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Neurons in vulnerable regions of the Alzheimer's disease brain display reduced ATM signaling

Loss of ATM function in Alzheimer's disease

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1 **Neurons in vulnerable regions of the Alzheimer's disease brain display reduced**

2 **ATM signaling**

3 *Abbreviated title: Loss of ATM function in Alzheimer's disease*

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41 **Abstract**

42 Ataxia telangiectasia (A-T) is a multisystemic disease caused by mutations in the ATM (A-T
43 mutated) gene. It strikes before age 5 and leads to dysfunctions in many tissues including the CNS
44 where it leads to neurodegeneration, primarily in cerebellum. Alzheimer's disease (AD), by
45 contrast, is a largely sporadic neurodegenerative disorder that rarely strikes before the 7th decade
46 with primary neuronal losses in hippocampus, frontal cortex and certain subcortical nuclei. Despite
47 these differences, we present data supporting the hypothesis that a failure of ATM signaling is
48 involved in the neuronal death in AD. In both partially ATM deficient mice and AD mouse models,
49 neurons show evidence for a loss of ATM. In human AD, three independent indices of reduced
50 ATM function – nuclear translocation of HDAC4, trimethylation of histone H3 and the presence of
51 cell cycle activity – appear coordinately in neurons in regions where degeneration is prevalent.
52 These same neurons also show reduced ATM protein. And though they represent only a fraction of
53 the total neurons in each affected region, their numbers significantly correlate with disease stage.
54 This previously unknown role for the ATM kinase in AD pathogenesis suggests that failure of
55 ATM function may be an important contributor to the death of neurons in AD.

56 **Significance statement**

57 The immediate cause of the death of neurons in Alzheimer's disease (AD) is currently unknown.

58 We show that, in vulnerable regions of the human AD brain, increasing numbers of neurons

59 undergo an unexpectedly loss of ATM (ataxia-telangiectasia mutated) function as the disease

60 progresses. Total ATM levels drop and multiple lines of evidence reveal that ATM function is lost

61 coordinately in at-risk neurons. The replication of this phenotype in the hippocampus of three

62 different AD mouse models suggests it is central to the neuronal death mechanism. These data

63 offer new approaches towards understanding the mechanisms of neuronal cell loss in Alzheimer's

64 disease.

65 Introduction

66 Alzheimer's disease (AD) is the major cause of dementia in the elderly. Its prevalence and
67 long disease course confer a significant burden on the individuals affected, their caregivers and
68 society. The clinical dementia of AD is associated with a progressive neurodegeneration
69 characterized by pathological hallmarks including extracellular senile plaques (β -amyloid deposits),
70 neurofibrillary tangles (hyperphosphorylated tau) as well as synaptic and neuronal loss (Querfurth
71 and LaFerla, 2010). In addition, in AD as well as in other neurodegenerative diseases, a significant
72 fraction of the neurons in populations at risk for death display evidence of having re-entered a cell
73 cycle (Arendt, 2009; Busser et al., 1998; Lim and Qi, 2003; McShea et al., 1997; Nagy et al., 1997;
74 Nguyen et al., 2002; Smith and Lippa, 1995; Yang et al., 2001; Yang et al., 2003). This abortive
75 attempt to divide is believed to be lethal for adult neurons – in vivo and in vitro.

76 Ataxia-telangiectasia (A-T) is a rare autosomal genetic disease of childhood. The affected
77 gene encodes a large PI3 kinase family member known as ATM (ataxia-telangiectasia, mutated).
78 ATM is a key cellular protein involved in cell cycle checkpoint control during the repair of DNA
79 damage. Activated by double strand DNA breaks, the ATM kinase phosphorylates a number of
80 downstream targets involved in DNA damage repair, cell cycle arrest and apoptosis (Barlow et al.,
81 1997; Khanna, 2000; Lavin and Kozlov, 2007; Shiloh and Rotman, 1996). Deficiency in this DNA
82 damage response is often cited as one reason why individuals with A-T suffer a higher incidence
83 of cancer. Yet, because of the lethal consequences of ectopic expression of cell cycle markers in
84 neurons (Copani et al., 2001; Nagy, 2005; Park et al., 1997; Yang et al., 2001), it has also been
85 hypothesized that compromise of this cell cycle checkpoint function has independent relevance for
86 the neurodegeneration phenotype (Yang and Herrup, 2005). As example, cerebellar Purkinje cells
87 die in substantial numbers during the course of human A-T and their deaths are associated with

88 unscheduled cell cycle events (CCE). Tellingly, Purkinje cell CCEs are found in genetically
89 engineered *Atm*^{-/-} mouse models as well as in human A-T. The suggestion is that loss of ATM
90 protein, or its function, in vulnerable populations of neurons leads to a loss of cell cycle control,
91 and ultimately cell death.

92 Nothing about this model suggests that it applies only at childhood ages or only to one cell
93 type, the Purkinje cell. Indeed the evidence shows that suppression of the neuronal cell cycle is a
94 life-long requirement for the neurons of the normal adult brain. Thus, while genetic deficiency of
95 ATM leads to an early childhood neurodegenerative syndrome, if sporadic loss of ATM function
96 in individual neurons were to occur later in life, the resulting ATM deficiency might be an
97 unsuspected part of the mechanism leading to loss of neuronal cell cycle control and ultimately cell
98 death. We therefore tested the hypothesis that a neuronal ATM deficiency might be involved in the
99 neurodegeneration found in Alzheimer's disease. One challenge faced in exploring this idea is that
100 CCEs affect only a small fraction (~10%) of the neurons in either A-T or AD (Yang et al., 2003;
101 Yang and Herrup, 2005; Yang and Herrup, 2007). Therefore, we took advantage of recent findings
102 that ATM regulates the levels of the histone methyltransferase, EZH2 (enhancer of zeste homolog
103 2) (Li et al., 2013), as well as the cytoplasmic location of histone deacetylase 4 (HDAC4) (Li et al.,
104 2012). This idea had been tested previously for HDAC4 (Herrup et al., 2013) and had been shown
105 to be a practical approach. In the current study we both validate and extend these earlier findings.
106 We use three independent measures of ATM function and show that in multiple brain regions
107 affected during the course of AD a fraction of the constituent neurons show decreased ATM
108 protein and decreased ATM signaling. This same phenotype is found in the three separate AD
109 mouse models. We thus propose that loss of ATM function is a key part of the mechanism of
110 neuronal death found in Alzheimer's disease.

111 **Materials and Methods**

112 **Human Subjects**

113 Paraffin-embedded 10 µm brain sections were from the following sources with approval from the
114 appropriate local regulatory authorities. We examined 27 cases graciously provided by the
115 University of Pittsburgh Alzheimer's Disease Research Center (ADRC) brain bank with approval
116 from the Committee for Oversight of Research and Clinical Training Involving Decedents
117 (CORID). Each case had been diagnosed neuropathologically and ranked by Braak stage. Nine
118 individuals were Braak stage I-II (**N**one or **L**ow tau pathology – NL); nine were stage III-IV
119 (**M**oderate tau pathology – M); 9 were stage V-VI (**A**dvanced (AD-like) tau pathology – AD).
120 Basic information is shown in Table I. Additional frozen tissue was a generous gift of the ADRC
121 at Washington University in St. Louis (P50 AG05681) with approval from the Neuropathology
122 Core (protocol #T1016).

123

124 **Animals**

125 All animals were housed in the accredited animal facility of the authors' universities. All
126 procedures involving animals were approved by the respective local committees following the
127 guidelines from local authorities. In the writing of the manuscript, every effort has been made to
128 follow the ARRIVE guidelines (<http://www.nc3rs.org.uk/arrive-guidelines>).

129 **Alzheimer transgenic mice**

130 Three AD mouse models were used:

131 **R1.40** – B6.129-Tg (APP^{Sw}) 40Btla/J; C57BL/6J

132 **PS/APP** – B6.Cg-Tg(APP^{swe},PSEN1^{dE9})85Dbo/Mmjax C57BL/6J

133 **3xTg** – B6;129-PSEN1tm1MpmTg(APPSwe,tauP301L)1Lfa/Mmjax.

134 Colonies were obtained from The Jackson Laboratory (Bar Harbor, ME). Animals of either sex
135 were sacrificed at 12-14 months of age. C57BL/6J mice were used as age-matched controls.

136 **Atm-deficient mice**

137 A breeding colony of mice with a targeted disruption of the *Atm* gene (Barlow et al., 1996) was
138 obtained originally from The Jackson Laboratory (Bar Harbor, ME – 129S6/SvEvTac-*Atm*^{tm1Awb/J}).
139 The colony was maintained by intercrossing heterozygous *Atm*^{+/-} males and *Atm*^{+/-} females.
140 Genotyping was performed on extracted tail DNA using PCR techniques described previously
141 (Barlow et al., 1996). For this experiment, animals of either sex were sacrificed at 2-3 months of
142 age along with age-matched controls.

143 **Primary cortical neuronal culture**

144 Embryonic cortical neurons were isolated by standard procedures. E16.5 embryonic cerebral
145 cortices were treated with 0.25% Trypsin-EDTA (ThermoFisher Scientific, Waltham, MA USA)
146 and dissociated into single cells by gentle trituration. Cells were suspended in Neurobasal medium
147 supplemented with B27 and 2 mM GlutaMAX (ThermoFisher Scientific), then plated on
148 coverslips or dishes coated with poly-L-Lysine (0.05 mg/mL) (ThermoFisher Scientific). All
149 cultures were grown for a minimum of 14 days in vitro (DIV) before harvest. Genotyping was
150 performed after plating the neurons.

151 **Immunohistochemistry - paraffin**

152 Sections were deparaffinized in xylene and rehydrated through graded ethanols – 100%, 95%, 70%,
153 and 50% to water. After antigen retrieval with citrate buffer (pH = 6.0, 15 min, 95 °C),
154 endogenous peroxidase was quenched with 3% hydrogen peroxide for 10 min at room temperature.

155 Sections were then blocked by 10% serum, appropriate to the antibody used, and diluted in PBS
156 with 0.3% Triton-X100. After 1 hr, sections were incubated in primary antibody diluted in
157 blocking solution at 4 °C overnight. The following day, horseradish peroxidase (HRP) linked
158 secondary antibody and ABC reagent (Vector lab, Burlingame, CA, PK-6100 or PK-6102) were
159 prepared according to the manufacturer's instructions. After 1 hr incubation with secondary
160 antibody, slides were immersed in ABC solution for 1 hr at room temperature and visualized by
161 DAB (Vector lab, SK-4100) or VIP (Vector lab, SK-4600). After counterstaining with
162 Hematoxylin (Dako, S3309), slides were dehydrated in a series of ethanols, cleared in xylene, and
163 mounted with permanent mounting medium (Vector lab, H-5000).

164 For double labeling, TBS was used as wash buffer. After visualization of the first antigen with
165 DAB, samples were blocked for a second time, incubated in the second primary antibody at 4 °C
166 overnight followed by incubation with secondary antibody and ABC reagent. Vector blue (Vector
167 lab, SK-5300) or VIP was used for visualization. No counterstain was used on sections visualized
168 by Vector blue. Negative controls were stained by the same procedure but without primary
169 antibody. For alpha-synuclein detection, sections were immunostained with LB509 following 5
170 min pretreatment with protease XXIV (Sigma-Aldrich). Severity of alpha-synuclein pathology was
171 scored semi-quantitatively following consensus guidelines (McKeith et al., 2005).

172 [Tissue histology and immunohistochemistry – frozen sections](#)

173 Anesthetized mice were perfused with cold PBS, followed by 4% paraformaldehyde (PFA) in
174 0.1M PBS. After perfusion, the brains were dissected, immersed in 4% PFA at 4 °C overnight,
175 then cryoprotected in 30% sucrose at 4 °C overnight, followed by embedding in OCT. After
176 sectioning at 10 µm and air drying, samples were used immediately for immunohistochemistry or
177 stored at -80 °C. For immunolabeling, after rinsing in PBS, sections were immersed in pre-warmed

178 citrate buffer at 95°C for 10 min. Protein blocking was performed before overnight simultaneous
179 incubation with all primary antibodies. Fluorescent-secondary antibodies were added for 2 h at
180 room temperature before rinsing and counterstaining with DAPI. Sections were mounted with anti-
181 fading fluorescence medium (Vector lab, H-1000).

182 [EdU proliferation assay](#)

183 10 μ M 5-ethynyl-2'-deoxyuridine (EdU) was added to the cell culture for 24h for incorporation
184 into the genome of cells undergoing DNA replication. EdU labeling was performed according to
185 the manufacturer's protocol of Click-iT EdU cell proliferation assay kit (C10340, ThermoFisher
186 Scientific) as a tool to monitor cell cycle reentry in cortical neurons. After EdU labeling, the
187 samples were processed for immunofluorescence or DAPI labeling before mounting.

188 [Immunofluorescence](#)

189 Immunofluorescence was performed according to standard methods. Cells were blocked in 5%
190 donkey serum diluted in PBS containing 0.3% Triton X-100 for 1 hour at room temperature and
191 incubated with primary antibodies overnight. After rinsing in PBS, they were incubated for 1 hour
192 at room temperature with secondary antibodies. Cells were then rinsed in PBS and counter-stained
193 with DAPI for 3 minutes at room temperature. After rinsing, all coverslips were mounted with
194 anti-fading hard-set fluorescence medium (Vector lab, H-1400) on glass slides.

195 [Antibodies for histological studies](#)

196 The primary antibodies used: PCNA was from Cell Signaling Technology, (Danvers, MA, USA),
197 cyclin A was purchased from Santa Cruz Biotechnology (Dallas, Texas, USA), HDAC4, ATM2C1,
198 Ki67, cyclin A2 and H3K27me3, MAP2 were purchased from Abcam (Cambridge, MA, USA),
199 LB509 was from ThermoFisher Scientific and AT8 was purchased from Thermo Fisher Scientific

200 (Waltham, MA, USA). PHF1 was a generous gift from Dr. Peter Davies (Albert Einstein College
201 of Medicine).

202 Secondary antisera conjugated with fluorescent Alexa dye 488 and 647 and Cy3 were purchased
203 from ThermoFisher Scientific and Jackson ImmunoResearch (West Grove, PA, USA).

204 [Data collection and analysis](#)

205 Stained human sections were photographed on an Olympus DP 80 at a final magnification of 200
206 or 400x. For single antigen labeling, only cells whose pattern of hematoxylin staining identified
207 them as neurons were counted. Within the hippocampus, we identified the CA2 subfield according
208 to the arrangement and morphology of neurons. In each section analyzed, all neurons located in the
209 defined CA2 subfield were counted. For frontal cortex, we defined Layer III or Layer V by the size
210 and positioning of the neurons; in each layer, we chose 16 randomly distributed fields for counting.

211 In each section through the locus coeruleus, all large neurons (identified by both hematoxylin and
212 cytoplasmic neuromelanin) were counted. In sections through the cerebellar cortex, Purkinje cells
213 were identified within 4-5 separate folia. For each folium, we randomly chose 4 fields for analysis.

214 For stained mouse brain sections, both in frontal cortex and hippocampus, total MAP2 labeled
215 neurons within layers II to V that colocalized with markers of interest were counted at 20x. For
216 neuronal cultures, five fields were randomly chosen for quantification using a 20x objective on an
217 Olympus fluorescent microscope and the percentage of the positive cells with markers of interest
218 were counted and expressed as a fraction of the total number of MAP2-labeled neurons.

219 Unpaired two-tailed Student t-test (Prism, Graph Pad software, Version 5) was applied to
220 determine the differences between different groups. Samples where $p < 0.05$ were considered
221 statistically significant.

222 Western blots

223 Frozen frontal cortex and cerebellar tissues were from the Washington University of St. Louis
224 Alzheimer's Disease Research Center. Frozen tissues were weighed and homogenized (1:10, w/w)
225 in RIPA lysis buffer (ThermoFisher Scientific) with protease and phosphatase inhibitors (Roche,
226 Grenzacherstrasse, Basel, Switzerland). Lysates were then sonicated briefly on ice, centrifuged at 4
227 °C and supernatants were collected. Samples were diluted with 2x Laemmli Sample Buffer (Bio-
228 Rad), then denatured at 95 °C. Proteins were separated on 6% acrylamide SDS denatured gel and
229 transferred to nitrocellulose membrane (Bio-Rad). Membranes were blocked with TBST
230 containing 5% milk (Bio-Rad) and then incubated with 1 µg/ml ATM2C1 antibody (Abcam) in
231 blocking buffer at 4 °C overnight. After 1 hr incubation in HRP-conjugated secondary antibodies,
232 protein levels were visualized using SuperSignal West Femto kit (ThermoFisher Scientific). The
233 intensities of the bands were quantified by Image J and normalized to actin.

234 RT-PCR

235 Reverse-transcription reactions were performed according to standard procedures. Total RNA from
236 control and AD frozen frontal cortex was prepared using PureLink micro-to-midi total RNA
237 purification system (ThermoFisher Scientific). RT-PCR was performed with Superscript III one-
238 step RT-PCR system with platinum Taq High Fidelity (ThermoFisher Scientific). For human
239 samples, the mRNA level of tubulin was used as an internal control. The sequences of the primers
240 used was as follows:

241 for *Atm* exons 14-15
242 5' -ttacaaattcagaaactcttg-3' (sense)
243 5' -cttggtacagttgctcaagca-3' (antisense)
244
245 for *Atm* exons 34-41
246 5' -aggctggtggaagctgcttg-3' (sense)
247 5' -ctagtaatgggttgaacatc-3' (antisense)

248

249

for *Atm* exons 55-58

250

5' -gtggaccacacaggagaatat-3' (sense)

251

5' -aatagaagaagtagctacact-3' (antisense)

252

253

for *tubulin*

254

5' -tggagccgggaataactg-3' (sense)

255

5' -gcctcgtcctcgccctcctc-3' (antisense)

256

257

The RT-PCR reaction program was: 55 °C for 30 min and 94 °C for 3 min, followed by 35 cycles

258

of 94 °C for 30 s, 55 °C for 30 s, and 68 °C for 1-2 min with an extension at 68 °C for 10 min. The

259

PCR products were analyzed on 1.5% agarose gels stained with ethidium bromide.

260 **Results**261 **Partial ATM deficiency drives neuronal cell cycle reentry and epigenetic change**

262 Neuronal cell cycle reentry and HDAC4 nuclear translocation are two cellular events found in both
263 A-T patients and *Atm*^{-/-} mouse models (Hui and Herrup, 2015; Li J. et al., 2011; Li et al., 2013;
264 Yang and Herrup, 2005; Yang et al., 2014). Further, in both humans and mice, heterozygote
265 carriers display some symptoms of ATM deficiency. For example, carrier human lymphocytes
266 show increased lymphocyte radiosensitivity (Godyn et al., 2016; Ideno et al., 2012), and in
267 heterozygous *Atm*^{+/-} mice, cerebellar Purkinje cells show a loss of cell cycle control (Yang and
268 Herrup, 2005). If our hypothesized link between neuronal ATM deficiency and neurodegeneration
269 in AD is correct, then partial ATM deficiency might be sufficient to induce some amount of
270 neuronal damage. We tested this idea both in cultures of dissociated mouse cortical neurons as
271 well as in cryostat sections from heterozygous *Atm*^{+/-} mouse brain. In vitro, both HDAC4 nuclear
272 translocation and cell cycle re-entry (assessed by Ki67 immunostaining and EdU incorporation)
273 were increased in *Atm*^{+/-} neurons (Figure 1A-G). Similar results were obtained in vivo, where two
274 cell cycle markers, cyclin A and PCNA, were both significantly elevated in *Atm*^{+/-} cortex. HDAC4
275 nuclear translocation was also increased although the results were not statistically significant
276 (Figure 1H-N). Thus, by these measures, even partial ATM deficiency is enough to significantly
277 increase neuronal vulnerability. It should be noted that the reduction in ATM activity in these
278 heterozygous *Atm*^{+/*tm1Awb*} mice is predicted to be modest and certainly less than the 50% reduction
279 that would be expected with a true null allele. This is because *Atm*^{*tm1Awb*} is a hypomorphic allele
280 that leads to reduced levels of a truncated ATM protein that retains at least some kinase activity (Li
281 J. et al., 2011). The implication is that even modest reductions in neuronal ATM function are
282 enough to induce the phenotypes we use as markers for the loss of ATM activity.

283 [Neuronal ATM is reduced in mouse models of AD](#)

284 Based on these findings in mice with genetic ATM deficiency, we next tested mouse models of
285 Alzheimer's disease to determine whether our hypothesis for a reduction of ATM might be present.
286 We tested three different AD transgenic models. R1.40 mice carry a single (full length) APP
287 transgene; PS/APP mice carry an APP cDNA transgene plus a second presenilin-1 (PSEN1) cDNA
288 transgene; triple transgenic animals (3xTg) carry APP and PSEN1 cDNA transgenes plus an
289 additional MAPT gene. As R1.40 and PS/APP mice are on the same genetic background, we used
290 their wild type littermates as controls. Neuronal cell cycle events are found in many different AD
291 models (Li L. et al., 2011), which is consistent with our hypothesis. We next asked whether
292 indications of ATM deficiency might also be found.

293 As would be expected if ATM function were impaired, we found enhanced nuclear HDAC4
294 (HDAC4_N) in hippocampal pyramidal neurons of all three models (Figure 2B-D); the background
295 in the wild type mouse was quite low (Figure 2A). Evidence for reduced ATM also extended to the
296 frontal cortex, and quantification of the percentage of HDAC4_N neurons in the two areas (Figure
297 2E) confirmed that in all three AD models there was clear evidence for a loss of neuronal ATM
298 level. The weakest signal came from the R1.40 mouse, but this is also the transgenic line in which
299 the pathology is slowest to develop (Lamb et al., 1999). Together, these data from the mouse
300 support the hypothesis that neurons subjected to the chemistry of the AD brain display phenotypes
301 that suggest loss of ATM function.

302 [Nuclear accumulation of HDAC4 found in human AD](#)

303 To extend these results to human AD, we examined 27 well-characterized autopsy cases (Table
304 1). We double-immunostained sections from midfrontal gyrus (FC – Brodmann Area 8/9) with
305 HDAC4 and ATM antisera. The ATM antibody used was 2C1(A1), which recognizes a stretch of

306 amino acids in the vicinity of the ATM kinase domain (amino acids 2577-3056). In individuals
307 without clinical or pathological signs of AD (Figure 3A), a strong neuronal 2C1 signal was found,
308 almost exclusively in cytoplasm. HDAC4 staining in the same population was weak, and also
309 predominantly cytoplasmic. The situation in the AD brain was substantially different (Figure 3B).
310 The strength of the ATM signal dropped dramatically in some cells, and in these we found nuclear
311 HDAC4 (HDAC_{4N}) was increased. The HDAC_N effect was most prominent in cells with the
312 lowest levels of ATM staining.

313 We next turned to hippocampus where the pyramidal neurons of the CA field had the same
314 pattern of staining – HDAC_{4N} was found almost exclusively in cells with reduced ATM (data not
315 shown). We examined three Alzheimer's disease stages: cases with little or no evidence of disease
316 as well as others who died with mild or advanced AD. At all stages we found significant levels of
317 HDAC4 immunoreactivity in hippocampal pyramidal cells (Figure 3C, E and G). In most cells this
318 staining was located in the neuronal cytoplasm (Figure 3D, F, H; green arrows, insets). In some
319 neurons, however, as seen in the insets in Figures 3F, H (red arrows) HDAC4 was found in the
320 nucleus. In contrast to the wide distribution of neurons with cytoplasmic HDAC4 (HDAC_{4C}), most
321 HDAC_{4N} neurons were located in the CA2 subfield (Figure 3E-H). The CA3 and CA4 subfields
322 contained a small number of HDAC_{4N} neurons; very few were seen in CA1. Importantly, the
323 percentage of HDAC_{4N} neurons increased significantly in M individuals with intermediate Braak
324 scores (Stages III-IV – $p < 0.001$ compared to Stages I-II[NL]) and was higher still in AD
325 individuals with advanced Braak scores (Stages V-VI – $p < 0.01$ compared to M and $p < 0.001$
326 compared to NL) (Figure 3I). Although clinical severity is closely correlated with Braak
327 neuropathology, we nonetheless re-tabulated our results based on the most recently available
328 clinical dementia rating (CDR) score for the subjects examined (Figure 3J). The results were

329 qualitatively similar although the variance of the scores was larger when the CDR was used as the
330 discriminator. Taken together, these data are strong evidence that the nuclear translocation of
331 HDAC4 in hippocampal pyramidal cells is an early event in the onset of dementia of the
332 Alzheimer's type.

333 [Regional differences in the extent of nuclear accumulation of HDAC4 in AD](#)

334 Alzheimer's disease affects neuronal populations in addition to those in the hippocampal formation.
335 These include cells in entorhinal and frontal cortex (Arnold et al., 1991; Coyle et al., 1983;
336 DeKosky and Scheff, 1990; Schroder et al., 1991), as well as the large melanin-containing neurons
337 of the locus coeruleus (Zweig et al., 1988; Zweig et al., 1989). The robust association of disease
338 with decreased ATM in hippocampal pyramidal cells led us to ask whether these other brain
339 regions showed a similar correlation. Neurons in the entorhinal cortex proved impossible to
340 analyze as they showed a very low baseline level of total HDAC4 immunoreactivity. Therefore,
341 we turned to material from the locus coeruleus (LC) and the midfrontal gyrus (FC) collected from
342 the same individuals used for the hippocampal study. Cerebellar cortex was also examined. In each
343 area we quantified, the number of HDAC4_N neurons increased with disease stage, along with a
344 decrease in the overall neuronal density (data not shown).

345 [Frontal cortex](#)

346 Unlike the entorhinal cortex, total HDAC4 staining was abundant throughout frontal cortex.
347 Indeed, in our elderly subjects, the baseline levels of HDAC4_N were several-fold higher (Figure
348 4A-C), particularly in Layer III (L3). Compared to NL, however, we found a significant increase in
349 HDAC4_N neurons in Layer III of individuals with AD (Figure 4G). This situation is reminiscent of
350 the results in mouse described above where measurable background levels of HDAC4_N were found
351 in wild type animals even while they were further elevated in *Atm*^{-/-} (Figure 1H). In human FC, the

352 effect was restricted to Layer III; other cortical layers (e.g., Layer V) showed little change. This
353 suggests that in AD frontal cortex the loss of ATM occurs late in the disease process, and is
354 consistent with the absence of substantial neuronal cell death in this region at early disease stages.
355 Indeed, tau pathology does not develop in frontal cortex until late Braak stages.

356 Locus coeruleus

357 Locus coeruleus (LC) neurons are easily identified based on their location in the dorsal
358 brainstem, their large size and the presence of melanin pigment in their cytoplasm (Figure 4D-F).
359 Consistent with the suggestion that the LC is an early target of AD pathogenesis (Braak and Del
360 Tredici, 2011), we found a dramatic stage-specific progression in the percentage of LC neurons
361 that were HDAC4_N (Figure 4H). At baseline in NL subjects, HDAC4_N neurons accounted for 2-3%
362 of the total, but in Braak stages III-IV, the percentage jumped nearly 5-fold ($p < 0.01$). In Braak
363 V-VI brains, the percentage doubled again ($p < 0.001$) such that fully one-third of the remaining
364 LC neurons were HDAC4_N. Thus, as in the CA fields of hippocampus, the HDAC4_N percentage
365 increased in a tight relation with disease stage, but the effect in the LC is considerably more
366 dramatic. In individuals with AD, the percentage of HDAC4_N in LC ($31.8\% \pm 4.0$; $n = 8$) was
367 three times that in the CA2 subfield of the same individuals ($7.6\% \pm 0.7$; $n = 9$). This was so even
368 though the two regions were nearly comparable in the subjects with low Braak scores. These
369 findings underscore the tremendous vulnerability of the LC to the changes that take place during
370 the pathogenesis of AD and extend to a third brain region the correlation between
371 neurodegeneration and evidence for a loss of ATM function.

372 Cerebellum

373 The cerebellar cortex is a region of the brain that is largely spared in AD. Diffuse plaques
374 appear (Joachim et al., 1989; Yamaguchi et al., 1989), but there is little or no tau pathology and no

375 apparent cell loss (Wegiel et al., 1999; Wolf et al., 1999). To determine whether there might be
376 hidden vulnerability in the form of ATM deficiency, we immunostained cerebellar sections from
377 the same 27 subjects for HDAC4 protein (Figure 4I). We found an unexpectedly high background
378 of HDAC4_N in the Purkinje cells of individuals with low Braak scores (NL) – nearly twice the
379 percentage of HDAC4_N of the Layer III neurons from the same subjects (Figure 4J, compare with
380 Figure 4G). The reasons for this background are unknown, however, advancing disease led to
381 little change in its extent. Indeed, if there were any change it was in the downward direction. Thus,
382 in regions of the AD brain where cell death is less prominent, evidence for a decrease in ATM
383 function is lacking, suggesting a specificity to the effect and correlating with the regional variation
384 found during the progression of AD.

385 To compare the changes in HDAC4 localization across the different brain regions, we
386 normalized the M and AD cases to the NL values for that region. The results (Figure 4K)
387 emphasize the early and dramatic rise in the impact of AD on the LC and area CA2 of
388 hippocampus, when viewed from the perspective of ATM loss. We also found that, with only a
389 few exceptions, the same trend of HDAC4_N involvement was found in each individual. In
390 hippocampus, the distribution of points was tight in each group, rising with disease stage. In most
391 NL samples all three regions showed low HDAC4_N fractions with a slight increase already
392 apparent in the LC. For both M and AD, however, the pattern in most individuals was CA2 < L3 <
393 LC.

394 [ATM protein and message are significantly reduced in AD patients](#)

395 To determine whether the loss of ATM in select cells of the AD brain was the reflection of a more
396 global loss of ATM protein, we used lysates of human frontal cortex and cerebellum to measure
397 ATM protein and mRNA. Western blots were probed with 2C1(A1) antibody. Compared to NL

398 subjects, we found the level of ATM protein in AD individuals was lower in the FC (Figure 5A)
399 but higher in the cerebellum (Figure 5B). The decrease in cortex was also seen at the level of ATM
400 message as determined by RT-PCR with primers that amplify the sequences spanning exons in
401 three different regions of the ATM mRNA. Analysis by RT-PCR showed less ATM message in
402 AD FC (Figure 5C) compared to that in individuals with Braak I/II. Curiously, the change in
403 cerebellar message was distinctly different depending on which region of the message was assayed
404 (Figure 5D). As with the protein data, however (Figure 5B), and consistent with the HDAC4_N
405 percentage, the trend was towards increased ATM presence in cerebellum as AD progresses. For
406 frontal cortex, these data validate the immunocytochemistry (Figure 3A-B) and suggest that the
407 HDAC4_N findings are part of a larger picture of decreased ATM during the advance of AD that is
408 regionally variable.

409 Correlation of multiple indices of reduced ATM level

410 Histone methylation

411 The data thus far rely solely on the appearance of HDAC4_N (Li et al., 2012) to suggest that the
412 levels of ATM function are reduced along with the levels of protein. We therefore sought a second
413 independent way of demonstrating the loss of ATM from at-risk neurons during the course of AD.
414 Enhancer of zeste homologue 2 (EZH2) is a histone methyltransferase that adds three methyl
415 groups to lysine 27 of histone H3 (H3K27me3). Phosphorylation of EZH2 by ATM marks it for
416 degradation, thus keeping its levels low in normal cells (Li et al., 2013). In the absence of ATM,
417 however, non-phosphorylated EZH2 accumulates and the levels of H3K27me3 increase (Li et al.,
418 2013). We immunostained adjacent hippocampal sections from the cases shown in Figure 3 for
419 H3K27me3 (Figure 6A-C). As with HDAC4_N staining, the most prominent H3K27me3 was found
420 in the pyramidal cells of the CA2 region, and its levels were well-correlated with the Braak stage

421 pathology (Figure 6D). Thus a second, unrelated measure leads to the same conclusion: in
422 vulnerable neuronal populations of the AD brain, ATM level is reduced such that the normal
423 epigenetic landscape is changed; HDAC4 is ectopically located in the nucleus (where it
424 deacetylates histone H3 and H4 (Li et al., 2012)) and H3K27me3 levels are abnormally high.
425 The appearance of H3K27me3 and HDAC4_N were both relatively rare events. Even in AD brains
426 less than 10% of the CA2 neurons were HDAC4_N and less than 15% were immunopositive for
427 H3K27me3. Since we presume that both events are caused by a reduction in ATM level, it follows
428 that the two events should be occurring in the same cell. To test this, we double immunostained
429 cells from AD hippocampus for both HDAC4 and H3K27me3 (Figure 6E, E1-3). The results were
430 clear: of the total HDAC4_N cells, over 2/3 were also H3K27me3-positive (Figure 6F-G). The
431 reverse was also true: of the total H3K27me3-positive neurons, over two-thirds were also
432 HDAC4_N. Given the relatively rare occurrence of either marker, the odds of both appearing in the
433 same cell by chance are small (1 - 2%). Thus two independent markers point to a subpopulation of
434 cells in the AD brain that suffer from a serious deficiency of ATM function.

435 [Neuronal cell cycle markers as indices of cell stress](#)

436 A third neuronal phenotype that is found as a consequence of reduced ATM level is the appearance
437 of ectopic cell cycle events (Yang and Herrup, 2005; Yang et al., 2014). Although this marker has
438 been extensively studied in AD brain, we wished to know whether it too occurred in the cells
439 marked by HDAC4 and H3K27me3. We immunostained our NL (Figure 7A), M (Figure 7B) and
440 AD (Figure 7C) cases for the cell cycle protein marker, cyclin A. As reported previously (Busser et
441 al., 1998; Yang et al., 2006), this marker is elevated in a disease-specific manner during the
442 progression of AD and, like other cyclins, can appear in either the nucleus or, more infrequently,
443 the cytoplasm. Using this marker, we confirmed that cell cycle events were present in the

444 hippocampus, especially in the CA2 subfield, of AD individuals (Figure 7C). In NL brains we
445 found low levels of neuronal cyclin A immunostaining; and, as reported earlier (Yang et al., 2003),
446 early stages of dementia (Braak III/IV) already show elevated neuronal cell cycle activity (cyclin
447 A staining). We performed separate counts of neurons that were stained with cyclin A in the
448 nucleus. This analysis revealed the same trend as total cyclin A (Figure 7D). Double
449 immunostaining with HDAC4 and cyclin A (Figure 7E) revealed once again, that nearly two-thirds
450 of the cells that were HDAC4_N were also positive for cyclin A (Figure 7F); lower than 5% overlap
451 would be expected based on chance alone. Thus a third independent marker of reduced ATM level
452 is found in the same sub-population of neurons. The combined evidence strongly points to a
453 significant loss of ATM level during the progression of Alzheimer's disease. One curious
454 additional feature of these findings deserves note: although the number of cyclin A-positive
455 neurons was tightly correlated with disease stage, we found that of the total cyclin A positive
456 neurons, only 25% were also positive for HDAC4_N (Figure 7G). This correlation is still well
457 above chance, but quite different from the strong reciprocal relationship seen with HDAC4_N and
458 H3K27me3.

459 **No correlation between loss of ATM and phospho-tau**

460 ATM loss in multiple brain regions is tightly correlated with the neuropathologically diagnosed
461 Braak stage, which relies heavily on the distribution of tau pathology (Braak and Braak, 1991). We
462 therefore double labeled sections with HDAC4 and tau immunostaining to determine whether the
463 neurons with loss of ATM also showed signs of tau pathology. We were surprised to find no
464 overlap between intracellular phospho-tau and HDAC4_N (or any other ATM marker) in either
465 hippocampus (Figure 8A, A1-2) or in frontal cortex (Figure 8B, B1-2). Hyperphosphorylated tau
466 was monitored by either PHF1 or AT8, and while many neurons in CA1, subiculum, entorhinal

467 cortex and the deeper layers of FC showed robust tau pathology, few of these neurons were
468 HDAC4_N. We found it noteworthy that in the regions such as the CA2 subfield of hippocampus
469 and Layer III of FC, where the density of HDAC4_N was the highest, the hyperphosphorylated tau
470 signal was weak.

471 We also scored the same cases for alpha-synuclein and Lewy body pathology. Such deposits are
472 abundant in Parkinson's disease and in Dementia with Lewy Bodies but are occasionally found in
473 AD cases as well. As with the ATM markers we used, alpha-synuclein immunoreactivity was high
474 in the CA2 region in the same set of cases. However, when we expressed either HDAC4_N or
475 H3K27me3 as a function of increasing alpha-synuclein involvement (Figure 8C-E), we found no
476 significant relationship.

477 **Discussion**

478 Ataxia-telangiectasia is caused by the genetic deficiency of ATM and results in childhood
479 disability and early death. Most Alzheimer's disease is sporadic, cannot be ascribed to the
480 malfunction of a single gene and is rarely detected before the age of 65. Although the two diseases
481 strike opposite ends of the human life span, the results presented here suggest that they are related
482 through their patterns of neuronal cell loss. In both conditions, neuronal cell death is highly
483 correlated with a loss ATM function on a cell-by-cell basis. In the brains of individuals with
484 Alzheimer's disease, we have used four independent methods to document low ATM function:
485 reduction in ATM immunostaining intensity, nuclear translocation of HDAC4, elevation of
486 trimethylation on lysine 27 of histone H3 (H3K27me3) and the appearance of cell cycle proteins,
487 specifically cyclin A2. We find that all four measures support the conclusion that neurons at-risk
488 for death in AD undergo a loss of ATM signaling. The high degree of overlap among the markers
489 (Figures 5 and 6) implies that in any one cell, when it occurs, the failure of ATM signaling affects
490 many if not most of its cellular functions. Based on these data, we propose that a loss of ATM
491 signaling is a key part of the neurodegeneration mechanism during AD pathogenesis.

492 The experimental groundwork for this suggestion comes from both in vitro and in vivo
493 observations of mouse neurons subjected to the partial ATM reduction achieved by *Atm*
494 heterozygosity. Cultured *Atm*^{+/-} cortical neurons as well as neurons in the brains of *Atm*^{+/-} mice
495 demonstrate cellular abnormalities similar to those found in the AD brain – increased HDAC4_N,
496 and increased cell cycle activity. A second experimental basis for the A-T/AD linkage comes from
497 our findings in three different AD mouse models. In all three we found evidence for reduced ATM
498 function. This is of more than passing significance, as many neuronal phenotypes (e.g.,
499 neurofibrillary tangles and neuronal cell death) are not captured in the existing Alzheimer's models.

500 The cellular phenotypes suggesting ATM deficiency in the AD models provides support for the
501 concept that the altered chemistry of the AD brain produces, as one of its early consequences, a
502 regionally variable loss of ATM function. The precise molecular linkage between the Alzheimer's
503 abnormalities and the loss of ATM remains unknown.

504 In neurons of the human AD brain, we find that the loss of ATM is highly correlated with neuronal
505 cell death on a cell-by-cell basis. Indeed, the high degree of overlap among the markers (Figures 5
506 and 6) implies that in any one cell, when it occurs, the failure of ATM is felt across all domains of
507 its function. The overlap in the markers also supports the conclusion that the changes that we see
508 are not random events in unrelated groups of scattered cells, but rather concerted failures of ATM
509 signaling in a subset of cells. That said, the implications of the one-third of the cell population in
510 which the overlap of the markers is not found is worth considering. The explanation for the single-
511 labeled cells is no doubt partly technical as immunocytochemistry is an imperfect technique.

512 While this explanation may apply to some cells, the reciprocal nature of the incomplete overlap
513 suggests that ATM is at the headwaters of numerous cellular processes all of which are needed for
514 full neuronal health. Thus, rather than serving as the first step in a single linear pathway, ATM is
515 more like the main gas line to a four-burner gas stove top. As the gas supply (ATM level)
516 diminishes, which of the burners goes out first cannot be predicted; but when the first one goes out,
517 a second will likely follow soon. The value in this analogy is that it emphasizes that while each
518 burner is independent, it is fed by a single source of fuel. Hints that this model is correct can be
519 found in the lack of overlap between the genes identified by Li et al (Li et al., 2012; Li et al., 2013)
520 in their ChIPseq analyses of genes whose histone acetylation code is changed after HDAC4
521 nuclear localization compared with those whose histone methylation code is changed after EZH2

522 stabilization. Both are ATM dependent events, yet the ensemble of genes affected are
523 substantially different – two burners fed by the same gas line.

524 From the perspective of AD neuropathology, it is unexpected that the CA2 subfield of the
525 hippocampus would demonstrate the most dramatic loss of ATM, as this area is not normally
526 viewed as a focus of AD pathogenesis. CA2 neurons have a distinct biochemical identity
527 (Hanseeuw et al., 2011; Ochiishi et al., 1999; Young et al., 2006), and recent evidence suggests a
528 role in synaptic function (Caruana et al., 2012) and social memory (Hitti and Siegelbaum, 2014;
529 Mankin et al., 2015). Intriguingly, it has been reported that the neurons in this region are connected
530 in a reciprocal relationship with CA1 distinct from that with CA3 (Chevaleyre and Siegelbaum,
531 2010). The suggestion from this relationship is that the CA2 subfield may be the first to suffer
532 from ATM deficiency but it then exerts an indirect effect on the other CA subfields, contributing to
533 the pathogenesis of AD.

534 Our study compared four distinct brain regions in every subject. This more holistic approach
535 reveals several important features of ATM-induced neuronal malfunction. The tight correlation
536 between evidence of ATM reduction and disease state supports the proposition that this reduction
537 is an important feature of the neurodegeneration in AD. The additional finding that the locus
538 coeruleus is heavily involved is significant in several respects. This brainstem nucleus is heavily
539 damaged during the course of AD (Zweig et al., 1989) and, it is affected in different mouse models
540 of AD using cell cycle events as a biomarker for neuronal distress (Li L. et al., 2011). Based on the
541 appearance of hyperphosphorylated isoforms of tau, it has been speculated (Braak and Del Tredici,
542 2011) that the damage here begins early. The current study shows that during AD progression, the
543 increase in HDAC_{4N} in this region is substantial. The LC of the individuals with little or no AD
544 pathology in our study also had very low levels of HDAC_N (2-3%). This observation could simply

545 mean that the events leading to abnormal tau phosphorylation (the basis of the Braak score)
546 precede those that lead to reduced ATM function, but it is also consistent with the conclusion that
547 the earliest tau-related changes mark a parallel cell death pathway of the disease process. This
548 latter alternative fits well with the observed lack of overlap between the level tau pathology (AT8
549 staining) and the HDAC4_N phenotype – either in hippocampus or in frontal cortex (Figure 6). We
550 did not quantify this relationship in all cases, but in those cases we examined it seemed that only a
551 small minority of the cells with tau pathology also were HDAC4_N. Also of interest is the finding
552 that the loss of ATM function does not correlate with the degree of alpha-synuclein involvement, a
553 neuropathology most commonly associated with Parkinson's disease, but often found in AD brains
554 as well. The HDAC4_N and H3K27me3 phenotypes were largely unrelated to the extent of the
555 alpha-synuclein deposits. The suggestion of this finding is that the loss of ATM signaling may be
556 an AD specific phenomenon.

557 At first, the idea that the protein responsible for causing ataxia-telangiectasia A-T is also involved
558 in AD pathogenesis seems improbable. The two diseases themselves could not be more different.
559 AD is a common late-onset dementia that primarily affects the neurons of the neo- and archicortex,
560 along with several sub-cortical structures. A-T is a rare early childhood movement disorder that
561 primarily targets the neurons of the cerebellar cortex. Yet the fact that a genetic deficiency of ATM
562 significantly shortens lifespan means that any individual with A-T will never get old enough to
563 show even the first symptoms of AD. This recalls the evolutionary concept of 'antagonistic
564 pleiotropy' (Williams, 1957), a hypothesis proposed to explain the failure of evolution to select
565 against the process of aging (senescence). The idea is that any genetic change that produces "a
566 greater advantage in increasing youthful vigor [even] at the price of vigor later on" cannot be
567 selected against and indeed would likely be selected for. This means that any gene or genes that

568 led to a reduction of ATM late in life (Figure 5) would be selected for so long as it contributed to
569 'vigor' in the early years of life. This selection would occur even though the same gene might lead
570 to Alzheimer's later in life.

571 The suggestion that emerges from this new perspective is that enhancing the level of ATM,
572 especially in brain, would be worth exploring as a novel therapeutic approach to AD. Although
573 boosting enzyme function is normally a more difficult strategy, agents such as chloroquine have
574 been found to increase ATM (Schneider et al., 2006) without induction of DNA damage.
575 Chloroquine has been tested in traumatic brain injury (Cui et al., 2015) and in AD models (Deng et
576 al., 2015). Such studies tend to attribute the effects of the drug to its impact on autophagy and
577 lysosomal function. Yet it would be difficult to exclude that the effect was more directly linked to
578 ATM. Taken together, our findings represent a fresh and unexpected view into the cell biological
579 mechanisms that contribute to the neurodegenerative events of AD and offer new and potentially
580 valuable inroads into disease prevention or therapy.

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719 **Figure legends**720 **Figure 1. Partial ATM deficiency is sufficient to induce neuronal cell cycling and nuclear**721 **HDAC4 translocation in frontal cortex.**

722 Dissociated neurons from E16.5 mouse cortex show the impact of the partial genetic reduction in
723 ATM. **(A-C)** Cultures of wild type neurons show low levels of HDAC4_N **(A)**, Ki67 **(B)** or EdU
724 incorporation **(C)**. **(D-F)** Parallel cultures *Atm*^{+/-} neurons, by contrast, have enhanced HDAC4_N
725 **(D)**, Ki67 **(E)** and EdU incorporation **(F)**. **(G)** Quantification of the results in panels A-F.
726 Immunostained sections of *Atm*^{+/-} mouse cortex show a similar pattern. **(I-K)** Sections of wild type
727 cortex show little evidence for cyclin A **(I)**, PCNA **(J)** or HDAC4_N **(K)** immunostaining. **(L-N)**
728 Immunostained sections of *Atm*^{+/-} cortex, by contrast have enhanced cyclin A **(L)**, PCNA **(M)** and
729 HDAC4_N **(N)** immunostaining. **(H)** Quantification of the results in panels I-K. Scale bar = 50μm.
730 Difference by t-test: * p < 0.05; ** p < 0.01 (n = 3-4).

731 **Figure 2. Neuronal ATM level is reduced in mouse models of AD.**

732 Sagittal brain sections from three AD mouse models and wild type controls (age 13-14 months)
733 were double immunostained for HDAC4 (red) and Map2 (green). Scale bar: 50 μm. **A)** Wild type
734 mice (Wt); **B)** R1.40 APP transgenic; **C)** PS/APP double transgenic and **D)** 3xTg triple transgenic
735 mouse. **E)** Quantification of the percentage of neurons with HDAC4_N found in the frontal cortex
736 and hippocampus of each model examined. Difference by t-test: * p < 0.05; ** p < 0.01; *** p <
737 0.001 (n = 3-4).

738 **Figure 3. HDAC4_N increases with Braak stage in CA2 hippocampal pyramidal cells.**

739 **A-B)** Representative paraffin sections double labeled with ATM 2C1 (brown) and HDAC4 (blue).
740 Signals of ATM 2C1 were strong in neurons of NL **(A)** but not of AD **(B)** frontal cortex. HDAC4

741 was found predominantly in cytoplasm in NL brains, but took up a nuclear location in AD brains
742 in neurons with weak ATM 2C1 signal. Scale bar: 20 μ m.

743 **C-H**) Paraffin sections of human hippocampus were immunostained with HDAC4 (brown) and
744 counterstained with hematoxylin (blue/purple). **C, E and G**) low magnification images showing
745 the frequency of HDAC4 in the CA2 subfield (marked by rectangles). Scale bar: 200 μ m. **D, F**
746 **and H**) higher magnification images showing cells with the HDAC4_N phenotype (arrows). Scale
747 bar: 50 μ m. Different Braak stages are represented. **C and D** are from NL (Braak stages 0-2); **E**
748 **and F** are from M (Braak stages 3-4); **G and H** are from AD (Braak stages 5-6). Inserts in the
749 upper right corner of **(D, F and H)** offer enlargements of representative neurons showing the
750 different phenotypes. Green arrows: HDAC4_C; red arrows: HDAC4_N. **G**) HDAC4_N percentage in
751 CA2 neurons as a function of Braak stages. By unpaired t-test: * $p < 0.05$; ** $p < 0.01$; *** $p <$
752 0.001 ($n = 8-9$). **H**) HDAC4_N percentage as a function of CDR scores. CDR0: $n = 9$; CDR1: $n = 3$;
753 CDR2 to 3: $n = 10$. By unpaired t-test, ns > 0.05 ; *** $p < 0.001$.

754 [Figure 4. HDAC4_N tracks disease severity in multiple brain regions.](#)

755 **A-C**) Layer III of frontal cortex stained for HDAC4. **A**) No or little cognitive impairment, NL; **B**)
756 Mild impairment, M and **C**) Advanced dementia, AD. **D-F**) Locus coeruleus immunostained for
757 HDAC4 (purple) also show endogenous melanin (brown). **D**) NL; **E**) M; **F**) AD. Inserts in the
758 upper right corner of **(A-F)** are enlargements of representative neurons showing the features scored.
759 **G**) (Abbreviations as above). **H**) The percentage of total neurons in Layers III and V that are
760 HDAC4_N ranked by disease stage. P-value for differences indicated assessed by Student's t-test: *
761 $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$ ($n = 8-9$). **I**) Cerebellar Purkinje cells were stained by
762 HDAC4 (brown) in NL and AD. Insets represent enlargements of single Purkinje cells with
763 HDAC4_N. **J**) Percentage of HDAC4_N demonstrates no difference between the cerebellum of NL

764 and AD, but HDAC4_N tends to be less frequent in cerebellum of M individuals. Difference by t-
765 test: n = 8-9. * < 0.05. Scale bar: 50 μm. **K**) Percentage change of HDAC4_N compared to NL
766 group were calculated for three brain regions: the CA2 region of hippocampus (CA2), Layer III of
767 frontal cortex (L3) and locus coeruleus (LC). Difference by t-test: * p < 0.05; ** p < 0.01 (n = 8-9).

768 [Figure 5. ATM protein and mRNA are reduced in the frontal cortex of AD subjects.](#)

769 Frozen lysates of human frontal cortex and cerebellum from the ADRC at Washington University
770 in St. Louis were analyzed for ATM protein level by Western Blot and mRNA level by qPCR.
771 Four subjects were included in each group (control or AD). Subjects less than or equal to Braak
772 stage II were considered as controls. **A**) Quantification of ATM protein level normalized to Actin
773 level. Difference by t-test: difference between groups for the same region* p < 0.05; difference
774 between regions for the same group ### p < 0.001 (n = 3-4) **B**) ATM mRNA level of in human
775 brain visualized by RT-PCR. The levels of tubulin message served as a control. **C**) Comparison of
776 mRNA level in frontal cortex of AD versus control; values are normalized to tubulin (n = 4). **D**)
777 Comparison of mRNA level in cerebellum of AD versus control; values are normalized to tubulin
778 (n = 4).

779 [Figure 6. Hippocampal level of H3K27me3 and its relationship to HDAC4_N.](#)

780 The same set of cases grouped by Braak stage stained with H3K27me3. **A-C**) Representative
781 pictures from the CA2 subfield of NL (**A**), M (**B**) and AD (**C**) cases. Scale bar: 50 μm. **D**)
782 Quantification of the data from **A-C** showing the neurons with H3K27me3 positive signal as a
783 percentage of total pyramidal neurons. Difference by unpaired t-test: * p < 0.05 (n = 8-9). **E**)
784 Section from an AD brain double immunostained with H3K27me3 (brown) and HDAC4 (blue);
785 scale bar: 20 μm. **E1-3**) show the different phenotypes of staining scored. **E1**) H3K27me3-
786 positive, HDAC4_C; **E2**) HDAC4_N only; **E3**) H3K27me3 plus HDAC4_N. **F-G**) Quantification of

787 HDAC4_N/H3K27me3 double labeling. **F)** Considering the entire population of HDAC4_N neurons
788 the histogram shows the percentage that were H3K27me3-positive and negative were scored. **G)**
789 Considering only the population of H3K27me3-positive neurons, the histogram shows the
790 percentage that were HDAC4_N and HDAC4_C.

791 [Figure 7. The correlation of cell cycle marker with HDAC4_N.](#)

792 The same set of cases grouped by Braak stage stained with cyclin A2 (brown) as a representative
793 marker of ectopic neuronal cell cycle activity. **A-C)** Representative pictures from the CA2 subfield
794 of NL (**A**), M (**B**) and AD (**C**) cases. Note that most cyclin A2 was located in the cytoplasm. Scale
795 bar: 50 μ m. **D)** Quantification of the data from **A-C** showing the neurons with H3K27me3
796 positive signal as a percentage of total pyramidal neurons. Difference by unpaired t-test: * $p < 0.05$;
797 ** $p < 0.01$; *** $p < 0.001$ ($n = 8-9$). **E)** Section from an AD brain double immunostained with
798 cyclin A2 (brown) and HDAC4 (blue); scale bar: 20 μ m. **E1-3)** show the different phenotypes of
799 staining scored. **E1)** the neuron on the left is HDAC4_N only while the one on the right is both
800 HDAC4_N and cyclin A2-positive (in cytoplasm); **E2)** cyclin A2 only; **E3)** cyclin A2 plus HDAC4_N.
801 **F-G)** Quantification of HDAC4_N/cyclin A2 double labeling. **F)** Considering only the population of
802 HDAC4_N neurons the percentage that were cyclin A2-positive and negative were scored. **G)**
803 Considering only the population of Cyclin A2-positive neurons, the histogram represents the
804 percentage that were HDAC4_N and HDAC4_C.

805 [Figure 8. Correlation with other neurodegenerative markers.](#)

806 **A-B)** Correlation of HDAC4_N with tau pathology. **A)** Representative image of HDAC4 and AT8
807 (phosphotau) double labeling in the hippocampus of an AD subject. Insets illustrate the various
808 phenotypes observed **A1)** HDAC4_N positive neuron; **A2)** AT8 positive neuron. **B)** Representative
809 image from the frontal cortex of an AD subject. Insets illustrate the different staining patterns

810 observed. **B1)** HDAC4_N positive neuron; **B2)** AT8 positive neuron. Scale bar: 50 μm. **C-E)**
811 Correlation of HDAC4_N and H3K27me3 with alpha-synuclein pathology. Subjects were scored on
812 a semi-quantitative scale for their involvement of alpha-synuclein pathology. Little correlation
813 was found against this metric of disease severity. **C)** Percentage of HDAC4_N neurons in CA2
814 subfield. **D)** Percentage of HDAC4_N (in locus coeruleus) as a function of alpha-synuclein scores
815 in pons. **E)** percentage of H3K27me3 neurons in the CA2 subfield as a function of alpha-synuclein
816 scores.

817 **Table I. Braak Stage-grouping and age distribution of cases enrolled in IHC experiment**

818	Group	Braak stages	Gender	Age (yrs) (mean \pm SEM)
819	NL	I-II	8M/1F	78 \pm 3
820	M	III-IV	8M/1F	85 \pm 2
821	AD	V-VI	5M/4F	81 \pm 1.5

822 **Statistic table**

	Data structure	Type of test	Power
a (Figure 1G)	Normally distributed	Unpaired t test	1.00
b (Figure 1G)	Normally distributed	Unpaired t test	0.97
c (Figure 1G)	Normally distributed	Unpaired t test	1.00
d (Figure 1H)	Normally distributed	Unpaired t test	0.70
e (Figure 1H)	Normally distributed	Unpaired t test	0.96
f (Figure 2E)	Normally distributed	Unpaired t test	0.36
g (Figure 2E)	Normally distributed	Unpaired t test	1.00
h (Figure 2E)	Normally distributed	Unpaired t test	0.93
i (Figure 2E)	Normally distributed	Unpaired t test	0.49
j (Figure 2E)	Normally distributed	Unpaired t test	0.60
k (Figure 3G)	Normally distributed	Unpaired t test	1.00
l (Figure 3G)	Normally distributed	Unpaired t test	1.00
m (Figure 3G)	Normally distributed	Unpaired t test	0.87
n (Figure 3H)	Normally distributed	Unpaired t test	1.00
o (Figure 3H)	Normally distributed	Unpaired t test	0.90
p (Figure 4G)	Normally distributed	Unpaired t test	0.68

q (Figure 4G)	Normally distributed	Unpaired t test	0.56
r (Figure 4G)	Normally distributed	Unpaired t test	1.00
s (Figure 4H)	Normally distributed	Unpaired t test	0.99
t (Figure 4H)	Normally distributed	Unpaired t test	0.64
u (Figure 4H)	Normally distributed	Unpaired t test	0.89
v (Figure 4J)	Normally distributed	Unpaired t test	0.80
w (Figure 4K)	Normally distributed	Unpaired t test	0.93
x (Figure 4K)	Normally distributed	Unpaired t test	0.89
y (Figure 4K)	Normally distributed	Unpaired t test	0.91
z (Figure 4K)	Normally distributed	Unpaired t test	0.95
aa (Figure 5A)	Normally distributed	Unpaired t test	0.52
ab (Figure 5A)	Normally distributed	Unpaired t test	1.00
ac (Figure 6D)	Normally distributed	Unpaired t test	0.76
ad (Figure 7D)	Normally distributed	Unpaired t test	0.97
ae (Figure 7D)	Normally distributed	Unpaired t test	0.84

823















