. In addition, the rats avoid weight bearing on the affected foot (guarding
¹⁰² behavior), which is considered to be a measure of spontaneous pain (Xu and Brennan, 2010). In
¹⁰³ the original description of this model (Decosterd and Woolf, 2000) it was proposed that the
¹⁰⁴ long duration of the pain behaviors required that the injured axons not reinnervate their
¹⁰⁵ peripheral targets. Supporting this idea, they found that a crush injury rather than ligating and
¹⁰⁶ cutting resulted in pain behaviors that began to resolve after some weeks. We hypothesized
¹⁰⁷ that the long duration of the SNI model might be related to this failure to successfully
¹⁰⁸ reinnervate target tissues and hence turn off the regeneration process.

109 **Materials and Methods**

110 **Animals:** The experimental protocol was approved by the University of Cincinnati
111 Institutional Animal Care and Use Committee. Experiments were conducted in accordance with
112 the National Institute of Health Guide for the Care and Use of Laboratory Animals. Adult
113 Sprague Dawley rats of both sexes (Harlan, Indianapolis, IN) were used. There were no obvious
114 differences observed between males and females in any of parameters examined, so except
115 where indicated data from both sexes have been combined and experiments were conducted in
116 both males and females in approximately equal numbers.

117 **Surgical procedure for spinal nerve ligation (SNL) of the dorsal root ganglion (DRG):** Rats
118 received a unilateral ligation of the ventral ramus of the L5 spinal nerve based on the
119 description of Kim and Chung with some modifications (Kim and Chung, 1992). Rats were
120 anesthetized by isoflurane. The skin on the back was cut along the spine from S1 to L4 (DRG
121 level). The L5 and L4 transverse processes were exposed by separating the overlying muscles,
122 after which the ventral ramus of the L5 spinal nerve could be visualized and dissected free from
123 surrounding tissue. After isolation, the L5 spinal nerve was tightly ligated with 6-0 silk ~2 - 3 mm
124 distal to the ganglion and then cut ~1 mm distal to the suture. The incision was closed in layers.

125 **Perfusion of SNL site:** In some experiments, the proximal SNL injury site was perfused
126 with the indicated drugs via tubing connected to an Alzet 14-day osmotic pump with a flow rate
127 of 0.5 μ l/hour (catalog 2002, Durect Corp., Cupertino, CA, USA) which was implanted just after
128 the SNL ligation and cutting of the spinal nerve was completed. This method was used to apply
129 tetrodotoxin (TTX), to block spontaneous activity, or semaphorin 3A, an inhibitory axon
130 guidance molecule, to block regeneration. The pump was placed underneath the skin along the

131 left side of the spine and ~5 cm of silicone tubing (Silastic laboratory tubing, Dow Corning
132 Catalog #508-002, I.D. 0.51 mm, O.D. 0.94 mm) was led to the injury site. Two cuts were made
133 in the tubing parallel to the long axis and directly opposite each other to divide the final 5 mm
134 of the tubing into two halves, and one half was removed. The resulting piece of hemi-sected
135 tubing was gently eased over the proximal cut end of the L5 spinal nerve distal to the suture,
136 and secured in place so that the end of the full-diameter section of the tubing was in close
137 contact with the cut end of the spinal nerve. In order to secure the tubing, the suture ends
138 were left longer than in the usual SNL surgery and used to tie a second knot securing the hemi-
139 sected tubing end on the dorsal side of the injury site of the loop of suture surrounding the
140 spinal nerve. The orientation of the tubing was such that the flow direction was in the distal-to-
141 proximal direction along the spinal nerve. The concentration of semaphorin 3A (16 µg/ml) used
142 in the pump was chosen based on pilot experiments showing that 8 µg/ml had a smaller effect
143 on pain behaviors than 16 µg/ml. For experiments perfusing TTX the concentration was 250
144 µg/ml, which was based on prior in vivo studies (Xie et al., 2005). Drugs were dissolved in
145 artificial cerebrospinal fluid (ACSF; in mM: NaCl 130, KCl 3.5, NaH₂PO₄ 1.25, NaHCO₃ 24,
146 Dextrose 10, MgCl₂ 1.2, CaCl₂ 1.2, 16 mM HEPES, pH = 7.3, when bubbled with 95% O₂/ 5%
147 CO₂). In control experiments the site was perfused with ACSF (“vehicle”).

148 **Procedure for in vivo injection of siRNA into the DRG:** siRNAs directed against rat growth
149 associated protein 43 (GAP43) channel (NCBI gene ID 29423) and nontargeting control were
150 designed by and purchased from Dharmacon/ThermoFisher (Lafayette, CO) as previously
151 described. The siRNA was siGENOME™ siRNA consisting of a “smartpool” of four different siRNA
152 constructs combined into one reagent. Catalog numbers were M-080112-01 (GAP43) and D-

153 001210-02 (nontargeting control directed against firefly luciferase, screened to have minimal
154 off-target effects and least 4 mismatches with all known human, mouse and rat genes
155 according to the manufacturer). The sequences of the GAP43 siRNA were:
156 GAAAGAACUGUAGAUGAA, GGAGAUGGCUCUGCUACUA, UGAACAAGAUGGUGUAAA, and
157 GACAGAAAGUGCUGCUAAA. During the SNL surgery, just prior to ligation of the spinal nerve, 3
158 µL aliquots containing 80 pmoles of siRNA made up with cationic linear polyethylenimine (PEI)-
159 based transfection reagent (“in vivo JetPEI”, Polyplus Transfection, distributed by WVR
160 Scientific, USA) were injected into the L5 DRG, through a small glass needle (75 µm o.d.)
161 inserted close to the DRG through a small hole cut into the overlying membrane close to the
162 site where the dorsal ramus exits the spinal nerve, as previously described (Xie et al., 2012).

163 **Surgical procedure for spared nerve injury (SNI):** The SNI model was produced as
164 previously described (Decosterd and Woolf, 2000). Briefly, under isoflurane anesthesia, the
165 sciatic nerve and its three terminal branches (the sural, common peroneal and tibial nerves)
166 were exposed at low-thigh level by blunt dissection through the biceps femoris muscle. The
167 common peroneal and the tibial nerves were tightly ligated with 6.0 silk while the sural nerve
168 was spared. The nerves distal to the ligature were sectioned and 2-4 mm of the nerve stump
169 was removed. Care was taken to avoid any contact with or stretching of the intact sural nerve.
170 Muscle and skin were closed in layers. Each animal was allowed to recover for 24 hours before
171 further testing.

172 **Perfusion of SNI site:** In some experiments the SNI site was perfused with drugs in ACSF
173 or ACSF alone starting at the time of SNI surgery. The method was similar to that used in the
174 SNL model except that the 14-day osmotic pump was embedded underneath the skin covering

175 the thigh, and the tubing was slightly larger (catalog Dow Corning Catalog 508-003, I.D. 0.64
176 mm O.D. 1.19 mm), ~7 cm in length. As for the SNL model, a hemisected tubing end with one
177 side left longer was created and placed over the injury site (covering both ligated nerves), with
178 the longer side of the tubing on the more lateral side of the nerve and secured with the ends of
179 one of the sutures used to ligate the nerve. The orientation of the tubing was such that the flow
180 direction was distal to proximal with respect to the nerve. In some experiments the SNI injury
181 site was perfused with drugs starting 12 days after the original SNI surgery as follows: under
182 isoflurane anesthesia the injury site was re-exposed. The neuroma was cut ~ 1mm distal to the
183 suture and removed. The tubing was installed as described above and secured with suture
184 ends, which were left longer than usual during the first SNI surgery in preparation for the tubing
185 installation.

186 **Behavior testing:** Static mechanical sensitivity was tested by applying a series of von Frey
187 filaments to the heel region of the paws, using the up-and-down method (Chaplan et al., 1994).
188 A cutoff value of 15 grams was assigned to animals that did not respond to the highest filament
189 strength used. In order to observe von Frey responses even at early days after surgical
190 denervation, the region tested in the SNL model was centrally located and within the L4
191 dermatome, while the test site was moved somewhat more laterally after the SNI model to the
192 sural (spared) nerve territory (Bajrović and Sketelj, 1998). A wisp of cotton pulled up from, but
193 still attached to a cotton swab was stroked mediolaterally across the plantar surface of the
194 hindpaws to score the presence or absence of a brisk withdrawal response to a normally
195 innocuous mechanical stimulus (dynamic tactile allodynia). This stimulus does not evoke a
196 response in normal animals. Cold sensitivity was scored as withdrawal responses to a drop of

197 acetone applied to the ventral surface of the hind paw. When observed, responses to acetone
198 or light brush strokes consisted of several rapid flicks of the paw and/or licking and shaking of
199 the paw; walking movements were not scored as positive responses. The stimuli for the
200 dynamic tactile allodynia and cold allodynia tests spread over a wider region than the von Frey
201 test and incorporated still-innervated regions in both the SNI and SNL models. Spontaneous
202 guarding behavior was scored (Xu and Brennan, 2010) as 0 (no guarding, paw flat on floor), 1
203 (mild shift of weight away from paw), 2 (unequal weight bearing and some part of the foot not
204 touching the floor), or 3 (foot totally raised or not bearing any weight); these scores were
205 recorded just before each application of the von Frey filament (6 observations per paw total)
206 and averaged.

207 **In vivo fiber recording:** Rats were anesthetized with 1% pentobarbital sodium (40mg/kg)
208 and put on a warm blanket to keep the body temperature close to 37°C. Pentobarbital sodium
209 (20 mg/ml) was infused during the entire experiment through a saphenous vein cannula. For
210 recording after the SNL model was implemented, the spinal cord was exposed from vertebrae
211 L5 to T12; and the L5 dorsal root (ipsilateral to the SNL injury site) was located and isolated
212 after removing the dura. Skin edges of this site were used to form a surrounding pool, filled
213 with warm paraffin oil. The distal sciatic nerve was exposed along the femur; the skin edges
214 were used to form a second surrounding pool that was filled with warm paraffin oil. Stimulating
215 electrodes were placed on the exposed sciatic nerve. Microfilament recordings were made
216 from filaments teased from the L5 dorsal root. Size 5 tweezers were used to tear off the
217 perineurium of the dorsal root at the site of recording. A bundle of fibers, which contained
218 about 10% of the whole dorsal root, was teased from the exposed root and disconnected

219 centrally. This bundle was further separated into microfilaments of approximately equal
220 diameter (30-50 µm). An individual microfilament was placed on a silver wire recording
221 electrode. Waveforms were analyzed using Spike 2 software, version 8.02, Cambridge
222 Electronic Design.

223 For each microfilament the following characteristics were checked in the following order:
224 spontaneous activity, receptive fields, and compound action potential evoked by stimulating
225 the sciatic nerve:

226 1) The microfilament was examined for 3 min to see whether any spontaneous action
227 potentials were present and how many fibers were firing in each strand. The number of fibers
228 with ongoing activity was estimated by the different spike height and frequency of the action
229 potentials. Spike heights were typically 2-10 times the noise level.

230 2) We determined whether the recorded ongoing activity represented activity originating
231 from muscle spindles or joint receptors by observing changed firing frequency or pattern when
232 the muscle tone was changed, which was accomplished by gently poking or pressing the lower
233 limb muscle via a glass bar or by slowly pulling and bending the lower leg by gently grabbing the
234 paw with a pair of Adson forceps (1x2 teeth tips). Muscle spindle and joint receptor activity was
235 not included in the final count of spontaneously active fibers.

236 3) We systematically searched for fibers that could be activated by applying mechanical
237 stimuli to the skin and muscle which sciatic nerve innervates. For the paw, a pair of 10cm
238 Graefe forceps with 1x2 serrated tips was used to gently pinch the paw skin to search receptive
239 fields. The receptive fields in skin or muscle in upper or lower leg were identified using a glass
240 bar with round tip (diameter = 1.5 mm) to gently stroke the skin or press the muscle.

241 4. Finally, we applied stimuli via the distal stimulating electrodes to determine how many
242 individual fibers demonstrated activity conducting through the injury site. We did not attempt
243 to characterize the fibers by conduction velocity since the degree of myelination was
244 presumably changing during the course of nerve regeneration, making it impossible to know
245 what class the individual fiber belonged to before the injury.

246 This entire procedure was repeated until over 80% of dorsal root was teased out. In some
247 experiments, the L5 spinal nerve was re-transected at the ligation and injury site just before the
248 recordings were made, in order to confirm that observed signals in other experiments were
249 coming from sites distal to the original ligation site. Fiber recordings done in normal rats or rats
250 with prior sham SNL surgery were performed in the same way. These recordings confirmed that
251 in the tested receptive fields, stimuli normally activate L5 fibers under a similar recording
252 configuration in normal or sham SNL rats (with or without sham SNL surgery; data not shown)
253 with the exception of the receptive fields along the midline of the spine just below the L5 DRG,
254 where stimuli evoked activity only after SNL. Receptive fields normally innervated by the L5
255 dorsal ramus were avoided.

256 Data are presented as number of fibers per filament; we did not normalize to the total
257 number fibers as defined by increasing electrical stimulation (Govrin-Lippmann and Devor,
258 1978) because it was possible that some recorded spontaneously active fibers or immature
259 regenerated fibers could fail to be activated by the stimulating electrode distal to the injury
260 site. Data from normal or sham operated rats are not presented in the figures because it was
261 evident that filaments in SNL rats even at late time points contained an estimated 2 to 3-fold
262 smaller number of fibers activated by mechanical stimuli than filaments of similar diameter

263 recorded in normal rats. This meant that it was difficult to accurately apply the same counting
264 methods to the normal rats as were used in the SNL rats.

265 **Nerve, paw, and DRG microscopy:** Animals were first perfused with 0.1M phosphate
266 buffer until clear fluid was seen, followed by perfusion with 4% paraformaldehyde for 20
267 minutes. For immunohistochemistry, paw skin, peripheral nerve, neuroma, or DRG sections
268 were cut at 40 µm on a cryostat after post fixation in 4% paraformaldehyde, 0.1M Phosphate
269 Buffer, 4% sucrose. GAP43 antibody (1:600; Abcam Catalog ab16053, RRID: AB_443303) was
270 used for staining of the neuroma sections (SNI model) or DRG sections (SNL model). DRG
271 sections stained for GAP43 were co-stained for the neuronal marker NeuN (1:200, Abcam
272 Catalog Ab104224, RRID: AB_10711040). In some experiments a whole mount DRG preparation
273 (Xie et al., 2010) instead of the sectioned DRG was used to examine neurons labeled by Di-I. In
274 this case the whole DRG was dissected out, and fixed, the sheath was removed, and the DRG
275 was mounted on a slide and covered with anti-fade mounting medium.

276 For experiments testing anatomical nerve regeneration, two tracer methods were used:
277 (1) in some experiments, 10 µl of the tracer Fast Dil oil (Invitrogen, catalog D3899, 5 mg/ml in
278 DMSO) that can be incorporated into cell membranes, was injected into the paw
279 subcutaneously using 31-gauge insulin syringes just after the spinal nerve was ligated. Dil could
280 still be observed in the paw at the later time points when animals were sacrificed to determine
281 whether di-I had been transported back to the ligated L5 DRG. In some of these experiments
282 DRG sections were co-labeled with NF200 antibody (1:100, Abcam Catalog: ab82259, RRID:
283 AB_1658500) or substance P antibody (1:500; Abcam Catalog: Ab67006, RRID: AB_1143173), to
284 determine whether both myelinated and small, predominantly unmyelinated nociceptive cells

285 respectively could both regenerate. (2) In other experiments, the long-lasting tracer dextran
286 biotin (Invitrogen, catalog D1956, molecular weight 10,000, lysine fixable, dissolved in ACSF at
287 20 mg/ml), that can be taken up by cut axons, was injected into the spinal nerve using a glass
288 pipette with a tip size of ~25 µm, then the nerve was ligated just distal to the injection site and
289 cut as usual. At the indicated postoperative time points animals were sacrificed and the paw
290 skin and sciatic nerve sections examined for biotin-labeled fibers. Dextran biotin in paw skin or
291 sciatic nerve just distal to the knee were visualized by incubating sections overnight in Alexa
292 Fluor 488 streptavidin (Invitrogen, catalog S32354, diluted 1:500 in ddH₂O) or Alexa Fluor 594
293 streptavidin (Invitrogen, catalog S32356, diluted 1:500 in ddH₂O).

294 For quantification of GAP43 staining, images from multiple sections of each DRG or
295 neuroma, selected at random, were captured under an Olympus BX61 fluorescent microscope
296 using Slidebook 6.1 imaging acquisition software (Intelligent Imaging Innovation, Denver, CO)
297 and intensity of signal was normalized by the area measured. For DRG sections, areas
298 containing predominantly neuronal cell bodies and areas containing predominantly axons (i.e.
299 entering the most proximal part of the spinal nerve, proximal to the SNL injury site) were
300 analyzed separately. For quantification of immunohistochemical staining, all image capture and
301 analysis sessions were performed comparing samples from all experimental groups, prepared
302 with the same staining solutions, and then measured using identical display parameters.
303 Validation of GAP43 antibody used: In this study we show that siRNA directed against GAP43
304 mRNA reduces staining by the GAP43 antibody. This consistency provides evidence for the
305 specificity of these independent methods of investigating GAP43; the 4 siRNA sequences used
306 had no overlap with the sequence encoding the antigen used for immunization. In addition we

307 also replicate the GAP43 upregulation in the DRG and peripheral nerves after peripheral nerve
308 transection as observed in numerous previous studies using a variety of antibodies to GAP43
309 and several types of peripheral nerve injury (e.g. Schreyer and Skene, 1993). GAP43
310 upregulation in rat DRG after SNL is used as the positive control for this antibody by the
311 manufacturer, along with observation of a band of appropriate size on a Western blot,
312 blockable by excess of the peptide antigen. The antibody has been shown to detect sensory
313 neuron sprouting in several studies, correlating with other independent measures of sprouting
314 (e.g. Cho and Cavalli, 2012; Figueroa et al., 2013).

315 In situ microscopy: Images of regenerated spinal nerves were obtained after dissection of
316 perfused rats, using an AmScope 10-megapixel camera (AmScope, Irvine, CA, USA) inserted into
317 the eyepiece of a dissecting microscope.

318 **Statistics and data analysis:** Sample sizes for all types of experiments were based on our
319 previous experience using these methods. Behavioral time course data were analyzed using
320 two-way repeated measures ANOVA with Bonferroni posttest to determine on which days
321 experimental groups differed. One animal in one behavior experiment died prior to the end of
322 the experiment and all its data were excluded. No other animals were excluded from analysis.
323 Animals were assigned to experimental groups at random; for experiments comparing a drug
324 with a control, one animal per cage received each treatment. For experiments involving
325 behavioral measurements the experimenter was blinded to the experimental status starting at
326 the time the experimental groups diverged (e.g., at time of application of drug vs. control).
327 Fiber recording data (average counts from each rat of the numbers of fibers in each filament
328 with spontaneous activity, distal receptive fields, and proximal receptive fields) were examined

329 with ANOVA, with posttests as indicated to compare selected groups. To examine the effects of
330 drugs applied *in vivo* on fiber recording data, groups with drug application were compared to
331 groups with vehicle application at the same time points. Differences in GAP43 staining from
332 sections of DRG were analyzed with ANOVA with Dunnett's posttest comparing nontargeting
333 siRNA group to normal and to GAP43 siRNA groups. Differences in GAP43 staining from
334 neuroma sections were analyzed with ANOVA with Tukey's posttest comparing the normal,
335 vehicle and semaphorin 3A treated groups. The statistical test used in each case is indicated in
336 the text, or figure legend. Two-sided tests were used throughout. Significance was ascribed for
337 $p < 0.05$. Levels of significance are indicated by the number of symbols, e.g., *, $p = 0.01$ to < 0.05 ;
338 **, $p = 0.001$ to 0.01 ; ***, $p < 0.001$. Data are presented as average \pm S.E.M.

339 **Results**

340 ***In the spinal nerve ligation (SNL) model, the ligated and transected L5 nerve can***
341 ***regenerate into the original sciatic nerve.***

342 In the SNL model the L5 spinal nerve is ligated and cut proximal to the sites where it
343 merges with the L4 and L6 spinal nerve to form the sciatic nerve (Fig. 1a). This is a commonly
344 used model of neuropathic pain, and it is widely assumed that the nerve does not regenerate
345 and/or reinnervate and that therefore evoked pain behaviors are mediated only by the intact
346 neurons in the L4 DRG. While using this model we observed that the neuronal tracer Dil,
347 injected into the ipsilateral hindpaw just after the spinal nerve transection, could later be
348 observed in the cell bodies of the axotomized L5 DRG. In one of four rats examined, Dil could be
349 observed as early as 3 weeks after the spinal nerve ligation, and in every rat examined at later
350 time points (Fig. 1 d, e). Further investigation using double-labeling showed that Dil could be
351 observed in both NF200-positive (a marker for myelinated neurons, Fig. 1f) and substance P-
352 positive (a marker primarily of unmyelinated nociceptors, Fig. 1g) neurons. When the long-
353 lasting tracer dextran biotin was injected into the proximal cut end of the spinal nerve at the
354 time of ligation and cutting, dextran biotin-labeled fibers could be observed in the distal sciatic
355 nerve (Fig. 1 h) and in the hindpaw skin as early as 3 weeks postoperatively (Fig. 1i and see
356 below). Dissection and *in situ* examination of the injury site as early as 2 weeks after the initial
357 surgery showed that the nerve had regenerated from the ventral side of the ligature, just
358 proximal to the suture, and rejoined the sciatic nerve along with the intact L4 spinal nerve (Fig.
359 1 b, c). At early time points this newly grown nerve was very translucent (Fig. 1b), which may
360 account for the failure to notice it during 10 years of using this model. At later time points the

361 newly grown nerve appeared whiter, more similar to normal nerve (Fig. 1c). The regenerated
362 nerve was observed in situ in rats of both sexes, at time points ranging from 2 to 10 weeks after
363 the spinal nerve ligation surgery (24 males and 12 females with spinal nerve ligation, plus 12
364 males with spinal nerve ligation plus vehicle perfusion of the injury site, see below).

365

366 ***The regenerated nerve is functional***

367 In order to determine whether the regenerated L5 spinal nerve was functional, we used
368 *in vivo* microfilament recording to determine whether stimuli from peripheral receptive fields
369 or electrical stimulation could be conducted through the newly regenerated nerve. The
370 recording electrode was placed on a 30-50 μm diameter filament teased out from the L5 dorsal
371 root and disconnected centrally. A bipolar stimulating electrode was placed on the sciatic nerve
372 distal to the injury site (Fig. 2a). Data are presented as average number of fibers per filament.
373 We first recorded any spontaneously active fibers. Ongoing joint receptor or muscle spindle
374 activity (identified based on ability to change the activity by manipulating a muscle or a joint)
375 was not counted as spontaneous. After recording spontaneous activity and identifying joint
376 receptor and muscle spindle activity, mechanical stimuli (pressure applied with a blunt glass rod
377 or forceps) were applied to defined several proximal and distal regions normally innervated by
378 L5 to determine whether any of the axons in the filament had receptive fields in those regions
379 (Fig. 2e). For analysis purposes the data were combined into distal fields (muscle spindles, joint
380 receptors, or mechanically sensitive fibers with responses from lower leg, ankle, or heel, Fig. 2c)
381 and proximal fields (responses detected to stimuli in upper leg muscle, muscle or skin along the
382 spine near the tail, or on top of the spine near L5, Fig. 2b). After testing for mechanical

383 receptive fields, electrical stimuli were applied to the sciatic nerve distal to the injury site to
384 determine whether stimulated action potentials could conduct through the new nerve. Two
385 weeks after the spinal nerve ligation, at least one electrically stimulated action potential could
386 be observed in 17% of filaments. This increased to 86% by week 4 and to 90 – 95% for weeks 6
387 to 10. Fibers with proximal receptive fields could be recorded at week 2, with slightly increasing
388 numbers over time (Fig. 2b). Distal receptive fields recovered more slowly; a few fibers with
389 distal receptive fields could be observed at 2 weeks but these were not robustly observed until
390 week 4 (Fig. 2c).

391 No fibers could be activated by stimulation of any of the receptive fields or muscle
392 spindles or joint receptors, and no action potentials could be stimulated by electrical
393 stimulation of the distal sciatic nerve, when the spinal nerve and any newly grown nerve were
394 re-cut at the original cut site just prior to the start of the recording session (in 55 filaments
395 recorded from 2 rats at week 2, and 116 filaments recorded from 3 rats at week 4).

396

397 ***In vivo application of semaphorin 3A to the injury site reduced functional and***
398 ***anatomical measures of regeneration***

399 In order to pharmacologically manipulate the regeneration process, we developed a
400 method to perfuse the SNL injury site *in vivo*. Fine silicon tubing, open on one side, was placed
401 over the end of the ligated spinal nerve and secured at the same suture used to ligate the
402 nerve. The tubing was attached to an osmotic pump to apply drugs for the first 2 weeks after
403 the SNL surgery. In rats perfused with vehicle, the spontaneous activity and number of
404 receptive fields were generally similar to that observed in rats without pumps (Fig. 2b, c, d). In

405 situ, the regenerating nerve was observed to grow out of the ventral side of the ligature; the
406 tubing was secured over the dorsal side and did not appear to affect this process. We used this
407 method to apply semaphorin 3A, an inhibitory axonal guidance molecule. Semaphorin 3A can
408 collapse growth cones in a subset of DRG neurons (Reza et al., 1999), and can also regulate
409 axonal transport even in neurons that do not show the growth cone collapse response
410 (Goshima et al., 1999). Its receptor is expressed in both normal and axotomized adult DRG
411 (Pasterkamp et al., 1998). After treatment with semaphorin 3A for the first 2 weeks after
412 injury, fibers with distal receptive fields could never be found at any subsequent time point (Fig.
413 2c; n = 0 fibers with distal receptive field out of 105 fibers in 3 rats at week 2; 0 out of 72 fibers
414 in 3 rats at week 4; 0 out of 87 fibers from 3 rats at week 6). The effect of semaphorin 3A on
415 distal receptive fields compared to vehicle was significant (ANOVA, $F_{(5, 15)} = 34.67$, p<0.0001,
416 n=3-4 per group). In contrast to the distal regions, receptive fields in the proximal regions could
417 be detected in some fibers, however, the recovery after SNL was significantly delayed by
418 semaphorin 3A as compared to the vehicle group (ANOVA, $F_{(5, 15)} = 3.07$, p = 0.04, n=3-4 per
419 group), with the largest reduction observed at the 2-week time point (Fig. 2b). In vivo a
420 regrowing nerve could be observed near the injury site in semaphorin 3A treated rats at weeks
421 2 through 7, but qualitative observations showed that it was much thinner than that observed
422 in rats at the same time points without drug application (Fig. 2f). Two week semaphorin 3A
423 application also blocked regeneration of nerve fibers into the paw as visualized with dextran
424 biotin at week 4 (Fig. 2g, vehicle perfusion; 2h, semaphorin 3A perfusion), consistent with the
425 observed lack of distal receptive fields.

426 ***The regenerating nerve is the main source of abnormal spontaneous activity after
427 nerve injury***

428 During the fiber recording experiments, spontaneously active fibers (excluding ongoing
429 activity from muscle spindles and joint receptors) were readily recorded from rats after SNL or
430 SNL with vehicle perfusion of the injury site for the first 2 weeks (Fig. 2d). The incidence
431 decreased gradually over time and was significantly less ($p<0.01$) at weeks 6 through 10
432 compared to week 2 (ANOVA with Dunnett's posttest comparing week 2 to all other time points
433 in the SNL group, $F_{(4,15)}=11.44$, $p=0.0002$, $n=4$ rats per group). When the nerve stump and
434 newly regenerated nerve at the original injury site were freed from the surrounding tissue and
435 then resectioned just prior to recording, almost all the spontaneous activity was eliminated (Fig.
436 2d; tested at weeks 2 and 4; ANOVA, $F_{(3,9)} = 42.13$, $p<0.0001$, $n=2$ to 4 rats per group, with
437 Bonferroni's posttest comparing resected to non-resected groups at each time point). Applying
438 semaphorin 3A to the injury site for the first 2 weeks also significantly reduced spontaneous
439 activity at all time-points tested (weeks 2, 4, and 6; ANOVA, $F_{(5,15)} = 29.68$, $p<0.0001$, $n=3$ or 4
440 rats per group, with Bonferroni's posttest comparing vehicle to semaphorin 3A treated groups
441 at each time point, Fig. 2d).

442 We also examined the effect of blocking spontaneous activity originating from the injury
443 site by perfusing with TTX for the first 2 weeks after surgery. Fiber recordings were conducted
444 at the 4-week time point (i.e., 2 weeks after the end of the TTX perfusion period). TTX
445 perfusion, like semaphorin 3A perfusion, reduced the incidence of spontaneous activity
446 measured at 4 weeks (number of spontaneously active fibers per filament = 2.0 ± 0.2 for TTX,
447 2.8 ± 0.3 for semaphorin 3A, vs. 5.6 ± 0.3 for vehicle perfusion; ANOVA, $F_{(2,8)} = 44.99$, $p<0.0001$,

448 with Bonferroni's posttest comparing all groups to each other, both TTX and semaphorin 3A
449 groups significantly different from vehicle, $p < 0.001$, $n = 3$ or 4 rats per group). TTX also had
450 effects on recovery of proximal and distal receptive fields that were similar to those of
451 semaphorin 3A measured at the 4-week time point. TTX when perfused for the first 2 weeks
452 prevented recovery of distal receptive fields measured at week 4 (zero distal receptive fields
453 per filament after TTX perfusion, zero after semaphorin 3A perfusion, vs. 0.38 ± 0.03 after
454 vehicle perfusion; vehicle group significantly different from the other two groups, $p < 0.001$;
455 ANOVA, $F_{(2, 8)} = 156.4$, with Bonferroni's posttest comparing each group to every other group, n
456 = 3 or 4 rats per group), while the number of proximal receptive fields observed on week 4 was
457 not significantly different than that observed with vehicle or semaphorin 3A perfusion ($0.71 \pm$
458 0.13 , 0.72 ± 0.3 , and 0.92 ± 0.12 proximal receptive fields per filament for TTX, semaphorin 3A,
459 and vehicle perfusion, respectively; ANOVA, $F_{(2, 8)} = 0.38$, $p = 0.61$, $n = 3$ or 4 rats per group).

460

461 ***Blocking regeneration reduces pain behaviors in the SNL model***

462 The SNL model leads to pronounced ipsilateral mechanical hypersensitivity (static
463 allodynia) and tactile allodynia (light stroke-evoked dynamic allodynia), as well as cold
464 allodynia, as measured in the ipsilateral hindpaw. We used the *in vivo* perfusion method to
465 measure the effects of reducing regeneration with semaphorin 3A on these pain behaviors. As
466 in the above experiments, the perfusion of the injury site with a 14-day osmotic pump started
467 immediately after the spinal nerve ligation and transection. As shown in Fig. 3a and 3b,
468 semaphorin 3A perfusion markedly reduced mechanical hypersensitivity and mechanical
469 allodynia. The reduction was not significant on POD1 but was significant from day 5 through the

470 end of the experiment (8 weeks), i.e. long outlasting the duration of drug application. The effect
471 on cold allodynia (Fig. 3c) was much smaller and did not reach significance on most days.

472 On the contralateral side, SNL induced only minor static allodynia, with von Frey
473 thresholds above 12 grams on all days tested, and no effect of semaphorin 3A was observed.
474 Contralateral responses to stroking with a cotton wisp during the dynamic allodynia test were
475 never observed in either group. Contralateral responses to acetone did not differ significantly
476 from baseline on any day tested, in either group, and were not significantly affected by
477 semaphorin 3A on most days.

478 Semaphorin 3A can also have effects on immune cells. We therefore used DRG injection
479 of siRNA directed against GAP43, another molecule important for regeneration, as a second,
480 independent method to interrupt regeneration. siRNA-mediated knockdown of GAP43
481 significantly reduced upregulation of GAP43 in the DRG. Immunohistochemical staining of DRG
482 sections was performed 4 days after spinal nerve ligation (Fig. 4a - h). We observed that signal
483 for GAP43 was relatively low in normal DRG (Fig. 4a). After SNL (and injection of control siRNA),
484 marked upregulation could be observed (measured on POD4; Fig. 4b). In the cellular regions of
485 the DRG the GAP-43 signal was especially strong in the axonal processes coursing between the
486 cell bodies (overall density measurements showing ~5-fold upregulation after SNL in cellular
487 regions in animals injected with control siRNA). In addition, strong staining was also observed in
488 axonal regions just distal to the cellular region and proximal to the SNL lesion site (Fig. 4e, ~13-
489 fold upregulation when compared to the lower initial level in normal animals' corresponding
490 axonal regions, Fig. 4d). In both cellular and axonal regions, the siRNA directed against GAP43
491 reduced the staining ~5 -6 fold (Fig. 4c and 4f, respectively; summary data in 4g and 4h).

492 As shown in Fig. 4(i – k), GAP43 siRNA injection at the time of spinal nerve ligation also
493 markedly reduced pain behaviors, especially mechanical pain behaviors which were significantly
494 reduced on all days tested. Similar to the results obtained with semaphorin 3A, the effects on
495 cold allodynia were more modest. Guarding behavior, a measure of spontaneous pain (Xu and
496 Brennan, 2010), was also reduced by the knockdown (Fig. 4l).

497 As in the semaphorin 3A experiments, there were no effects of GAP43 siRNA on
498 contralateral pain behaviors, which were always minimal in both groups (data not shown).
499 Contralateral guarding behavior was never observed in either group.

500

501 ***Robust regeneration with failed reinnervation in the long-lasting spared nerve injury***
502 ***pain model***

503 The above data suggested a close relationship between nerve regeneration, spontaneous
504 activity in regenerating sensory nerves, and mechanical pain behaviors. We next examined the
505 effects of semaphorin 3A perfusion in the spared nerve injury model. Pain is essentially
506 permanent in this model, and its originators proposed that this might be because target
507 reinnervation was not possible (Decosterd and Woolf, 2000). Based on our results in the SNL
508 model, continuation of this (albeit futile) regeneration process might be a cause of prolonged
509 pain behaviors. As evidence supporting this proposal, we observed that although injured nerves
510 were able to regenerate, they failed to reinnervate target tissues. The tangled regenerating
511 fibers (visualized by staining for the regeneration marker GAP43) formed a neuroma at the
512 injury site (Fig. 5a1, a2, c), in contrast to the regenerated nerve observed in the SNL model.
513 Perfusion of the injury site *in vivo* with semaphorin 3A, using a method similar to that used for

514 the SNL model, markedly reduced mechanical (Fig. 5e, g) and cold allodynia (Fig. 5 h) as well as
515 guarding behavior (Fig. 5f). The behavioral effects of semaphorin 3A long outlasted the 2-week
516 period of drug application. This suggested that the regeneration program was effectively shut
517 down by the semaphorin 3A treatment and did not resume after the treatment ended.

518 Semaphorin 3A application beginning at the time of SNI surgery greatly reduced
519 regeneration and neuroma formation. Longitudinal sections showed well-organized, non-
520 tangled GAP43-positive nerve fibers distal to the ligature (Fig. 5b, d). Semaphorin 3A also
521 reduced the intensity of the nerve regeneration marker GAP43 staining at the injury site, and,
522 as observed 4 weeks post injury (i.e. 2 weeks after the end of the drug perfusion period, Fig. 5b,
523 d). Quantification of the intensity of GAP43 staining in sections of neuroma taken from the
524 injury site 4 weeks after SNI showed a marked (~75 fold) upregulation of GAP43 in the SNI +
525 vehicle perfusion group compared to sections taken from the corresponding region of normal
526 sciatic nerve; this was over 3 fold less in SNI + semaphorin 3A perfusion group (mean
527 intensity/ μm^2 values were 46 ± 3.5 in normal rats, 3447 ± 313 in SNI + vehicle rats, and $1047 \pm$
528 119 in SNI + semaphorin 3A rats; each group differed significantly from every other group,
529 ANOVA with Tukey's posttest, $F_{(9,11)} = 81.23$, $n = 4$ (2 male and 2 female rats per group) with 10
530 – 14 sections per animal).

531
532 ***Disrupting the regeneration process but not resection of the neuroma reduced already***
533 ***established pain behaviors in the SNI model***

534 To determine whether disrupting regeneration could reverse established pain in the SNI
535 model, we next applied semaphorin 3A to the neuroma starting after pain was well established.

536 As shown in Fig 6, applying semaphorin 3A for 2 weeks starting 2 weeks after the initial spared
537 nerve injury, caused a rapid and sustained reduction of pain behaviors that outlasted the
538 duration of the drug treatment. It should be noted that in order to install the tubing around the
539 injury site at week 2, it was necessary to dissect away the surrounding thickened tissue and
540 resect and remove the neuroma with tangled regenerating fibers distal to the ligature, so that
541 the drug had access to the nerve endings. As shown by the data for the rats receiving vehicle,
542 this resection *per se* did not reduce the pain behaviors.

543

Discussion

544

Our results suggest that the active regeneration process *per se* may drive the persistence

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of neuropathic pain after a peripheral nerve is injured. We observed that suppressing the

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regeneration process reduced pain behaviors in two different pain models, the spinal nerve

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ligation (SNL) model, in which effective regeneration resulting in some reinnervation of the

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peripheral targets was observed, and the longer-lasting spared nerve injury (SNI) model, in

549

which the regeneration process led to formation of a neuroma rather than terminating with

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successful target reinnervation. In the SNL model, we found that *in vivo* perfusion of the ligated

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spinal nerve with the inhibitory axonal guidance molecule semaphorin 3A, starting at the time

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of spinal nerve ligation, greatly reduced mechanical pain behaviors observed in that model.

553

There was no effect on the first day after surgery, but the effect was significant by day 5 and,

554

importantly, lasted the duration of the experiment (8 weeks) although the pumps used lasted

555

only 2 weeks. Although semaphorin 3A can also have effects on immune cells (Vadasz and

556

Toubi, 2014), our experiments showing a similar effect using a completely different approach to

557

blocking regeneration, GAP43 knockdown within the L5 DRG, provide further evidence that the

558

key mechanism for reducing pain behaviors was interrupting nerve regeneration. Also

559

consistent with a causal relationship between regeneration and pain, we observed that the

560

much longer lasting pain in the spared nerve injury model was (as first proposed by its

561

originators) accompanied by a failure of effective reinnervation; instead, a neuroma formed at

562

the injury site containing tangled fibers expressing the regeneration marker GAP43. Even

563

established (day 14) pain behaviors in this model could be mitigated by local perfusion of

564

semaphorin 3A onto the injury site but not by resection of the neuroma *per se*. As in the spinal

565 nerve ligation model, the semaphorin 3A effect long outlasted the duration of drug application.
566 Hence in several different experiments using different methods to disrupt regeneration, a
567 temporary disruption of the regeneration process produced very long lasting reduction in pain
568 behaviors.

569 In the spinal nerve ligation model, we found that the regenerating nerve was a major
570 source of abnormal spontaneous activity in the proximal dorsal root fibers; this activity was also
571 reduced by semaphorin 3A or by tetrodotoxin, effects lasting long after the period of drug
572 application. These results suggest that spontaneous activity is closely associated with the active
573 regeneration process, although further study is needed to elucidate mechanisms and causal
574 direction. In regard to the role of spontaneous activity there seems to be a disconnect between
575 those who study peripheral regeneration and those who study pain. In the pain field, abnormal
576 spontaneous activity in peripheral sensory neurons is well established as an important
577 contributor to development of pain behaviors in a variety of models, and blocking this activity
578 blocks development of pain (Devor, 2009). Although there is an extensive literature regarding
579 the role of spontaneous activity in the growth of axons during development (Spitzer, 2006),
580 there is apparently little work on its role in regeneration of peripheral nerve in adults. Instead,
581 much of the literature on spontaneous activity in the field of adult peripheral nerve
582 regeneration is concerned with the phenomenon of enhanced initiation of regeneration
583 (though not increased rate) following a very brief (e.g. 1 hour) stimulation of the peripheral
584 nerve immediately after nerve transection (Shapira and Midha, 2015). One paper commonly
585 cited on this topic (Enes et al., 2010) even proposes that the *lack* of activity in severed sensory
586 neurons is the signal that initiates their regeneration program. However, this study relied

587 heavily on in vitro studies of axon growth in cultured sensory neurons and in vivo fiber
588 recordings that did not specifically quantify spontaneous activity types, and the authors did not
589 reference the literature on early spontaneous activity in pain models.

590 Studies of peripheral nerve regeneration also emphasize the complex shift from a gene
591 expression program centered on neurotransmission to expression of regeneration activated
592 genes (RAGs). Some of these, including GAP43 and transcription factors such as SOX 11, have
593 been shown to be required for regeneration (Huebner and Strittmatter, 2009; Jankowski et al.,
594 2009). RAGs are activated by peripheral but not dorsal root axon transection, but prior
595 conditioning peripheral transection can stimulate the normally ineffective centrally directed
596 regeneration response after a second, dorsal root injury (Gordon, 2016). Proposed mechanisms
597 for transmitting the information that the periphery has been injured and for activating the RAG
598 program include increased cyclic AMP, axonal transport mechanisms, calcium signaling, and
599 peripherally derived growth factors.

600 Another unexpected finding of our study was that regeneration and functional
601 reinnervation of the ligated and cut spinal nerve can occur in the spinal nerve ligation model. In
602 studies using this model the discussion almost invariably assumes that regeneration and/or
603 reinnervation does not occur. Our study shows that this assumption is not necessarily correct;
604 we observed regeneration of fibers, reaching as far as the hindpaw region within 4 weeks of
605 initial injury, and these fibers were able to conduct action potentials and mediate responses to
606 mechanical stimulation of distal receptive fields. The regenerated nerve was visible *in situ*
607 though experienced experimenters in our laboratory used the model for many years without
608 noticing this. We therefore think it is likely that regeneration and reinnervation occur in the

609 model as implemented in other laboratories also. However, this needs to be confirmed; it is
610 possible that differences in implementation may mean that regeneration is not observed in this
611 model in every laboratory. Users of this model also generally assume that none of the behaviors
612 are mediated by L5. In our study von Frey responses were evoked by stimuli in the paw region
613 normally innervated primarily by the intact L4 spinal nerve, but it is possible that L5 mediated
614 behaviors may be observed in some studies, especially at later time points, depending on the
615 details of the experimental protocol.

616 Our ability to see regenerated fibers reaching the hindpaw within 4 weeks after spinal
617 nerve ligation (a distance of ~100 mm) is consistent with the upper estimate of regeneration
618 rates of ~4 mm/day reported in rat studies of sciatic nerve transection models used to study
619 peripheral regeneration (Savastano et al., 2014). More rapid recovery of proximal receptive
620 fields compared to distal presumably reflects the shorter distance the fibers needed to grow.
621 Although we observed functionality of regenerated fibers, this does not prove that fibers
622 precisely reinnervated their original targets and restored completely normal function; indeed,
623 studies examining functional reinnervation in finer detail suggest that regenerated fibers do not
624 completely normalize function (He and Jin, 2016). In addition, our methods were not suitable
625 for evaluating cell loss, which has also been described in this model (Vestergaard et al., 1997;
626 Tandrup, 2004), though it was clear in the fiber recording experiments that many more fibers in
627 a standard diameter filament could be activated in normal rats than after spinal nerve ligation,
628 even 10 weeks after spinal nerve ligation. In addition, we did not conduct thermal testing and
629 hence would not have observed failure of many C fiber neurons to regenerate. We assume that
630 the inability of the regenerating nerve to ever reinnervate distal mechanical receptive fields

631 after the initial 14-day semaphorin 3A treatment is likely related to the lost capability of the
632 degenerating distal nerve segment to provide the structural pathway for the regenerating
633 fibers, due to the delay. Studies on the role of processes occurring in the distal segment in
634 peripheral nerve regeneration demonstrate a limited time window over which regeneration can
635 be supported (Mar et al., 2014; DeFrancesco-Lisowitz et al., 2015; Jessen et al., 2015).

636 In conclusion, our study provides evidence for a close association between peripheral
637 nerve regeneration, generation of spontaneous activity, and pain. It is important for future
638 studies to bridge the gaps between these different fields of study. Studies of peripheral
639 regeneration need to consider whether improving regeneration also increases pain behaviors,
640 while studies of methods to block chronic pain need to consider whether this comes at the cost
641 of increased neuronal cell death or failure to achieve regeneration that was otherwise possible.
642 For pain conditions such as phantom limb pain, where regeneration is not possible, or
643 conditions where the window of opportunity for peripheral nerve regeneration has passed, our
644 results in the spared nerve injury model suggest that surgical removal of the neuroma alone
645 would not alleviate pain; but interrupting the regeneration program, even after pain is well-
646 established may provide pain relief that long outlasts the application of the regeneration-
647 blocking agent.

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737

Figure Legends

738

Figure 1. Anatomical evidence that the L5 spinal nerve regenerates in the spinal nerve ligation (SNL) model. a, schematic of SNL model showing site of L5 spinal nerve ligation and transection distal to L5 dorsal root ganglion (DRG) and proximal to its merger with the L4 spinal nerve to form the sciatic nerve. Dotted line indicates regenerated nerve segment. In separate experiments the tracer dextran biotin (injected into the cut end of the spinal nerve) or Dil (injected into the paw) were injected just after the spinal nerve transection. b,c, in situ dissecting microscope images showing regenerated segment of the L5 spinal nerve (arrows) just distal to the injury sites observed on day 20 (b) and day 70 (c). d, e, whole mount images of the L5 DRG showing Dil transported from the hindpaw (red) on day 20 (d; observed in only 1 of 4 rats) and day 70 (e; observed in 6 of 6 rats). f, g, DRG sections showing co-localization (yellow; arrows) of Dil (red) with labeling for NF200 (f; green; marker for myelinated cells; repeated in 5 rats) or Substance P (g; green, marker for nociceptive cells; repeated in 5 rats). Sections were obtained 70 days after spinal nerve ligation and transection. Dil could still be observed at the paw injection site at this time. h, longitudinal section of sciatic nerve distal to the original transection site, just below the knee, showing dextran biotin positive fibers 70 days after spinal nerve transection (repeated in 4 rats). The regenerating, dextran biotin- positive nerve ("reg N.") appeared somewhat segregated from the dextran biotin- negative original intact nerve ("orig N.) i, cross section of paw skin, showing dextran biotin positive fibers 35 days after spinal nerve transection (repeated in 5 rats). Scale bars, 250 µm in d, e; all others 100 µm.

757

758 **Figure 2.** Regeneration of L5 spinal nerve is functional and can be reduced by semaphorin 3A. a,
759 schematic of the in vivo fiber recording setup. Filaments were teased out of the dorsal root,
760 disconnected centrally. After observing spontaneous activity, receptive fields were examined by
761 applying mechanical stimuli to proximal (above the knee) and distal (lower leg and paw)
762 regions. b, c, average number of proximal (b) and distal (c) receptive fields per filament
763 detected at the indicated times after spinal nerve ligation (SNL), SNL plus perfusion of the injury
764 site with vehicle, or SNL plus perfusion of the injury site with semaphorin 3A ("Sema3A"). In c,
765 values for semaphorin 3A group are zero. d, average number of spontaneously active (SA) fibers
766 per filament (excluding normal muscle spindle and joint receptor activity) detected in the same
767 experiments. N = 3 or 4 rats per group with 72 to 116 filaments per group, except for the
768 resected POD 14 group which contained only 2 animals. e, example of spontaneous activity,
769 and activity evoked by mechanical stimulation of a receptive field (arrows). f, in situ image of
770 regenerated nerve on day 28 in animal perfused with semaphorin 3A (tubing removed before
771 image was taken); note that the segment is thin and fine (compare to figure 1b. Observation
772 repeated in 8 rats). g, paw cross section showing dextran biotin-labeled fibers (red) observed 28
773 days after SNL in a vehicle-perfused rat (repeated in 4 rats). h, dextran biotin-labeled fibers
774 were absent in paw cross sections obtained from semaphorin 3A perfused rat (repeated in 4
775 rats). Scale bar 100 μ m.
776

777 **Figure 3.** Local perfusion of the injury site in vivo with semaphorin 3A reduces pain behaviors in
778 the spinal nerve ligation (SNL) model. Baseline behaviors (average of 2 measurements) are
779 plotted on day 0. Immediately after the ligation and transection of the L5 spinal nerve, fine

780 tubing was led from a 14-day osmotic pump to the cut end of the spinal nerve, to deliver either
781 vehicle or semaphorin 3A (16 µg/ml) as indicated by the shaded bar. a, threshold for
782 withdrawal responses to von Frey filaments ($F_{(1, 10)} = 137.58$). b, dynamic allodynia (withdrawal
783 responses to light stroking with a fine cotton wisp) ($F_{(1, 10)} = 91.08$). c, cold allodynia
784 (withdrawal responses to a drop of acetone) ($F_{(1, 10)} = 13.77$). *, p<0.05; **, p<0.01; ***
785 p<0.001, significant difference between the two groups at the indicated time points, Two-way
786 repeated measures ANOVA, with Bonferroni post-test). n = 6 male rats per group.

787

788 **Figure 4.** Knockdown of GAP43 in the L5 dorsal root ganglion reduces pain behaviors and
789 GAP43 upregulation in the spinal nerve ligation (SNL) model. siRNA directed against GAP43 or
790 control nontargeting siRNA was injected into the L5 dorsal root ganglion (DRG) immediately
791 prior to implementing the SNL model. Sections were obtained 4 days later. DRG sections from
792 cellular regions (a-c) or axonal regions (d-f) stained for GAP43 (red) and neuronal marker NeuN
793 (green) from normal (a, d), SNL + control siRNA-injected (b, e) and SNL + GAP43-siRNA injected
794 (c, f) DRGs. g, h: summary data of GAP43 intensity from cellular (g) and axonal (h) regions. ***,
795 p<0.001, significant differences between control siRNA groups and both other groups; ANOVA
796 with Dunnett's posttest, g: $F_{(2, 12)} = 107.70$, h: $F_{(2, 12)} = 62.77$, n = 5 rats (3 males, 2 females) per
797 group with 19 to 33 soma region sections per rat and 6 -12 axonal regions per rat. Effect of
798 siRNA injection on pain behaviors: i, threshold for withdrawal responses to von Frey filaments
799 (dotted line indicates baseline; $F_{(1, 10)} = 119.37$); j, cold allodynia (withdrawal responses to a
800 drop of acetone; $F_{(1, 10)} = 10.77$), k dynamic allodynia (withdrawal responses to light stroking
801 with a fine cotton wisp; $F_{(1, 10)} = 124.59$); and l, guarding behavior score (maximum value is 3;

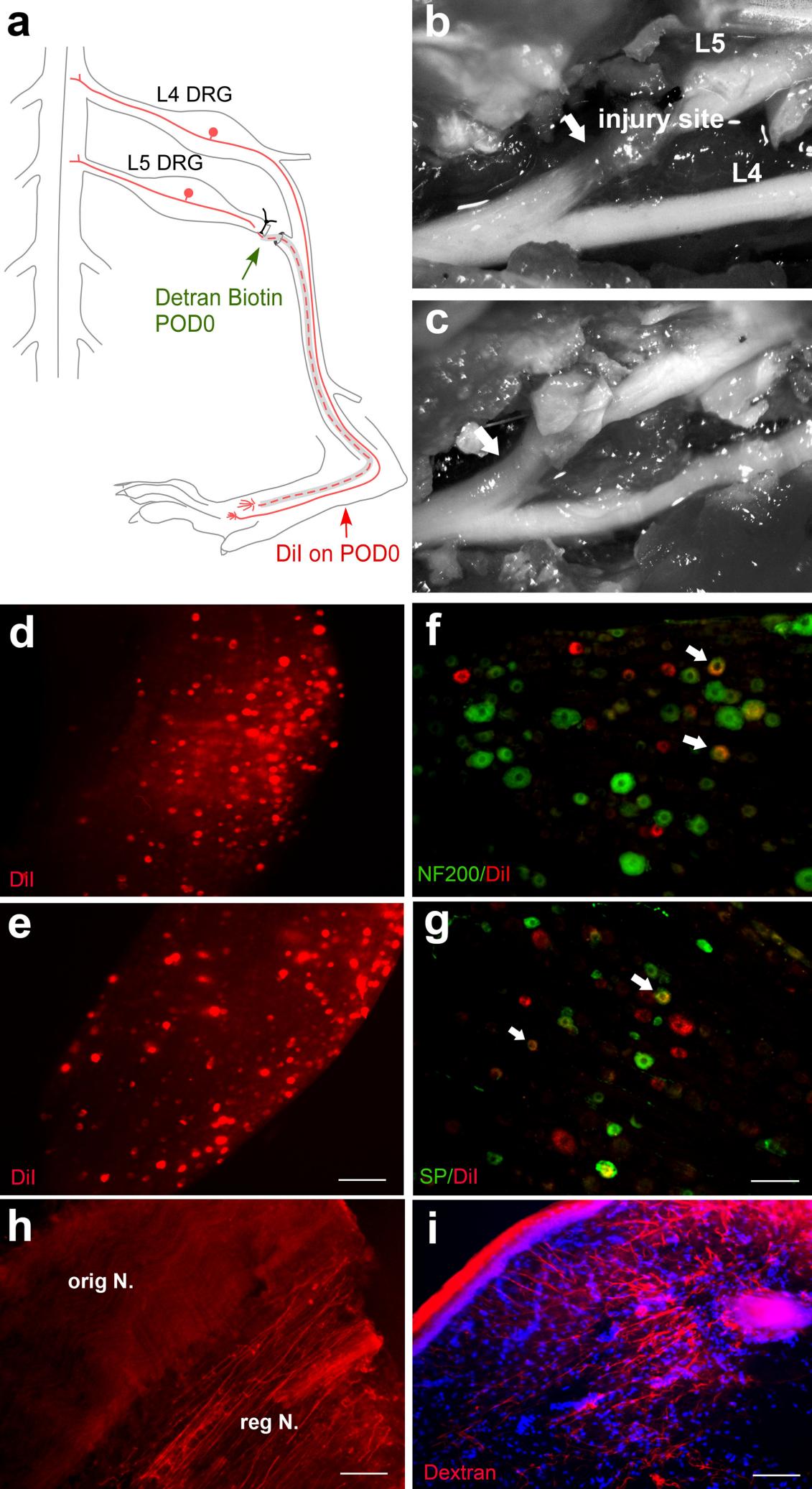
802 $F_{(1, 10)} = 4.94$. *, p<0.05; **, p<0.01; ***, p<0.001; significant difference between the two groups
803 at the indicated time points (Two-way repeated measures ANOVA with Bonferroni post-test). N
804 = 6 rats per group (3 males, 3 female).

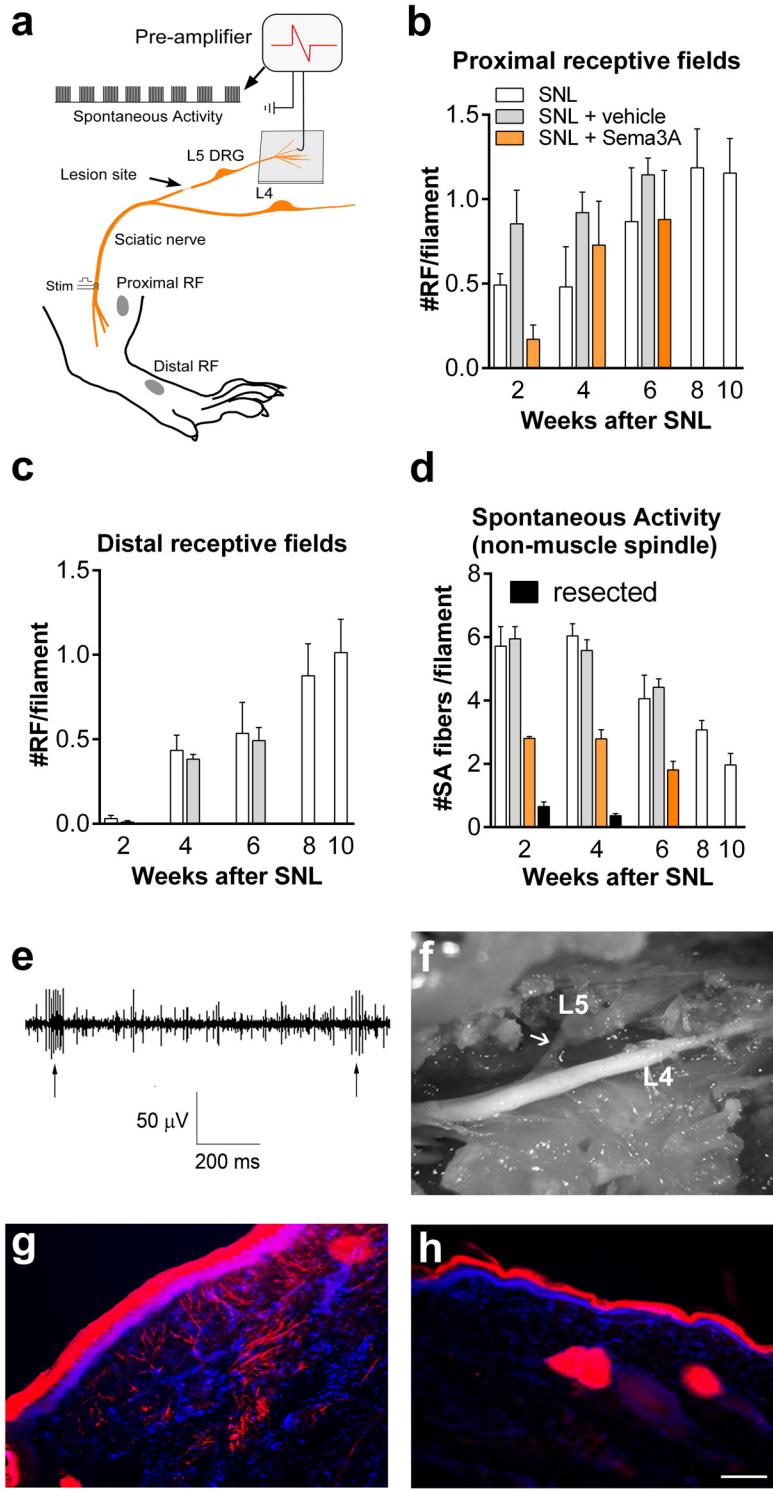
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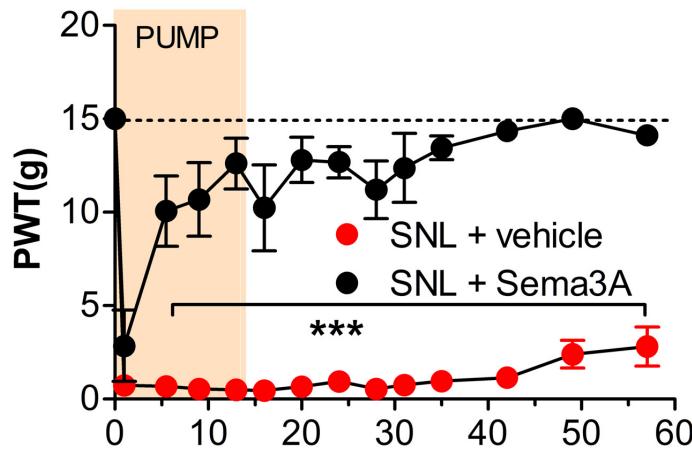
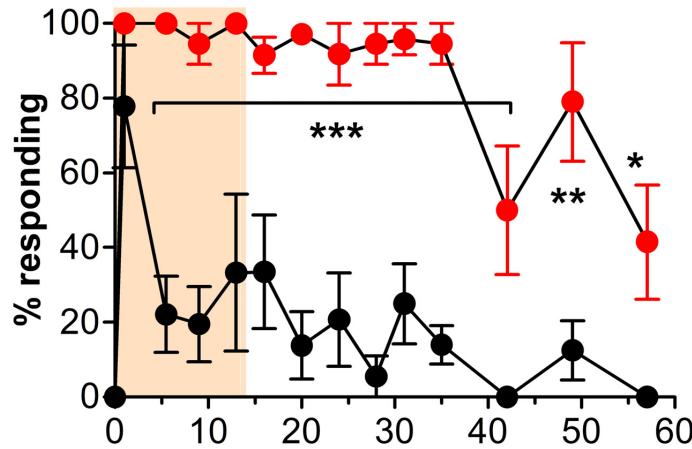
806 **Figure 5.** Semaphorin 3A applied to the injury site reduces regeneration and pain behavior in
807 the spared nerve injury (SNI) model. a, b, Longitudinal sections of the neuroma region at the
808 spared nerve injury site taken 28 days after nerve injury, stained for regeneration marker
809 GAP43 (red). The injury site was locally perfused with vehicle (a1, a2) or semaphorin 3A (16
810 $\mu\text{g}/\text{ml}$; b) for the first 14 days after the injury. Sections a1 and a2 are from the same nerve,
811 taken at different depths. The suture can be seen as the two dark regions in a1 and the larger
812 dark region in a2 and b. c, d, Grey scale rendering of portion of the images in a2, b with
813 sharpness enhancement to emphasize fiber structure (and to further increase the signal from
814 b). Scale bar 500 μm . e-h, effect of perfusing the injury site in the spared nerve injury model
815 with vehicle or semaphorin 3A for the first 14 days after injury on pain behaviors: e, threshold
816 for withdrawal responses to von Frey filaments ($F_{(1,10)} = 120.19$). f, guarding behavior score
817 (maximum value is 3; $F_{(1,10)} = 31.33$). g, dynamic allodynia (withdrawal responses to light
818 stroking with a fine cotton wisp; $F_{(1,10)} = 70.30$). h, cold allodynia (withdrawal responses to a
819 drop of acetone; $F_{(1,10)} = 47.98$). *, p<0.05; **, p<0.01; ***, p<0.001, significant difference
820 between the two groups at the indicated time points (Two-way repeated measures ANOVA
821 with Bonferroni post-test). N = 6 rats per group (4 males, 2 female).

822

823 **Figure 6.** Applying semaphorin 3A to the injury site reduces established pain in the spared nerve
824 injury model. Averaged baseline behaviors are plotted on day 0. Starting on day 13 an osmotic
825 pump added during a second surgery was used to locally perfuse the injury site with
826 semaphorin 3A or vehicle (ACSF) for 14 days as indicated by the shaded bar. Behaviors were
827 measured one day prior to and two days after the pump surgery in order to demonstrate that
828 the behavioral effects were significant within 2 days. a, static allodynia (threshold for
829 withdrawal responses to von Frey filaments; dotted line indicates baseline) ($F_{(1,9)} = 104.40$); b,
830 dynamic allodynia (withdrawal responses to light stroking with a fine cotton wisp) ($F_{(1,9)} =$
831 103.40); c, guarding score (maximum possible value is 3) ($F_{(1,9)} = 42.49$); d, cold allodynia
832 (withdrawal responses to a drop of acetone) ($F_{(1,9)} = 33.03$). *, p<0.05; **, p<0.01; ***
833 p<0.001, significant difference between the two groups at the indicated time points. N = 4 male
834 and 4 female rats (vehicle group); 3 male and 4 female rats (semaphorin 3A group).





a Static allodynia (von Frey)**b Dynamic allodynia (cotton wisp)****c Cold allodynia (acetone)**